

FINANCIAL DISCLOSURE REPORT INITIAL FILING

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Owens, Karen B.	2. Court or Organization United States Bankruptcy Court, District of Delaware	3. Date of Report 1/6/2020
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) United States Bankruptcy Judge - Full Time	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☒ Initial ☐ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2018 to 12/31/2019
7. Chambers or Office Address United States Bankruptcy Court, District of Delaware 824 N. Market Street, 6th Floor Wilmington, Delaware 19801		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

	<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1.	Director	Ashby & Geddes, P.A. (former law firm)
2.	Attorney Volunteer	Delaware Volunteer Legal Services
3.	Member	Civics Committee, Federal Bar Association (Delaware Chapter)
4.	NexGen Liason	Education Committee, National Conference of Bankruptcy Judges
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.	
2.	
3.	

FINANCIAL DISCLOSURE REPORT

Page 2 of 13

Name of Person Reporting

Owens, Karen B.

Date of Report

1/6/2020

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1. 2017	Ashby & Geddes, P.A. (former law firm); Earnings/distributions	\$395,000.00
2. 2018	Ashby & Geddes, P.A. (former law firm); Earnings/distributions	\$369,375.14
3. 2019	Ashby & Geddes, P.A. (former law firm); Earnings/distributions	\$91,666.74
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2017	TAMKO Building Products LLC; Salary
2. 2018	TAMKO Building Products LLC; Salary
3. 2019	TAMKO Building Products LLC; Salary
4.	

IV. REIMBURSEMENTS *— transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☐ NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1. Exempt	Exempt	Exempt	Exempt	Exempt
2.				
3.				
4.				
5.				

FINANCIAL DISCLOSURE REPORT

Page 3 of 13

Name of Person Reporting

Owens, Karen B.

Date of Report

1/6/2020

V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*☐NONE *(No reportable gifts.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.	Exempt	Exempt	
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*☒NONE *(No reportable liabilities.)*

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.			
2.			
3.			
4.			
5.			

FINANCIAL DISCLOSURE REPORT

Page 4 of 13

Name of Person Reporting

Owens, Karen B.

Date of Report

1/6/2020

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Capital One Cash Account	C	Interest	M	T	Exempt				
2. Wells Fargo Cash Account	A	Interest	M	T	Exempt				
3. UMB HSA Cash Account (closed April 2018)	A	Interest			Exempt				
4. BOA HSA Cash Account	A	Interest	J	T	Exempt				
5. Vanguard IRA #1 (H)									
6. -Vanguard LifeStrategy Growth Fund Investor Shares	C	Dividend	L	T	Exempt				
7. Vanguard IRA #2 (H)									
8. -Vanguard Target Retirement 2045 Fund	C	Dividend	L	T	Exempt				
9. Vanguard Brokerage Account #1 (H)									
10. -Vanguard LifeStrategy Moderate Growth Fund Investor Shares	D	Dividend	M	T	Exempt				
11. Vanguard Brokerage Account #2 (H)									
12. -Vanguard Intermediate-Term Bond Index Fund Admiral Shares	B	Dividend	K	T	Exempt				
13. -Vanguard Money Market Fund		None	J	T	Exempt				
14. Vanguard Individual 529 College Savings Account (H)									
15. -Vanguard Moderate Age-Based Option: Vanguard 80% Stock 20% Bond Port		None	M	T	Exempt				
16. -Vanguard Moderate Age-Based Option: Vanguard 90% Stock 10% Bond		None			Exempt				
17. Ashby & Geddes Retirement Plan (H)									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 5 of 13

Name of Person Reporting

Owens, Karen B.

Date of Report

1/6/2020

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "X" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

18. -Vanguard Institutional Target Retirement 2045 Fund	E	Dividend	N	T	Exempt				
19. Wells Fargo Retirement Plan (H)									
20. -Vanguard Institutional Target Retirement 2045 Fund	C	Dividend	L	T	Exempt				
21. TAMKO Profit Sharing Retirement Plan (H)									
22. -Vanguard Institutional Target Retirement 2045 Fund	A	Dividend	K	T	Exempt				
23. Ameriprise Strategic Portfolio Service Advantage IRA (H)									
24. -Blackrock Event Driven Equity Fund (BILPX)	A	Dividend	J	T	Exempt				
25. -Blackrock Mid Cap Growth Equity Portfolio Institutional (CMGIX)	A	Dividend	K	T	Exempt				
26. -Federated Kaufmann Small Cap Fund Institution (FKAIX)	A	Dividend	J	T	Exempt				
27. -Columbia Dividend Income Fund Institutional Class (GSFTX)	B	Dividend	L	T	Exempt				
28. -John Hancock Funds II Emerging Markets Fund Class 1 (JEVIX)	A	Dividend	J	T	Exempt				
29. -MFS Intl. Growth Fund Class 1 (MQGLX)	A	Dividend	K	T	Exempt				
30. -MFS New Discovery Value Fund Class 1 (NDVIX)	A	Dividend	K	T	Exempt				
31. -ClearBridge Large Cap Growth Fund Class 1 (SBLYX)	C	Dividend	L	T	Exempt				
32. -Wells Fargo Special Mid Cap Value Fund (WFMIX)	B	Dividend	K	T	Exempt				
33. Ameriprise Insured Money Market Cash Account (AIMMA) (H)									
34. -Morgan Stanley	A	Interest	J	T	Exempt				

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 6 of 13

Name of Person Reporting

Owens, Karen B.

Date of Report

1/6/2020

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

35. -American Express	A	Interest			Exempt				
36. Ameriprise Brokerage Account (all sold June 2019) (H)									
37. -Apple Inc. (APPL) common stock	A	Dividend			Exempt				
38. -Adobe Systems Inc. (ADBE) common stock	A	Distribution			Exempt				
39. -Allegion Public Ltd (ALLE) common stock	A	Dividend			Exempt				
40. -Applied Materials Inc. (AMAT) common stock	A	Dividend			Exempt				
41. -Ametek Inc. (AME) common stock	A	Dividend			Exempt				
42. -Affiliated Mgrs. Grp. Inc. (AMG) common stock		None			Exempt				
43. -Artisan Partners Asset Mgmt. Inc. (APAM) common stock	A	Dividend			Exempt				
44. -Credicorp Ltd. (BAP) common stock		None			Exempt				
45. -Brown Forman Corp. (BF/B) common stock	A	Dividend			Exempt				
46. -Biogen Inc. (BIIB) common stock		None			Exempt				
47. -Bank of Hawaii Corp. (BOH) common stock	A	Dividend			Exempt				
48. -Credit Acceptance Crp. MI (CACC) common stock	A	Distribution			Exempt				
49. -CBOE Global Markets Inc. (CBOE) common stock	A	Dividend			Exempt				
50. -Celanese Corp. (CE) common stock		None			Exempt				
51. -Cerner Corp. (CERN) common stock		None			Exempt				

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 7 of 13

Name of Person Reporting

Owens, Karen B.

Date of Report

1/6/2020

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "X" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

52. -Church & Dwight Company Inc. (CHD) common stock	B	Dividend			Exempt				
53. -Check Point Software Tech. (CHKP) common stock	A	Distribution			Exempt				
54. -Comcast (CMCSA) common stock	A	Dividend			Exempt				
55. -Canadian National Railway Co. (CNI) common stock	A	Dividend			Exempt				
56. -Canadian Pacific Railway Ltd. (CP) common stock	A	Dividend			Exempt				
57. -Copart Inc. (CPRT) common stock	B	Distribution			Exempt				
58. -CSX Corp. (CSX) common stock	A	Dividend			Exempt				
59. -Walt Disney Co. (DIS) common stock	A	Dividend			Exempt				
60. -Electronic Arts Inc. (EA) common stock		None			Exempt				
61. -EOG Resources Inc. (EOG) common stock		None			Exempt				
62. -Edwards Lifesciences (EW) common stock	B	Distribution			Exempt				
63. -Eagle Materials (EXP) common stock		None			Exempt				
64. -Fastenal Co. (FAST) common stock		None			Exempt				
65. -Facebook, Inc. (FB) common stock		None			Exempt				
66. -Factset Research Systems Inc. (FDS) common stock	B	Dividend			Exempt				
67. -F5 Networks Inc. (FFIV) common stock		None			Exempt				
68. -Federated Invs. (FII) common stock	A	Distribution			Exempt				

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	P3 = \$25,000,001 - \$50,000,000
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	P4 = More than \$5,000,000 S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 8 of 13

Name of Person Reporting

Owens, Karen B.

Date of Report

1/6/2020

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
69. -Fiserv Inc. (FISV) common stock	A	Distribution			Exempt				
70. -Fleetcor Technologies Inc. (FLT) common stock	B	Distribution			Exempt				
71. -Fox Corp. (FOXA) common stock		None			Exempt				
72. -Graco Inc. (GGG) common stock		None			Exempt				
73. -Gentex Corp. (GNTX) common stock	A	Dividend			Exempt				
74. -Grifols (GRFS) common stock	A	Dividend			Exempt				
75. -Garmin Ltd. (GRMN) common stock	A	Dividend			Exempt				
76. -Interdigital Inc. (IDCC) common stock	A	Distribution			Exempt				
77. -Idex Corp. (IEX) common stock	A	Dividend			Exempt				
78. -Illumina Inc. (ILMN) common stock		None			Exempt				
79. -Infosys. Ltd. (INFY) common stock	A	Dividend			Exempt				
80. -Intuit Inc. (INTU) common stock		None			Exempt				
81. -IPG Photonics Corp. (IPGP) common stock	A	Distribution			Exempt				
82. -Illinois Tool Works Inc. (ITW) common stock		None			Exempt				
83. -JetBlue Airways Corp. (JBLU) common stock	A	Distribution			Exempt				
84. -Jack Henry & Assoc. Inc. (JKHY) common stock	A	Dividend			Exempt				
85. -KLA Tencor Corp. (KLAC) common stock	A	Dividend			Exempt				

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 9 of 13

Name of Person Reporting

Owens, Karen B.

Date of Report

1/6/2020

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

86. -LAM Research Corp. (LRCX) common stock	A	Dividend			Exempt				
87. -Southwest Airlines Co. (LUV) common stock		None			Exempt				
88. -Mastercard Inc. (MA) common stock	B	Dividend			Exempt				
89. -Marriott Intl. Inc. (MAR) common stock	A	Dividend			Exempt				
90. -Masimo Corp. (MASI) common stock	A	Distribution			Exempt				
91. -McCormick & Co. (MKC) common stock	A	Dividend			Exempt				
92. -MKS Instruments Inc. (MKSI) common stock		None			Exempt				
93. -3M Co. (MMM) common stock		None			Exempt				
94. -Monster Bev. Corp. (MNST) common stock		None			Exempt				
95. -Altria Group Inc. (MO) common stock		None			Exempt				
96. -MSCI Inc. (MSCI) common stock	B	Dividend			Exempt				
97. -Microsoft Corp. (MSFT) common stock	A	Dividend			Exempt				
98. -Mettler Toledo Intl. Inc. (MTD) common stock	A	Distribution			Exempt				
99. -Vail Resorts Inc. (MTN) common stock		None			Exempt				
100. -Maxim Integrated Products Inc. (MXIM) common stock	A	Dividend			Exempt				
101. -Nordson Corp. (NDSN) common stock		None			Exempt				
102. -Norfolk Southern Corp. (NSC) common stock	A	Dividend			Exempt				

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 10 of 13

Name of Person Reporting

Owens, Karen B.

Date of Report

1/6/2020

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
103. -NVIDIA Corp. (NVDA) common stock		None			Exempt				
104. -Novo Nordisk (NVO) common stock	A	Dividend			Exempt				
105. -Old Dominion Freight Lines Inc. (ODFL) common stock		None			Exempt				
106. -Oracle Corp. (ORCL) common stock	A	Distribution			Exempt				
107. -Paychex Inc. (PAYX) common stock	B	Dividend			Exempt				
108. -Proctor & Gamble Co. (PG) common stock	A	Dividend			Exempt				
109. -Resmed Inc. (RMD) common stock	A	Dividend			Exempt				
110. -SAP (SAP) common stock	A	Dividend			Exempt				
111. -Starbucks Corp. (SBUX) common stock	B	Dividend			Exempt				
112. -Sealed Air Corp. (SEE) common stock		None			Exempt				
113. -SEI Investments Co. (SEIC) common stock		None			Exempt				
114. -SNAP (SNA) common stock	A	Dividend			Exempt				
115. -Smith & Nephew (SNN) common stock	A	Distribution			Exempt				
116. -S&P Global (SPGI) common stock	A	Dividend			Exempt				
117. -Sociedad Quimica (SQM) common stock		None			Exempt				
118. -Constellation Brands Inc. (STZ) common stock		None			Exempt				
119. -Skyworks Solutions Inc. (SWKS) common stock		None			Exempt				

1. Income Gain Codes: (See Columns B1 and D4)	A ~\$1,000 or less F ~\$50,001 - \$100,000	B ~\$1,001 - \$2,500 G ~\$100,001 - \$1,000,000	C ~\$2,501 - \$5,000 H1 ~\$1,000,001 - \$5,000,000	D ~\$5,001 - \$15,000 H2 ~More than \$5,000,000	E ~\$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J ~\$15,000 or less N ~\$250,001 - \$500,000 P3 ~\$25,000,001 - \$50,000,000	K ~\$15,001 - \$50,000 O ~\$500,001 - \$1,000,000	L ~\$50,001 - \$100,000 P1 ~\$1,000,001 - \$5,000,000 P4 ~More than \$5,000,000	M ~\$100,001 - \$250,000 P2 ~\$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 11 of 13

Name of Person Reporting

Owens, Karen B.

Date of Report

1/6/2020

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
120. -Price T Rowe Group Inc. (TROW) common stock		None			Exempt				
121. -Texas Instruments Inc. (TXN) common stock	A	Dividend			Exempt				
122. -Ubiquiti Networks Inc. (UBNT) common stock	B	Dividend			Exempt				
123. -Unilever PLC (UL) common stock	A	Dividend			Exempt				
124. -Unilever (UN) common stock	A	Dividend			Exempt				
125. -Union Pacific Corp. (UNP) common stock	A	Dividend			Exempt				
126. -Visa (V) common stock	A	Dividend			Exempt				
127. -Veeva Sys Inc. (VEEV) common stock	A	Distribution			Exempt				
128. -Verisk Analytics Inc. (VRSK) common stock	B	Dividend			Exempt				
129. -Wyndham Destinations Inc. (WYND) common stock		None			Exempt				
130. -Xilinx Inc. (XLNX) common stock	B	Dividend			Exempt				
131. -Zoetis Inc. (ZTS) common stock	A	Dividend			Exempt				
132. Lincoln Retirement 401(k) (H)									
133. -American Century Investments One Choice 2045 (sold 8/18)	C	Distribution			Exempt				
134. -American Funds The Growth Fund of America R6 (sold 8/18)	D	Distribution			Exempt				

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

Name of Person Reporting	Date of Report
Owens, Karen B.	1/6/2020

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

FINANCIAL DISCLOSURE REPORT

Page 13 of 13

Name of Person Reporting

Owens, Karen B.

Date of Report

1/6/2020

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **Karen B. Owens**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544