

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2018

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Sacca, James R.	2. Court or Organization Bankruptcy Court, Northern District Georgia	3. Date of Report 04/23/2020
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) Bankruptcy Judge, Full time	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☒ Amended Report	6. Reporting Period 01/01/2018 to 12/31/2018
7. Chambers or Office Address 1480 U.S. Courthouse 75 Ted Turner Drive Atlanta, GA 30303		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☒ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1.		
2.		
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1.	
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*

	<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1.			
2.			
3.			
4.			

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*

	<u>DATE</u>	<u>SOURCE AND TYPE</u>
1.		
2.		
3.		
4.		

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*

	<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.	Georgia Bar Assoc. Bankruptcy Section CLE Seminar	December 13-14, 2018	Greensboro, Georgia	Seminar	Hotel, Food
2.					
3.					
4.					
5.					

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*

☒ NONE *(No reportable gifts.)*

SOURCE	DESCRIPTION	VALUE
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*

☒ NONE *(No reportable liabilities.)*

CREDITOR	DESCRIPTION	VALUE CODE
1.		
2.		
3.		
4.		
5.		

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Northwestern Mutual Whole Life Policy	D	Dividend	M	T					
2. Northwestern Mutual Variable Life Policy									
3. -MSA Select Bond		None	J	T					
4. -MSA Money Market		None	J	T					
5. -MSA Index 500 Stock		None	L	T					
6. -MSA Mid Cap Growth Stock		None	K	T					
7. -MSA High Yield Bond		None	K	T					
8. -MSA Growth Stock		None	K	T					
9. -MSA Small Cap Growth Stock		None	J	T					
10. -MSA Small Cap Value		None	J	T					
11. -MSA Index 400 Stock		None	K	T					
12. -MSA International Growth		None	J	T					
13. -MSA Domestic Equity		None	K	T					
14. -MSA International Equity		None	J	T					
15. -MSA Asset Allocation		None	J	T					
16. -Russell Real Estate Securities		None	J	T					
17. IRA #1									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$250,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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18. -American Century Diversified Bond Fund (ADFIK)	A	Dividend	K	T	Sold (part)	10/03/18	J	B	
19. -American Funds Europacific Growth Fund (AEPFX)	B	Dividend	K	T					
20. -American Funds Fundamental Investors (FINFX)	C	Distribution	L	T					
21. -American Funds High Income TrustFund (AHIFX)	B	Dividend	K	T	Sold (part)	10/03/18	J	B	
22. -Invesco International Growth Fund (AIIXY)	B	Distribution	K	T					
23. -Fidelity Advisor Newinsights Fund (FINSX)	C	Distribution	K	T	Sold (part)	08/31/18	J	B	
24. -Fidelity Advisor Leveraged Company Stock Fund (FLVIX)	A	Dividend			Sold	01/30/18	K	B	
25. -Fidelity Advisor Small Cap Value Fund (FCVIX)	D	Distribution	K	T					
26. -MFS International Value Fund (MINIX)	B	Distribution	L	T	Sold (part)	08/31/18	J	A	
27. -PIMCO Real Return Fund (PRLPX)	A	Dividend	K	T					
28. -PIMCO Absolute Return Class A (PSPTX)	C	Distribution	K	T	Sold (part)	08/31/18	J	A	
29. Metropolitan West Total Return Bond Fund (MWTIX)	B	Dividend	K	T	Sold (part)	10/03/18	K	A	
30. -Russell Global Real Estate Securities Fund (RRESX)	B	Dividend	K	T					
31. -Vanguard Health Care EFT (VHT)	A	Dividend	K	T	Sold (part)	10/03/18	J	A	
32. -Select Sector SPDR Consumer Staples (XLP)	A	Dividend	J	T	Sold (part)	10/03/18	J	A	
33. -Select Specctor Inter Energy (XLE)	A	Dividend	K	T					
34. -New World Fund (NFFFX)	A	Dividend	K	T					

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
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35. -John Hancock Disc Mid Cap Fund (JVMIX)	B	Distribution	K	T					
36. -Deutsche Enhanced Commodity (SKIRX)	B	Dividend	K	T					
37. -AB Global Bond Fund (ANAYX)	A	Dividend	K	T					
38. -Fidelity Adv Biotech (FBTIX)	A	Distribution	J	T					
39. -PIMCO Income Fund (PONPX)	C	Dividend	K	T	Sold (part)	10/03/18	J	A	
40. -PIMCO International Stock Fund (PSKIX)	D	Distribution	K	T					
41. -Gugg SP 500 Fund (RSP)	A	Dividend	K	T					
42. -Opp Dev Mark Fund (ODVYX)	A	Dividend	K	T					
43. -PIMCO Comm Real Ret (PCRPX)	A	Dividend			Sold	10/03/18	J	A	
44. -Dreyfus Insured Deposit Program money market account	A	Interest	J	T					
45. Brokerage Account									
46. -American Funds Europacific Growth Fund (AEPFX)	B	Dividend	M	T	Sold (part)	10/10/18	J	A	
47. -The Growth Fund of America (GFFFX)	A	Distribution	L	T	Sold (part)	10/03/18	K	B	
48. -MFS Value Fund (MEIIX)	B	Dividend	L	T	Sold (part)	12/03/18	J	C	
49. -PIMCO Real Return Fund (PRLPX)	A	Dividend			Sold	12/19/18	K	A	
50.					Sold (part)	10/03/18	J	A	
51. -Sterling Cap f/k/a Stratton Small Cap Value Fund (STSCX)	A	Distribution	K	T	Sold (part)	10/10/18	J	B	

1. Income Gain Codes: (See Columns B1 and D4)	A ~\$1,000 or less F ~\$50,001 - \$100,000	B ~\$1,001 - \$2,500 G ~\$100,001 - \$1,000,000	C ~\$2,501 - \$5,000 H1 ~\$1,000,001 - \$5,000,000	D ~\$5,001 - \$15,000 H2 ~More than \$5,000,000	E ~\$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J ~\$15,000 or less N ~\$250,001 - \$500,000 P3 ~\$25,000,001 - \$50,000,000	K ~\$15,001 - \$50,000 O ~\$500,001 - \$1,000,000	L ~\$50,001 - \$100,000 P1 ~\$1,000,001 - \$5,000,000 P4 ~More than \$50,000,000	M ~\$100,001 - \$250,000 P2 ~\$5,000,001 - \$25,000,000	
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52. -American Century Tax Free Bond Fund (TWTIX)	B	Distribution	K	T	Sold (part)	10/03/18	J	A	
53.					Buy (add'l)	12/19/18	J		
54. -Vanguard Mid-Cap Stock Index Fund (VIMAX)	A	Dividend	K	T	Sold (part)	10/10/18	J	A	
55. -Vanguard Index Trust Total Stock Market Portfolio Fund (VTSMX)	B	Dividend	M	T	Sold (part)	10/10/18	K	E	
56. -John Hancock Disciplined Value Mid Cap Fund (JVMIX)	A	Dividend	K	T	Sold (part)	01/04/18	J	A	
57. -AB Global Bond Fund (ANAYX)	A	Dividend	K	T	Sold (part)	12/19/18	K	C	
58.					Sold (part)	10/03/18	J	A	
59. -Putnam Conv Securities Fund (PCGYX)	A	Dividend	K	T					
60. -Vanguard Healthcare Fund (VHT)	A	Dividend	K	T					
61. -New World Fund (NFFFX)	A	Dividend	L	T					
62. -Deutsche Enhanced Comm Strategy Fund (SKIRX)	A	Dividend			Sold	12/19/18	K	A	
63. -Select Sector Energy (XLE)	B	Dividend			Sold	12/19/18	K	A	
64. -Select Sector Consumer Staples (XLP)	A	Dividend	K	T	Sold (part)	10/03/18	J	A	
65. -Cohen & Steers Realty Income Fund (CSDIX)	A	Dividend	K	T					
66. -First Eagle Overseas (SGOIX)	B	Dividend	L	T					
67. -Metropolitan West Total Return Bond (MWTIX)	A	Dividend			Sold	12/03/18	K	C	
68. -Fidelity Adv Biotech (FBTIX)		None	K	T					

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69. -EV Float Hi Inc (EIFHX)	A	Dividend	K	T					
70. -Ishares TR TIP Bond (TIP)	A	Dividend	K	T	Buy	12/19/18	K		
71. -Columb Global Ener (CENRX)	A	Dividend	K	T	Buy	12/19/18	K		
72. Pimco Commod Plus (PCLPX)		None	K	T	Buy	12/19/18	K		
73. -Dreyfus General Money Market Fund	A	Interest	J	T					
74. SunTrust Bank deposit accounts	A	Interest	K	T					
75. Virginia 529 Plan-American Funds									
76. -2018 Fund	A	Dividend	K	T	Redeemed (part)	12/10/18	J	A	
77. -2021 Fund	C	Dividend	M	T	Redeemed (part)	12/10/18	K	C	
78.					Redeemed (part)	06/20/18	J	B	
79.					Redeemed (part)	01/02/18	J	B	
80. - 2024 Fund	C	Dividend	M	T	Redeemed (part)	12/10/18	J	A	
81.					Redeemed (part)	07/02/18	J	A	

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

In part VII, Amended lines 49, 62, 63 and 67 to show that all of the asset instead of part were sold and added new line 72 to show acquisition of Pimco fund.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **James R. Sacca**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544