

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2018

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Percy, LeRoy D.	2. Court or Organization U.S. District Court, Northern District of Mississippi	3. Date of Report 05/15/2019
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. Magistrate Judge -- Full-time	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2018 to 12/31/2018
7. Chambers or Office Address U.S. Courthouse 911 Jackson Ave. Oxford, MS 38655		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1. Adjunct Professor	University of Mississippi
2.	
3.	
4.	
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☐ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1. 1996	Public Employees Retirement System of Mississippi, state-managed defined retirement benefit plan with former employer
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1. 2018	University of Mississippi, teaching	\$5,000.00
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2018	State of Mississippi
2. 2018	University of Mississippi
3.	
4.	

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☐ NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1. Mississippi Bar Association	07/10/2018 - 07/11/2018	Destin, FL	Presenter at Mississippi Bar Association Summer School for Lawyers	Transportation, lodging
2.				
3.				
4.				
5.				

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.	American Express	Credit Card	K
2.			
3.			
4.			
5.			

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Mississippi Public Employees Retirement Account #1 (defined benefit)		None	J	T					
2. - Plan name: Public Employees' Retirement System of Miss. (PERS)									
3. Mississippi Public Employees Retirement Account #2 (defined benefit)		None	L	T					
4. - Plan name: Public Employees' Retirement System of Miss. (PERS)									
5. CB&T ROTH IRA (American Funds)									
6. - The Bond Fund of America-A	A	Dividend	J	T					
7. -The Investment Company of America-A	A	Dividend	J	T					
8. - New Perspective Fund-A	A	Dividend	J	T					
9. Merrill Lynch Mutual Fund Account #1									
10. - Blackrock Small Cap fund	A	Dividend	J	T					
11. - Blackrock Advantage Large fund	A	Dividend	J	T					
12. - Blackrock Strategic fund	A	Dividend	J	T					
13. - Blackrock Capital fund	A	Dividend	J	T					
14. - Blackrock Tactital fund	A	Dividend	J	T					
15. - Blackrock Global Long fund	A	Dividend	J	T					
16. - IShares MSCI EAFE fund	A	Dividend	J	T					
17. - Blackrock HI YLD BD fund	A	Dividend	J	T					

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
(See Column C2)	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
	U = Book Value	V = Other	W = Estimated		

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☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
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18. - Blackrock Eurofund	A	Dividend	J	T					
19. - Blackrock Basic fund	B	Dividend	J	T					
20. - Blackrock Adv U.S. TTL fund	A	Dividend	J	T					
21. - Blackrock Asian Dragon fund	A	Dividend	J	T					
22. - Blackrock Total Return fund	A	Dividend	J	T					
23. Merrill Lynch Mutual Fund Account #2									
24. - American Euro Pacific fund	A	Dividend	J	T					
25. - Blackrock Equity Dividend fund	A	Dividend	J	T					
26. - Clearbridge Small Cap fund	A	Dividend	J	T					
27. - Dreyfus Appreciation FD fund	A	Dividend	J	T					
28. - Hartford Growth fund	A	Dividend	J	T					
29. - Janus Henderson fund	A	Dividend	J	T					
30. John Hancock Disciplined fund	A	Dividend	J	T					
31. - Mainstay Large Cap fund	A	Dividend	J	T					
32. - MFS Value FD CL I fund	A	Dividend	J	T					
33. - Oppenheimer Rising fund	A	Dividend	J	T					
34. - The Oakmark International Fund	A	Dividend	J	T					

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2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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35. Mississippi Affordable College Savings (529) Account #1	A	Dividend	K	T					
36. - Managed Allocation Option 9-10 (formerly 5-8)									
37. Mississippi Affordable College Savings (529) Account #2	A	Dividend	K	T					
38. - Managed Allocation Option 9-10 (formerly 5-8)									
39. First National Bank CD #1	A	Interest	J	T					
40. First National Bank CD #2	A	Interest	J	T					
41. Regions Bank CD #1	A	Interest			Redeemed	12/20/18	J	A	
42. Regions Bank CD #2	A	Interest			Redeemed	03/14/18	J	A	
43. Regions Bank Checking Account	A	Interest	J	T					
44.									

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3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **s/ LeRoy D. Percy**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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