

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2018

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Rovner, Ilana D.	2. Court or Organization 7th Circuit Court of Appeals	3. Date of Report 08/16/2019
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) Circuit Judge (active)	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☒ Amended Report	6. Reporting Period 01/01/2018 to 12/31/2018
7. Chambers or Office Address United States Court of Appeals 219 S. Dearborn Street Chicago, IL 60604		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. Member Emeritus, Board of Trustees	Illinois Institute of Technology
2. Member, Board of Overseers	IIT-Chicago Kent College of Law
3. Life Member, Board of Directors	Shirley Ryan Ability Lab (Formerly Rehabilitation Institute of Chicago)
4. Trustee	Trust
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.	
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1. Monthly	Rush Retirement Plan of Deceased Spouse See Note 1	\$10,038.00
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☒ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1.	
2.	
3.	
4.	

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☒ NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.				
2.				
3.				
4.				
5.				

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.		
2.		
3.		
4.		
5.		

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. IRA Acct #5 (H)									
2. -Fidelity Government Cash Reserves	A	Dividend	J	T					
3. -Fidelity Government Income	A	Dividend	J	T					
4. -Fidelity Government Money Mkt	A	Dividend	J	T					
5. -Fidelity GNMA Fund	A	Dividend	J	T					
6. -Fidelity Canada	A	Dividend	J	T					
7. Trust #1 - Account No. 2 (H)			J	T					
8. -Schwab Bank	A	Dividend	M	T	Open	03/22/18	M		
9. -Schwab Signature Bank	A	Dividend	M	T	Open	03/22/18	M		
10. -Sch AMT TF Money Market Fd (SWFXX)	B	Dividend			Closed	03/22/18	N		
11. -Sch AMT Tax-Free Money Mt fd (SWWXX)	B	Dividend	M	T					
12. -Intel Corp CS	A	Dividend	J	T					
13. -Marriott Intl Inc. Cl A CS	B	Dividend	N	T					
14. -Medtronic, Inc	A	Dividend	K	T					
15. -Buckeye Partners LP	A	Dividend	J	T					
16. -Citigroup Inc	A	Dividend	J	T					
17. -Wells Fargo & Co. 8.00% Pfd	A	Dividend			Redeemed	09/17/18	J	A	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$250,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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18. -T Rowe Price Tax Free Short Term Intern	B	Dividend	M	T					
19. -Vanguard GNMA Fund	C	Dividend	M	T	Sold (part)	08/28/18	K		
20. -Wells Fargo Advantage S/T Muni Bd Inv	A	Dividend	L	T					
21. -Teradata Corp0		None	J	T					
22. -NCR		None	J	T					
23. -Travelers Companies Inc	A	Dividend	J	T					
24. -Marriott Vacations	C	Dividend	K	T					
25. -Boeing Co	A	Dividend	K	T					
26. -Ford Motor Company	A	Dividend	J	T					
27. -T J X COS, Inc.	A	Dividend	J	T	Buy (add'l)	08/21/18	J		
28. -Lockheed Martin	A	Dividend	J	T	Buy	07/27/18	J		
29. IRA Acct #8 (H)									
30. -Schwab Bank	A	Interest	L	T					
31. -Vanguard Energy Fund	A	Dividend	K	T					
32. -Vanguard GNMA Fund	C	Dividend	M	T					
33. -Vanguard Short Term Invesmt Grade Bond Fd	A	Dividend	K	T					
34. -TRowe Price New Era Fd	A	Dividend	J	T					

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2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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35. -Sequoia Fund	B	Dividend	J	T					
36. -Cerner		None	K	T					
37. -Citigroup Inc	A	Dividend	J	T					
38. -Devon Energy Corp	A	Dividend	J	T	Sold (part)	08/21/18	J		
39. -Dominion EnergyRes Inc va	B	Dividend	K	T					
40. -Kinder Morgan Inc.	A	Dividend	J	T					
41. -Pimco Corp Income Fund	C	Dividend	K	T					
42. -Verizon Communications	B	Dividend	K	T					
43. -Allergan PLC	A	Dividend	K	T					
44. -Harbor Bond Fund Inst C1	A	Dividend	K	T					
45. -TCW Total Return Bond	B	Dividend	K	T					
46. -Thompson Bond Fund	B	Dividend	K	T					
47. -Annaly Capital Mgmt	B	Dividend	K	T					
48. -Government Ppty Income Tr	A	Dividend	J	T					
49. -Ishares Iboxx Investment Grade Bond ETF	A	Dividend	J	T					
50. -Spdr Gold Trust		None	J	T					
51. -Blackrock Enhed Cap & Income	A	Dividend	J	T					

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52. -Visa Inc Cl A	A	Dividend	K	T					
53. -Guggenheim Enhanced Eqty Income Fund	A	Dividend	J	T	Sold (part)	08/21/18	J		
54. Trust #4 (H)									
55. -Fidelity Government Money Market (SPAXX)	A	Dividend	K	T					
56. -Fidelity Municipal Money Market (FTEXX)	B	Dividend	M	T					
57. -AT&T Inc Com	B	Dividend	K	T					
58. -Anadarko Pete Corp	A	Dividend	J	T					
59. -Apple Inc	A	Dividend	K	T					
60. -Enbridge Energy Partners LP	A	Dividend			Merged (with line 62)	12/20/18	J		
61. -Embridge Inc			J	T	Open	12/20/18	J		
62. -Forits In Com ISIN	A	Dividend	J	T					
63. -Ishares Inc MSCI Canada Index Fd	A	Dividend	K	T					
64. -Pimco Mun Income Fd II	B	Dividend	K	T					
65. -SPDR Ser Tr Morgan Stanley Tech	A	Dividend	J	T					
66. -Tata Motors Limited ADR Ea Rep		None	J	T					
67. -American Century Inter Term Tax Free Bond (TWTIX)	A	Dividend	K	T					
68. -Baird Intermediate Muni Bond Invs Cl (BMBSX)	C	Dividend	M	T					

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69. -BMO Intermediate Tax Free	A	Dividend	K	T					
70. -Pimco Total Return Class A (PTTDX) (Converted from Class F2)	A	Dividend	K	T					
71. -T Rowe Price Tax Free Short Inter (PRFSX)	A	Dividend	L	T					
72. -Thornburg Strategic Municipal Income C (TSSCX)	B	Dividend	L	T					
73. -Vanguard GNMA Investor C1 (VFILX)	A	Dividend	J	T					
74. -Wells Fargo Short Term Muni Bd-Invest (STSMX)	A	Dividend	L	T					
75. College Fund 529 #1 (H)									
76. -Capital World Growth & Income Fund Class 529A	B	Dividend	K	T					
77. -Fundamental Investors Class 529A	C	Dividend	K	T					
78. -Growth Fund of America Class 529A	C	Dividend	K	T					
79. -Washington Mutual Investors Fund Class 529A	C	Dividend	K	T					
80. -American Balanced Fund	A	Dividend	J	T	Buy	11/16/18	J		
81. -Euro Pacific Growth Fund	A	Dividend	J	T					
82. College Fund 529 #2 (H)									
83. -Capital World Growth & Income Fund Class 529A	B	Dividend	K	T					
84. -Fundamental Investors Class 529A	C	Dividend	K	T					
85. -Euro Pacific Growth Fund	A	Dividend	J	T					

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86. -Growth Fund of America Class 529A	D	Dividend	L	T					
87. -Washington Mutual Investors Fund Class 529A	C	Dividend	K	T					
88. -American Balanced Fund	A	Dividend	J	T	Buy	11/16/18	J		
89. Trust #5 (H)									
90. -UBS Bank USA Dep Acct	A	Interest	K	T					
91. -Ishares Core S and P 500	A	Dividend	K	T					
92. -Ishares Core MSCI EAFE	B	Dividend	K	T	Buy (add'l)	01/08/18	J		
93.					Sold (part)	07/16/18	K		
94.					Sold (part)	08/16/18	K		
95.					Buy (add'l)	10/04/18	J		
96. -Ishares Core MSCI Emerging Marketes ETF	A	Dividend	J	T	Buy (add'l)	01/08/18	L		
97.					Sold (part)	04/05/18	K		
98.					Sold (part)	07/15/18	J		
99.					Sold (part)	08/16/18	K		
100.					Sold (part)	10/04/18	K		
101. -Ishares Currency Hedged MSCI EAFE ETF		None			Buy (add'l)	01/08/18	L		
102.					Sold	04/05/18	L		

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103. -Ishares 7-10 Year Treas.Bond ETF	A	Dividend			Buy (add'l)	01/08/18	K		
104.					Sold	02/20/18	L		
105. -Ishares IBoxx Invt. Grade Corporate Bond Fund	B	Dividend	L	T	Buy (add'l)	01/08/18	J		
106.					Buy (add'l)	02/20/18	K		
107.					Sold (part)	05/17/18	J		
108.					Buy (add'l)	07/16/18	K		
109. -Ishares 20+ Year Treasury Bond ETF	A	Dividend			Buy (add'l)	01/18/18	J		
110.					Buy (add'l)	05/17/18	J		
111.					Sold	07/16/18	K		
112. -Ishares Inter Term Corporate Bond ETF (Name Chg from Int Credit)	C	Dividend	L	T	Buy (add'l)	01/04/18	K		
113.					Sold (part)	02/20/18	K		
114.					Sold (part)	06/30/18	K		
115. -American Funds Blackrock Multi Asset	C	Dividend	L	T	Buy (add'l)	01/04/18	J		
116. -Ishares Short Maturity Bond ETF \$.02	B	Dividend	M	T	Buy (add'l)	01/08/18	J		
117.					Buy (add'l)	02/20/18	J		
118.					Buy (add'l)	05/17/18	J		
119.					Buy (add'l)	07/16/18	K		

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2. Value Codes (See Columns C1 and D3)	J ~\$15,000 or less N ~\$250,001 - \$500,000 P3 ~\$25,000,001 - \$50,000,000	K ~\$15,001 - \$50,000 O ~\$500,001 - \$1,000,000	L ~\$50,001 - \$100,000 P1 ~\$1,000,001 - \$5,000,000 P4 ~More than \$50,000,000	M ~\$100,001 - \$250,000 P2 ~\$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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Name of Person Reporting

Rovner, Ilana D.

Date of Report

08/16/2019

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
120. -American Funds International Growth and Income Class F2		None			Sold	01/05/18	K	D	
121. -American Funds Amcap Fund Cl A		None			Sold	01/04/18	K	E	
122. -American Funds Fundamental Investors Fund Cl A		None			Sold	01/05/18	K	E	
123. -American Funds Growth Fund of America Class F2		None			Sold	01/05/18	K	E	
124. -American Funds Washington Mutual Investors Fund Cl A	E	Dividend	M	T	Sold (part)	04/03/18	K		
125.					Sold (part)	04/10/18	J	A	
126. -American Funds American Balanced Fund CL F2		None			Sold	01/04/18	M	E	
127. -Ishares Global Tech EFT	A	Dividend	J	T	Buy	07/12/18	J		
128. -Ishares US Treasury bond ETF	A	Dividend	K	T	Buy	02/15/18	K		
129.					Buy (add'l)	05/17/18	J		
130. I-shares Edge MSCI USA Momentum Factor ETF	A	Dividend	K	T	Buy	04/03/18	K		
131.					Buy (add'l)	05/17/18	J		
132.					Buy (add'l)	07/16/18	J		
133. -Ishares Edge MSCI Min Vol USA ETF	A	Dividend	K	T	Buy	01/09/18	K		
134.					Buy (add'l)	04/05/18	K		
135.					Sold (part)	05/17/18	J		
136.					Sold (part)	07/16/18	J	A	

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

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Name of Person Reporting

Rovner, Ilana D.

Date of Report

08/16/2019

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
137. -Ishares JP Morgan USD Emerging Markets Bond ETF	B	Dividend	K	T	Buy	01/04/18	K		
138.					Buy (add'l)	05/17/18	J		
139. Trust #6 (H)									
140. -Amer. Funds Washington Mutual Inv.Fund Class F2	D	Dividend	L	T	Sold (part)	04/03/18	J		
141. -American Funds Amcap Fd C1 F2					Sold	01/05/18	M	E	
142. -American Funds Balanced Fund Class F2	D	Dividend	M	T	Sold (part)	04/02/18	J		
143. -American Funds Fundamental Investors Fund Class F2	C	Dividend	K	T	Sold (part)	01/05/18	M	E	
144. -American Funds International Growth and Income Class F2	C	Dividend	L	T	Sold (part)	04/03/18	J	A	
145.					Sold (part)	05/31/18	J	B	
146. -Blackrock Multi Asset Income Portfolia Instl Class	D	Dividend	L	T					
147. -Cash	A	Interest	J	T					
148. -Ishares 20+ Year Treasury Bond ETF	A	Dividend			Buy (add'l)	01/08/18	J		
149.					Buy (add'l)	05/17/18	J		
150.					Buy (add'l)	07/12/18	K		
151. -Ishares 7-10 Year Treasury.Bond EFF	A	Dividend			Sold	01/08/18	K		
152.					Sold	02/15/18	L		
153. -Ishares Core MSCI EAFE ETF	B	Dividend	L	T	Buy (add'l)	01/08/18	J		

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

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Name of Person Reporting

Rovner, Ilana D.

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
154.					Buy (add'l)	04/05/18	K		
155.					Buy (add'l)	07/16/18	J		
156.					Buy (add'l)	10/04/18	K		
157. -Ishares Core MSCI Emerging Markets ETF	B	Dividend	K	T	Buy (add'l)	01/08/18	L		
158.					Sold (part)	04/05/18	K	A	
159.					Sold (part)	07/16/18	J		
160.					Sold (part)	10/14/18	K		
161. -Ishares Currency Hedged MSCI EAFE ETF		None			Sold (part)	04/04/18	J		
162.					Sold	04/05/18	K	A	
163. -Ishares Core S & P 500 ETF	B	Dividend	L	T	Buy	01/08/18	K		
164.					Sold (part)	04/04/18	J		
165. -Ishares Edge MSCI Min Vol USA ETF	A	Dividend	K	T	Buy	01/08/18	K		
166.					Buy (add'l)	04/05/18	J		
167.					Sold (part)	05/17/18	K		
168. -Ishares Edge MSCI USA Momentum Factor ETF	A	Dividend	K	T	Buy	04/05/18	J		
169.					Buy (add'l)	05/17/18	J		
170. -Ishares IBOXX \$ Investment Grade Corporate Bod ETF	C	Dividend	L	T	Buy (add'l)	01/08/18	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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Name of Person Reporting

Rovner, Ilana D.

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
171.					Buy (add'l)	02/28/18	L		
172.					Sold (part)	04/04/18	J		
173.					Sold (part)	05/15/18	J		
174.					Buy (add'l)	07/16/18	K		
175. -Ishares Int-Term Corporate Bond ETF (Name change from Inter Credit)	C	Dividend	L	T	Buy (add'l)	01/08/18	K		
176.					Sold (part)	02/20/18	K		
177.					Sold (part)	04/04/18	J		
178.					Sold (part)	05/17/18	J		
179.					Sold (part)	07/18/18	L		
180. -Ishares JP Morgan USD Emerging Markets Bond ETF	B	Dividend	K	T	Buy	01/08/18	K		
181.					Sold (part)	04/30/18	J		
182. -Ishares Global Tech ETF	A	Dividend	J	T	Buy	07/12/18	J		
183. -Ishares Short Maturity Bond ETF	B	Dividend	M	T	Buy (add'l)	01/08/18	K		
184.					Buy (add'l)	02/20/18	K		
185.					Sold (part)	04/04/18	J		
186.					Buy (add'l)	05/17/18	J		
187.					Buy (add'l)	07/16/18	L		

1. Income Gain Codes: (See Columns B1 and D4)	A ~\$1,000 or less F ~\$50,001 - \$100,000	B ~\$1,001 - \$2,500 G ~\$100,001 - \$1,000,000	C ~\$2,501 - \$5,000 H1 ~\$1,000,001 - \$5,000,000	D ~\$5,001 - \$15,000 H2 ~More than \$5,000,000	E ~\$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J ~\$15,000 or less N ~\$250,001 - \$500,000 P3 ~\$25,000,001 - \$50,000,000	K ~\$15,001 - \$50,000 O ~\$500,001 - \$1,000,000	L ~\$50,001 - \$100,000 P1 ~\$1,000,001 - \$5,000,000 P4 ~More than \$5,000,000	M ~\$100,001 - \$250,000 P2 ~\$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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Name of Person Reporting

Rovner, Ilana D.

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08/16/2019

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
188. I-shares US Treasury Bond ETF	A	Dividend	K	T	Buy (add'l)	02/20/18	K		
189.					Buy (add'l)	05/17/18	J		
190. -The Growth Fund of America Fund Class F2		None			Sold	01/04/18	M	F	
191. The Income fund of America Cl F2	D	Dividend	M	T	Sold (part)	04/03/18	J		
192. IRA #6 (H)									
193. -UBS Bank USA Dep Acct		None	J	T					
194. -American Funds New Perspective Fund Cl A	D	Dividend	L	T	Distributed (part)	02/14/18	M		See Part VIII, Note 2
195. -American Funds Washington Mutual Investors Fund Cl A	D	Dividend	M	T	Distributed (part)	03/08/18	L		See Part VIII, Note 2
196.	D	Dividend	M	T	Buy (add'l)	03/08/18	L		
197. -American Funds Capital World Growth & Income Fund	C	Dividend	L	T	Distributed (part)	02/14/18	M		See Part VIII, Note 2
198. -American Funds Growth Fund of America Class A		None			Distributed (part)	02/14/18	L		See Part VIII, Note 2
199.					Sold	03/08/18	L	D	
200. -American Funds Global Balanced Fund A	C	Dividend	M	T	Distributed (part)	02/14/18	L		See Part VIII, Note 2
201. -American Funds American Balanced Fund Cl A	D	Dividend	M	T	Distributed (part)	02/14/18	M		See Part VIII, Note 2
202. -American Funds Income Fund of America Cl A	D	Dividend	M	T	Distributed (part)	02/14/18	M		See Part VIII, Note 2
203. IRA # 7 (H)									
204. -UBS Bank USA Dep Acct		None	J	T					

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

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Name of Person Reporting

Rovner, Ilana D.

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
205. -American Funds Capital World Growth & Income Fund	D	Dividend	M	T					
206. -American Funds Growth Fund of America Class A		None			Sold	03/08/18	M	A	
207. -American Funds New Perspective Fund Cl A	D	Dividend	M	T					
208. -American Funds Washington Mutual Investors Fund Cl A	E	Dividend	N	T	Buy (add'l)	03/08/18	M		
209. -American Funds Global Balanced Fund A(X)	C	Dividend	M	T					
210. -American Funds American Balanced Fund Cl A(X)	E	Dividend	N	T					
211. -American Funds Income Fund of America Cl A(X)	E	Dividend	N	T					
212. IRA #4 (H)									
213. -UBS Bank USA Dep Acct	A	Interest	J						
214. -Invesco Equally-Weighted S&P 500 Fund	E	Dividend	M						
215. -JP Morgan Large Cap Growth Fund Class I		None			Sold	09/06/18	N	E	
216. -MFS Value FundD CLASS A	B	Dividend			Sold	09/06/18	N	D	
217. -MFS Growth Fund		None	M	T					
218. IRA #9 (H)									
219. -UBS Bank USA Dep Acct	A	Interest	L	T					
220. -Ishares Russell 1000 EFT	C	Dividend	M	T					
221. -Ishares Russell 2000 EFT	A	Dividend			Sold	09/10/18	M	F	

1. Income Gain Codes:	A ~\$1,000 or less (See Columns B1 and D4)	B ~\$1,001 - \$2,500 G ~\$100,001 - \$1,000,000	C ~\$2,501 - \$5,000 H1 ~\$1,000,001 - \$5,000,000	D ~\$5,001 - \$15,000 H2 ~More than \$5,000,000	E ~\$15,001 - \$50,000
2. Value Codes	J ~\$15,000 or less (See Columns C1 and D3)	K ~\$15,001 - \$50,000 O ~\$500,001 - \$1,000,000	L ~\$50,001 - \$100,000 P1 ~\$1,000,001 - \$5,000,000	M ~\$100,001 - \$250,000 P2 ~\$5,000,001 - \$25,000,000	
3. Value Method Codes	P3 ~\$25,000,001 - \$50,000,000 (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market

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Name of Person Reporting

Rovner, Ilana D.

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
222. -Ishares Russell Midcap EFT	B	Dividend			Sold	09/10/18	M	F	
223. -American Funds Capital Income Builder Fund CL A	E	Dividend	O	T	Buy (add'l)	06/01/18	K		
224.					Buy (add'l)	09/10/18	M		
225. -American Funds American Washington Mutual Fund CI A	D	Dividend	M	T	Sold (part)	06/01/18	M	E	
226. -American Funds Global Balanced Fund A	C	Dividend	M	T					
227. -American Funds American Balanced Fund CI A	E	Dividend	O	T	Buy (add'l)	06/01/18	L		
228.					Buy (add'l)	09/10/18	M		
229. -American Funds Capital World Growth & Income Fund	D	Dividend	L	T	Sold (part)	06/01/18	M	E	
230. -American Funds Income Fund of America	E	Dividend	N	T	Buy (add'l)	06/01/18	M		
231. Brokerage #1 (H)									
232. -Tax-Exempt Money Mkt		None			Closed	03/02/18	J		
233. -Treasury Money Market		None			Closed	03/02/18	J		
234. IRA #10 (H)									
235. -Federal Money Mkt Fnd	A	Dividend	J	T					
236. Total Stock Mkt IDX Adm	A	Dividend	K	T					
237. Brokerage #2 (H)									
238. -Federal Money Mkt Fund	A	Dividend	J	T					

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

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Rovner, Ilana D.

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

239. -Municipal Money Mkt Fd - Name Change from Tax Exempt Money Market Fd	A	Dividend	J	T					
240. -Treasury Money Market	A	Dividend	J	T					
241. IRA #11 (H)									
242. -Dividend Growth Fund	E	Dividend	M	T					
243. -Federal Money Mkt Fnd	A	Dividend	J	T					
244. -GNMA Fund Admiral Shares	B	Dividend	K	T					
245. -Short-Term Invest Gr Adm	A	Dividend	J	T					
246. -STerm Inf Pro Sec Idx Adm	B	Dividend	L	T					
247. -Treasury Money Market	A	Dividend	J	T					
248. -Wellesley Income Fund Adm	C	Dividend	K	T	Distributed (part)	01/19/18	K		Trans to Line 250
249. -Windsor II Fund ADM									Se Part VIII, Note 1
250. -PRIMECAP Core Fund	C	Dividend	L	T					
251. -International Growth Inv.	A	Dividend	K	T	Buy	01/19/18	K		
252. -PRIMECAP Fund Admiral	C	Dividend	L	T	Open	01/05/18	L		
253. -PRIMECAP Fund Investor-Converted to Primecap Fd Admiral		None			Closed	01/05/18	L		
254. -Vanguard Federal Money Market Fund	A	Dividend	K	T					
255. -Artisan Intl Investor CL	A	Dividend	K	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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Name of Person Reporting

Rovner, Ilana D.

Date of Report

08/16/2019

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

256. -FPA Crescent	C	Dividend	K	T					
257. -Vanguard Dividend Appreciation ETF	A	Dividend	K	T					
258. -Vanguard High Dividend Yield ETF		None			Sold (part)	01/23/18	K	D	
259.					Sold	11/19/18	K	C	
260. -Schwab US Dividend Equity	A	Dividend	J	T	Buy	01/23/18	J		
261. Brokerage #3 (H)									
262. -Fidelity Government Money Market	A	Int./Div.	J	T					
263. -United Finl Bancorp Inc Md Com	A	Dividend	J	T					
264. -MFS Utilities Class A	A	Dividend	K	T					
265. -Fidelity Mass Muni Money Market	A	Dividend	J	T					
266. IRA #12 (H)									
267. -Vanguard Specialized Funds Div App ETF	C	Dividend	M	T	Sold (part)	12/12/18	L	E	
268.					Sold (part)	12/19/18	K	D	
269. -Vanguard Consumer Staples Vipers	A	Dividend	K	T					
270. -Vanguard Utils Vipers	A	Dividend	J	T					
271. -Oakmark Fund Investor Class	A	Dividend	J	T					
272. -Oakmark Intl Investor		None			Sold	11/19/18	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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Name of Person Reporting

Rovner, Ilana D.

Date of Report

08/16/2019

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

273. -Oppenheimers Steel Path MLP Income C1 Y		None	J	T					
274. -SPDR Gold Tr Gold SHS		None	J	T					
275. -Cohen & Steers Realty Shares	A	Dividend	J	T	Buy	06/30/18	J		
276. -ARK ETF TR Innovation ETF	A	Dividend	J	T	Buy	06/30/18	J		
277. -ETF Managers TR AI Powered	A	Dividend	J	T	Buy	06/30/18	J		
278. -Berkshire Hathaway Inc - Cost		None	J	T	Buy	06/30/18	J		
279.					Buy (add'l)	12/26/18	J		
280. -Fidelity Government Money Market	A	Dividend	M	T					
281. IRA #13 (H)									
282. -Berkshire Hathaway Inc Del C1 B		None	N	T					
283. -BHP Billiton Limited ADR Each Rep 2 Ord	A	Dividend	J	T					
284. -iShares Silver Tr iShares		None	J	T					
285. -iShares Russell Midcap	B	Dividend	K	T	Sold (part)	12/19/18	J	D	
286. -iShares Core S&P Small Cap EFT	B	Dividend	K	T	Sold (part)	12/19/18	J	D	
287. -Powershares QQQ Tr Unit Ser	A	Dividend	L	T					
288. -SPDR Gold Tr Gold SHS		None	K	T					
289. -Fidelity Contrafund	C	Dividend	K	T	Sold (part)	12/18/18	J	D	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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Name of Person Reporting

Rovner, Ilana D.

Date of Report

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
290. -Fidelity Low Priced Stock	C	Dividend	K	T	Sold (part)	12/18/18	J	C	
291. -Pimco All Asset Fund Class A (Converted from Class D)	B	Dividend	K	T					
292. -Fidelity Treasury Only Money Market Fd	A	Dividend	J	T					
293. -Fidelity Govt Cash Reserves	A	Dividend	L	T					
294. Bank Account #1 - Bank of America (X)	A	Interest	J	T					
295. Bank Account #3 - Bank of America	A	Interest	L	T					
296. Bank Account #2 - JPMorgan Chase		None	J	T					
297. IRA #14 (H)									
298. -Amer. Funds Growth Fund of America Class A		None	L	T	Open	02/14/18	L		See Part VIII, Note 2
299. -Amer. Funds New Perspective Fund		None	M	T	Open	02/14/18	M		See Part VIII, Note 2
300. -Amer. Funds Washington Mutual InvesFund. Cl A	D	Dividend	M	T	Open	02/14/18	L		See Part VIII, Note 2
301. -Amer. Funds Global Balanced Fund A	B	Dividend	M	T	Open	02/14/18	L		See Part VIII, Note 2
302. -Amer. Funds American Balanced Fund Cl. A	B	Dividend	M	T	Open	02/14/18	M		See Part V II, Note 2
303. -Amer. Funds Capital World Growth & Income	B	Dividend			Open	02/14/18	M		See Part VII, Note 2
304. -Amer. Funds Income Fund of America Cl A	C	Dividend	M	T	Open	02/14/18	M		See Part VIII, Note 2
305. President & Fellows of Harvard - Trusts & Gifts Department	B	Distribution	K	T					
306.									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
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Name of Person Reporting

Rovner, Ilana D.

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Note 1 Sale on 12/04/17 in the amount of code K with a gain of code D omitted from 2017 report

Note 2 Transfers made from IRA #6 to IRA #14

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Name of Person Reporting

Rovner, Ilana D.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ Ilana D. Rovner

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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Washington, D.C. 20544