

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2018

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Oberto, Sheila K.	2. Court or Organization U.S. District Court - Eastern District of California	3. Date of Report 4/22/2019
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) Magistrate Judge (FT)	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2018 to 12/31/2018
7. Chambers or Office Address 2500 Tulare Street Suite 1501 Fresno, CA 93721		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions)

☒ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1.		
2.		
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions)

☒ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1.		
2.		
3.		

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*

DATE

SOURCE AND TYPE

INCOME
(yours, not spouse's)

1.

2.

3.

4.

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*

DATE

SOURCE AND TYPE

1.

2.

3.

4.

IV. REIMBURSEMENTS *— transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*

SOURCE

DATES

LOCATION

PURPOSE

ITEMS PAID OR PROVIDED

1.

2.

3.

4.

5.

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.	Wells Fargo	Personal Loan	N
2.			
3.			
4.			
5.			

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Rental Property #1 in Ventura, CA	G	Rent	P1	W					
2. Rental Property in Santa Monica, CA	E	Rent	O	W					
3. Chase Bank Accounts	A	Interest	N	T					
4. WestAmerica Bank Accounts	A	Interest	J	T					
5. Bank of America Accounts	A	Interest	N	T					
6. UBS Money Market Account	C	Int./Div.	M	T					
7. PACE Multi Advisor									
8. -- Davis Real Estate Fund (REIT)	B	Dividend	K	T					
9. --Virtus Multi-Sector (Short Term Bond)	B	Dividend	M	T					
10. --Nuveen Tradewinds (Large Cap Int'l)	B	Dividend	K	T					
11. --TCW Galileo Div Focused (Large Cap Value)	B	Dividend	K	T					
12. --JP Morgan (Mid Cap Value)	B	Dividend	K	T					
13. --American Funds (Large Cap Growth)	C	Dividend	K	T					
14. --RS Partners (Small Cap Core)	A	Dividend	J	T					
15. --Columbia CA Tax Exempt (Investment Grade Muni)	B	Dividend	L	T					
16. --Invesco Small Cap Value (Short Cap Value)	E	Dividend	L	T					
17. --Pimco Real Return (Long Term Investment Grade)	A	Dividend	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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18. --Pimco Total Return (Intermediate Investment Grade Bond)	B	Dividend	J	T					
19. --Blackrock Equity (Large Cap Value)	E	Dividend	L	T					
20. --Blackrock Global (Balanced)	C	Dividend	M	T					
21. --Blackrock Muni (Investment Grade Muni)	A	Dividend	J	T					
22. Goldman Sachs (Mid Cap Value)	E	Dividend	M	T					
23. --Nuveen CA Muni Bond (Investment Grade Bond)	B	Dividend	L	T					
24. Brokerage Account #2									
25. -- Bank of America Stock	B	Dividend	K	T					
26. -- Invesco S & P 500 (MSDW)	E	Dividend	J	T					
27. -- Nuveen NWQ Multi Cap	A	Dividend	J	T					
28. Alphabet Inc CL A Stock	A	Dividend	K	T					
29. Alibaba Stock	A	Dividend	J	T	Buy (add'l)	07/31/18	J		
30.					Buy (add'l)	11/12/18	J		
31. Amazon.com Inc Stock	A	Dividend	K	T	Buy (add'l)	02/13/18	K		
32.					Buy (add'l)	03/28/18	K		
33.					Buy (add'l)	04/02/18	J		
34.					Buy (add'l)	07/31/18	J		

1 Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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35.					Buy (add'l)	10/05/18	J		
36.					Buy (add'l)	10/10/18	J		
37.					Buy (add'l)	10/10/18	J		
38.					Buy (add'l)	11/12/18	J		
39. Apple Inc. Stock	A	Dividend	J	T	Buy (add'l)	06/15/18	J		
40.					Buy (add'l)	10/05/18	J		
41.					Buy (add'l)	10/10/18	J		
42.					Buy (add'l)	11/12/18	J		
43. Berkshire Hathaway	A	Dividend	J	T	Buy	02/13/18	J		
44. Boeing Company	A	Dividend	J	T	Buy	01/11/18	J		
45. Bristol Meyers Stock	A	Dividend	J	T					
46. BP Stock	A	Dividend	J	T					
47. Colgate Palmolive Stock	A	Dividend	J	T					
48. Comcast Corp New CL A Stock	A	Dividend	J	T					
49. Costco Wholesale Corp Stock	A	Dividend	J	T					
50. CVS Health Stock	A	Dividend	J	T					
51. Danaher Corp. Stock	A	Dividend	J	T					

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52. Dow Chemical Stock	A	Dividend	J	T					
53. Eaton Corp. Stock	A	Dividend	J	T					
54. Exxon Mobil Corp Stock	A	Dividend	J	T					
55. Facebook Inc. CL A Stock	A	Dividend	K	T	Buy (add'l)	11/12/18	J		
56. Fedex Corp Stock	A	Dividend	J	T	Buy (add'l)	01/11/18	J		
57. Garrett Motion Inc Stock (X)	A	Dividend	J	T					
58. Genl Electric Co Stock	A	Dividend	J	T	Buy (add'l)	01/11/18			
59. Home Depot Inc	A	Dividend	J	T	Buy	06/15/18	J		
60. Honeywell Intl Inc Stock	A	Dividend	J	T					
61. Huntington Ingalls Inds Inc	A	Dividend	J	T	Buy	01/11/18	J		
62. Ingersoll-Rand PLC	A	Dividend	J	T					
63. Johnson & Johnson	A	Dividend	J	T					
64. JP Morgan Chase & Co	A	Dividend	J	T	Buy	02/13/18	J		
65. Koninklijke Philips NV SPON ADR Stock	A	Dividend	J	T					
66. Lockheed Martin Corp. Stock	A	Dividend	J	T					
67. Mattel Inc. Stock	A	Dividend	J	T	Sold (part)	01/11/18	J		
68. Microsoft Corp Stock	A	Dividend	J	T	Buy (add'l)	01/11/18	J		

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69.	A				Buy (add'l)	01/31/18	J		
70.	A				Buy (add'l)	06/15/18	J		
71.	A				Buy (add'l)	07/31/18	J		
72.	A				Buy (add'l)	10/05/18	J		
73. Netflix Inc	A	Dividend	J	T	Buy	06/15/18	J		
74.					Buy (add'l)	07/31/18	J		
75.					Buy (add'l)	11/12/18	J		
76. Nike Inc. CL B Stock	A	Dividend	J	T	Buy (add'l)	06/15/18	J		
77. Northrop Grumman Corp Stock	A	Dividend	J	T	Buy (add'l)	02/13/18	J		
78. Nvidia Corp Stock	A	Dividend	J	T					
79. Oracle Corp. Stock	A	Dividend	J	T					
80. Pfizer Inc Stock	A	Dividend	J	T					
81. Raytheon Co. Stock	A	Dividend	J	T	Buy (add'l)	01/31/18	J		
82. Resideo Technologies	A	Dividend	J	T	Buy	10/29/18	J		
83. Schlumberger Ltd. Stock	A	Dividend	J	T					
84. Siemens Stock	A	Dividend	J	T					
85. Take-Two Interactive Stock	A	Dividend	J	T					

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86. Thermo Fisher Stock	A	Dividend	J	T					
87. Unilever Stock	A	Dividend	J	T					
88. United Health Group/United Tech stock	A	Dividend	J	T					
89. Visa Inc. Stock	A	Dividend	J	T					
90. Voda Fone Stock	A	Dividend	J	T					
91. Walt Disney Co. Stock	A	Dividend	J	T					
92. 3M Co. Stock	A	Dividend	J	T					
93. United Technologies Corp Stock	A	Dividend	J	T					
94. Legg Mason Global Asset Managment-- Clearbridge Int'l Value Fund CL A	A	Dividend	L	T					

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ Sheila K. Oberto

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544