

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2018

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Ruiz, David A.	2. Court or Organization U.S. District Court, Northern District of Ohio	3. Date of Report 06/13/2019
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) Magistrate Judge, Full Time	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2018 to 12/31/2018
7. Chambers or Office Address 801 West Superior Ave Cleveland, OH 44113		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☒ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1.		
2.		
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1.	
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☒ NONE *(No reportable non-investment income.)*

DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☐ NONE *(No reportable non-investment income.)*

DATE	SOURCE AND TYPE
1. 2018	Benesch Friedlander Copland & Aromoff LLP- Salary
2.	
3.	
4.	

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☒ NONE *(No reportable reimbursements.)*

SOURCE	DATES	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
1.				
2.				
3.				
4.				
5.				

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.			
2.			
3.			
4.			
5.			

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. PNC Bank (Cash Accounts)	A	Interest	K	T					
2. 401K#1 (H)									
3. BR LifePath Dynamic 2030	A	Dividend	K	T					
4. American Funds- EuroPacific Growth Fund	C	Dividend	L	T					
5. T Rowe- Mid Cap Value Adv	D	Dividend			Sold	12/24/18	M		
6. American Funds-Growth Fund of America	D	Dividend	M	T					
7. PGIM Total Return Bond Fund		None	L	T	Buy	12/24/18	L		
8. Vanguard 500 Index Fund		None	L	T	Buy	12/24/18	L		
9. 401k#2 (H)									
10. T Rowe Price Retirement 2040	D	Dividend	L	T					
11. 401k#3 (H)									
12. Vanguard Target Retirement 2025	A	Dividend	K	T					
13. Vanguard International Growth Adm	A	Dividend	K	T					
14. Vanguard Mid Cap Index Adm	A	Dividend			Merged (with line 15)	11/29/18	L		
15. Fidelity MidCap Index Fund	A	Dividend	K	T	Buy	11/29/18	L		
16. TRowe Price Inst Large Cap Growth	C	Dividend	L	T					
17. 529 Accounts (H)									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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18. Vanguard Windsor II	A	Dividend	K	T	Sold (part)	12/21/18	K		
19. Vanguard 500 Index	A	Dividend	K	T	Sold (part)	12/21/18	K		
20. Vanguard Wellington	A	Dividend	K	T	Sold (part)	12/21/18	J		
21. Vanguard Money Market Fund		None	L	T	Buy	12/21/18	L		
22. 401k#4 (H)									
23. Money Market - Great West Insurance and Annuity Co	A	Interest			Merged (with line 24)	08/23/17	K		
24. Benesch Balanced Fund	A	Dividend	K	T					
25. JH 2040	C	Dividend	K	T					
26. Brokerage Account (H)									
27. Vanguard 500 Index	B	Dividend			Merged (with line 41)	02/14/18	K		
28. Brokerage Account (H)									
29. Vanguard Prime Money Market Fund	A	Dividend	L	T	Buy	06/21/18	K		
30.					Sold (part)	08/20/18	J	A	
31.					Buy (add'l)	08/23/18	J		
32.					Buy (add'l)	09/05/18	J		
33.					Buy (add'l)	09/25/18	J		
34.					Buy (add'l)	10/02/18	J		

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35.					Buy (add'l)	10/17/18	J		
36.					Buy (add'l)	10/19/18	J		
37.					Buy (add'l)	11/05/18	J		
38.					Buy (add'l)	11/20/18	J		
39.					Buy (add'l)	12/11/18	J		
40. Federal Money Market Fund	A	Dividend	J	T	Buy	08/20/18	J		
41. Mutual Funds Account (H)									
42. Vanguard Balanced Index Fund	A	Dividend	K	T	Buy (add'l)	02/23/18	J		
43.					Buy (add'l)	06/20/18	J		
44.					Buy (add'l)	07/05/18	J		
45.					Buy (add'l)	07/18/18	J		
46. Vanguard 500 Index Fund	A	Dividend	K	T	Open	02/14/18	K		
47.					Buy (add'l)	02/23/18	J		
48.					Buy (add'l)	06/20/18	J		
49.					Buy (add'l)	07/05/18	J		
50.					Buy (add'l)	07/18/18	J		
51. Vanguard Explorer Fund	A	Dividend			Buy	06/15/18	J		

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52.					Sold	09/25/18	J	A	
53. Third Federal Savings & Loan (Cash Account)	A	Interest	J	T					
54. IRA (H)									
55. Calamos Growth Fund	A	Dividend	J	T					

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Part VII Clarifications:

The mutual fund in Line 5 was sold and the funds transferred into the investments in Lines 6 and 7.

The funds in the mutual fund listed in Line 14 were transferred into the mutual fund in Line 15, when the Line 14 investment option was discontinued.

Regarding Line 22, the 401k#4 fund manager changed in the fall of 2017 and, due to that change, the Line 23 Money Market Fund was no longer available and the funds were transferred into the Line 24 Balanced Fund. These transactions were inadvertently not included in the 2017 report.

The brokerage account listed in Line 26 was closed and the Index funds were transferred into the account listed on Line 41, as reflected in Line 46 entry. Also, the brokerage account in Line 28 and the mutual fund account in Line 41 were merged into one account in 2018, but are reported separately in this report.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **David A. Ruiz**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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