

FINANCIAL DISCLOSURE REPORT INITIAL FILING

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Peterson, Michelle L.	2. Court or Organization United States District Court- Western District of Washington	3. Date of Report 07/25/2019
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) United States Magistrate Judge (FT)	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☒ Initial ☐ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2018 to 06/30/2019
7. Chambers or Office Address United States Courthouse 700 Stewart Street Seattle, WA 98101-9906		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1. Attorney	Michelle Peterson Law, PLLC
2.	
3.	
4.	
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1.	
2.	
3.	

FINANCIAL DISCLOSURE REPORT

Page 2 of 9

Name of Person Reporting

Peterson, Michelle L.

Date of Report

07/25/2019

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1. 2019	Michelle Peterson Law, PLLC	\$58,724.03
2. 2018	Michelle Peterson Law, PLLC	\$258,834.31
3. 2017	Michelle Peterson Law, PLLC	\$160,551.19
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2019	TD Ameritrade (salary)
2. 2018	TD Ameritrade (salary)
3.	
4.	

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☐ NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1. Exempt				
2.				
3.				
4.				
5.				

FINANCIAL DISCLOSURE REPORT

Page 3 of 9

Name of Person Reporting

Peterson, Michelle L.

Date of Report

07/25/2019

V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*☐NONE *(No reportable gifts.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.	Exempt		
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*☒NONE *(No reportable liabilities.)*

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.			
2.			
3.			
4.			
5.			

FINANCIAL DISCLOSURE REPORT

Page 4 of 9

Name of Person Reporting

Peterson, Michelle L.

Date of Report

07/25/2019

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Wells Fargo (cash)	A	Interest	J	T	Exempt				
2. Rental Property, Snoqualmie Pass, WA	E	Rent	M	W					
3. 401k (H)									
4. TD Ameritrade Insured Deposit Account Bank NA (cash)	A	Interest	J	T					
5. iShares Core S&P SCP ETF (IJR)	A	Dividend							
6. Invesco (formerly Powershares) QQQ Trust Ser 1 (QQQ)	A	Dividend	L	T					
7. Healthcare Select Sector SPDR Trust (XLV)	A	Dividend							
8. Consumer Staples Select Sector SPDR (XLP)	A	Dividend	K	T					
9. Consumer Discretionary Select Sector SPDR Trust (XLY)	A	Dividend	K	T					
10. Energy Select Sector SPDR Trust (XLE)	A	Dividend							
11. Financial Select Sector SPDR Trust (XLF)	A	Dividend							
12. Industrial Select Sector SPDR Trust (XLI)	A	Dividend							
13. Technology Select Sector SPDR Trust (XLK)	A	Dividend							
14. SPDR S&P 500 Tr Unit (SPY)	B	Dividend	L	T					
15. SPDR Series Trust SPDR Bloomberg ETF (BIL)	A	Dividend	L	T					
16. Vanguard Real Estate ETF (VNQ)	A	Dividend							
17. Vanguard Mid Cap ETF (VO)	B	Dividend	L	T					

1. Income Gain Codes:	A = \$1,000 or less (See Columns B1 and D4)	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes	J = \$15,000 or less (See Columns C1 and D3)	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes	N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market

FINANCIAL DISCLOSURE REPORT

Page 5 of 9

Name of Person Reporting

Peterson, Michelle L.

Date of Report

07/25/2019

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

18. Vanguard Growth ETF (VUG)	B	Dividend	J	T					
19. Vanguard Value ETF (VTI)	B	Dividend	K	T					
20. Doubleline Total Return Bond I (DBLTX)	A	Dividend	K	T					
21. Doubleline Total Return Bond N (DLTNX)	A	Dividend	J	T					
22. Doubleline Emerging Mkts Fxd Income I (DBLEX)	B	Dividend							
23. Doubleline Flexible Income N (DLINX)	A	Dividend	J	T					
24. Doubleline Flexible Income I (DFLEX)	C	Dividend	K	T					
25. Doubleline Shiller Enhanced Cape I (DSEEX)	C	Dividend	K	T					
26. Oppenheimer Intl Small Co Y (OSMYX)	B	Dividend	K	T					
27. Lord Abbett Ultra Short Bond I (LUBYX)	A	Dividend	L	T					
28. Metropolitan West Tot Ret Bd Cl I (MWTIX)	B	Dividend							
29. Metropolitan West Unconstrained Bond I (MWCIX)	C	Dividend	K	T					
30. PIMCO Income Fd Cl A (PONAX)	A	Dividend	J	T					
31. PIMCO Fds Income Instl (PIMIX)	C	Dividend	K	T					
32. TCW Funds Total Return Bd Fd I (TGLMX)		None							
33. IRA #1 (H)									
34. iShares Core S&P SCP ETF (IJR)	B	Dividend	L	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 6 of 9

Name of Person Reporting

Peterson, Michelle L.

Date of Report

07/25/2019

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

35. Consumer Staples Select Sector SPDR Trust (XLP)		None	J	T					
36. Utilities Select Sector SPDR Trust (XLU)	A	Dividend	K	T					
37. Vanguard FTSE Developing Market ETF (VEA)	C	Dividend	L	T					
38. Vanguard FTSE Emerging Markets ETF (VWO)	A	Dividend	K	T					
39. Vanguard Mid Cap ETF (VO)	A	Dividend	K	T					
40. Vanguard Growth ETF (VUG)	A	Dividend	J	T					
41. Doubleline Total Return Bond I (DBLTX)	D	Dividend	M	T					
42. Invesco Opphmr Intl Sm Mid Co Y (frmly Opphmr Intl SmI Co Y) (OSMYX)	B	Dividend	K	T					
43. TCW Funds Total Return Bond Fund I (TGLMX)		None							
44. IRA #2 (H)									
45. iShares Core S&P SCP ETF (IJR)	A	Dividend	J	T					
46. Financial Select Sector SPDR Trust (XLF)	A	Dividend							
47. Industrial Select Sector SPDR Trust (XLI)	A	Dividend							
48. SPDR S&P 500 Tr Unit ETF (SPY)	A	Dividend	J	T					
49. Vanguard FTSE Developing Markets ETF (VEA)	A	Dividend	K	T					
50. Vanguard FTSE Emerging Markets ETF (VWO)	B	Dividend	K	T					
51. Vanguard Mid Cap ETF (VO)	A	Dividend	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 7 of 9

Name of Person Reporting

Peterson, Michelle L.

Date of Report

07/25/2019

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

52. Vanguard Growth ETF (VUG)	A	Dividend	J	T					
53. Vanguard Value ETF (VTV)	A	Dividend	J	T					
54. Doubleline Total Return Bond I (DBLTX)	B	Dividend	K	T					
55. TCW Funds Total Return Bond Fund I (TGLMX)		None							
56. Brokerage #1 (H)									
57. TD Ameritrade Holding Corp Com (AMTD)	A	Dividend							

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 8 of 9

Name of Person Reporting	Date of Report
Peterson, Michelle L.	07/25/2019

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Part VII, Column B: Lines reflecting no income are so reported because none was attributed to those particular holdings during the reporting period, whether taxable, tax exempt, or tax deferred, or because the investments do not allocate income to individual holdings, but instead are credited only in unit value, per page 42 of the filing instructions.

FINANCIAL DISCLOSURE REPORT

Page 9 of 9

Name of Person Reporting

Peterson, Michelle L.

Date of Report

07/25/2019

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **s/ Michelle L. Peterson**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544