

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2018

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Sargis, Ronald H.	2. Court or Organization U.S. Bankruptcy Court, Eastern District of California	3. Date of Report 06/09/2019
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) Article I Bankruptcy Judge, Full-Time	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2018 to 12/31/2018
7. Chambers or Office Address U.S. Bankruptcy Court, Eastern District of California 501 I Street, Suite 3-200 Sacramento, CA 95864		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1. Trustee - Trust #1 -	Trust #1 Family Member 1
2.	
3.	
4.	
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1.	
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☐ NONE *(No reportable non-investment income.)*

DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1. 2018	University of Pacific, McGeorge School of Law -- Co-Teaching Bankruptcy Course	\$3,087.00
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☐ NONE *(No reportable non-investment income.)*

DATE	SOURCE AND TYPE
1. 2018	State of California, California Department of Public Health --- Retired Annuitant Salary
2. 2018	CalPERS - State of California Retirement
3.	
4.	

IV. REIMBURSEMENTS -- *transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☐ NONE *(No reportable reimbursements.)*

SOURCE	DATES	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
1. Loyola Law School	06/07/2018	Los Angeles, Cal	Cal-9th Cir Joint Judicial Council Presentation at Journalist Law School Program	Airfare, Car Rental, Airport Parking
2.				
3.				
4.				
5.				

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.		
2.		
3.		
4.		
5.		

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Rental Property, Sacramento, California	D	Rent	O	W					
2. Bank of America Demand Accounts	A	Interest	J	T					
3. Golden 1 Credit Union Demand Account	A	Interest	J	T					
4. Bank of the West Demand Account	A	Interest	J	T					
5. State Farm Whole Life Insurance	A	Interest	J	T					
6. Mineral Rights, Livingston, CA		None	J	W					
7. XXXXXXXXXXXXXXXXXXXXXXXX									
8. BROKERAGE ACCOUNT #1									
9. Merrill Lynch Direct Deposit (Cash) Account)	A	Int./Div.	J	T					
10. Adient PLC SHS -- ADNT	A	Int./Div.	J	T					
11. Johnson Controls Inter -- JCI	A	None	J	T					
12. Mallinckrodt PLC -- MNK (X)	A	None	J	T					
13. Medtronic PLC -- MDT (fka Covidien PLC)	A	Int./Div.	J	T					
14. Nokia Corp -- NOK	A	Int./Div.	J	T					
15. Oracle Corp -- ORCL	B	Int./Div.	M	T					
16. Pentair PLC -- PNR	A	Int./Div.	J	T					
17. Sirius SM -- SIRI	A	Int./Div.	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$250,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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18. TE Connectivity -- TEL	A	Int./Div.	J	T					
19. -----									
20. Investments Completely Disposed of from Acct 1 in 2017									
21. None									
22. XXXXXXXXXXXXXXXXXXXX									
23. BROKERAGE ACCOUNT #3									
24. Insured Bank Deposit U.S. Bank	A	Int./Div.	J	T					
25. Dreyfus Technology Growth -- DTGRX	A	Distribution	J	T	Sold (part)	01/10/18	J	D	
26. Gateway CL C Reinvestments -- GTECX	A	Int./Div.	J	T					
27. -----									
28. Investments Completely Disposed of from Acct 3 in 2017									
29. None									
30. XXXXXXXXXXXXXXXXXXXX									
31. BROKERAGE ACCOUNT #8 IRA ROLLOVER									
32. General MMKT Class A Cash Fund	A	Int./Div.	J	T					
33. First Trust Senior Loan Fund - FTSL	A	Dividend	J	T	Sold (part)	01/12/18	J	A	
34. First Trust Utilities Alphadex -- FXU	A	Dividend	J	T	Sold (part)	01/12/18	J	A	

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35. Global X US Infrastructure Dev. ETF -- PAVE		None	J	T	Buy	11/13/18	J		
36. Goldman Sachs Activebeta US Large Cap Equity ETF -- GSLC	A	Dividend	J	T	Sold (part)	01/12/18	J	A	
37.					Buy (add'l)	03/14/18	J		
38.					Buy (add'l)	03/21/18	J		
39.					Sold (part)	10/22/18	J	A	
40. Ishares MSCI Australia ETF -- EWA	A	Dividend	J	T	Sold (part)	01/12/18	J	A	
41.					Sold (part)	10/22/18	J	A	
42. Ishares Core MSCI EAFE ETF - IEFA	A	Dividend	K	T	Sold (part)	01/12/18	J	A	
43.					Sold (part)	06/26/18	J	A	
44.					Buy (add'l)	11/13/18	J		
45. Ishares Core MSCI Emerging Markets ETF -- IEMG	A	Dividend	K	T	Sold (part)	01/12/18	J	A	
46.					Buy (add'l)	03/21/18	J		
47.					Buy (add'l)	05/29/18	J		
48.					Sold (part)	08/15/18	J	A	
49.					Sold (part)	10/22/18	J	A	
50. Ishares Core S&P 500 ETF -- IVV	A	Dividend	J	T	Sold (part)	01/12/18	J	A	
51.					Buy (add'l)	08/15/18	J		

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52.					Buy (add'l)	09/06/18	J		
53.					Sold (part)	10/22/18	J	A	
54. Ishares Technology ETF -- IYW		None	J	T	Buy	12/17/18	K		
55. Ishares MSCI EAFE ETF -- EFA	A	Dividend	J	T	Sold (part)	01/12/18	J	A	
56.					Sold (part)	10/22/18	J	A	
57. Ishares MSCI EAFE Growth ETF -- EFG	A	Dividend	K	T	Sold (part)	01/12/18	J	B	
58.					Sold (part)	02/05/18	J	B	
59.					Sold (part)	10/22/18	J	A	
60. Ishares U S Medical Devices ETF -- IHI	A	Dividend	J	T	Buy	11/13/18	J		
61. Ishares MSCI All Country Asia Ex Japan - AAXJ	A	Dividend	J	T	Sold (part)	01/12/18	J	A	
62.					Sold (part)	10/22/18	J	A	
63. Ishares U S Financial Services ETF -- IYG	A	Dividend	J	T	Sold (part)	01/12/18	J	A	
64. Ishares Core S&P Small Cap ETF -- IJR	A	Dividend	J	T	Sold (part)	01/12/18	J	A	
65.					Sold (part)	10/22/18	J	A	
66. Ishares U S Consumer Goods ETF -- IYK	A	Dividend	J	T	Sold (part)	01/12/18	J	A	
67. Ishares MSCI Canada ETF - EWC	A	Dividend	J	T	Sold (part)	01/12/18	J	A	
68.					Sold (part)	10/22/18	J	A	

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69. Invesco Aerospace & Defense - PPA (Fund Name Change)	A	Dividend	J	T	Sold (part)	01/12/18	J	A	See VIII Note 1 Name Chg
70.					Sold (part)	10/22/18	J	A	
71. Invesco QQQ EFT - QQQ (Fund Name Change)	A	Dividend	J	T	Sold (part)	01/12/18	J	A	See VIII Note 2 Name Chg
72.					Sold (part)	10/22/18	J	A	
73. Invesco Exchange S&P 500 Equal Weight Energy -- RYE	A	Dividend	J	T	Buy	11/20/18	J		
74. Invesco Exchange FTSE RAFI Emerging Market ETF - PXH (Fund Name Change)	A	Dividend	J	T	Sold (part)	01/12/18	J	A	See VIII Note 3 Name Chg
75.					Sold (part)	10/22/18	J	A	
76. JPMorgan Betabuilders Japan ETF - BBJP	A	Dividend	J	T	Buy	10/09/18	J		
77. Sector Healthcare Select Sector SPDR ETF - XLV	A	Dividend	J	T	Sold (part)	01/12/18	J	A	
78.					Buy (add'l)	03/14/18	J		
79.					Sold (part)	10/22/18	J	A	
80. Sector Financial Select Sector SPDR ETF -- XLF	A	Dividend	J	T	Sold (part)	01/12/18	J	A	
81.					Sold (part)	10/22/18	J	A	
82. Consumer Discretionary Select Sector SPDR ETF - XLY	A	Dividend	J	T	Sold (part)	01/12/18	J	A	
83.					Sold (part)	10/22/18	J	A	
84. Vanguard Large Cap ETF -- VV	A	Dividend	K	T	Sold (part)	01/12/18	J	A	
85.					Sold (part)	10/22/18	J	A	

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86. Wisdomtree Japan Small Cap Dividend ETF -- DFJ	A	Dividend	J	T	Sold (part)	01/12/18	J	A	
87.					Buy (add'l)	06/04/18	J		
88. Ishares 20+ Yr Treasury Bond ETF -- TLT	A	Dividend	J	T	Buy	11/13/18	J		
89. Ishares 10-20 Yr Treasury Bond ETF - TLH	A	Dividend	J	T	Buy	10/16/18	J		
90. Pimco Investment Grade Corporate Bond Index ETF - COPR	A	Distribution	J	T	Sold (part)	01/12/18	J	A	
91.					Buy (add'l)	03/12/18	J		
92.					Sold (part)	10/22/18	J	A	
93. SPDR Blackstone GSO SR LN - SRLN	A	Dividend	J	T	Sold (part)	01/12/18	J	A	
94.					Sold (part)	10/22/18	J	A	
95. Vanguard Total Bond Market ETF -- BND	A	Dividend	J	T	Buy	11/13/18	J		
96. Vanguard Intermediate Term Treasury Index ETF -- VGIT	A	Dividend	K	T	Buy	06/26/18	K		
97.					Sold (part)	10/22/18	J	A	
98. -----									
99. Investments Completely Disposed of From Invst Acct 8 in 2017									
100. Flexshares Trust Qlty Div Index Fund -- QDF	A	Dividend			Sold (part)	01/12/18	J	A	
101.					Sold	03/21/18	J	A	
102. Global X MSZCI Norway ETF - NORW	A	Dividend			Sold (part)	01/12/18	J	A	

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103.					Sold	11/13/18	J	A	
104. Ishares MSCI EAFE Value ETF -- EFV		None			Sold (part)	01/12/18	J	A	
105.					Sold	06/04/18	K	C	
106. ALPS Riverfront Strategic Income Fund -- RIGS	A	Dividend			Sold (part)	03/13/18	J	A	
107.					Sold	10/16/18	J	A	
108. Ishares Intl Select Dividend ETF -- IDV	A	Dividend			Sold (part)	01/12/18	J	A	
109.					Sold	06/04/18	J	A	
110. Ishares U S Oil Equipment & Services ETF	A	Dividend			Buy	05/29/18	J		
111.					Sold	11/20/18	J	A	
112. Ishares INC MSCI Italy ETF	A	Dividend			Buy	06/04/18	J		
113.					Sold	10/09/18	J	A	
114. SPDR Series Trust SPDR NYSE Technology - XNTK (FKA Morgan Stanley Tech	A	Dividend			Sold (part)	01/12/18	J	A	
115.					Sold (part)	10/22/18	J	A	
116.					Sold	12/17/18	J	D	
117. SPDR S&P Capital Markets ETF -- KCE	A	Dividend			Sold (part)	01/12/18	J	A	
118.					Sold	11/13/18	J	A	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
119. Wisdomtree Trust Europe Hedged Equity Fund ETF -- HEDJ	A	Dividend			Sold (part)	01/12/18	J	A	
120.					Sold (part)	05/29/18	J	A	
121.					Sold (part)	10/22/18	J	A	
122.					Sold	11/13/18	J	B	
123. Wisdomtree Trust Intl Hedged Quality Dividend Growth Fund ETF -- IHDP	A	Dividend			Sold (part)	01/12/18	J	A	
124.					Sold	06/26/18	J	B	
125. XXXXXXXXXXXXXXXXXXXXXXXXXX									
126. Brokerage Account 9 IRA Rollover									
127. CASH/MMONEY MARKET -- RW Baird Genal MMkt Class A	A	Int./Div.	J	T					
128. Gateway CL A Reinvestments GTEYX	A	Dividend	K	T					
129. Oakmark Intl OAKIX (Hareris Oakmark on Prior Statements)	B	Dividend	L	T					
130.	C	Distribution							
131. Ishares Russell 1000 Growth EFT IWF	A	Dividend	L	T	Sold (part)	05/15/18	J	C	
132. Ishares Russell Mid Cap IWR	A	Dividend	K	T	Sold (part)	05/15/18	K	C	
133.	D	Distribution							
134. Advisory Research MLP & Energy Income INFIX	D	Dividend	K	T					
135. Principal Mid Cap	D	Distribution	L	T	Sold (part)	05/15/18	J	A	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
136.	A	Dividend							
137. SPDR Series Trust S&P Dividend SDY	B	Dividend	L	T					
138. Sterling Capital Stratton Small Cap STSCX	C	Distribution	K	T					
139.	A	Dividend							
140. Principal Global Diversified Income PGPDX	C	Dividend	K	T	Buy (add'l)	01/17/18	J		
141. Blackrock Strategic Income Oppty BSIXX	B	Dividend	L	T	Buy (add'l)	01/17/18	K		
142. Eaton Vance Global Macro Absolute Return EIGMX	B	Dividend	K	T	Buy (add'l)	01/10/18	K		
143. Loomis Sayles Bond Instl LSBDX	B	Dividend	K	T					
144. Templeton Global Bond Advisor TGBAX	C	Dividend	L	T					
145.	A	Distribution							
146. Vanguard Short Term Investment Grade Admiral --VFSUX	A	Dividend	K	T	Buy	05/14/18	K		
147. -----									
148. Investments Completely Disposed of in 2017 From Account 9									
149. LOCORR Market Trend LOTIX		None			Sold	05/15/18	K	A	
150. ASG (NATIXIS) Global Alternatives GAFYX		None			Sold	01/10/18	K	A	
151. XXXXXXXXXXXXXXXXXXXXXXXXXX									
152. Trust #1									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
153. Bank of America -- Deposit Accounts	A	Interest	J	T					
154. US Government Bonds	A	Interest	M	T	Redeemed (part)	01/30/18	J	B	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Note 1 - Powershares Aerospace, the fund listed on the 2018 Report, Changed its Name to Aerospace & Defense ETF - June 4, 2018 Date reported by broker.

Note 2 - Powershare QQQ ETF, the fund listed on the 2018 Report, Changed its Name to Invesco QQQ - June 4, 2018 reported by broker.

Note 3 - Powershare FTSE RAFI, the fund listed on the 2018 Report, Changed its Name to Invesco Exchange FTS RAFI Emerging Markets - June 4, 2018 Date Reported by broker.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **s/ Ronald H. Sargis**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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Washington, D.C. 20544