

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2018

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) SCHMETTERER, JACK B.	2. Court or Organization UNITED STATES BANKRUPTCY COURT	3. Date of Report 06/25/2019
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U. S. BANKRUPTCY JUDGE - ACTIVE	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2018 to 12/31/2018
7. Chambers or Office Address 219 SOUTH DEARBORN STREET ROOM 600 CHICAGO, IL 60604		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. ADVISORY BOARD	JOHN HOWARD ASSOCIATION
2. MEMBER	FEDERAL BAR ASSOCIATION, CHICAGO CHAPTER
3. MEMBER	LAWYERS CLUB OF CHICAGO
4. MEMBER	DECALOGUE SOCIETY
5. MEMBER	AMERICAN BAR ASSOCIATION
6. MEMBER	NATIONAL CONFERENCE OF BANKRUPTCY JUDGES
7. ADVISORY BOARD	JUST THE BEGINNING - A PIPELINE ORGANIZATION
8. "PRIVILEGE" HOLDER	UNION LEAGUE CLUB OF CHICAGO - SEE (1) UNDER VIII
9. TRUSTEE TO FAMILY TRUST	FAMILY TRUST - SEE (2) UNDER VIII

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.	
2.	

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3. _____

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1. 12/31/18	Former wife's State of Illinois Teacher Retirement after death 10/22/98 converted to spousal annuity plus annual COLA.	\$36,182.92
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2018	Spouse practices law in Northern Indiana
2.	
3.	
4.	

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☒ NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.				
2.				
3.				
4.				
5.				

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.		
2.		
3.		
4.		
5.		

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. MASS MUTUAL WHOLE LIFE INSURANCE	A	Dividend	J	T					
2. IRA FIDELITY FOCUSED HIGH INCOME FUND	B	Dividend	J	T	Sold (part)	10/24/18	K		
3. IRA Fidelity Large Cap Growth	A	Dividend	J	T	Sold (part)	10/24/18	K		
4. IRA FIDELITY NEW MILLENIUM	D	Dividend	L	T					
5. IRA FIDELITY BALANCED	D	Dividend	L	T					
6. IRA FIDELITY LOW PRICED STOCK	D	Dividend	L	T					
7. IRA FIDELITY NASDAQ COMPOSITE	B	Dividend	L	T					
8. IRA Fidelity SPARTAN TOTAL MKT INX FUND	B	Dividend	L	T					
9. TRUSTEE OF FAMILY TRUST									SEE (2) & (4) UNDER VIII
10. REMARRIED CALENDAR YEAR 2001; WIFE INVESTMENTS FOLLOW									SEE (3) UNDER VIII
11. FEDERAL HOME LOAN MTG CORP 7.5%	A	Interest	J	T					
12. GE GLOBAL 6.45%	A	Interest	K	T					
13. BAIRD MONEY MARKET ACCOUNT	A	Interest	J	T					
14. AMERICAN CENTURY EQUITY INCOME	A	Dividend	J	T	Sold (part)	01/15/18	J		
15. AMERICAN CENTURY EQUITY INCOME	A	Dividend	J	T	Sold (part)	03/15/18	J		
16. AMERICAN CENTURY EQUITY INCOME	A	Dividend	J	T	Sold (part)	06/15/18	J		
17. AMERICAN CENTURY EQUITY INCOME	A	Dividend	J	T	Sold (part)	08/14/18	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

18. AMERICAN CENTURY EQUITY INCOME	A	Dividend			Sold	11/30/18	J		
19. BLACK ROCK HIGH YIELD	A	Dividend	J	T	Sold (part)	01/15/18	J		
20. BLACK ROCK HIGH YIELD	A	Dividend	J	T	Sold (part)	03/15/18	J		
21. BLACK ROCK HIGH YIELD	A	Dividend	J	T	Sold (part)	06/15/18	J		
22. BLACK ROCK HIGH YIELD	A	Dividend	J	T	Sold (part)	08/14/18	J		
23. BLACK ROCK HIGH YIELD	A	Dividend			Sold	11/30/18	J		
24. HARBOR SMALL CAP VALUE INSTL CL	A	Dividend	J	T	Sold (part)	01/15/18	J		
25. HARBOR SMALL CAP VALUE INSTL CL	A	Dividend	J	T	Sold (part)	03/15/18	J		
26. HARBOR SMALL CAP VALUE INSTL CL	A	Dividend	J	T	Sold (part)	06/15/18	J		
27. HARBOR SMALL CAP VALUE INSTL CL	A	Dividend	J	T	Sold (part)	08/14/18	J		
28. HARBOR SMALL CAP VALUE INSTL CL	A	Dividend			Sold	11/30/18	J		
29. HARBOR INTERNATIONAL	A	Dividend			Sold	01/15/18	J		
30. JOHN HANCOCK CLASSIC VALUE	A	Dividend	J	T	Sold (part)	01/15/18	J		
31. JOHN HANCOCK CLASSIC VALUE	A	Dividend	J	T	Sold (part)	03/15/18	J		
32. JOHN HANCOCK CLASSIC VALUE	A	Dividend	J	T	Sold (part)	06/15/18	J		
33. JOHN HANCOCK CLASSIC VALUE	A	Dividend	J	T	Sold (part)	08/14/18	J		
34. JOHN HANCOCK CLASSIC VALUE	A	Dividend			Sold	11/30/18	J		

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2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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A. Description of Assets (including trust assets) Place "X" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
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35. LOOMIS SAYLES GROWTH	A	Dividend	J	T	Sold (part)	01/15/18	J		
36. LOOMIS SAYLES GROWTH	A	Dividend	J	T	Sold (part)	03/15/18	J		
37. LOOMIS SAYLES GROWTH	A	Dividend	J	T	Sold (part)	06/15/18	J		
38. LOOMIS SAYLES GROWTH	A	Dividend	J	T	Sold (part)	08/14/18	J		
39. LOOMIS SAYLES GROWTH	A	Dividend			Sold	11/30/18	J		
40. MFS INSTL INTL EQUITY	A	Dividend	J	T	Sold (part)	01/15/18	J		
41. MFS INSTL INTL EQUITY	A	Dividend	J	T	Sold (part)	03/15/18	J		
42. MFS INSTL INTL EQUITY	A	Dividend	J	T	Sold (part)	06/15/18	J		
43. MFS INSTL INTL EQUITY	A	Dividend	J	T	Sold (part)	08/14/18	J		
44. MFS INSTL INTL EQUITY	A	Dividend			Sold	11/30/18	J		
45. OAKMARK INTL	A	Dividend	J	T	Sold (part)	01/15/18	J		
46. OAKMARK INTL	A	Dividend	J	T	Sold (part)	03/15/18	J		
47. OAKMARK INTL	A	Dividend	J	T	Sold (part)	06/15/18	J		
48. OAKMARK INTL	A	Dividend	J	T	Sold (part)	08/14/18	J		
49. OAKMARK INTL	A	Dividend			Sold	11/30/18	J		
50. PRINCIPAL MID CAP	A	Dividend	J	T	Buy (add'l)	11/23/18	J		
51. PRINCIPAL MID CAP	A	Dividend	J	T	Sold (part)	01/15/18	J		

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52. PRINCIPAL MID CAP	A	Dividend	J	T	Sold (part)	03/15/18	J		
53. PRINCIPAL MID CAP	A	Dividend	J	T	Sold (part)	06/15/18	J		
54. PRINCIPAL MID CAP	A	Dividend	J	T	Sold (part)	08/14/18	J		
55. PRINCIPAL DIVERSIFIED REAL ESTATE	A	Dividend	J	T	Sold (part)	01/15/18	J		
56. PRINCIPAL DIVERSIFIED REAL ESTATE	A	Dividend	J	T	Sold (part)	03/15/18	J		
57. PRINCIPAL DIVERSIFIED REAL ESTATE	A	Dividend	J	T	Sold (part)	06/15/18	J		
58. PRINCIPAL DIVERSIFIED REAL ESTATE	A	Dividend	J	T	Sold (part)	08/14/18	J		
59. PRINCIPAL DIVERSIFIED REAL ESTATE	A	Dividend			Sold	11/30/18	J		
60. T ROWE PRICE SUMMIT MUN	A	Dividend	J	T	Sold (part)	01/15/18	J		
61. T ROWE PRICE SUMMIT MUN	A	Dividend	J	T	Sold (part)	03/15/18	J		
62. T ROWE PRICE SUMMIT MUN	A	Dividend	J	T	Sold (part)	06/15/18	J		
63. T ROWE PRICE SUMMIT MUN	A	Dividend	J	T	Sold (part)	08/14/18	J		
64. T ROWE PRICE SUMMIT MUN	A	Dividend			Sold	11/30/18	J		
65. T ROWE PRICE FREE SHORT	A	Dividend	J	T	Sold (part)	01/15/18	J		
66. T ROWE PRICE FREE SHORT	A	Dividend	J	T	Sold (part)	03/15/18	J		
67. T ROWE PRICE FREE SHORT	A	Dividend	J	T	Sold (part)	06/15/18	J		
68. T ROWE PRICE FREE SHORT	A	Dividend	J	T	Sold (part)	08/14/18	J		

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69. T ROWE PROCE FREE SHORT	A	Dividend			Sold	11/30/18	J		
70. T ROWE PRICE GROWTH STOCK	A	Dividend	J	T	Buy (add'l)	11/23/18	J		
71. T ROWE PRICE GROWTH STOCK	A	Dividend	J	T	Sold (part)	01/15/18	J		
72. T ROWE PRICE GROWTH STOCK	A	Dividend	J	T	Sold (part)	03/15/18	J		
73. T ROWE PRICE GROWTH STOCK	A	Dividend	J	T	Sold (part)	06/15/18	J		
74. T ROWE PRICE GROWTH STOCK	A	Dividend	J	T	Sold (part)	08/14/18	J		
75. T ROWE PRICE GROWTH STOCK	A	Dividend	J	T	Sold (part)	11/30/18	J		
76. VANGUARD LIMITED TERM TAX EXEMPT	A	Dividend	J	T	Sold (part)	01/15/18	J		
77. VANGUARD LIMITED TERM TAX EXEMPT	A	Dividend	J	T	Sold (part)	03/15/18	J		
78. VANGUARD LIMITED TERM TAX EXEMPT	A	Dividend	J	T	Sold (part)	06/15/18	J		
79. VANGUARD LIMITED TERM TAX EXEMPT	A	Dividend	J	T	Sold (part)	08/14/18	J		
80. VANGUARD LIMITED TERM TAX EXEMPT	A	Dividend			Sold	11/30/18	J		
81. WELLS FARGO ADVANTAGE EMERGING MARKETS	A	Dividend	J	T	Sold (part)	01/15/18	J		
82. WELLS FARGO ADVANTAGE EMERGING MARKETS	A	Dividend	J	T	Sold (part)	03/15/18	J		
83. WELLS FARGO ADVANTAGE EMERGING MARKETS	A	Dividend	J	T	Sold (part)	06/15/18	J		
84. WELLS FARGO ADVANTAGE EMERGING MARKETS	A	Dividend	J	T	Sold (part)	08/14/18	J		
85. WELLS FARGO ADVANTAGE EMERGING MARKETS	A	Dividend			Sold	11/30/18	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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86. WELLS FARGO ADVANTAGE INTERMEDIATE TAX	A	Dividend	J	T	Sold (part)	01/15/18	J		
87. WELLS FARGO ADVANTAGE INTERMEDIATE TAX	A	Dividend	J	T	Sold (part)	03/15/18	J		
88. WELLS FARGO ADVANTAGE INTERMEDIATE TAX	A	Dividend	J	T	Sold (part)	06/15/18	J		
89. WELLS FARGO ADVANTAGE INTERMEDIATE TAX	A	Dividend	J	T	Sold (part)	08/14/18	J		
90. WELLS FARGO ADVANTAGE INTERMEDIATE TAX	A	Dividend			Sold	11/30/18	J		
91. BAIRD INTERMEDIATE MUNICIPAL BOND	A	Dividend	J	T	Sold (part)	01/15/18	J		
92. BAIRD QUALITY INTERMEDIATE MUN	A	Dividend	J	T	Sold (part)	03/15/18	J		
93. BAIRD QUALITY INTERMEDIATE MUN	A	Dividend	J	T	Sold (part)	06/15/18	J		
94. BAIRD QUALITY INTERMEDIATE MUN	A	Dividend	J	T	Sold (part)	08/14/18	J		
95. BAIRD QUALITY INTERMEDIATE MUN	A	Dividend			Sold	11/30/18	J		
96. FMI LARGE CAP	A	Dividend	J	T	Sold (part)	01/15/18	J		
97. FMI LARGE CAP	A	Dividend	J	T	Sold (part)	03/15/18	J		
98. FMI LARGE CAP	A	Dividend	J	T	Sold (part)	06/15/18	J		
99. FMI LARGE CAP	A	Dividend	J	T	Sold (part)	08/14/18	J		
100. FMI LARGE CAP	A	Dividend			Sold	11/30/18	J		
101. PNC BANK OF LAPORTE, IN (Y)									
102. PARNASSUS MID CAP	A	Dividend	J	T	Sold (part)	01/15/18	J		

1. Income Gain Codes: (See Columns B1 and D4)	A ~\$1,000 or less F ~\$50,001 - \$100,000	B ~\$1,001 - \$2,500 G ~\$100,001 - \$1,000,000	C ~\$2,501 - \$5,000 H1 ~\$1,000,001 - \$5,000,000	D ~\$5,001 - \$15,000 H2 ~More than \$5,000,000	E ~\$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J ~\$15,000 or less N ~\$250,001 - \$500,000 P3 ~\$25,000,001 - \$50,000,000	K ~\$15,001 - \$50,000 O ~\$500,001 - \$1,000,000	L ~\$50,001 - \$100,000 P1 ~\$1,000,001 - \$5,000,000 P4 ~More than \$50,000,000	M ~\$100,001 - \$250,000 P2 ~\$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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Name of Person Reporting

SCHMETTERER, JACK B.

Date of Report

06/25/2019

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
103. PARNASSUS MID CAP	A	Dividend	J	T	Sold (part)	03/15/18	J		
104. PARNASSUS MID CAP	A	Dividend	J	T	Sold (part)	06/15/18	J		
105. PARNASSUS MID CAP	A	Dividend	J	T	Sold (part)	08/14/18	J		
106. PARNASSUS MID CAP	A	Dividend			Sold	11/30/18	J		
107. STERLING CAPITAL MID CAP	A	Dividend	J	T	Sold (part)	01/15/18	J		
108. STERLING CAPITAL MID CAP	A	Dividend	J	T	Sold (part)	03/15/18	J		
109. STERLING CAPITAL MID CAP	A	Dividend	J	T	Sold (part)	06/15/18	J		
110. STERLING CAPITAL MID CAP	A	Dividend	J	T	Sold (part)	08/14/18	J		
111. STERLING CAPITAL MID CAP	A	Dividend			Sold	11/30/18	J		
112. SNYDERS LANCE INC	A	Dividend			Sold	03/27/18	J		
113. ALL AMERICAN FINANCIAL CORP COMMON STOCK (Y)									
114. EDGEWOOD GROWTH INST	A	Dividend	J	T	Sold (part)	01/15/18	J		
115. EDGEWOOD GROWTH INST	A	Dividend	J	T	Sold (part)	03/15/18	J		
116. EDGEWOOD GROWTH INST	A	Dividend	J	T	Sold (part)	06/15/18	J		
117. EDGEWOOD GROWTH INST	A	Dividend	J	T	Sold (part)	08/14/18	J		
118. EDGEWOOD GROWTH INST	A	Dividend			Sold	11/30/18	J		
119. VIRTUS KAR SMALL CAP	A	Dividend	J	T	Sold (part)	01/15/18	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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Name of Person Reporting

SCHMETTERER, JACK B.

Date of Report

06/25/2019

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
120. VIRTUS KAR SMALL CAP	A	Dividend	J	T	Sold (part)	03/15/18	J		
121. VIRTUS KAR SMALL CAP	A	Dividend	J	T	Sold (part)	06/15/18	J		
122. VIRTUS KAR SMALL CAP	A	Dividend	J	T	Sold (part)	08/14/18	J		
123. VIRTUS KAR SMALL CAP	A	Dividend			Sold	11/30/18	J		
124. PEAR TREE POLARIS FOREIGN VALUE	A	Dividend	J	T	Buy	01/16/18	J		
125. PEAR TREE POLARIS FOREIGN VALUE	A	Dividend	J	T	Sold (part)	01/15/18	J		
126. PEAR TREE POLARIS FOREIGN VALUE	A	Dividend	J	T	Sold (part)	03/15/18	J		
127. PEAR TREE POLARIS FOREIGN VALUE	A	Dividend	J	T	Sold (part)	06/15/18	J		
128. PEAR TREE POLARIS FOREIGN VALUE	A	Dividend			Sold	08/14/18	J		
129. BLACK ROSK STRATEGIC INCOME	A	Dividend	J	T	Buy	11/23/18	J		
130. DIAMOND HILL LARGE CAP	A	Dividend	J	T	Buy	11/23/18	J		
131. EUROPACIFIC GROWTH CL	A	Dividend	J	T	Buy	11/23/18	J		
132. JOHN HANCOCK INCOME	A	Dividend	J	T	Buy	11/23/18	J		
133. LORD ABBET SHORT DURATION	A	Dividend	J	T	Buy	11/23/18	J		
134.									
135.									
136.									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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Name of Person Reporting

SCHMETTERER, JACK B.

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
137.									
138.									
139.									
140.									
141.									
142.									
143.									
144.									
145.									
146.									
147.									
148.									
149.									
150.									
151.									
152.									
153.									

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

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Name of Person Reporting

SCHMETTERER, JACK B.

Date of Report

06/25/2019

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
154.									
155.									
156.									
157.									
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162.									
163.									
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167.									
168.									
169.									
170.									

1. Income Gain Codes:	A ~\$1,000 or less	B ~\$1,001 - \$2,500	C ~\$2,501 - \$5,000	D ~\$5,001 - \$15,000	E ~\$15,001 - \$50,000
(See Columns B1 and D4)	F ~\$50,001 - \$100,000	G ~\$100,001 - \$1,000,000	H1 ~\$1,000,001 - \$5,000,000	H2 ~More than \$5,000,000	
2. Value Codes	J ~\$15,000 or less	K ~\$15,001 - \$50,000	L ~\$50,001 - \$100,000	M ~\$100,001 - \$250,000	
(See Columns C1 and D3)	N ~\$250,001 - \$500,000	O ~\$500,001 - \$1,000,000	P1 ~\$1,000,001 - \$5,000,000	P2 ~\$5,000,001 - \$25,000,000	
	P3 ~\$25,000,001 - \$50,000,000		P4 ~More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

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SCHMETTERER, JACK B.

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

PART I: POSITIONS

1. LINE 8: IN 1988, UNION LEAGUE CLUB OF CHICAGO ADMITTED ALL FEDERAL JUDGES TO "PRIVILEGE" OF USING FACILITIES AT THE CLUB WITHOUT PAYMENT OF ANY INITIATION FEE. AS PRIVILEGE HOLDERS, WE EACH PAY A MONTHLY JUDICIAL RATE (NOW \$144 PER MONTH), FIXED BY THE CLUB TO COVER ITS OVERHEAD COSTS FOR PRIVILEGE HOLDERS. WE MUST ALSO PAY REGULAR RATES FOR ALL FOOD, LODGING, ENTERTAINMENT, PROGRAMS, OR USE OF FACILITIES REQUIRING SPECIAL CHARGES. THIS IS NOT AN HONORARY MEMBERSHIP OR A MEMBERSHIP AT ALL, BUT IS UNDER A CLUB "PRIVILEGE" CATEGORY TO USE THE FACILITIES BY PAYING REGULAR RATES FOR WHATEVER WE USE.

2. LINE 9: AFTER DEATH OF FORMER SPOUSE (10/28/98), TRUSTEE BECAME TRUSTEE OF FAMILY TRUST WHICH OWNS INSURANCE POLICY, RESIDENCE, AND SUMMER HOME UNDER TESTAMENTARY TRUST WHICH TRUSTEE IS BENEFICIARY FOR LIFE (EXCEPT FOR INSURANCE POLICY), AND THEN CHILDREN AS SUCCESSORS.

PART VII: INVESTMENTS AND TRUSTS

3 LINE 15-133 REMARRIED DURING CALENDAR YEAR 2001 AND WIFE'S ASSETS ARE LISTED ON THESE LINES.

4 LINE 10:TRUST #1 OF WHICH ASSETS ARE LIFE INSURANCE PURCHASED THROUGH U.S. GOVERNMENT AND PERSONAL RESIDENCES IN ILLINOIS AND MICHIGAN FROM WHICH NO INCOME IS DERIVED, OF WHICH TRUSTEE #1 IS BENEFICIARY AND CHILDREN ARE SUCCESSOR BENEFICIARIES.

FINANCIAL DISCLOSURE REPORT

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SCHMETTERER, JACK B.

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06/25/2019

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ JACK B. SCHMETTERER

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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One Columbus Circle, N.E.
Washington, D.C. 20544