

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2018

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Schreier, Karen E.	2. Court or Organization U.S. District Court, South Dakota	3. Date of Report 05/10/2019
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. District Judge - Active	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2018 to 12/31/2018
7. Chambers or Office Address United States District Court 400 S. Phillips Avenue, Rm. 233 Sioux Falls, SD 57104		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

	<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1.	Member Board of Directors	South Dakota Children's Home Society
2.	Member Board of Directors	Federal Judges Association
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

	<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.		
2.		
3.		

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*

DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*

DATE	SOURCE AND TYPE
1. 2018	Self-employed (attorney)
2.	
3.	
4.	

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*

SOURCE	DATES	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
1. Federal Judges Association	5/6/2018-5/8/2018	Washington, DC	Board of Directors meeting	hotel, meals
2. National Conference of Bankruptcy Clerks	8/12/2018-8/13/2018	New York, NY	Speech on behalf of Bankruptcy Committee	hotel, airfare and meals
3. National Conference of Bankruptcy Judges	10/27/2018-10/30/2018	San Antonio, TX	Speech on behalf of Bankruptcy Committee	hotel, airfare and meals
4.				
5.				

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.		
2.		
3.		
4.		
5.		

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. GREAT WESTERN BANK ACCOUNT (cash)	A	Interest	K	T					
2. DISCOVER BANK ACCOUNT (cash)	D	Interest	N	T					
3. NEW YORK LIFE INSURANCE CO. - FIXED ADVANTAGE ANNUITY	B	Interest	K	T					
4. NEW YORK LIFE UNIVERSAL LIFE POLICY	B	Dividend	L	T					
5. LINCOLN FINANCIAL GROUP UNIVERSAL LIFE INS. POLICY	A	Interest	J	T					
6. PROTECTIVE UNIVERSAL LIFE INS POLICY	A	Interest	J	T					
7. METLIFE INS. CO (FORMERLY BRIGHTHOUSE) UNIVERSAL LIFE INS POLICY	A	Interest	K	T					
8. FIRST DAKOTA TITLE INS. LTD. PTR. (1 UNIT)	D	Int./Div.	N	T					
9. KWB HOTEL PARTNERS, LLC		None	K	T					
10. DOUGHERTY & DOUGHERTY LLP	B	Interest	O	U					
11. BROKERAGE ACCOUNT #1 (H)									
12. T. ROWE PRICE EQUITY INCOME FUND (PRFDX)	D	Dividend	L	T					
13. T. ROWE PRICE GROWTH STOCK FUND (PRGFX)	D	Dividend	M	T					
14. T. ROWE PRICE NEW HORIZONS FUND (PRNHX)	E	Dividend	M	T	Redeemed (part)	01/25/18	K		
15. T. ROWE PRICE SCIENCE AND TECHNOLOGY FUND (PRSCX)	E	Dividend	M	T					
16. T. ROWE PRICE VALUE FUND (TRVLX)	D	Dividend	L	T					

1. Income Gain Codes:	A = \$1,000 or less (See Columns B1 and D4)	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes	F = \$50,001 - \$100,000 (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000
3. Value Method Codes	P3 = \$25,000,001 - \$50,000,000 (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market

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☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code I (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

17. IRA #1 RBC WEALTH MANAGEMENT (H)									
18. RBC BANK DEPOSIT PROGRAM (cash account)	A	Interest	J	T					
19. ISHARES MORNINGSTAR MID CAP GROWTH (JKH)	A	Dividend	K	T	Buy	04/13/18	K		
20. ISHARES S&P SM CAP 600 GROWTH (IJT)	A	Dividend	K	T	Buy	04/13/18	K		
21. VANGUARD SECTOR INDEX FDS VG ENERGY ETF (VDE)	A	Dividend	K	T	Buy	04/13/18	K		
22. VANGUARD WORLD FUNDS VG HEALTH CARE ETF (VHT)	A	Dividend	K	T	Buy	04/13/18	K		
23. VANGUARD FTSE DEVELOPED MKTS ETF (VEA)	A	Dividend	K	T	Buy	04/13/18	K		
24. NUVEEN NASDAQ 100 DYNAMIC OVERWRITE FUND SOBI (QQQX)	B	Dividend	K	T	Buy	04/13/18	K		
25. PUTNAM EQUITY INCOME FUND CL CL (PEQCX)	A	Dividend			Sold	04/13/18	M	E	
26. PIMCO FDS SENIOR FLOATING RATE FD CL C MUTUAL FUND (PRSWX)	A	Dividend			Sold	04/13/18	K		
27. PUTNAM FDS TR CAPITAL SPECTRUM FD CL C (PVSCX)		None			Sold	04/13/18	K		
28. TRANSAMERICA ASSET ALLOCATION GROWTH PORTFOLIO CL C MUTUAL FD (IAALX)		None			Sold	04/13/18	J		
29. BROKERAGE ACCOUNT #2 RBC WEALTH MGT (H)									
30. RBC BANK DEPOSIT PROGRAM (cash account)	A	Interest	K	T					
31. ALTRIA GROUP INC. COMMON (MO)	B	Dividend	K	T					
32. APPLE INC (AAPL)	A	Dividend	K	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
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33. BERKSHIRE HATHAWAY CL B COMMON (BRKB)		None	M	T					
34. CHEVRON CORP COMMON (CVX)	B	Dividend	K	T					
35. CIGNA CORP (CI) (X)		None	J	T					
36. CITIGROUP INC. COMMON (C)	A	Dividend	J	T					
37. COCA-COLA COMPANY COMMON (KO)	A	Dividend	K	T					
38. COLGATE-PALMOLIVE COMPANY COMMON (CL)	A	Dividend	J	T					
39. CONAGRA COMMON (CAG)	A	Dividend	J	T	Buy (add'l)	05/18/18	J		
40. DEERE & CO.-COMMON (DE)	A	Dividend	K	T					
41. EXPRESS SCRIPTS (ESRX)		None			Merged (with line 35)	12/24/18	J	C	
42. EXXON MOBIL CORP COMMON (XOM)	B	Dividend	K	T					
43. GENERAL ELECTRIC COMPANY COMMON (GE)	A	Dividend	J	T					
44. HOME DEPOT INC. COMMON (HD)	A	Dividend	K	T					
45. INTEL CORPORATION COMMON (INTC)	A	Dividend	K	T					
46. INTERNATIONAL BUSINESS MACHINES (IBM)	A	Dividend	J	T					
47. INVESCO ETF S&P 500 EQ WT TECH (RYT)	A	Dividend	J	T	Buy	12/21/18	J		
48. ISHARES NASDAQ BIOTECHNOLOGY INDEX FD (IBB)	A	Dividend	J	T					
49. ISHARES RUSSELL MID CAP ETF (IWR)	A	Dividend	K	T					

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50. ISHARES RUSSELL 2000 ETF (IWM)	A	Dividend	K	T					
51. ISHARES US PHARMACEUTICALS ETF (IHE)	A	Dividend	K	T					
52. KRAFT HEINZ COMPANY COMMON (KHC)	A	Dividend	K	T	Buy (add'l)	05/18/18	J		
53. LAMB WESTON HOLDINGS INC COMMON STOCK (LW)	A	Dividend	J	T					
54. MERCK & CO. INC. COMMON (MRK)	A	Dividend	K	T					
55. MICROSOFT CORP COMMON (MSFT)	B	Dividend	L	T					
56. MONDELEZ INT. INC - COMMON (MDLZ)	A	Dividend	K	T					
57. PEPSICO INCORPORATED COMMON (PEP)	A	Dividend	K	T					
58. PFIZER INC. COMMON (PFE)	A	Dividend	K	T					
59. PHILIP MORRIS INTL INC. COMMON (PM)	C	Dividend	K	T					
60. PROCTOR & GAMBLE CO. COMMON (PG)	A	Dividend	K	T					
61. TARGET CORP COMMON (TGT)	A	Dividend	J	T					
62. US BANCORP DEL- COMMON (USB)	A	Dividend	J	T	Buy (add'l)	05/18/18	J		
63. VAN ECK FUNDS GLOBAL ASSETS FUND CL C (GHAAX)		None			Sold	08/24/18	J		
64. VANGUARD ADMIRAL FDS INC S&P 500 GROWTH INDEX FD ETF (VOOG)	A	Dividend	K	T					
65. VANGUARD SECTOR INDEX FDS VANGUARD INFORMATION TECHNOLOGY ETF (VGT)	A	Dividend	J	T					

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66. VANGUARD WORLD FUND (VHT)	A	Dividend	K	T					
67. WALGREENS BOOT ALLIANCE INC (WBA)	A	Dividend	J	T					
68. 3M COMPANY COMMON (MMM)	B	Dividend	L	T	Buy (add'l)	05/18/18	K		
69. BP PLC SPONS ADR COMMON (BP)	B	Dividend	K	T					
70. AMERICAN FUNDS EURO PACIFIC GROWTH FD CL A (AEPGX)	C	Dividend	L	T					
71. ISHARES GLOBAL TELECOM ETF (IXP)	A	Dividend			Sold	08/24/18	K		
72. MEDTRONIC PLC COMMON (MDT)	A	Dividend	K	T					
73. OPPENHEIMER DEVELOPING MKTS FUND-CL C (ODVCX)		None	K	T					
74. ROYAL DUTCH SHELL PLC SPON ADR REPSTG B SHS (RDSB)	A	Dividend	K	T					
75. THORNBURG INVT TR INTL VALUE FD CLC MUTUAL FUND (THGCX)		None			Sold	08/24/18	J		
76. PIMCO FOREIGN BOND FUND (PFOCX)	A	Dividend	K	T					
77. MORGAN STANLEY PVT BK C/D 08/31/20 (61760APFO)		None	M	T	Buy	08/22/18	M		
78. BANK OFAMERICA CHARLOTTE NA CD (06051VTX4)		None			Sold	12/31/18	J		
79. EATON VANCE NAT. MUN. OPPORTUNITIES TR (EOT)	A	Dividend	J	T					
80. NUVEEN FLAGSHIP ALL-AMERICAN MUNICIPAL BOND FUND CL A (FLAAX)	A	Dividend	K	T					
81. DESCHUTES & JEFFERSON CNTYS OR SD #02J (250343FL9)		None	K	T					

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82. UNIVERSITY NC CHAPEL HILL REV STUDENT FEE & UTILITIES (914713TY8)		None	K	T					
83. YUBA CITY CAL. UNI. SCHOOL DIST. BOND (988258FK0)		None	K	T					
84. CHELAN CNTY WA PUD #1 COLUMBIA ELEC. & PUB POWER BOND (163123MU3)		None	K	T					
85. FRANKLIN-MCKINLEY CAL. SCHOOL BOND (353856F51)		None	K	T					
86. FORT BEND CNTY TEX MUN UNTIL DI ULTLD TAX BDS (34683GQ9)	A	Interest	K	T					
87. ALLEN TX INDPT. SCHOOL DIST. BOND (018106FG4)		None	K	T					
88. PENN. ST. TPK COMMON TPK TOLL ROADS BOND (4)	B	Int./Div.	K	T					
89. METROPOLITAN WASH. DC ARPT. DULLES TOLL ROAD BOND (592643BR0)		None	K	T					
90. LINCOLN NATL LIFE AMER. ANNUITY (H)									
91. LVIP AMERICAN GROWTH FUND		None	K	T					
92. LVIP AMERICAN GROWTH-INCOME FUND		None	J	T					
93. IRA ACCOUNT #2 RBC WEALTH MANAGEMENT (H)									
94. RBC BANK DEPOSIT PROGRAM (cash account)	A	Interest	K	T					
95. ISHARES TR RUSSELL MID CAP ETF (IWR)	A	Dividend	K	T	Buy (add'l)	08/24/18	J		
96.					Sold (part)	10/25/18	K	D	
97. VANGUARD ADMIRAL FUNDS INC. S&P 500 GROWTH INDEX FUND (VOOG)	A	Dividend	K	T	Sold (part)	08/24/18	K	D	

1. Income Gain Codes: (See Columns B1 and D4)	A ~\$1,000 or less F ~\$50,001 - \$100,000	B ~\$1,001 - \$2,500 G ~\$100,001 - \$1,000,000	C ~\$2,501 - \$5,000 H1 ~\$1,000,001 - \$5,000,000	D ~\$5,001 - \$15,000 H2 ~More than \$5,000,000	E ~\$15,001 - \$50,000
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	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
98.					Sold (part)	10/25/18	K	D	
99. VANGUARD ADMIRAL FDS. INC.S&P 500 VALUE INDEX (VOOV)	A	Dividend	K	T	Buy (add'l)	05/18/18	K		
100.					Buy (add'l)	08/24/18	K		
101. VANGUARD INDEX FUNDS SMALL CAP VALUE ETF (VBR)	A	Dividend	K	T	Buy (add'l)	08/24/18	J		
102. VOYA SER FD INC CORPORATE LEADERS TRUST SER B (LEXCX)	A	Dividend			Sold	10/25/18	J	A	
103. VANGUARD FTSE DEVELOPED MARKETS ETF (VEA)	B	Dividend	K	T					
104. VANGUARD FTSE EMERGING MARKETS ETF FTSE EMERGING INDEX (VWO)	A	Dividend	J	T					
105. EATON VANCE INCOME FUND BOSTON INC INST CL (EIBIX)	A	Dividend	J	T					
106. TEMPLETON GLOBAL BOND ADVISOR CL (TGBAX)	A	Dividend	J	T					
107. COLUMBIA FDS SER TR II MASS FLEX CAP INC FD I (CFIZX)	A	Dividend	J	T	Buy	10/25/18	J		
108. HARTFORD MUT FDS INC BAL INC FD CL I (HBLIX)	A	Dividend	J	T	Buy	10/25/18	J		
109. JPMORGAN TR I INC BLDR FD CL I (JNBSX)	A	Dividend	K	T	Buy	10/25/18	K		
110. US TREAS. SEC. STRPD. BOND (912803AN3)		None			Sold	05/15/18	K		
111. THORNBURG INVT TR INVT INCOME BUILDER FD CL I (TIBIX)	A	Dividend	J	T					
112. PIMCO FDS REAL ESTATE REAL RETURN STRATEGY (PETPX)	A	Dividend	J	T	Sold (part)	10/25/18	J	A	
113. BROKERAGE ACCOUNT #3 RBC WEALTH MANAGEMENT (H)									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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Name of Person Reporting

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
114. GALENA PARK TEX INDPT. SCH BOND	A	Interest	K	T					
115. CLACKAMAS & WASHINGTON CNTYS EDUCATION BOND	B	Interest	K	T					
116. SOUTHERN MINNESOTA MUNI PWR BOND	B	Interest	K	T					
117. PENN ST TPK COMMN TPK TOLL ROADS BOND	B	Interest	K	T					
118. BENEFICIARY SEP IRA #1 RBC WEALTH MGT (H)									
119. RBC BANK DEPOSIT PROGRAM (cash account)	A	Interest	K	T					
120. ALPS ETF TR ALERIAN MLP (AMLP)	A	Dividend	J	T					
121. ISHARES RUSSELL MID CAP ETF (IWR)	A	Dividend	K	T					
122. JANUS INVT FD HENDERSON GLOBAL LIFE SCIENCES (JFNIX)	B	Dividend	J	T	Buy (add'l)	08/24/18	J		
123. T. ROWE PRICE HEALTH SCIENCES FUND INC (PRHSX)	A	Dividend	J	T					
124. VANGUARD ADMIRAL FDS INC S&P 500 GROWTH INDEX FD ETF (VOOG)	A	Dividend	K	T	Sold (part)	05/18/18	J		
125.					Sold (part)	08/24/18	K	D	
126.					Sold (part)	10/25/18	K	D	
127. VANGUARD ADMIRAL FDS INC S&P 500 VALUE INDEX FD ETF SHS (VOOV)	A	Dividend	K	T	Buy (add'l)	08/24/18	J		
128. VANGUARD INDEX FUNDS SMALLCAP VALUE ETF (VBR)	A	Dividend	K	T					
129. VANGUARD SECTOR INDEX FUND VANGUARD TELECOMMUNICATION SERV. ETF (VOX)	A	Dividend			Sold	08/24/18	J	A	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
130. INVESCO (FORMERLY POWERSHARES) GLOBAL WATER ETF (PIO)	A	Dividend	J	T					
131. T. ROWE PRICE GLOBAL TECHNOLOGY FD INC (PRGTX)	B	Dividend	J	T	Buy (add'l)	10/25/18	J		
132. VANGUARD DEVELOPED MARKETS INDEX FUND ADMIRAL (VTMGX)	A	Dividend	J	T					
133. VANGUARD FTSE DEVELOPED MARKETS ETF (VEA)	A	Dividend	K	T					
134. VANGUARD FTSE EMERGING MARKETS ETF FTSE EMERGING INDEX (VWO)	A	Dividend	K	T					
135. VANGUARD INTERMEDIATE TERM BOND ETF (BIV)	A	Dividend	K	T					
136. SPDR BARCLAYS INTERNATIONAL TREASURY BOND ETF (BWV)	A	Dividend	J	T	Sold (part)	10/25/18	J		
137. EATON VANCE INCOME FD BOSTON INC INSTL CL (EIBIX)	A	Dividend	J	T					
138. ISHARES IBOXX \$ HIGH YIELD CORPORATE BOND ETF (HYG)	A	Dividend	J	T	Sold (part)	10/25/18	J		
139. INVESCO EMERG MKTS SOV DEBT ETF (PCY)	A	Dividend	J	T	Sold (part)	10/25/18	J		
140. ISHARES TIPS BOND ETF (TIP)	A	Dividend	K	T					
141. VANGUARD INFLATION PROTECTED SECURITIES FUND SDIRAL CL (VAIPX)	A	Dividend	J	T					
142. SPDR SERIES TRUST SPDR DB INTL GOVT INFLATION PROTECTED BOND ETF (WIP)	A	Dividend	J	T	Sold (part)	10/25/18	J		
143. SPDR INDEX SHS FDS DOW JONES GLOBAL REAL ESTATE ETF (RWO)	A	Dividend			Sold	08/24/18	K	C	
144. COLUMBIA FDS SER TR II MASS FLEX CAP INC (CFIZX)	A	Dividend	K	T	Buy	10/25/18	K		
145. JPMORGAN TR I INC BLDR FD CL I (JNBSX)	A	Dividend	J	T	Buy	10/25/18	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes: (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes: (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Part VII, lines 6, 7, and 139: Name changes; see 2017, lines 6, 7, and 123, respectively.

Part VII, Column B: Lines reflecting no income are so reported because none was attributed to those particular holdings during the reporting period, whether taxable, tax exempt, or tax deferred, or because the investments do not allocate income to individual holdings, but instead are credited only in unit value, per page 42 of the filing instructions.

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Name of Person Reporting	Date of Report
Schreier, Karen E.	05/10/2019

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **s/ Karen E. Schreier**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544