

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2018

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Sands, Willie L.	2. Court or Organization District Court Middle Georgia	3. Date of Report 05/15/2019
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) Senior U. S. District Judge	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2018 to 12/31/2018
7. Chambers or Office Address C. B. King U. S. Courthouse 201 West Broad Avenue Albany, Georgia 31701		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

	<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1.	Trustee	Mercer University
2.	Trustee	Walter F. George School of Law Foundation, Inc.
3.	Member	Albany Symphony Association, Inc. Board of Directors
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

	<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.		
2.		
3.		

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2018	Raycom Media, Inc - Salary, TV News Anchor
2. 2018	Self-employed, Singer/Performer
3.	
4.	

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.				
2.				
3.				
4.				
5.				

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.	Richard Neuwirth	personal loan	J
2.	Navient	college tuition loan	J
3.	Navient	college tuition loan	J
4.			
5.			

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Wells Fargo Checking		None	J	T					
2. E*Trade SecuritiesLLC Brokerage (H)	A	Dividend	K	T					
3. -EKSO common		None	J	T					
4. -EKSO common		None	J	T	Buy (add'l)	01/11/18	J		
5. -EKSO common		None	J	T	Buy (add'l)	06/05/18	J		
6. -EKSO common		None	J	T	Buy (add'l)	06/13/18	J		
7. -IDCC common	A	Dividend	J	T					
8. -ORBC common		None	J	T					
9. -PCRFY ADR	A	Dividend	J	T					
10. -UEC common		None	J	T					
11. -OA common	A	Dividend			Distributed	06/08/18	J	B	
12. -BABA ADR		None	J	T					
13. -BABA ADR		None	J	T	Buy (add'l)	02/06/18	J		
14. -BABA ADR		None	J	T	Buy (add'l)	03/09/18	J		
15. -BABA ADR		None	J	T	Buy (add'l)	04/03/18	J		
16. -QRVO common		None	J	T					
17. -BLNK common		None	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$250,001 - \$500,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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18. -BLNK common		None	J	T	Buy (add'l)	05/14/18	J		
19. -EVS1 common		None	J	T					
20. -EVS1 common		None	J	T	Buy (add'l)	05/25/18	J		
21. -EVS1 common		None	J	T	Buy (add'l)	09/27/18	J		
22. -EVS1 common		None	J	T	Buy (add'l)	09/28/18	J		
23. -532782208 (LINC) common		None	J	T					
24. -RACE common	A	Dividend	J	T					
25. -ANLDF common		None	J	T	Buy	08/06/18	J		
26. -ANLDF common		None	J	T	Buy (add'l)	09/13/18	J		
27. -ANLDF common		None	J	T	Buy (add'l)	09/14/18	J		
28. -BCC common	A	Dividend	J	T	Buy	01/23/18	J		
29. -CUBI common		None	J	T	Buy	01/23/18	J		
30. -USCFF common		None			Merged (with line 31)	06/06/18	J	B	
31. -FTSSF common		None	J	T	Open	06/06/18	J		
32. -KSHB common		None	J	T	Buy	06/29/18	J		
33. -KSHB common		None	J	T	Buy (add'l)	10/04/18	J		
34. -LAC common		None	J	T	Buy	01/24/18	J		

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2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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35. -LAC common		None	J	T	Buy (add'l)	03/09/18	J		
36. -LIXXF common		None			Sold	03/09/18	J	A	
37. -NRGMF common		None	J	T	Buy	02/01/18	J		
38. -TTS common	A	Dividend	J	T	Buy	01/24/18	J		
39. -TTS common	A	Dividend	J	T	Buy (add'l)	03/09/18	J		
40. -RHHNF		None	J	T	Buy	06/13/18	J		
41. -RHHNF		None	J	T	Buy (add'l)	09/13/18	J		
42. -RHHNF		None	J	T	Buy (add'l)	09/20/18	J		
43. TIAA Brokerage Services (H)	A	Dividend							
44. -GOOD common	A	Distribution			Sold	01/30/18	J		
45. -EVSF common		None	J	T					
46. -ARRRF common		None	J	T					
47. -CTEQF common		None	J	T					
48. National Financial Services, LLC (H)		None	J	T					
49. -KSHB common		None	J	T	Buy	02/05/18	J		
50. -KSHB common		None	J	T	Buy (add'l)	02/13/18	J		
51. Raycom Media, Inc 401(k) (H)	B	Int./Div.	L	T					

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52. -Vanguard Total Bond Market Index I		None	J	T					
53. -Vanguard Target Retirement 2025 Inv		None	K	T					
54. -Vanguard Equity Income Adm		None	J	T					
55. -Vanguard Mid-Cap Index Fund Instl		None	J	T					
56. -Victory Sycamore Small Co Opportunity I		None	J	T					
57. -WF/BlackRock S&P 500 Index CIT N		None	J	T					
58. -WF/BlackRock Intl Eq Index CIT N		None	J	T					
59. -Touchstone Small Company Fund Class Y		None	K	T					
60.									

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Line 11: OA was acquired by another corporation for cash, only. Cash, only, was distributed to former stock holders of OA.

Lines 30-31, inclusive: USCFF, Line 30, merged into FTSSF, Line 31, on 06/06/18 for stock, only, Line 30. Stock issued from the merger is listed as FTSSF on line 31.

Line 43: Previous brokerage, EVERTRADE DIRECT BROKERAGE (2017 Report), was acquired by TIAA BROKERAGE SERVICES and ceased to exist on March 26, 2018. Previous holdings, i.e., GOOD, EVSI, ARRRF and CTEQF were transferred to TIAA on March 26, 2018.

Line 48: KSHB, lines 49 & 50, previously held in EVERTRADE DIRECT BROKERAGE (2017 Report), see information and explanation, Line 43, Part VIII, above. KSHB was not transferred to TIAA, but to National Financial Services, LLC

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ Willie L. Sands

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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Washington, D.C. 20544