

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2018

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) PHILLIPS, KEITH L.	2. Court or Organization RICHMOND DIVISION - USBANKRUPTCY COURT - EASTERN DIVISION VA	3. Date of Report 05/15/2019
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) US BANKRUPTCY JUDGE	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2018 to 12/31/2018
7. Chambers or Office Address 701 EAST BROAD STREET, 5TH FLOOR RICHMOND, VA 23219		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

	<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1.	LLC MEMBER	BLVD LLC
2.	GENERAL PARTNER	EKP ASSOCIATES, L.C.
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☐ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1. 2013	ORAL AGREEMENT WITH FORMER LAW FIRM TO RECEIVE COMPENSATION FOR LEGAL SERVICES RENDERED PRIOR TO BECOMING A JUDGE. NO CONTROL IN FORMER LAW FIRM.
2. 2013	ORAL AGREEMENT WITH SUCCESSOR CHAPTER 7 TRUSTEES TO RECEIVE COMMISSIONS EARNED FOR SERVICES PERFORMED PRIOR TO BECOMING A JUDGE. NO CONTROL OVER TRUSTEE CASES.
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*

DATE

SOURCE AND TYPE

INCOME

(yours, not spouse's)

1.

2.

3.

4.

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*

DATE

SOURCE AND TYPE

1.

2.

3.

4.

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*

SOURCE

DATES

LOCATION

PURPOSE

ITEMS PAID OR PROVIDED

1.

2.

3.

4.

5.

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.	JPMORGAN CHASE BANK	MORTGAGE - RENTAL PROPERTY #1/RICHMOND, VA (PT VII, LINE 1)	J
2.	NATIONSTAR MORTGAGE	MORTGAGE - EKP ASSOCIATES - RENTAL PROPERTY #1/ RICHMOND, VA (PT VII, LINE 2)	J
3.	NATIONSTAR MORTGAGE	MORTGAGE - EKP ASSOCIATES - RENTAL PROPERTY #2/ RICHMOND, VA (PT VII, LINE 2)	J
4.	NATIONSTAR MORTGAGE	MORTGAGE - EKP ASSOCIATES - RENTAL PROPERTY #3/ RICHMOND, VA (PT VII, LINE 2)	J
5.	NATIONSTAR MORTGAGE	MORTGAGE - EKP ASSOCIATES - RENTAL PROPERTY #4/ RICHMOND, VA (PT VII, LINE 2)	J

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. RENTAL PROPERTY #1/RICHMOND, VA 2002 - \$36,750 (50%)	D	Rent	L	R					
2. EKP ASSOCIATES LC - RENTAL PROPERTIES/RICHMOND, VA (50%)	E	Rent	N	U					
3. BLVD, LLC (50% OWNERSHIP)	B	Distribution	M	U					
4. WELLS FARGO - CHECKING ACCOUNT	A	Int./Div.	J	T					
5. BB&T #1 - CHECKING ACCOUNT		None	J	T					
6. BB&T #2 - CHECKING ACCOUNT		None	J	T					
7. (H) FIDELITY INVESTMENTS									
8. FIDELITY INVESTMENTS - CASH ACCOUNT	A	Interest	J	T					
9. FIDELITY MUNICIPAL MONEY MARKET	A	Interest	J	T					
10. FIDELITY MONEY MARKET	A	Interest	J	T					
11. FIDELITY TREASURY ONLY MONEY MARKET FD	A	Interest	J	T					
12. FIDELITY TREASURY MONEY MARKET FUND	A	Interest	J	T					
13. (H) FIDELITY INVESTMENTS									
14. SYNCHRONY BANK RET 5.10% 12/18/18 FORMERLLY GE CAP RETAIL BK DRAPER UT		None			Redeemed	12/18/18	K	A	
15. SYNCHRONY BANK RET 2.30% 03/30/20 FORMERLLY GE CAP RETAIL BK DRAPER UT	A	Interest	J	T					
16. AMERICAN EXP FED SVGS BK. INSTL 2.20% 10/23/19	A	Interest	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

17. GE CAP BK RETAIL CD 2.85% 09/16/21	A	Interest	J	T					
18. BANK INTERNET USA SAN DIEGO CA 3.550% 03/31/20	A	Interest	J	T					
19. GE CAP BK INC CD 2.90% 11/16/21	A	Interest	J	T					
20. SYNCHRONY BANK RET 2.90% 11/23/21	A	Interest	J	T					
21. GE CAP BK INC RETAIL CD 3.80% 12/11/19	A	Interest	J	T					
22. GOLDMAN SACHS BK USA NY 2.50% 12/07/2018		None			Redeemed	12/07/18	J	A	
23. STATE BK INDIA NY 2.10% 12/07/2018		None			Redeemed	12/05/18	J	A	
24. GOLDMAN SACHS BK USA NY 2.15% 10/07/2020	A	Interest	J	T					
25. GOLDMAN SACHS BAK USA NY CD 2.35% 11/28/22	A	Interest	J	T					
26. GOLDMAN SACHS BK USA NY CD 2.60% 11/25/2022	A	Interest	J	T					
27. SYNCHRONY BANK RETAIL CD 2.90% 12/02/2021	A	Interest	J	T					
28. DISCOVER BK GREENWOOD DEL 2.65% 11/22/2020	A	Interest	J	T					
29. SYNCHRONY BANK RETAIL CD 2.10% 08/29/2019	A	Interest	J	T					
30. DISCOVER BK CD 1.95% 09/14/2020	A	Interest	J	T	Buy	02/14/18	J		
31. GOLDMAN SACHS BK USA CD 2.85% 10/14/2021	A	Interest	J	T	Buy	02/14/18	J		
32. CAPITAL ONE BANK USA NATL ASSN CD 2.40% 03/29/2022	A	Interest	J	T	Buy	04/06/18	J		
33. HSBC BK USA N A MCLEAN VA CD 2.15% 07/26/21	A	Interest	J	T	Buy	08/04/18	J		

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34. JPMORGAN CHASE BK CD 3.00% 09/14/2021	A	Interest	J	T	Buy	09/14/18	J		
35. ALLY BK MIDVALE UTAH CD 2.30% 04/30/2019	A	Interest	J	T	Buy	11/01/18	J		
36. STATE BK INDIA NEW YORK 3.25% 10/27/24	A	Interest	J	T	Buy	11/22/18	J		
37. WELLS FARGO BANK NATL ASSN CD 2.50% 12/08/2022	A	Interest	J	T	Buy	12/08/18	J		
38. FIDELITY INVESTMENTS CASH ACCOUNT	A	Interest	K	T					
39. (H) JANNEY MONTGOMERY SCOTT LLC #1									
40. CLEARBRIDGE VALUE	A	Dividend	K	T					
41. ROYCE PA MUTL CONSULT - STOCK	B	Dividend	J	T					
42. JANNEY INSURED SWP	A	Int./Div.	J	T					
43. VA ST RES AUTH - TAX EXEMPT OID	A	Int./Div.	K	T					
44. VIRGINIA 529 PREPAID PLAN #1 - 8 SEMESTER TIER I		None	K	V					
45. VIRGINIA 529 PREPAID PLAN #2 - 8 SEMESTER TIER I		None	K	V					
46. VIRGINIA 529 PREPAID PLAN #3 - 8 SEMESTER TIER		None	J	V					
47. (H) IRA #1, JANNEY MONTGOMERY SCOTT LLC									
48. - CASH ACCOUNT, JANNEY MONTGOMERY SCOTT LLC	A	Dividend	J	T					
49. - CLEARBRIDGE SPECIAL SM CAP INVESTMENT FUND	B	Dividend	K	T	Sold (part)	01/18/18	J	A	
50. - CLEARBRIDGE SPECIAL SM CAP		None			Sold (part)	05/08/18	J	A	

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51. - LEGG MASON OPPORTUNITY FUND (MILLER OPPTY)	A	Dividend	K	T	Sold (part)	07/10/18	J	A	
52. - MFS GROWTH FUND	A	Dividend	J	T					
53. - MFS INVESTORS GROWTH FUND	B	Dividend	J	T					
54. - MFS TOTAL RETURN	A	Dividend	J	T					
55. - PIMCO REAL RETURN FUND	A	Dividend	J	T					
56. - PIMCO INCOME FUND	A	Dividend	J	T					
57. - WESTERN ASSET CORE BOND FUND	A	Dividend	J	T					
58. (H) IRA #2, CHARLES SCHWAB									
59. - CASH DEPOSIT ACCOUNTS, CHARLES SCHWAB	A	Int./Div.	J	T					
60. - SCHWAB VALUE ADVANTAGE	B	Int./Div.	M	T					
61. -AMERICAN CENTURY ONE	A	Int./Div.	J	T					
62. -AMERICAN FD TAX ADVNTGD	A	Int./Div.	J	T					
63. -JPMORGAN INCM BUILDER	A	Int./Div.	J	T					
64. -SCHWAB MONTHLY INCOME FD EN PAYOUT	A	Int./Div.	J	T					
65. -SCHWAB MONTHLY INCOME FD MAX PAYOUT	A	Int./Div.	J	T					
66. -DISCOVER BANK 1.3% 18 CD	A	Int./Div.			Matured	01/26/18	K	A	
67. - SCHWAB DIVIDEND EQUITY FUND	A	Int./Div.	J	T					

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68. - SCHWAB FUNDAMENTAL US LARGE CO INDEX	A	Int./Div.	J	T	Sold (part)	09/20/18	J	A	
69. - SCHWAB FUNDAMENTAL US	A	Int./Div.	J	T	Buy	11/20/18	J		
70. - SCHWAB MARKETTRACK GROWTH	A	Int./Div.	J	T					
71. - SCHWAB S&P 500 INDEX FUND	A	Int./Div.	J	T	Sold (part)	09/20/18	J	A	
72. - SCHWAB S&P 500 INDEX FUND	A	Int./Div.	J	T	Buy	04/24/18	J		
73. - SCHWAB S&P 500 INDEX FUND	A	Int./Div.	J	T	Buy	11/20/18	J		
74. - SCHWAB TOTAL STOCK MKT INDEX	A	Int./Div.	J	T					
75. - SCHWAB TOTAL STOCK MKT INDEX	A	Int./Div.	J	T	Buy	11/20/18	J		
76. - SCHWAB 1000 INDEX FUND	A	Int./Div.	J	T	Sold (part)	09/20/18	J	B	
77. - SCHWAB 1000 INDEX FUND	A	Int./Div.	J	T	Buy	04/24/18	J		
78. - SCHWAB 1000 INDEX FUND	A	Int./Div.	J	T	Buy	11/20/18	J		
79. - T ROWE PRICE RETIREMENT 2030 FUND	A	Int./Div.	J	T					
80. - T ROWE PRICE RETIREMENT 2030	A	Int./Div.	J	T	Buy	11/20/18	J		
81. - VANGUARD WELLESLEY INCOME FD INVESTOR SHARE	A	Int./Div.	K	T					
82. (H) IRA #3, JANNEY MONTGOMERY SCOTT LLC									
83. - CASH DEPOSIT ACCOUNTS, JANNEY MONTGOMERY SCOTT LLC	A	Dividend	J	T					
84. -CONSUMER DISCRETIONARY SELECT SECTOR SPDR (12)	A	Dividend	J	T	Sold (part)	03/22/18	J	A	

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85. -CONSUMER DISCRETIONARY SELECT SECTOR SPDR		None	J	T	Sold (part)	06/13/18	J	A	
86. DISCRETIONARY SELECT SECTOR SPDR		None	J	T	Sold (part)	09/20/18	J	A	
87. DISCRETIONARY SELECT SECTOR SPDR		None			Sold (part)	09/27/18	J	A	
88. -CONSUMER DISCRETIONARY SELECT SECTOR SPDR (30)	A	Dividend	J	T					
89. -CONSUMER DISCRETIONARY SELECT SECTOR SPDR (5)	A	Dividend	J	T					
90. -CONSUMER DISCRETIONARY SELECT SECTOR SPDR (4)	A	Dividend	J	T					
91. -ISHARES CORE MSCI EUROPE (20)	A	Dividend	J	T					
92. -ISHARES CORE MSCI EUROPE (31)	A	Dividend	J	T					
93. -ISHARES CORE MSCI EUROPE (6)	A	Dividend	J	T					
94. -ISHARES CORE MSCI EUROPE (1)	A	Dividend	J	T	Buy (add'l)	03/22/18	J		
95. -ISHARES CORE MSCI EUROPE (1)	A	Dividend	J	T	Buy (add'l)	06/13/18	J		
96. -ISHARES CORE MSCI EUROPE (3)	A	Dividend	J	T	Buy (add'l)	09/27/18	J		
97. - SECTOR CONSUMER STAPLES SELECT SECTOR SPDR (1)	A	Dividend	J	T	Sold (part)	09/27/18	J	A	
98. - SECTOR CONSUMER STAPLES SELECT SECTOR SPDR (45)	A	Dividend	J	T					
99. - SECTOR CONSUMER STAPLES SELECT SECTOR SPDR (8)	A	Dividend	J	T					
100. - SECTOR CONSUMER STAPLES SELECT SECTOR SPDR (8)	A	Dividend	J	T					
101. - SECTOR CONSUMER STAPLES SELECT SECTOR SPDR (8)	A	Dividend	J	T	Buy (add'l)	03/22/18	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

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Name of Person Reporting

PHILLIPS, KEITH L.

Date of Report

05/15/2019

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
102. - SECTOR CONSUMER STAPLES SELECT SECTOR SPDR (44)	A	Dividend	J	T	Buy (add'l)	06/13/18	J		
103. - SECTOR HEALTHCARE SELECT SECTOR SPDR (27)	A	Dividend	J	T	Sold (part)	06/13/18	J	A	
104. - SECTOR HEALTHCARE SELECT SECTOR SPDR		None			Sold (part)	09/27/18	J	A	
105. - SECTOR HEALTHCARE SELECT SECTOR SPDR (57)	A	Dividend	J	T					
106. - SECTOR HEALTHCARE SELECT SECTOR SPDR (10)	A	Dividend	J	T					
107. - SECTOR HEALTHCARE SELECT SECTOR SPDR (4)	A	Dividend	J	T					
108. - SECTOR HEALTHCARE SELECT SECTOR SPDR (7)	A	Dividend	J	T	Buy (add'l)	03/22/18	J		
109. - SECTOR TECHNOLOGY SELECT SECTOR SPDR (57)	A	Dividend	J	T	Sold (part)	03/22/18	J	A	
110. - SECTOR TECHNOLOGY SELECT SECTOR SPDR		None	J	T	Sold (part)	06/13/18	J	A	
111. - SECTOR TECHNOLOGY SELECT SECTOR SPDR		None	J	T	Sold (part)	09/20/18	J	A	
112. - SECTOR TECHNOLOGY SELECT SECTOR SPDR		None			Sold (part)	09/27/18	J	A	
113. - SECTOR TECHNOLOGY SELECT SECTOR SPDR (60)	A	Dividend	J	T	Sold (part)	09/27/18	J	A	
114. - SECTOR TECHNOLOGY SELECT SECTOR SPDR (13)	A	Dividend	J	T					
115. - SECTOR TECHNOLOGY SELECT SECTOR SPDR (33)	A	Dividend	J	T					
116. - SECTOR COMMUNICATION SERVICES SELECT (44)	A	Dividend	J	T	Buy	09/20/18	J		
117. - SECTOR COMMUNICATION SERVICES SELECT (37)	A	Dividend	J	T	Buy (add'l)	09/27/18	J		
118. - VANGUARD ALL WORLD (133)	A	Dividend	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A ~\$1,000 or less F ~\$50,001 - \$100,000	B ~\$1,001 - \$2,500 G ~\$100,001 - \$1,000,000	C ~\$2,501 - \$5,000 H1 ~\$1,000,001 - \$5,000,000	D ~\$5,001 - \$15,000 H2 ~More than \$5,000,000	E ~\$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J ~\$15,000 or less N ~\$250,001 - \$500,000 P3 ~\$25,000,001 - \$50,000,000	K ~\$15,001 - \$50,000 O ~\$500,001 - \$1,000,000	L ~\$50,001 - \$100,000 P1 ~\$1,000,001 - \$5,000,000 P4 ~More than \$50,000,000	M ~\$100,001 - \$250,000 P2 ~\$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q ~Appraisal U ~Book Value	R ~Cost (Real Estate Only) V ~Other	S ~Assessment W ~Estimated	T ~Cash Market	

FINANCIAL DISCLOSURE REPORT

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Name of Person Reporting

PHILLIPS, KEITH L.

Date of Report

05/15/2019

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

119. - VANGUARD ALL WORLD (88)	A	Dividend	J	T					
120. - VANGUARD ALL WORLD (17)	A	Dividend	J	T					
121. - VANGUARD ALL WORLD (4)	A	Dividend	J	T	Buy (add'l)	03/22/18	J		
122. - VANGUARD ALL WORLD (3)	A	Dividend	J	T	Buy (add'l)	06/13/18	J		
123. - VANGUARD ALL WORLD (15)	A	Dividend	J	T	Buy (add'l)	09/27/18	J		
124. - VANGUARD PACIFIC (12)	A	Dividend	J	T					
125. - VANGUARD PACIFIC (7)	A	Dividend	J	T					
126. - VANGUARD PACIFIC (1)	A	Dividend	J	T					
127. - VANGUARD PACIFIC (23)	A	Dividend	J	T	Buy (add'l)	09/27/18	J		
128. - ISHARES, 1-3YR TREASURY BOND (9)	A	Dividend							
129. - ISHARES, 1BOXX, 4INVESTMENT GRADE CORP BOND (38)	A	Dividend	J	T					
130. - ISHARES, 1BOXX, 4INVESTMENT GRADE CORP BOND (22)	A	Dividend	J	T					
131. - ISHARES, 1BOXX, 4INVESTMENT GRADE CORP BOND (4)	A	Dividend	J	T					
132. - ISHARES, 1BOXX, 4INVESTMENT GRADE CORP BOND (5)	A	Dividend	J	T					
133. - ISHARES, 1BOXX, 4INVESTMENT GRADE CORP BOND (2)	A	Dividend	J	T					
134. - ISHARES, 1BOXX, 4INVESTMENT GRADE CORP BOND (6)	A	Dividend	J	T	Buy (add'l)	03/22/18	J		
135. - ISHARES, 1BOXX, 4INVESTMENT GRADE CORP BOND (3)	A	Dividend	J	T	Buy (add'l)	06/13/18	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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Name of Person Reporting

PHILLIPS, KEITH L.

Date of Report

05/15/2019

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
136. -ISHARES, 1BOX, 4INVESTMENT GRADE CORP BOND (1)	A	Dividend	J	T	Buy (add'l)	09/27/18	J		
137. -ISHARES, US FINANCIAL SERVICES (9)	A	Dividend	J	T	Sold (part)	03/22/18	J	A	
138. -ISHARES, US FINANCIAL SERVICES					Sold (part)	09/27/18	J	A	
139. -ISHARES, US FINANCIAL SERVICES (17)	A	Dividend	J	T					
140. -ISHARES, US FINANCIAL SERVICES (18)	A	Dividend	J	T					
141. -ISHARES, 0-5 YEAR TIPS BOND (40)	A	Dividend			Sold	03/21/18	J	A	
142. -ISHARES, 0-5 YEAR TIPS BOND (2)	A	Dividend	J	T					
143. -ISHARES, 0-5 YEAR TIPS BOND (43)	A	Dividend	J	T					
144. -ISHARES, 0-5 YEAR TIPS BOND (1)	A	Dividend	J	T	Buy (add'l)	06/13/18	J		
145. -ISHARES, 0-5 YEAR TIPS BOND (1)	A	Dividend	J	T	Buy (add'l)	09/27/18	J		
146. -ISHARES, FLOATING RATE BOND (79)	A	Dividend	J	T					
147. -ISHARES, FLOATING RATE BOND (3)	A	Dividend	J	T					
148. -ISHARES, FLOATING RATE BOND (3)	A	Dividend	J	T					
149. -ISHARES, FLOATING RATE BOND (92)	A	Dividend	J	T	Buy (add'l)	03/22/18	J		
150. -ISHARES, FLOATING RATE BOND (2)	A	Dividend	J	T	Buy (add'l)	06/13/18	J		
151. -ISHARES, FLOATING RATE BOND (4)	A	Dividend	J	T	Buy (add'l)	09/27/18	J		
152. -SPDR BLOOMBERG BARCLAYS CAPITAL ST CORP BOND (123)	A	Dividend	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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Name of Person Reporting

PHILLIPS, KEITH L.

Date of Report

05/15/2019

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
153. -SPDR BLOOMBERG BARCLAYS CAPITAL ST CORP BOND (124)	A	Dividend	J	T					
154. -SPDR BLOOMBERG BARCLAYS CAPITAL ST CORP BOND (33)	A	Dividend	J	T					
155. -SPDR BLOOMBERG BARCLAYS CAPITAL ST CORP BOND (16)	A	Dividend	J	T	Buy (add'l)	03/22/18	J		
156. -SPDR BLOOMBERG BARCLAYS CAPITAL ST CORP BOND (7)	A	Dividend	J	T	Buy (add'l)	06/13/18	J		
157. -SPDR BLOOMBERG BARCLAYS CAPITAL ST CORP BOND (7)	A	Dividend	J	T	Buy (add'l)	09/27/18	J		
158. ISHARES MSCI ALL COUNTRY ASIA EX JAPAN (21)	A	Dividend	J	T	Buy	09/27/18	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

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Name of Person Reporting

PHILLIPS, KEITH L.

Date of Report

05/15/2019

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

PART VII, LINE 40 - VALUE IS BASED ON REFUNDABLE VALUE PROVIDED BY THE VIRGINIA529 COLLEGE SAVINGS PLAN
PART VII, LINE 41 - VALUE IS BASED ON REFUNDABLE VALUE PROVIDED BY THE VIRGINIA529 COLLEGE SAVINGS PLAN
PART VII, LINE 42 - VALUE IS BASED ON REFUNDABLE VALUE PROVIDED BY THE VIRGINIA529 COLLEGE SAVINGS PLAN

FINANCIAL DISCLOSURE REPORT

Page 15 of 15

Name of Person Reporting

PHILLIPS, KEITH L.

Date of Report

05/15/2019

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: S/ **KEITH L. PHILLIPS**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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One Columbus Circle, N.E.
Washington, D.C. 20544