

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2018

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Schroeder III, Robert W.	2. Court or Organization U.S. District Court for the Eastern District of Texas	3. Date of Report 5/15/2019
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) United States District Judge - active	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2018 to 12/31/2018
7. Chambers or Office Address United States District Court 500 North State Line, Room 309 Texarkana, Texas 75501		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☒ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1.		
2.		
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1.	
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*

DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*

DATE	SOURCE AND TYPE
1.	
2.	
3.	
4.	

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*

SOURCE	DATES	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
1. American University Law Review	January 25-26, 2019	Washington, D.C.	Federal Circuit Symposium (speaker)	Travel and lodging
2. Texas IP Inn of Courts	August 24-25, 2019	Austin, Texas	Inn of Courts Summit (speaker)	Travel, lodging and meals
3. The Network of Trial Law Firms, Inc.	October 25-27, 2019	San Mateo, California	Litigation Management (speaker)	Travel, lodging and meals
4.				
5.				

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.	Stanford University	Tuition Agreements	None
2.			
3.			
4.			
5.			

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Schwab Accounts (H)									
2. -Schwab Account	A	Interest	J	T					
3. -Schwab Bank Sweep	B	Interest	K	T					
4. -Schwab US REIT	A	Dividend			Buy (add'l)	02/06/18	J		
5.					Sold	12/26/18	J		
6. -iShares Gold ETF	A	Dividend	J	T	Sold (part)	05/31/18	J	A	
7. -iShares Bond ETF	A	Dividend			Sold	06/06/18	J		
8. -Schwab Emerging Markets Equity ETF	A	Dividend			Buy (add'l)	10/23/18	J		
9.					Sold	10/26/18	J		
10. -Schwab Fund. EMG MKTS LG. COM ETF	A	Dividend			Sold	12/21/18	J		
11. -Schwab Fund. Intl. LG. COM. ETF	A	Dividend	J	T	Buy (add'l)	02/06/18	J		
12.					Sold (part)	10/23/18	J		
13.					Buy (add'l)	12/06/18	J		
14. -Schwab Fund. Intl. SM. COM. ETF	A	Dividend	J	T	Buy (add'l)	10/23/18	J		
15.					Sold (part)	10/26/18	J		
16.					Buy (add'l)	12/21/18	J		
17. -Schwab Fund. US LG. COM. ETF	A	Dividend			Sold (part)	05/31/18	J		

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
(See Column C2)	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
	U = Book Value	V = Other	W = Estimated		

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☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
18.					Sold	12/24/18	K		
19. -Schwab Fund. US SM. COM. ETF	A	Dividend			Sold (part)	05/31/18	J	A	
20.					Sold	12/17/18	J	A	
21. -Schwab Intl. Equity ETF	A	Dividend	J	T	Sold (part)	10/23/18	J		
22.					Buy (add'l)	12/20/18	J		
23. -Schwab Intl. SM. CAP EQUITY ETF	A	Dividend	J	T	Sold (part)	11/20/18	J		
24.					Buy (add'l)	12/26/18	J		
25. -Schwab US LG. CAP. ETF	A	Dividend			Sold (part)	05/31/18	J	A	
26.					Sold	12/24/18	J		
27. -Schwab US SM. CAP. ETF	A	Dividend			Sold	12/20/18	J		
28. -VANECK VECTORS ETF	A	Dividend			Buy (add'l)	02/06/18	J		
29.					Sold (part)	05/31/18	J		
30.					Sold	09/05/18	J		
31. -VANGUARD GLOBAL EX US ETF	A	Dividend	J	T					
32. -VANGUARD MUNI ETF	A	Dividend			Buy (add'l)	02/06/18	J		
33.					Buy (add'l)	05/31/18	J		
34.					Sold	10/09/18	K		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

35. -VANGUARD TOTAL ETF	A	Dividend			Sold	05/31/18	J		
36. -VANGUARD FTSE DEV MKTS ETF	A	Dividend			Buy	10/23/18	J		
37.					Sold	12/20/18	J		
38. -VANGUARD FTSE ALL WORLD SM CAP ETF	A	Dividend			Buy	11/20/18	J		
39.					Buy (add'l)	12/18/18	J		
40.					Sold	12/20/18	J		
41. -VANGUARD SM. CAP. ETF	A	Dividend	J	T	Buy	12/20/18	J		
42. -VANGUARD S&P 500 ETF	A	Dividend	J	T	Buy	12/24/18	J		
43. -SCHWAB U.S. TIPS ETF	A	Dividend			Buy	05/31/18	J		
44.					Sold	11/02/18	J		
45. -XTRACKERS HI YLD. CORP. BND ETF	A	Dividend	J	T	Buy	02/06/18	J		
46.					Buy	06/06/18	J		
47. -INVESCO FTSE RAFI DEV MKTS EFT	A	Dividend			Buy	10/23/18	J		
48.					Sold	12/06/18	J		
49. -INVESCO RAFI EMERG. MKTS ETF	A	Dividend	J	T	Buy	12/21/18	J		
50. -INVESCO RAFI US 1000 ETF	A	Dividend	K	T	Buy	12/24/18	K		
51. -INVESCO RAFI US 1500 ETF	A	Dividend	J	T	Buy	12/17/18	J		

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2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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52.					Buy (add'l)	12/18/18	J		
53. -ISHARES CORE MSCI EMERG. EFT	A	Dividend	J	T	Buy	10/26/18	J		
54. -ISHARES CORE US REIT ETF	A	Dividend	J	T	Buy	12/26/18	J		
55. -SPDR MUNI BOND ETF	A	Dividend	K	T	Buy	10/09/18	K		
56. -SPDR TIPS ETF	A	Dividend	J	T	Buy	11/02/18	J		
57. -SPDR EMERG MKTS ETF	A	Dividend	J	T	Buy	09/05/18	J		
58. Bank of Ozarks Account	C	Interest	N	T					
59. Commercial National Accounts	A	Interest	J	T					
60. Wells Fargo Accounts	A	Interest	M	T					
61. Guaranty Bond Accounts	D	Interest	N	T					
62. SEP #1 (H)									
63. American Funds The Bond Fund of America	A	Dividend	K	T					
64. American Funds Capital Income Building	A	Dividend	K	T					
65. American Funds Capital World Growth & Income Fund	B	Dividend	M	T					
66. American Funds Europacific Growth Fund	A	Dividend	K	T					
67. American Funds The Growth Fund of America	A	Dividend	M	T					
68. American Funds New Perspective Fund	A	Dividend	K	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
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3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
69. American Funds Washington Mutual Investors Fund	B	Dividend	L	T					
70. Gift 529 Moderate Growth Portfolio	A	Dividend			Sold	07/01/18	K	D	
71. 403(b) #1 (H)									
72. -Lincoln Financial Multi-Fund Select Annuity Fixed Account	A	Dividend			Sold	01/08/18	J	A	
73. -Lincoln Financial LVIP Protected Profile 2020 Fund	A	Dividend			Sold	01/08/18	J	A	
74. Lincoln Financial IRA Growth Portfolio A Fund #53	A	Dividend	J	T	Buy	01/08/18	J		
75. Red River FCU Accounts	A	Dividend			Sold	07/01/18	J		
76. Real Estate Parcel 1 - Texarkana, TX (2011 \$180,000) (X)	D	Rent	M	R					
77. Farmers Bank Account	C	Interest	N	T					

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **Robert W. Schroeder III**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544