

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2018

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Rosenbluth, Jean P.	2. Court or Organization Central District of California, Western Division	3. Date of Report 05/28/2020
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) full-time magistrate judge	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☒ Amended Report	6. Reporting Period 01/01/2018 to 12/31/2018
7. Chambers or Office Address U.S. Courthouse 225 East Temple Los Angeles, CA 90012		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

	<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1.	power of attorney	mother
2.		
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

	<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.		
2.		
3.		

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*

DATE

SOURCE AND TYPE

INCOME

(yours, not spouse's)

1.

2.

3.

4.

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*

DATE

SOURCE AND TYPE

1.

2.

3.

4.

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*

SOURCE

DATES

LOCATION

PURPOSE

ITEMS PAID OR PROVIDED

1.

2.

3.

4.

5.

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*

☒ NONE *(No reportable gifts.)*

SOURCE	DESCRIPTION	VALUE
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*

☒ NONE *(No reportable liabilities.)*

CREDITOR	DESCRIPTION	VALUE CODE
1.		
2.		
3.		
4.		
5.		

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Wells Fargo Accounts -- cash equivalent	A	Interest	L	T					
2. American Electric Power common stock	A	Dividend	J	T					
3. Schwab IRA (H)									
4. --Stone Ridge A11 Asset	A	Dividend			Sold	07/13/18	J		
5. --Robinson Tax Advantaged Inc.	A	Dividend	J	T	Buy	02/15/18	J		
6. --Doubleline Strategic Commodity	A	Dividend	J	T	Buy	07/18/18	J		
7. --Guggenheim Macro Oppty Inst	A	Dividend			Sold	02/14/18	J		
8. --Elements Emerging Mkts Port	A	Dividend	J	T					
9. --Elements Intl Small Cap Port	A	Dividend	J	T					
10. --Elements Intl Port	A	Dividend	J	T					
11. --Elements US Small Cap	A	Dividend	J	T					
12. --Elements US Port	A	Dividend	K	T	Sold (part)	10/11/18	J		
13. Bank of America Accounts -- cash equivalent	A	Interest	J	T					
14. Morgan Stanley Aggressive Growth A	A	Dividend	L	T					
15. 401(k) -- TIAA/CREF (no control) (H)									
16. --managed allocation fund (TIMRX)	A	Int./Div.	M	T					
17. --Lifecycle Retirement Income (TLRRX)	A	Int./Div.	L	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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18. Brokerage Account (H)									
19. --Berkshire Hathaway B		None	M	T					
20. --Deutsche BK Cap 7.6%	A	Dividend			Redeemed	02/20/18	K		
21. --Sector Spdr Consumer Fund ETF	A	Dividend	K	T	Sold (part)	11/05/18	J		
22. --Sector Spdr INdl Select Shares ETF	A	Dividend	K	T					
23. --Sector SPDR Tr Con Splitshares ETF	A	Dividend	K	T					
24. --Harding Loevner Emerging Markets Adv	A	Dividend	J	T					
25. --JP Morgan Small Cap Equity Fund	B	Dividend	K	T	Buy (add'l)	06/18/18	K		
26. --Schwab Health Care Fund	B	Dividend	K	T					
27. --Vanguard FTSE All World EX US ETF	A	Dividend			Sold	11/05/18	K		
28. --Schwab Gov. Money Fund	A	Interest	J	T					
29. --Investco QQQ Trust Ser I ETF (x)	A	Dividend	M	T	Sold (part)	04/25/18	K		
30. --Pimco Investment Grade Corp BD Instl	A	Dividend	L	T					
31. --Pimco Incm Inst CL	A	Dividend	M	T					
32. --Wisdomtree Intl Small Cap Divident ETF	A	Dividend	J	T	Sold (part)	12/28/18	J		
33. --Lord Abbeett Short Dur Income FD CL I-LLDYX	A	Dividend	L	T	Buy	06/18/18	L		
34. --Select SectorUTI Select SPDR ETF-XLU	A	Dividend	J	T	Buy	11/05/18	J		

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35. --Vanguard Real Estate ETF-VNQ	A	Dividend	K	T	Buy	11/05/18	K		
36. --Medtronic PLC F -MDT		None	J	T	Buy	11/05/18	J		
37. --Ridgeworth Seix Float	A	Dividend	K	T					
38. New York Times common stock	A	Dividend	J	T					
39.									
40.									

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Re Part VII, Line 30: My husband tells me the name was changed from Powershares last year to Investco.

Re Part VI, Line 27: my husband informs me that this was a mistake and that he sold Vanguard s/t Investment Grade Fund Admiral in 2017. I have thus deleted what was previously listed as line 27.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **s/ Jean P. Rosenbluth**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544