

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2018

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Santoro, Frank J.	2. Court or Organization U. S. Bankruptcy Court, EDVA	3. Date of Report 07/12/2019
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U. S. Bankruptcy Judge	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2018 to 12/31/2018
7. Chambers or Office Address 600 Granby Street Norfolk, Virginia 23510		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☒ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1.		
2.		
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1.	
2.	
3.	

FINANCIAL DISCLOSURE REPORT

Page 2 of 13

Name of Person Reporting

Santoro, Frank J.

Date of Report

07/12/2019

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☒ NONE *(No reportable non-investment income.)*

DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income - If you were married during any portion of the reporting year, complete this section.*(Dollar amount not required except for honoraria.)*☒ NONE *(No reportable non-investment income.)*

DATE	SOURCE AND TYPE
1.	
2.	
3.	
4.	

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☐ NONE *(No reportable reimbursements.)*

	SOURCE	DATES	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
1.	Southeastern Bankruptcy Law Institute	03/20/2018 to 03/24/2018	Atlanta, GA	To appear at professional education seminar	Transportation, food, and lodging
2.	Bankruptcy Bar Association for the Southern District of Florida	05/09/2018 to 05/13/2019	West Palm Beach, FL	To appear at professional education seminar	Transportation, food, and lodging
3.	Northern Virginia Bankruptcy Bar Association	06/20/2018 to 06/21/2018	Arlington, VA	To appear at professional education seminar	Lodging and transportation
4.	American Bankruptcy Institute	07/25/2018 to 07/29/2018	Amelia Island, FL	To appear at professional education seminar	Food, lodging, and transportation
5.	American Bankruptcy Institute	08/02/2018 to 08/04/2018	Hershey, PA	To appear at professional education seminar	Food, lodging, and transportation

FINANCIAL DISCLOSURE REPORT

Page 3 of 13

Name of Person Reporting

Santoro, Frank J.

Date of Report

07/12/2019

6.	Virginia Bar Association	11/16/2018 to 11/18/2018	Richmond, VA	To judge a moot court competition	Loding and transportation
7.	Allegheny County Bar Association	12/06/2018 to 12/07/2018	Pittsburgh, PA	To appear at professional education seminar	Transportation, food, and lodging

FINANCIAL DISCLOSURE REPORT

Page 4 of 13

Name of Person Reporting

Santoro, Frank J.

Date of Report

07/12/2019

V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.			
2.			
3.			
4.			
5.			

FINANCIAL DISCLOSURE REPORT

Page 5 of 13

Name of Person Reporting

Santoro, Frank J.

Date of Report

07/12/2019

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

1. Farm, 1/2 interest, Isle of Wight County, Virginia July 03		None	N	W					
2. FSNC, LLC, 88% Membership Interest		None	N	W					
3. Geeks on Call, Convertible Preferred Stock		None	J	W					
4. Waterside Capital Corporation		None	J	T					
5. First Eagle Global Fund Class A	D	Int./Div.	L	T					
6. Pimco Income Fund Class A	A	Int./Div.	L	T					
7. iShares Russell 2000 ETF	A	Dividend	K	T					
8. iShares Russell 1000 Growth	A	Dividend	K	T					
9. iShares Russell Mid-Cap Growth	A	Dividend			Sold	01/25/18	K		
10. T Rowe Price Global Tech Fund	B	Dividend	K	T					
11. Invesco Floating rate Class Fund I	A	Dividend	J	T					
12. Accenture	A	Dividend	J	T					
13. Alphabet Inc	A	Dividend	J	T					
14. Ameriprise	A	Dividend	J	T					
15. Becton Dickinson and Co	A	Dividend			Sold	02/21/18	J		
16. Boeing Company	A	Dividend			Sold	02/21/18	K		
17. Cognizant Tech Solutions	A	Dividend			Sold	05/17/18	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 6 of 13

Name of Person Reporting

Santoro, Frank J.

Date of Report

07/12/2019

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

18. Colgate Palmolive	A	Dividend	J	T					
19. Comcast Corp	A	Dividend	J	T					
20. CVS Health Corp	A	Dividend			Sold	04/11/18	J		
21. Danaher Corp	A	Dividend	J	T					
22. Ecolab Inc	A	Dividend	J	T					
23. Facebook Inc Class A	A	Dividend	J	T					
24. Home Depot Inc	A	Dividend	J	T					
25. Honeywell Inc	A	Dividend	J	T					
26. Intercontinental Exchange Group	A	Dividend	J	T					
27. Invesco Lts.	A	Dividend			Sold	03/14/18	J		
28. Medtronic	A	Dividend	J	T					
29. Mylan NV Eur	A	Dividend			Sold	08/08/18	J		
30. OReilly Automotive	A	Dividend	J	T					
31. Paraxel International Corp	A	Dividend			Sold	01/25/18	J		
32. Red Hat	A	Dividend	J	T					
33. Rockwell Collins	A	Dividend			Sold	02/21/18	J		
34. Rockwell Automation Inc	A	Dividend	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 7 of 13

Name of Person Reporting

Santoro, Frank J.

Date of Report

07/12/2019

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

35. Starbucks Corp	A	Dividend			Sold	06/04/18	J		
36. Thermo Fisher Scientific Inc	A	Dividend	J	T					
37. Time Warner Inc	A	Dividend			Sold	04/11/18	J		
38. TJX Companies Inc	A	Dividend	J	T					
39. United technologies	A	Dividend	J	T					
40. Walt Disney Company	A	Dividend	J	T					
41. Adobe Systems Delaware	A	Dividend	J	T					
42. Lowes	A	Dividend	J	T					
43. Artisan Global Value Fund Class A	A	Dividend	J	T					
44. Easton Vance Global Macro	A	Dividend			Sold	02/21/18	J		
45. Hartford Schroders Emerging Market Equity Fund	A	Dividend			Sold	01/25/18	J		
46. Virtus Short Term Sector Bond Fund	A	Dividend			Sold	05/17/18	J		
47. British American Tobacco	A	Dividend			Sold	01/10/18	J		
48. Chubb Ltd. Bond	A	Dividend	J	T					
49. Coca Cola	A	Dividend	J	T					
50. Crane Company	A	Dividend	J	T					
51. Diageo PLC	A	Dividend	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	P4 = More than \$50,000,000
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 8 of 13

Name of Person Reporting

Santoro, Frank J.

Date of Report

07/12/2019

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
52. Intel Corp	A	Dividend	J	T					
53. Lockheed Martin Corp	A	Dividend	J	T					
54. Marsh & McLennan	A	Dividend	J	T					
55. McDonalds Corp	A	Dividend	J	T					
56. Microsoft Corp	A	Dividend	J	T					
57. Nextera Energy Inc	A	Dividend	J	T					
58. Novartis	A	Dividend	J	T					
59. Pepsico Inc	A	Dividend			Sold	01/10/18	J		
60. Praxair	A	Dividend			Sold	08/08/18	J		
61. Texas Instruments	A	Dividend	J	T					
62. Union Pacific Corp	A	Dividend	J	T					
63. VF Corp	A	Dividend	J	T					
64. American Funds U S Money Market Class A	D	Dividend			Sold	01/10/18	O		
65. American Growth	E	Dividend	N	T					
66. American Global Growth	E	Dividend	M	T					
67. Ameriprise Financial	A	Dividend			Sold	03/21/18	J		
68. Blackrock Inc.	A	Dividend			Sold	02/26/18	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 9 of 13

Name of Person Reporting

Santoro, Frank J.

Date of Report

07/12/2019

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

69. Mondelez Internl	A	Dividend			Sold	06/04/18	J		
70. Parker Hannifin	A	Dividend			Sold	01/24/18	J		
71. Schlumberger	A	Dividend	J	T					
72. Harding Loevner Intrnl Equity	A	Dividend			Sold	04/12/18	K		
73. Ashmore Emerging Markets	A	Dividend			Sold	01/10/18	J		
74. Pioneer Multi Asset Ultrashort Income Fund	C	Dividend			Sold	03/13/18	K		
75. Blackrock Event Driven Equity Fund	B	Dividend			Sold	01/25/18	K		
76. Legg Mason Class I	A	Dividend			Sold	02/26/18	J		
77. Riverpark Opportunity Fund	A	Dividend			Sold	05/17/18	J		
78. Blackrock Multi Asset	B	Dividend			Sold	01/25/18	K		
79. JP Morgan Income Builder	B	Dividend	K	T					
80. Chevron	A	Dividend	J	T					
81. Johnson & Johnson	A	Dividend	J	T					
82. Suncor Energy	A	Dividend	J	T					
83. American Tower Corp REIT	A	Dividend	J	T	Buy	07/24/18	J		
84. Blackrock, INC	A	Dividend	J	T	Buy	01/24/18	J		
85. Garrett Motion INC	A	Dividend	J	T	Buy	09/19/18	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 10 of 13

Name of Person Reporting

Santoro, Frank J.

Date of Report

07/12/2019

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
86. Mondelez Interntl Inc	A	Dividend	J	T	Buy	07/24/18	J		
87. Parker Hanifin Corp	A	Dividend	J	T	Buy	02/02/18	J		
88. Residio Technologies	A	Dividend	J	T	Buy	09/18/18	J		
89. United Health	A	Dividend	J	T	Buy	07/24/18	J		
90. iShares Corp S&P Mid-Cap	B	Dividend	K	T	Buy	02/12/18	K		
91. AB Sustainable Global Fund	A	Dividend	J	T	Buy	02/12/18	J		
92. AQR Defensive Equity Fund Class A	A	Dividend	J	T	Buy	06/15/18	J		
93. Harding Loevner International Equity Fund	A	Dividend	J	T	Buy	02/12/18	J		
94. Hartford Schroders Emerging Markets Equity Fund Class I	B	Dividend	K	T	Buy	02/12/18	K		
95. PGIM JENN Global Opportunities CL Z	B	Dividend	K	T	Buy	06/15/18	K		
96. Angel Oak Multi Strategy Income Fund	A	Dividend	J	T	Buy	09/05/18	J		
97. Invesco Floating Rate Fund Class Y	B	Dividend	K	T	Buy	06/20/18	K		
98. PGIM Floating Floating RateIncome Cl Z	A	Dividend	J	T	Buy	06/15/18	J		
99. PGIM Short Duration High Yield Fund	A	Dividend	J	T	Buy	06/15/18	J		
100. Pimco Diversified Income Fund	A	Dividend	J	T	Buy	06/18/18	J		
101. Pioneer Multi Asset Ultrashort Income Fund CL Z	B	Dividend	K	T	Buy	06/20/18	K		
102. Putnam Diversified Income Fund Class Y	A	Dividend	K	T	Buy	06/15/18	K		

1. Income Gain Codes: (See Columns B1 and D4)	A ~\$1,000 or less F ~\$50,001 - \$100,000	B ~\$1,001 - \$2,500 G ~\$100,001 - \$1,000,000	C ~\$2,501 - \$5,000 H1 ~\$1,000,001 - \$5,000,000	D ~\$5,001 - \$15,000 H2 ~More than \$5,000,000	E ~\$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J ~\$15,000 or less N ~\$250,001 - \$500,000 P3 ~\$25,000,001 - \$50,000,000	K ~\$15,001 - \$50,000 O ~\$500,001 - \$1,000,000	L ~\$50,001 - \$100,000 P1 ~\$1,000,001 - \$5,000,000 P4 ~More than \$50,000,000	M ~\$100,001 - \$250,000 P2 ~\$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q ~Appraisal U ~Book Value	R ~Cost (Real Estate Only) V ~Other	S ~Assessment W ~Estimated	T ~Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 11 of 13

Name of Person Reporting

Santoro, Frank J.

Date of Report

07/12/2019

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
103. Linde PLC Eur	A	Dividend	J	T	Buy	01/24/18	J		
104. Republic Services Inc	A	Dividend	J	T	Buy	11/12/18	J		
105. Rockwell Automation Inc	A	Dividend	J	T	Buy	02/02/18	J		
106. American Growth and Income	D	Dividend	N	T					
107.									
108.									
109.									
110.									
111.									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 12 of 13

Name of Person Reporting

Santoro, Frank J.

Date of Report

07/12/2019

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Part VII.

Holdings in lines 65, 66 and 106 are mutual funds maintained at Davenport and Company.

Holdings in lines 5 through 8 inclusive, 10,11,79 and 90 through 102 inclusive are mutual funds maintained at UBS.

FINANCIAL DISCLOSURE REPORT

Page 13 of 13

Name of Person Reporting

Santoro, Frank J.

Date of Report

07/12/2019

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **Frank J. Santoro**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544