

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2018

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) SINGLETON, JAMES K.	2. Court or Organization U.S. DISTRICT COURT, ALASKA	3. Date of Report 07/01/2019
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. DISTRICT JUDGE - SENIOR STATUS	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2018 to 12/31/2018
7. Chambers or Office Address THE JAMES M. FITZGERALD UNITED STATES COURTHOUSE AND FEDERAL BUILDING 222 W. 7TH AVENUE ANCHORAGE, AK 99513-7524		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☒ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1.		
2.		
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☐ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1. 01/01/1994	STATE OF ALASKA, JUDICIAL RETIREMENT
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1. 2018	STATE OF ALASKA RETIREMENT AND BENEFITS PLAN - RETIREMENT INCOME	\$121,751.16
2. 2018	MERRILL LYNCH AS CUSTODIAN - RETIREMENT INCOME	\$2,555.87
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2018	TRANSAMERICA LIFE INSURANCE COMPANY - RETIREMENT PLAN
2. 2018	STATE OF ALASKA RETIREMENT AND BENEFITS PLAN FOR TEACHERS - RETIREMENT INCOME
3. 2018	MERRILL LYNCH AS CUSTODIAN - RETIREMENT INCOME
4.	

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☒ NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.				
2.				
3.				
4.				
5.				

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*

☒ NONE *(No reportable gifts.)*

SOURCE	DESCRIPTION	VALUE
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*

☒ NONE *(No reportable liabilities.)*

CREDITOR	DESCRIPTION	VALUE CODE
1.		
2.		
3.		
4.		
5.		

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "X" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. FNBA BANK ACCOUNT		None	M	T					
2. ALASKA SUPPLEMENTAL ANNUITY PLAN		None	N	T	Distributed (part)	12/31/18	K	E	
3. MERRILL LYNCH WEALTH MANAGEMENT ACCOUNT [REDACTED] : (H)									
4. AMN ELEC POWER CO	A	Dividend	J	T					
5. AMER EXPRESS COMPANY	A	Dividend	J	T					
6. APPLE INC	A	Dividend	J	T					
7. BANK OF NOVA SCOTIA	A	Dividend			Sold	12/24/18	J		
8. BECTON DICKENSON CO	A	Dividend	J	T	Buy	11/21/18	J		
9. COCA COLA		None			Sold	01/12/18	J	A	
10. DIAGEO PLC SPSD ADR NEW	A	Dividend	J	T	Buy (add'l)	05/01/18	J		
11. DOWDUPONT INC COM	A	Dividend	J	T					
12. DOMINION ENERGY INC	A	Dividend			Sold	03/14/18	J	A	
13. DISNEY (WALT) CO COM STK	A	Dividend	J	T					
14. EXXON MOBIL CORP COM	A	Dividend	J	T	Buy (add'l)	01/12/18	J		
15. ISHARES NASDAQ BIOTECH	A	Dividend	J	T					
16. ISHARES MSCI EAFE		None			Sold	01/30/18	K	C	
17. ISHARES IBOXX \$	A	Dividend	K	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$250,000.01 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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18. ISHARES TIPS BOND ETF	A	Dividend	J	T					
19. VANGUARD FINANCIALS ETF	A	Dividend	J	T					
20. VANGUARD INFORMATION TECH ETF	A	Dividend	J	T	Sold (part)	08/29/18	J	B	
21. VANGUARD TELECOMM SRVCS ETF		None			Sold	03/06/18	J	A	
22. INVESCO EMERGING MARKETS (PREVIOUSLY: POWERSHARES EM SOVEREIGN)	A	Dividend	J	T					
23. ISHARES 3-7 YEARS TREASURY BONDS ETF	A	Dividend	J	T					
24. ISHARES MBS ETF	A	Dividend	K	T					
25. VANGUARD INTERMEDIATE TERM BOND ETF	A	Dividend	K	T	Sold (part)	06/22/18	J		
26. ISHARES IBOXXS HIGH YIEL CORPORATE BOND	A	Dividend	J	T					
27. VANGUARD SHORT TERM BOND	A	Dividend	K	T					
28. SPDR S&P INSURANCE ETF	A	Dividend	J	T					
29. REAL ESTATE SELECT SECTOR SPDR FD SHS	A	Dividend	J	T					
30. INVESCO PREFERRED ETF (PREVIOUSLY: POWERSHARES PREFERRED)	A	Dividend	J	T					
31. HOME DEPOT INC	A	Dividend	J	T					
32. INTEL CORP		None			Sold (part)	01/12/18	J	A	
33.					Sold	01/16/18	J	A	

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34. VANECK VECTORS J.P. MORGAN EM LOCAL CURR	A	Dividend	J	T					
35. ISHARES INC CORE MSCI EMERGING MKTS ETF	A	Dividend	J	T					
36. INTL BUSINESS MACHINES CORP IBM	A	Dividend			Sold (part)	10/25/18	J		
37.					Sold	10/26/18	J		
38. JPMORGAN CHASE & CO	A	Dividend	J	T					
39. JOHNSON AND JOHNSON COM	A	Dividend	J	T	Buy (add'l)	11/02/18	J		
40. KRAFT (THE) HEINZ CO SHS		None			Sold	01/12/18	J	A	
41. METLIFE INC COM		None			Sold (part)	01/31/18	J	A	
42.					Sold	02/01/18	J	A	
43. MCDONALDS CORP COM	A	Dividend	J	T	Sold (part)	11/02/18	J	A	
44. MICROSOFT CORP	A	Dividend	J	T					
45. NEXTERA ENERGY INC SHS	A	Dividend	J	T					
46. NORTHROP GRUMMAN CORP	A	Dividend	J	T	Buy (add'l)	04/23/18	J		
47. OCCIDENTAL PETE CORP CAL	A	Dividend			Sold (part)	01/12/18	J	A	
48.					Sold	01/16/18	J	A	
49. PNC FINCL SERVICES GROUP	A	Dividend	J	T					
50. PHILLIP MORRIS INTL INC	A	Dividend			Sold	01/16/18	J	A	

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51. PFIZER INC	A	Dividend	J	T					
52. PROCTER & GAMBLE CO	A	Dividend			Sold	04/26/18	J		
53. RAYTHEON CO DELAWARE NEW	A	Dividend	J	T					
54. ROYAL DUTCH SHEL PLC	A	Dividend	J	T					
55. SIMON PROPERTY GROUP DEL	A	Dividend	J	T					
56. HEALTH CARE SELECT SPDR	A	Dividend	J	T					
57. SECTOR SPDR CONSMRS STPL	A	Dividend	J	T	Sold (part)	01/31/18	J	A	
58.					Sold (part)	03/06/18	J	A	
59. CONSUMER DISCRETIONARY SPDR	A	Dividend	J	T	Sold (part)	03/28/18	J	A	
60.					Sold (part)	10/18/18	J	A	
61. SECTOR SPDR ENERGY	A	Dividend	J	T					
62. SECTOR SPDR INDUSTRIAL	A	Dividend	J	T					
63. SECTOR SPDR UTILITIES	A	Dividend	J	T	Sold (part)	01/31/18	J	A	
64.					Sold (part)	03/06/18	J	A	
65. 3M COMPANY	A	Dividend	J	T	Sold (part)	06/21/18	J	A	
66. UNITEDHEALTH GROUP INC	A	Dividend	J	T					
67. UNITED TECHS CORP COM	A	Dividend	J	T	Buy (add'l)	11/02/18	J		

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68. VERIZON COMMUNICATNS COM	A	Dividend	J	T					
69. VISA INC CL A SHRS	A	Dividend	J	T					
70. WALMART INC	A	Dividend	J	T					
71. LOOMIS SAYLES STRATEGIC INC FD CL Y	B	Dividend	K	T					
72. ACCENTURE PLC SHS	A	Dividend	J	T	Buy	01/12/18	J		
73. ALTRIA GROUP INC	A	Dividend			Buy	01/12/18	J		
74.					Sold	04/23/18	J		
75. AMAZON COM INC COM		None	J	T	Buy	10/26/18	J		
76. AUTOMATIC DATA PROC	A	Dividend	J	T	Buy	01/12/18	J		
77.					Buy (add'l)	01/16/18	J		
78. INTUIT INC COM	A	Dividend	J	T	Buy	06/21/18	J		
79. PEPSICO INC	A	Dividend	J	T	Buy	01/12/18	J		
80.					Buy (add'l)	05/01/18	J		
81. STRYKER CORP	A	Dividend	J	T	Buy	02/26/18	J		
82.					Buy (add'l)	04/23/18	J		
83. TJX COS INC NEW	A	Dividend	J	T	Buy	06/21/18	J		
84. TRAVELERS COS INC	A	Dividend	J	T	Buy	03/13/18	J		

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85. WASTE MANAGEMENT INC NEW	A	Dividend	J	T	Buy	01/16/18	J		
86.					Sold (part)	11/02/18	J		
87. ZOETIS INC	A	Dividend	J	T	Buy	01/16/18	J		
88. COMM SERVICES SELECT	A	Dividend	J	T	Buy	10/18/18	J		
89. FIRST TRUST DOW JONES		None	J	T	Buy	03/06/18	J		
90. ISHARES TR CORE MSCI EAF ETF	A	Dividend	K	T	Buy	01/30/18	K		
91.					Sold (part)	06/20/18	J		
92. MATERIALS SELECT SECTOR	A	Dividend	J	T	Buy	03/06/18	J		
93.									
94. MANAGEMENT ACCOUNT (H)									
95. MERRILL LYNCH AS CUSTODIAN RETIREMENT ACCOUNT	C	Distribution	K	T					
96. AT&T INC	A	Dividend	J	T	Sold (part)	05/25/18	J	A	
97. JPMORGAN CHASE & CO	A	Dividend	J	T					
98. WALMART INC	A	Dividend	J	T					
99. BLACKROCK MULTIASSET	A	Dividend			Sold	08/27/18	J		
100. BLACKROCK EQTY DIVIDIEND	A	Dividend	J	T					
101. ISHARES 20+ YEARS	A	Dividend			Sold	08/24/18	J		

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102. LOOMIS SAYLES STRATEGIC	A	Dividend	J	T					
103. TEMPLETON GLBL BOND FD	A	Dividend	J	T					
104. VANGUARD MORTGAGE-BACKED SEC	A	Dividend	J	T					
105. SHARES 1-3 YEAR	A	Dividend	J	T	Buy	08/24/18	J		
106.									
107. MANAGEMENT ACCOUNT (H)									
108. MERRILL LYNCH AS CUSTODIAN RETIREMENT ACCOUNT 60539	C	Distribution	L	T					
109. JPMORGAN CHASE & CO	A	Dividend	J	T					
110. VERIZON COMMUNICATNS COM	A	Dividend	J	T					
111. BLACKROCK EQTY DIVIDEND	B	Dividend	J	T					
112. BLACKROCK GLOBAL	A	Dividend			Sold	08/23/18	J	A	
113. DELAFIELD		None			Sold	05/23/18	J		
114. FAIRHOLME FUND		None			Sold	05/23/18	J		
115. GABELLI DIV & INC TR	B	Dividend	K	T					
116. ISHARES 20+ YEAR	A	Dividend			Sold	08/23/18	J	A	
117. LOOMIS SAYLES STRATEGIC	A	Dividend	J	T					
118. TEMPLETON GLBL BOND FD	A	Dividend	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	P3 = \$25,000,001 - \$50,000,000 Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	P4 = More than \$50,000,000 S = Assessment W = Estimated	T = Cash Market	

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Name of Person Reporting

SINGLETON, JAMES K.

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
119. VANGUARD SHORT-TERM	A	Dividend	J	T					
120. VANGUARD MORTGAGE-BACKED	A	Dividend	J	T					
121. ISHARES 1-3 YEAR	A	Dividend	J	T	Buy	08/23/18	J		
122. VANGUARD TOTAL STK MKT	A	Dividend	J	T	Buy	08/23/18	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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Name of Person Reporting	Date of Report
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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

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Name of Person Reporting

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ JAMES K. SINGLETON

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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Washington, D.C. 20544