

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2018

*Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)*

1. Person Reporting (last name, first, middle initial) Parker, Thomas M.	2. Court or Organization U.S. Dist. Court. Northern Dist. of Ohio	3. Date of Report 05/03/2019
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. Magistrate Judge - full time	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2018 to 12/31/2018
7. Chambers or Office Address 801 W. Superior Ave Chambers 11B Cleveland, OH 44113		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. Member of Board of Trustees	Shelter Care, Inc.
2. Member of Board of Trustees	Urban Vision, Inc.
3. Trustee	 Irrevocable Gifting Trust FBO 1
4. Trustee	 Irrevocable Gifting Trust FBO 2
5. Trustee	 Irrevocable Gifting Trust FBO 3
6. Trustee	 Irrevocable Gifting Trust FBO 4
7. Trustee	 Irrevocable Gifting Trust FBO 5

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☐ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1. 04/01/16	Ohio Public Employees Retirement System (participant in traditional service retirement plan)
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1. 2018	Ohio Public Employees Retirement System (retirement benefit)	\$15,005.64
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2018	Christ Community Chapel (fka Hudson Community Chapel) - spouse's salary
2.	
3.	
4.	

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☒ NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.				
2.				
3.				
4.				
5.				

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.	William D. Ford Federal Direct Student Loan Program	Student Loan (co-signer)	K
2.	UBS	Line of Credit	K
3.	Federal Direct Student Loan Program	Student Loan (co-signer)	J
4.			
5.			

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. AIG Focused Dividend Strategy Fund Class W: FDSWX (Fund name changed)	B	Dividend	K	T					
2. AKRE Focus Fund Class Instl: AKRIX	A	Distribution	K	T	Buy	12/06/18	K		
3. Alger Spectra Fund, Cl Z: ASPZX	C	Distribution	K	T	Sold (part)	07/25/18	J	B	
4. American Century Heritage Investment Fund Class I: ATHIX	B	Distribution			Sold (part)	04/06/18	J	A	
5.					Sold	04/24/18	K	A	
6. American Century Equity Income Fund, Class I: ACIIX	B	Dividend	K	T	Buy	02/13/18	K		
7.					Sold (part)	04/06/18	J	A	
8.					Sold (part)	07/11/18	J	A	
9. AMG Yackman Fund Class I: YACKX		None			Sold	02/24/18	J	A	
10. AQR Managed Futures Strategy Fund Cl 1: AQMIX		None			Sold (part)	02/27/18	J	A	
11.					Sold (part)	04/06/18	J	A	
12.					Sold	04/24/18	J	A	
13. Baron Discovery Fund, Class I: BDFIX		None	K	T	Buy	12/28/18	K		
14. Blackrock High Yield Bond Fund I: BHYIX	B	Dividend	K	T	Sold (part)	06/26/18	J	A	
15. Columbia Select Large Cap Growth Fund Cl. Z: UMLGX	C	Distribution			Sold (part)	06/26/18	J	A	
16.					Sold (part)	11/13/18	J	A	
17.					Sold	12/06/18	K	A	

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

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☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code I (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

18. Delaware Value Fund Institutional Cl 1: DDVIX	B	Dividend	K	T	Buy (add'l)	06/26/18	J		
19. Double Line Total Return Fund Institutional: DBLTX	B	Dividend	K	T	Sold (part)	02/27/18	J	A	
20.					Sold (part)	04/06/18	J	A	
21.					Sold (part)	06/26/18	J	A	
22. Dreyfus/Standish Global Fixed Income Fund Cl. 1: SDGIX	B	Dividend	K	T	Sold (part)	02/27/18	J	A	
23.					Sold (part)	04/03/18	J	A	
24.					Sold (part)	04/06/18	J	A	
25.					Sold (part)	06/26/18	J	A	
26.					Sold (part)	08/09/18	J	A	
27.					Sold (part)	09/07/18	J	A	
28.					Sold (part)	12/28/18	J	A	
29. Exxon Mobil Corp common stock: XOM	A	Dividend	J	T					
30. Gabelli Small Cap Growth fund Cl. 1: GACIX	A	Dividend	K	T					
31. Invesco Large Cap Gwth ETF DE: PWB	A	Dividend	K	T	Buy	12/06/18	K		
32. Invesco S&P 500 High Divid Low Volatility ETF: SPHD (Fund Name change)	A	Dividend	K	T	Buy (add'l)	02/13/18	J		
33. Invesco S&P Midcap Low Volatility ETF: XMLV		None	K	T	Buy	12/28/18	K		
34. Janus Henderson Enterprise Fund Class I: JMGRX	B	Dividend	K	T	Buy	04/24/18	K		

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2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

35. John Hancock 2 Strategic Income Opportunity Fund Cl. 1: JIPIX	A	Dividend	K	T	Sold (part)	04/03/18	J	A	
36.					Sold (part)	06/26/18	J	A	
37. JP Morgan Mid Cap Value Fund Cl. S: JMV SX	B	Dividend			Buy (add'l)	06/26/18	J		
38.					Sold	12/28/18	K	A	
39. Legg Mason Western Asset Macro Opportunities Fund Cl. 1: LAOIX	A	Dividend	K	T	Buy (add'l)	04/24/18	J		
40.					Sold (part)	06/26/18	J	A	
41. Loomis Sayles Bond Fund Class Institutional: LSB DX	B	Dividend	K	T	Sold (part)	04/03/18	J	A	
42.					Sold (part)	06/26/18	J	A	
43. Mainstay Mainstay Floating Rate 1: MXFIX	A	Dividend	K	T	Sold (part)	06/26/18	J	A	
44. Mainstay Cushing MLP Premier Fund Class 1: CSHZX	B	Dividend			Buy (add'l)	06/26/18	J		
45.					Sold	12/28/18	J	A	
46. Oakmark International Fund Cl. 1: OAKIX	C	Dividend	K	T	Sold (part)	02/27/18	J	A	
47.					Sold (part)	04/03/18	J	A	
48.					Sold (part)	08/09/18	J	A	
49. PGIM Global Total Return Fund, Inc. Cl. Z: PZTRX (fund name change)	A	Dividend	K	T					
50. PGIM Jennison MLP Cl Z: PRPZX		None	J	T	Buy	12/28/18	J		
51. Victory RS Select Growth Fund Cl. Y: RSSYX	D	Distribution			Buy (add'l)	06/26/18	J		

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52.					Sold (part)	08/09/18	J	A	
53.					Sold	12/28/18	K	A	
54. Virtus Vontobel Emerging Markets Opportunities Fund Cl. 1: HIEMX	B	Dividend	K	T	Sold (part)	06/26/18	J	A	
55. UBS Bank	A	Interest	J	T					
56. UBS Bank (IRA Rollover Acct.)	A	Interest	K	T					
57. ISHARES IBOXX INVT GRADE BOND ETF: LQD	A	Dividend	J	T					
58. ISHARES CORE US AGGRT BOND ETF IV: AGG	B	Dividend	K	T					
59. ISHARES CORE US TREASURY BOND ETF: GOVT	A	Dividend	K	T					
60. ISHARES MSCI ACWI ETF IV: ACWI	B	Dividend	L	T					
61. ISHARES MSCI EAFE SMALL CAP ETF: SCZ	A	Dividend	K	T					
62. SPDR DOW JONES GLOBAL REAL ESTATE ETF: RWO	B	Dividend	K	T					
63. SPDR MSCI ACWI EX-US ETF: CWI	B	Dividend	L	T					
64. SPDR S&P GLOBAL INFRASTRUCTURE ETF: GII	B	Dividend	K	T					
65. SPDR S&P GLOBAL NATURAL RESOURCES ETF: GNR	B	Dividend	K	T					
66. VANGUARD FTSE EMERGING MARKETS EFT: VWO	A	Dividend	J	T					
67. VANGUARD MID CAP ETF IV: VO	A	Dividend	J	T					
68. VANGUARD MORTGAGE BACKED SEC ETF: VMBS	A	Dividend	J	T					

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69. VANGUARD RUSSELL 1000 GROWTH ETF IV: VONG	A	Dividend	K	T					
70. VANGUARD RUSSELL 1000 VALUE ETF IV: VONV	A	Dividend	K	T					
71. VANGUARD TOTAL STOCK MARKET ETF: VTI	A	Dividend	L	T					
72. Blackrock College 2020 Option A 529 Plan (Fund name change)		None	K	T					
73. Blackrock College Enrollment Option A 529 Plan (Fund name change)		None			Redeemed	12/18/18	J		
74. PNC Bank Savings Account	A	Interest	J	T					
75. PNC Bank Savings Account	A	Interest	J	T					
76.									
77.									

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

The Trustee positions I have listed in Part I are not otherwise reflected in any other part. The trusts were established by my mother prior to her death for the benefit of [REDACTED]. I received no income from my service as trustee and have no beneficial interest in trust assets. Thus, these trusts have no assets that are reportable in Part VII. Further, I caused the remaining trust assets to be issued to the beneficiaries prior to 12/31/17; the trusts were terminated in 2018 and will be eliminated from my report for 2019.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **Thomas M. Parker**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544