

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2017

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Rosenbluth, Jean P.	2. Court or Organization Central District of California, Western Division	3. Date of Report 02/03/2020
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) full-time magistrate judge	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☒ Amended Report	6. Reporting Period 01/01/2017 to 12/31/2017
7. Chambers or Office Address U.S. Courthouse 225 East Temple Los Angeles, CA 90012		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions)

☐ NONE (No reportable positions.)

	<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1.	power of attorney	mother
2.		
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions)

☒ NONE (No reportable agreements.)

	<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.		
2.		
3.		

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2017	Trustee, R 1998 Trust, Los Banos CA (not yet vested)
2.	
3.	
4.	

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.				
2.				
3.				
4.				
5.				

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*

☒ NONE *(No reportable gifts.)*

SOURCE	DESCRIPTION	VALUE
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*

☒ NONE *(No reportable liabilities.)*

CREDITOR	DESCRIPTION	VALUE CODE
1.		
2.		
3.		
4.		
5.		

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Wells Fargo Accounts (cash equivalent)	A	Interest	L	T					
2. American Electric Power common stock	A	Dividend	J	T					
3. Schwab IRA (H)									
4. --FMI Large Cap	A	Dividend			Sold	04/18/17	J		
5. --Fidelity Contrafund	A	Dividend			Sold	04/18/17	J		
6. --Voya (formerly ING Midcap Opportunity Fund)		None			Sold	04/27/17	J		
7. --Artisan International Fund	A	Dividend			Sold	05/18/17	J		
8. --Dodge & Cox International Stock Fund		None			Sold	05/17/17	J		
9. --American Beacon GLBL	A	Dividend			Sold	12/18/17	J		
10. --Angel Oak Flexible	A	Dividend			Sold	09/22/17	J		
11. --Stone Ridge A11 Asset	A	Dividend	J	T					
12. --American Beacon LargeCap		None			Sold	04/18/17	J		
13. --Driehaus Frontier		None			Sold	06/23/17	J		
14. --Oberweis Int'l Opportunity		None			Sold	05/18/17	J		
15. --Guggenheim Macro Oppty Inst	A	Dividend	J	T	Buy	09/25/17	J		
16. --Guggenheim Macro Oppty Inst	A	Dividend	J	T	Buy (add'l)	12/19/17	J		
17. --Elements Emerging Mkts Port	A	Dividend	J	T	Buy	06/26/17	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$250,000.01 - \$500,000.00	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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18. --Elements Intl Small Cap Port	A	Dividend	J	T	Buy	05/22/17	J		
19. --Elements Intl Port	A	Dividend	J	T	Buy	05/18/17	J		
20. --Elements US Small Cap	A	Dividend	J	T	Buy	04/28/17	J		
21. --Elements US Port	A	Dividend	J	T	Buy	04/19/17	J		
22. (Y)									
23. Bank of America Accounts (cash equivalent)	A	Interest	J	T					
24. Morgan Stanley Aggressive Growth A	A	Dividend	L	T					
25. 401(k) -- TIAA/CREF (no control) (H)									
26. --managed allocation fund (TIMRX)	C	Int./Div.	M	T					
27. --Lifecycle Retirement Income (TLRRX)	E	Int./Div.	M	T					
28. Brokerage Account (H)									
29. --Berkshire Hathaway B		None	M	T					
30. --Deutsche BK Cap 7.6%	C	Dividend	K	T					
31. --Speed Commerce (formerly Navaree Corp (Y)									
32. --Verizon	A	Dividend			Sold	06/22/17	J		
33. --Sector Spdr Consumer Fund ETF	A	Dividend	K	T	Sold (part)	08/01/17	K		
34. --Sector Spdr INdl Select Shares ETF	A	Dividend	L	T					

1 Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2 Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3 Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
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35. --Sector Spdr Tech Select Shares ETF	A	Dividend			Sold	06/22/17	K		
36. --Sector SPDR Tr Con Splitshares ETF	B	Dividend	L	T					
37. --Harding Loevner Emerging Markets Adv	A	Dividend	K	T					
38. --JP Morgan Small Cap Equity Fund	A	Dividend	K	T					
39. --Loomis Sayles bond fund CL R	A	Dividend			Sold	06/22/17	K		
40. --Manning & Napier World Oppty A		None			Sold	05/17/17	K		
41. --Janus Henderson (SEE PART viii)		None			Sold	06/22/17	J		
42. --Victory RS Value Fund CL A (SEE PART VIII)		None			Sold	06/22/17	K		
43. --Schwab Core Equity Fund		None			Sold	06/22/17	K		
44. --Schwab Health Care Fund	A	Dividend	K	T					
45. --Scout Intl Fund	A	Dividend			Sold	06/22/17	K		
46. --Vanguard Inflation Protected Securities Fund	A	Dividend	J	T	Sold (part)	06/22/17	K		
47. --Vanguard Inflation Protected Securities Fund	A	Dividend			Sold	11/22/17	K		
48. --Vanguard Inflation Protected Secs Adm Shr	A	Dividend	K	T	Buy	06/22/17	K		
49. --Vanguard s/t Investment Grade Fund Inv	A	Dividend			Sold	06/22/17	K		
50. --Vanguard s/t Investment Grade Fund Admiral	A	Dividend	K	T	Buy	06/22/17	K		
51. --Vanguard FTSE All World EX US ETF	A	Dividend	L	T	Buy	06/22/17	L		

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52. --Schwab Gov. Money Fund (See Part VIII)	A	Interest	K	T					
53. --Powershares QQQ Trust Ser I ETF (x)	B	Dividend	M	T	Sold (part)	11/22/17	K		
54. --Pimco Investment Grade Corp BD Instl	A	Dividend	L	T	Buy	11/22/17	L		
55. --Pimco Incm Inst CL	C	Dividend	L	T	Buy	06/22/17	L		
56. --Wisdomtree Intl Small Cap Divident ETF	A	Dividend	K	T	Buy	08/01/17	K		
57. --Ridgeworth Seix Float	A	Dividend	K	T	Buy	06/22/17	K		
58. New York Times common stock	A	Dividend	J	T					
59.									
60.									

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Re Part VII, Line 15: This was reported in years past as EMI Large Cap, based on what my husband told me. That was a mistake. It should have been FMI Large Cap, and it is already listed in Line 4.

Re Part VII, Line 24: Last year this was reported as being partially sold, but [REDACTED] apparently sold all of it.

Re Part VII, Line 34: [REDACTED] tells me the name was changed from Perkins last year to Janus Henderson.

Re Part VII, Line 35: Name change last year according to [REDACTED].

Re Part VII, Line 41: Name reported incorrectly last year.

Re Part IIIB and Part VII: The listed trust is [REDACTED] sole interest and the assets are not derived from my personal assets, income or activities; I have no knowledge of or access to the trust's assets; and I derive no benefit from them, nor will I ever, as [REDACTED] and I keep our assets separately.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **s/ Jean P. Rosenbluth**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544