

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2018

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Schanzle-Haskins, Thomas P.	2. Court or Organization United States District Court Central District of Illinois	3. Date of Report 10/10/2019
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) United States Magistrate Judge (full-time)	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☐ Annual ☐ Final 5b. ☒ Amended Report	6. Reporting Period 01/01/2018 to 12/31/2018
7. Chambers or Office Address 600 East Monroe, Rm 124 Springfield, IL 62701		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☒ NONE (No reportable positions.)

	<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1.		
2.		
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

	<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.		
2.		
3.		

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*

DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*

DATE	SOURCE AND TYPE
1. 1-12/2018	State of Illinois Retirement
2. 10/2018	Family Farm Rental
3. 1-12/2018	Midwest Region Organizing Comm, LIUNA
4.	

IV. REIMBURSEMENTS *— transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*

SOURCE	DATES	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
1.				
2.				
3.				
4.				
5.				

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.	U.S. Bank, Springfield, Illinois	Unsecured line of credit	J
2.			
3.			
4.			
5.			

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. John Hancock Life Insurance Policy, Variable Policy Managed Volatility Growth Portfolio		None	K	T					
2. Interest bearing check acct, Illinois National Bank, Springfield, IL	A	Interest	J	T					
3. Wells Fargo Savings Account	A	Dividend	J	T					
4. CAIBX Capital Income Builder	B	Dividend	L	T	Sold (part)	05/28/18	K	A	
5. AGTHX Gr Fd of Am	A	Dividend	L	T					
6. AMECX Income Fd of Am	B	Dividend	L	T					
7. AIVSX Investment Co. of Am	A	Dividend	L	T					
8. ANWPX New Perspective	A	Dividend	L	T					
9. SMCWX Small Cap World FD	A	Dividend	L	T					
10. ASBAX Short Term BD FD	A	Interest	J	T	Sold (part)	05/23/18	J	A	
11. AMZN Amazon Com Inc.		None	J	T	Sold (part)	03/29/18	J	B	
12. AMZN Amazon Com Inc.		None	J	T	Sold (part)	10/12/18	J	B	
13. FB Facebook Inc.		None			Sold	03/23/18	J	B	
14. GD Genl Dynamics Corp.	A	Dividend			Sold	06/07/18	J	B	
15. WCN Waste Connections Inc.	A	Dividend			Sold	04/12/18	J	B	
16. NEWFX New World Fd	A	Dividend	J	T					
17. LSWWX Loomis S GI Alloc	B	Dividend	K	T	Sold (part)	11/08/18	J	A	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$250,000.01 - \$500,000.00	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code I (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

18. LSWWX Loomis S GI Alloc	B	Dividend	K	T	Sold (part)	12/18/18	J	A	
19. DODBX Dodge & Cox Bal Fd	C	Dividend			Sold	12/06/18	K	A	
20. BLK Blackrock	A	Dividend			Sold	06/28/18	J	A	
21. QQQ Nasdaq 100 ETF	A	Dividend			Sold	10/12/18	J	A	
22. DFIEX DFA Intl Core Eqty Port Instl		None	J	T					
23. DFUVX DFA Large Cap Value III Portfolio		None	J	T					
24. DISVX DFA Intl Small Cap Value Port Instl		None	J	T					
25. DFCEX DFA Emerging Mkts Core Eqty Port Instl		None	J	T					
26. BOSVX Bridgeway Omni Sm Cap Value Fd		None	K	T					
27. DFBVX DFA Intl Value III Port		None	J	T					
28. DFXIX DFA Diversified Fixed Incm Port Inst		None	M	T					
29. DFQTX DFA US Core Eqty 2 Port Instl		None	K	T					
30. Schwab Money Market Cash Account	C	Int./Div.	J	T					
31. EIBLX Exton Vance Fltg Rate	A	Interest			Buy	10/12/18	K		
32. EIBLX Exton Vance Fltg Rate	A	Interest			Sold	12/11/18	K	A	
33. FNSXX Fidelity Money Market		None	K	T	Buy	12/18/18	K		
34. CI Cigna Corp	A	Dividend	J	T	Buy	07/20/18	J		

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2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

35. CI Cigna Corp	A	Dividend	J	T	Sold (part)	10/12/18	J	A	
36. CI Cigna Corp	A	Dividend	J	T	Sold (part)	11/08/18	J	A	
37. STZ Constellation Brands	A	Dividend	J	T	Buy	11/08/18	J		
38. IHI IShares Med Devices	A	Dividend	J	T	Buy	09/21/18	J		
39. IHI IShares Med Devices	A	Dividend	J	T	Sold (part)	10/12/18	J	A	
40. MA Mastercard		None	J	T	Buy	11/08/18	J		
41. EFA IShares Eafe Indx	A	Dividend			Sold	02/07/18	J	A	
42. XMLV Powershares SP 400 Low Volatility	A	Dividend			Sold	01/24/18	J	B	
43. UNH United Health	A	Dividend			Sold	02/07/18	J	A	
44. SY Y Sysco		None	J	T	Buy	11/08/18	J		
45. SY Y Sysco		None	J	T	Sold (part)	12/18/18	J	A	
46. GILHX Guggenheim Ltd Dur	A	Interest	K	T	Buy	12/11/18	K		
47. HRS Harris Corp	A	Dividend			Buy	04/12/18	J		
48. HRS Harris Corp	A	Dividend			Sold	06/07/18	J	A	
49. IJT IShares SP 600 GR	A	Dividend			Buy	03/09/18	K		
50. IJT IShares SP 600 GR	A	Dividend			Sold	12/18/18	K	A	
51. IAC IAC Interactive		None			Buy	03/29/18	J		

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52. IAC IAC Interactive		None			Sold	10/25/18	J	B	
53. PXMG Russell Midcap GR	A	Dividend			Buy	07/10/18	J		
54. PXMG Russell Midcap GR	A	Dividend	J	T	Sold (part)	10/12/18	J	A	
55. PXMG Russell Midcap GR	A	Dividend			Sold	10/18/18	J	A	
56. LOW Lowe's Co	A	Dividend			Buy	06/07/18	J		
57. LOW Lowe's Co	A	Dividend	J	T	Sold (part)	10/12/18	J	A	
58. LOW Lowe's Co	A	Dividend			Sold	10/29/18	J	A	
59. MHK Mohawk Inc		None			Buy	06/07/18	J		
60. MHK Mohawk Inc		None			Sold	09/21/18	J	A	
61. SCHW Charles Schwab Corp	A	Dividend			Buy	02/07/18	J		
62. SCHW Charles Schwab Corp	A	Dividend			Sold	06/28/18	J	A	
63. SPEM Spdremr Mkts	A	Dividend			Buy	02/07/18	J		
64. SPEM Spdremr Mkts	A	Dividend			Sold	06/21/18	J	A	
65. VFC VF Corp		None			Buy	09/21/18	J		
66. VFC VF Corp		None			Sold	10/25/18	J	A	
67. NEE Nextera Energy	A	Dividend			Buy	07/20/18	J		
68. NEE Nextera Energy	A	Dividend			Sold	09/21/18	J	A	

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69. MDY SP Midcap 400		None			Buy	01/24/18	J		
70. MDY SP Midcap 400		None			Sold	03/09/18	J	A	

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **Thomas P. Schanzle-Haskins**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544