

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2018

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Stormes, Nita L.	2. Court or Organization Southern District of Cal.	3. Date of Report 01/11/2020
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. Mag. Judge-Full Time	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☒ Amended Report	6. Reporting Period 01/01/2018 to 12/31/2018
7. Chambers or Office Address U.S. Courthouse 333 West Broadway San Diego, CA 92101-8910		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

	POSITION	NAME OF ORGANIZATION/ENTITY
1.	Trustee	Personal Trust (Assets are listed in Part VII in rows 32-94.)
2.		
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

	DATE	PARTIES AND TERMS
1.		
2.		
3.		

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☒ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2018	Jones Day (Spouse's Law Firm)
2.	
3.	
4.	

IV. REIMBURSEMENTS -- *transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☒ NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.				
2.				
3.				
4.				
5.				

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*SOURCEDESCRIPTIONVALUE

1.

2.

3.

4.

5.

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*CREDITORDESCRIPTIONVALUE CODE

1.

2.

3.

4.

5.

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. California Bank & Trust - 2 IRA Cash equivalent	A	Interest			Sold	01/01/18	K	A	
2. Vanguard CA Money Market Fund - 3	A	Interest			Sold	01/01/18	K	A	
3. Vanguard 500 Index Fund - 3	A	Dividend	K	T	Buy	01/01/18	K		
4. Fidelity Magellan Fund (Fidelity Ultra) - 1	A	Dividend	K	T					
5. Janus Mercury Fund (now Janus Research Fund) - 3	A	Dividend	K	T					
6. Janus Worldwide Fund (now Global Research Fund) - 3	A	Dividend	J	T					
7. Fidelity Puritan Fund - 3	A	Dividend	K	T					
8. Franklin Mutual Quest Fund - Z - 1	A	Dividend	K	T					
9. Oakmark Fund - 3	A	Dividend	K	T					
10. Scudder International Fund (now Deutsche CROCI International Fund) - 3	A	Dividend	J	T					
11. Vanguard Index Fund (Fidelity Ultra) - 1	A	Dividend	K	T					
12. Fidelity CA Muni Money Market (Fidelity Ultra) - 1	A	Interest	J	T					
13. Federated Kaufman Fund R - 3	A	Dividend	K	T					
14. California Bank & Trust - 1 Checking	A	Interest	N	T					
15. Vanguard CA Money Market Fund - 1	A	Interest	N	T					
16. E*Trade Tax-Exempt Cal. Fund - 1	A	Interest	J	T					
17. San Diego County Credit Union Checking - 1	A	Interest	K	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
18. San Diego County Credit Union Savings - 1	A	Interest	J	T					
19. Apple - 1	A	Dividend	K	T					
20. New Energy Technologies Inc (now SolarWindow Technologies) - 1	A	Dividend	J	T					
21. Tesla Motors Inc - 1	A	Dividend	K	T					
22. GNMA Fund Admiral Shares - 3 IRA	A	Int./Div.	N	T					
23. Primecap Fund Investor - 3 IRA	A	Int./Div.			Sold	02/06/18	L	A	
24. Total Stock Mkt Index Inv - 3 IRA	A	Int./Div.			Sold	02/06/18	N	A	
25. Wellington Fund Admiral - 3 IRA	A	Int./Div.	O	T					
26. 500 Index Fund Adm - 3 IRA	A	Int./Div.	O	T	Sold (part)	02/06/18	P1	A	
27. Vanguard Federal Money Market Fund - 3 IRA	A	Interest	P1	T	Buy	02/06/18	P1		
28. Jones Day 2020 Fund - 3 401	A	Int./Div.	N	T					
29. UBS Bank - 2T	A	Interest	K	T					
30. Angel Oak Multi Strategy Inc. Fund Class C - 2T	A	Dividend	L	T					
31. Oppenheimer Senior Floating Rate Fund Class C - 2T	A	Dividend	L	T					
32. Accenture PLC - 2T	A	Dividend	J	T					
33. Apple Inc. - 2T	A	Dividend	L	T					
34. Blackrock Inc. - 2T	A	Dividend	J	T					

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2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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35. British Amer. Tobacco - 2T	A	Dividend			Sold	11/15/18	J		
36. Chevron Corp. - 2T	A	Dividend	J	T					
37. Chubb Ltd - 2T	A	Dividend	J	T					
38. Coca Cola Co Com - 2T	A	Dividend	J	T					
39. Comcast Corp New - 2T	A	Dividend	J	T	Buy	11/13/18	J		
40. Colgate Palmolive - 2T	A	Dividend			Sold	11/15/18	J	A	
41. Crane Co - 2T	A	Dividend	J	T					
42. Diageo PLC - 2T	A	Dividend	J	T					
43. Home Depot Inc - 2T	A	Dividend	J	T					
44. Intel - 2T	A	Dividend	J	T					
45. Johnson & Johnson Com - 2T	A	Dividend	J	T	Buy	11/13/18	J		
46. JPMorgan Chase & Co - 2T	A	Dividend	J	T	Buy	01/09/18	J		
47. Invesco LTD - 2T	A	Dividend	J	T					
48. Johnson Controls - 2T	A	Dividend			Sold	11/15/18	J	A	
49. Linde PLC - 2T	A	Dividend	J	T	Buy	01/01/18	J		
50. Lockheed Martin Corp - 2T	A	Dividend	J	T					
51. Marsh & McLennan Cos Inc - 2T	A	Dividend	J	T					

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52. McDonalds Corp - 2T	A	Dividend	J	T					
53. Medtronic - 2T	A	Dividend	J	T					
54. Microsoft Corp. - 2T	A	Dividend	K	T					
55. Nextera Energy - 2T	A	Dividend	J	T					
56. Novartis - 2T	A	Dividend	J	T					
57. Pepsico Inc - 2T	A	Dividend			Sold	01/09/18	J	A	
58. Praxair Inc - 2T	A	Dividend			Sold	01/01/18	J	A	
59. Qualcomm - 2T	A	Dividend	K	T					
60. Republic Services Inc. - 2T	A	Dividend	J	T	Buy	11/13/18	J		
61. Rockwell Automatic Inc - 2T	A	Dividend	J	T					
62. Suncor Energy Inc. - 2T	A	Dividend	J	T	Buy	09/11/18	J		
63. Suntrust Banks Inc. - 2T	A	Dividend	J	T	Buy	11/13/18	J		
64. Texas Instruments 2T	A	Dividend	J	T					
65. Union Pacific Corp. - 2T	A	Dividend	J	T					
66. UNTD Technologies Corp - 2T	A	Dividend	J	T					
67. VF Corp - 2T	A	Dividend	J	T					
68. Invesco S&P 500 Equal Weight - 2T	A	Dividend	K	T	Buy	12/12/18	K		

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69. Ishares Core S&P Small-Cap - 2T	A	Dividend	K	T	Buy	01/31/18	K		
70. Ishares Core MSCI - 2T	A	Dividend	K	T	Buy	06/01/18	K		
71. SPDR S&P 500 ETF - 2T	A	Dividend	K	T					
72. Vanguard S&P 500 ETF - 2T	A	Dividend	L	T	Buy	08/17/18	L		
73. Janus Henderson Small Cap - 2T	A	Dividend	K	T	Buy	01/31/18	K		
74. Natixis Oakmark International Fund - 2T	A	Dividend	K	T					
75. Principal Sm-MD CP Div - 2T	A	Dividend	L	T					
76. T.Rowe Price Global Tech Fund - 2T	A	Dividend			Sold	12/13/18	K	A	
77. US Treasury Bill - 2T	A	Interest			Sold	12/13/18	L	A	
78. California St Pub Wks - 2T	A	Interest	K	T	Buy	09/26/18	K		
79. California General Obligation Bond - 2T	A	Interest	K	T	Buy	09/26/18	K		
80. California St Pub Wks - 2T	A	Interest	K	T	Buy	09/26/18	K		
81. California St Pub Wks - 2T	A	Interest	K	T					
82. California St Pub Wks - 2T	A	Interest	K	T					
83. Southern CA Pub Pwr - 2T	A	Interest	K	T					
84. Cajon Valley CA Un Sc - 2T	A	Interest	K	T					
85. California St Pub Wks - 2T	A	Interest	K	T					

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86. California General Obligation Bond - 2T	A	Interest	K	T					
87. Turlock CA Irr Dist - 2T	A	Interest	K	T					
88. California ST Pub Wks - 2T	A	Interest	K	T					
89. California General Obligation Bond - 2T	A	Interest	K	T					
90. Rio CA Elem Sch - 2T	A	Interest	K	T					
91. Standard CA SCH - 2T	A	Interest	K	T					
92. Southern CA Pub Pwr - 2T	A	Interest	K	T					
93. Imperial CA Irr Dist - 2T	A	Interest	K	T					
94. Pioneer Strategic Income Fund - 2T	A	Interest	K	T					

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Part I. Positions - All assets in the Personal Trust are listed in Part VII in rows 32-94.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ Nita L. Stormes

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544