

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2018

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Somers, Dale L.	2. Court or Organization U.S. Bankruptcy Court - Kansas	3. Date of Report 10/23/2019
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. Bankruptcy Judge - Active	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☒ Amended Report	6. Reporting Period 01/01/2018 to 12/31/2018
7. Chambers or Office Address 255 U.S. Courthouse 444 SE Quincy Topeka, KS 66683		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☒ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1.		
2.		
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1.	
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*DATESOURCE AND TYPEINCOME
(yours, not spouse's)

1. _____

2. _____

3. _____

4. _____

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*DATESOURCE AND TYPE

1. _____

2. _____

3. _____

4. _____

IV. REIMBURSEMENTS *— transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*SOURCEDATESLOCATIONPURPOSEITEMS PAID OR PROVIDED

1. _____

2. _____

3. _____

4. _____

5. _____

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.			
2.			
3.			
4.			
5.			

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Name of Person Reporting

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. BJD Somers Family LLC	E	Distribution	M	U					See Note in Part VIII
2. C.M. Life Insurance Company - Whole Life	B	Int./Div.	K	T					
3. C.M. Life Insurance Company - Whole Life	A	Int./Div.	J	T					
4. C.M. Life Insurance Company - Whole Life	A	Int./Div.	J	T					
5. Fidelity Brokerage Services LLC - IRA Account									See Note in Part VIII
6. -Claymore Securities Defined Portfolios	B	Dividend	K	T					
7. -Fidelity Cash Reserves	A	Int./Div.	K	T					
8. -Templeton Global Bond Fund	C	Dividend	L	T	Buy (add'l)	07/06/18	L		
9. -Harbor Bond Adm	C	Dividend	M	T	Buy (add'l)	01/11/18	K		
10.					Sold (part)	12/13/18	K	B	
11. -Ishares S&P Midcap 400 Growth	A	Dividend	K	T	Sold (part)	12/14/18	J	C	
12. -Doubleline Total Rt Bond Fd	D	Dividend	M	T	Buy (add'l)	07/06/18	J		
13. -Metropolitan West Total Return	C	Dividend	M	T	Buy (add'l)	07/06/18	K		
14.					Sold (part)	12/13/18	K	A	
15.					Sold (part)	12/14/18	J	A	
16. -Fidelity High Income	C	Dividend	K	T	Sold (part)	07/06/18	K	A	
17.					Sold (part)	11/16/18	K	C	

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
(See Column C2)	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
	U = Book Value	V = Other	W = Estimated		

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18. -Eaton Vance Floating Rate	C	Dividend	K	T	Sold (part)	11/16/18	K	B	
19. -Oakmark Intl Investor Cl	B	Dividend	L	T	Sold (part)	01/08/18	K	D	
20. -American Century Value CL I	B	Dividend	L	T	Sold (part)	07/06/18	K	C	
21. -Ishares Core S&P 500 ETF	A	Dividend	J	T	Sold (part)	07/09/18	K	C	
22.					Sold (part)	12/14/18	K	C	
23.					Sold (part)	12/17/18	J	B	
24. -Ishares S&P 500 Growth ETF	B	Dividend	M	T	Sold (part)	07/09/18	K	D	
25. -Ishares S&P 500 Value ETF	B	Dividend	L	T	Buy (add'l)	01/09/18	K		
26. -Ishares Trust Core MSCI EAFE ETF	C	Dividend	L	T					
27. -Wells Fargo Special Mid Cap CL I	A	Dividend	K	T	Sold (part)	01/11/18	J	B	
28.					Sold (part)	07/06/18	K	C	
29.					Sold (part)	12/13/18	J	A	
30. -Baird Aggregate Bond Fund Investor Cl	B	Dividend	L	T	Buy (add'l)	07/06/18	K		
31. -Ishares Core U.S. Aggregate Bond ETF	D	Dividend	N	T	Buy (add'l)	01/09/18	J		
32.					Buy (add'l)	07/09/18	L		
33.					Buy (add'l)	11/19/18	L		
34. -Glenmede Small Cap Equity-Adv	A	Dividend	K	T	Sold (part)	07/06/18	K	C	

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2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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35. -Harding Loevner Emerg Mkts Port Adv	A	Dividend	K	T	Sold (part)	07/06/18	J	B	
36.					Buy (add'l)	11/16/18	J		
37. -Ishares Inc Core MSCI Emerging Mkts	A	Dividend	K	T	Sold (part)	01/09/18	J	B	
38.					Buy (add'l)	12/14/18	K		
39. -Ishares Core S&P Small-Cap ETF	A	Dividend	J	T	Buy	01/09/18	K		
40.					Sold (part)	12/14/18	K	A	
41. -Ishares S&P Midcap 400 Value ETF	A	Dividend	J	T	Buy	07/09/18	J		
42. -The Hartford Intl Oppt Fd	A	Dividend	J	T	Buy	12/13/18	K		
43. Fidelity Brokerage Services LLC - IRA Account									See Note in Part VIII
44. -Fidelity Cash Reserves	A	Int./Div.	J	T					
45. -Harbor Bond	A	Dividend	K	T					
46. -Templeton Global Bond	A	Dividend	K	T	Buy (add'l)	12/24/18	J		
47. -Ishares S&P 500 Growth ETF	A	Dividend			Sold	12/14/18	J	B	
48. -Baird Aggregate Bond Fund Investor Cl	B	Dividend	L	T					
49. -Ishares Trust Core MSCI EAFE ETF	A	Dividend	J	T	Buy	01/12/18	J		
50. Fidelity Brokerage Services LLC - Non IRA Acct									See Note in Part VIII
51. -Fidelity Government Cash Reserves	A	Dividend	J	T					

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2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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52. -Templeton Global Bond	C	Dividend	L	T					
53. -American Century Value Fund Class I	A	Dividend	K	T					
54. -Ishares Russell 1000 Value	B	Dividend	L	T					
55. -Ishares Core S&P 500 Value ETF	A	Dividend	K	T					
56. -Ishares Core S&P 500 Growth ETF	B	Dividend	M	T	Buy (add'l)	01/09/18	K		
57. -Doubleline Total Return Bond Fund (X)	A	Dividend			Sold	12/13/18	J	A	
58. -Ishares S&P Midcap 400 Growth (X)	A	Dividend	K	T					
59. -Harding Loevner Emerg Mkts Port Adv	A	Dividend	K	T					
60. -Ishares Core U.S. Aggregate Bond	A	Dividend	K	T					
61. -Glenmede Small Cap Equity Adv	A	Dividend			Sold	11/06/18	K	A	
62. -The Hartford Intl Oppt Fd Class I		None			Sold (part)	01/08/18	L	B	
63.					Sold (part)	07/06/18	J	A	
64.					Sold	11/05/18	L	A	
65. -Ishares Inc Core MSCI Emerging Mkts		None			Sold	01/09/18	J	A	
66. -Ishares Trust Core MSCI EAFE ETF	B	Dividend	L	T	Buy	01/09/18	J		
67.					Buy (add'l)	01/12/18	J		
68.					Buy (add'l)	11/06/18	L		

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69. -Ishares Core S&P Small-Cap ETF	A	Dividend	K	T	Buy	01/12/18	J		
70.					Buy (add'l)	11/07/18	K		
71. -Ishares S&P Midcap 400 Value ETF	A	Dividend	J	T	Buy	07/09/18	J		
72. Fidelity Brokerage Services LLC - Roth IRA Account									See Note in Part VIII
73. -Fidelity Government Cash Reserves	A	Dividend	J	T					
74. -Ares Capital Corp Com Stk	A	Dividend			Open	12/13/18	J		
75.					Sold	12/26/18	J	A	
76. -Villere Balanced	A	Dividend			Open	12/13/18	K		
77.					Sold	12/24/18	J	A	
78. -Baird Aggregate Bond Fund	A	Dividend	J	T	Buy	12/24/18	J		
79. -Templeton Global Bond		None	J	T	Buy	12/24/18	J		
80. -Ishares S&P Mid Cap 400 Growth ETF		None	J	T	Buy	12/26/18	J		
81. Fidelity Brokerage Services LLC - IRA Account									See Note in Part VIII
82. -Fidelity Government Cash Reserves	A	Dividend	J	T					
83. -Ishares Select Dividend ETF	A	Dividend			Open	12/13/18	K		
84.					Sold	12/26/18	K	A	
85. -Ishares TR 20 yr TR Bond ETF	A	Dividend			Open	12/13/18	J		

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2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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86.					Sold	12/26/18	J	A	
87. -Ishares Trust 1-3 Year Treasury Bond	A	Dividend			Open	12/13/18	J		
88.					Sold	12/26/18	J	A	
89. -Ishares US Real Estate ETF	A	Dividend			Open	12/13/18	J		
90.					Sold	12/26/18	J	A	
91. -Ishares TR IBOX HI YD ETF	A	Dividend			Open	12/13/18	J		
92.					Sold	12/26/18	J	A	
93. -Ishares TR MBS ETF	A	Dividend			Open	12/13/18	K		
94.					Sold	12/26/18	K	A	
95. -Ishares TR Intrm TR CRP ETF	A	Dividend			Open	12/13/18	J		
96.					Sold	12/26/18	J	A	
97. -Ishares TR 3 7 yr Treas Bond	A	Dividend			Open	12/13/18	J		
98.					Sold	12/26/18	J	A	
99. -Ishares TR Lng TR CRPRT Bond	A	Dividend			Open	12/13/18	K		
100.					Sold	12/26/18	K	A	
101. -Ishares US ETF TR IT RT HDG HGYL		None			Open	12/13/18	K		
102.					Sold	12/26/18	J	A	

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103. -Ishares US ETF TR INT RT HD Long		None			Open	12/13/18	J		
104.					Sold	12/26/18	J	A	
105. -Ishares Trust 0-5yr High Yield	A	Dividend			Open	12/13/18	J		
106.					Sold	12/26/18	J	A	
107. -Ishares Mortgage Real Estate ETF	A	Dividend			Open	12/13/18	J		
108.					Sold	12/26/18	J	A	
109. -Vaneck Vectors ETF TR High Yld Mun ETF		None			Open	12/13/18	J		
110.					Sold	12/26/18	J	A	
111. -AQR Equity Market Neutral FD	A	Dividend			Open	12/18/18	J		
112.					Sold	12/24/18	J	A	
113. -AQR Managed Futures Fund		None			Open	12/18/18	J		
114.					Sold	12/24/18	J	A	
115. -Doubleline Total RT Bond Fund	A	Dividend	J	T	Open	12/18/18	J		
116. -Eaton Vance Global Macro Abslste RT		None			Open	12/18/18	J		
117.					Sold	12/24/18	J	A	
118. -ASG Managed Futures Strategy Fund		None			Open	12/18/18	J		
119.					Sold	12/24/18	J	A	

1. Income Gain Codes: (See Columns B1 and D4)	A ~\$1,000 or less F ~\$50,001 - \$100,000	B ~\$1,001 - \$2,500 G ~\$100,001 - \$1,000,000	C ~\$2,501 - \$5,000 H1 ~\$1,000,001 - \$5,000,000	D ~\$5,001 - \$15,000 H2 ~More than \$5,000,000	E ~\$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J ~\$15,000 or less N ~\$250,001 - \$500,000	K ~\$15,001 - \$50,000 O ~\$500,001 - \$1,000,000	L ~\$50,001 - \$100,000 P1 ~\$1,000,001 - \$5,000,000	M ~\$100,001 - \$250,000 P2 ~\$5,000,001 - \$25,000,000	P4 ~More than \$50,000,000
3. Value Method Codes (See Column C2)	Q ~Appraisal U ~Book Value	R ~Cost (Real Estate Only) V ~Other	S ~Assessment W ~Estimated	T ~Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
120. -Pimco Commodity Real Return Inst		None			Open	12/18/18	J		
121.					Sold	12/24/18	J	A	
122. -Glenmede Small Cap Equity		None	J	T	Buy	12/24/18	J		
123. -Templeton Global Bond		None	J	T	Buy	12/24/18	J		
124. -The Hartford Intl Oppt Fund	A	Dividend	K	T	Buy	12/24/18	K		
125. -Ishares Core US Aggr Bond ETF		None	L	T	Buy	12/26/18	L		
126. -Ishares Inc Core MSCI Emerging Mkts		None	J	T	Buy	12/26/18	J		
127. -Ishares S&P Midcap 400 Value		None	J	T	Buy	12/26/18	J		
128. -Ishares S&P 500 Growth ETF		None	K	T	Buy	12/26/18	K		
129. -Ishares S&P 500 Value ETF		None	L	T	Buy	12/26/18	L		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

- A. Item #1 BJD Somers Family LLC - I am a member, I am not an officer or board member and I have no managerial responsibilities.
- B. Items listed in #6 through #42 are all assets of Fidelity Brokerage Services LLC IRA account identified in #5 above.
- C. Items listed in #44 through #49 are all assets of Fidelity Brokerage Services LLC IRA account identified in #43 above.
- D. Items listed in #51 through #71 are all assets of Fidelity Brokerage Services LLC non-IRA account identified in #50 above.
- E. Items listed in #73 through #80 are all assets of Fidelity Brokerage Services LLC Roth IRA account identified in #72 above.
- F. Items listed in #82 through #129 are all assets of Fidelity Brokerage Services LLC IRA account identified in #81 above.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **Dale L. Somers**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
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