

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2018

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Moberly, Robyn L.	2. Court or Organization U.S. Bankruptcy Court for the Southern District of Indiana	3. Date of Report 07/23/2019
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. Bankruptcy Judge- Active	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2018 to 12/31/2018
7. Chambers or Office Address 317 Birch Bayh Federal Building and Federal Courthouse 46 East Ohio Street Indianapolis, Indiana 46204		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☒ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1.		
2.		
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☐ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1. 2004	Indiana State Judiciary Vested Pension (defined benefit pension plan)
2.	
3.	

FINANCIAL DISCLOSURE REPORT

Page 2 of 11

Name of Person Reporting

Moberly, Robyn L.

Date of Report

07/23/2019

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1. 2018	Indiana State Judiciary Vested Pension	\$76,505.00
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2018	Self-employed (attorney)
2.	
3.	
4.	

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☒ NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.				
2.				
3.				
4.				
5.				

FINANCIAL DISCLOSURE REPORT

Page 3 of 11

Name of Person Reporting

Moberly, Robyn L.

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07/23/2019

V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.		
2.		
3.		
4.		
5.		

FINANCIAL DISCLOSURE REPORT

Page 4 of 11

Name of Person Reporting

Moberly, Robyn L.

Date of Report

07/23/2019

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Regions Banks (cash)	A	Interest	L	T					
2. BMO Harris Bank (cash)	A	Interest	J	T					
3. IRA #1 (H)									
4. Oppenheimer Rising Dividends Fund Class A (OARDX)	A	Dividend	J	T					
5. Oppenheimer Capital Appreciation Fund Class A (OPTFX)	A	Dividend	J	T					
6. Oppenheimer Main Street Fund Class A (MSIGX)	C	Dividend	K	T					
7. Oppenheimer International Growth Fund Class A (OIGAX)	A	Dividend	J	T					
8. IRA #2 (H)									
9. Value Line Larger Companies Fund	D	Dividend	K	T					
10. IRA #3 (H)									
11. Value Line Larger Companies Fund	D	Dividend	L	T					
12. IRA #4 (H)									
13. Bank Of America, NA (formerly Merrill Lynch Bank Deposit) (cash)	A	Interest	K	T					
14. Apple Inc (AAPL)	A	Dividend	K	T					
15. Avanos Medical Inc Reg (AVNS)		None	J	T					
16. Cigna Corp Reg SHS (CI)		None	J	T	Buy	12/24/18	J		
17. Coca Cola Com (KO)	A	Dividend	K	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$250,000.001 - \$500,000.000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 5 of 11

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☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
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18. Express Scripts Hldg Co (ESRX)		None			Sold	12/24/18	J	B	
19. Halyard Health Inc (HYH)		None			Sold	07/02/18	J		
20. Eli Lilly & Co (LLY)	A	Dividend	K	T					
21. Intl Business Machines (IBM)	B	Dividend	K	T					
22. Kimberly Clark (KMB)	A	Dividend	K	T					
23. Merck and Co Inc Shs (MRK)	A	Dividend	K	T					
24. American Capital World Growth & Income (CWGFX)	B	Dividend	K	T					
25. American Growth Fund of American (GFAFX)	C	Dividend	K	T					
26. Clearbridge Aggressive Growth Fund (SHRAX)	B	Dividend	J	T					
27. IRA #5 (H)									
28. Bank of America, NA (formerly Merrill Lynch Bank Deposit) (cash)	A	Interest	J	T					
29. Alphabet inc SHS (GOOG)		None	M	T					
30. Apple Inc (AAPL)	A	Dividend	K	T					
31. Coca Cola Com (KO)	A	Dividend	K	T					
32. Eli Lilly & Co (LLY)	A	Dividend	K	T					
33. Intl Business Machines (IBM)	A	Dividend	J	T					
34. Merck and Co Inc SHS (MRK)	A	Dividend	J	T					

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2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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FINANCIAL DISCLOSURE REPORT

Page 6 of 11

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35. American Capital World Growth & Income (CWGFX)	B	Dividend	K	T					
36. American Growth Fund of American (GFAFX)	C	Dividend	K	T					
37. American Capital Income Builder FD (CIBFX)	A	Dividend	K	T					
38. Clearbridge Aggressive Growth Fund (SHRAX)	C	Dividend	K	T					
39. Brokerage #1 - (H)									
40. Schwab One Sweep Acct (cash)	A	Interest	L	T					
41. CIT Bank (cash)	A	Interest	K	T					
42. American Express (cash)	A	Interest	K	T					
43. Synchrony Bank (cash)	A	Interest	J	T					
44. AT&T Inc (T)	D	Dividend	M	T					
45. Amer Electric Pwr Co (AEP)	A	Dividend	J	T					
46. Auto Data Processing (ADP)	A	Dividend	J	T					
47. Chevron Corp (CVX)	D	Dividend	M	T					
48. Cisco Systems Inc (CSCO)	A	Dividend	J	T					
49. Emerson Electric Co (EMR)	A	Dividend	J	T					
50. Exxon Mobile Corp (XOM)	B	Dividend	K	T					
51. Honeywell Intl Inc (HON)	A	Dividend	J	T					

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FINANCIAL DISCLOSURE REPORT

Page 7 of 11

Name of Person Reporting

Moberly, Robyn L.

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52. IBM Corp (IBM)	A	Dividend	J	T					
53. Johnson & Johnson (JNJ)	A	Dividend	J	T					
54. Microsoft Corp (MSFT)	A	Dividend	J	T					
55. Nuveen Quality Municipal (NAD)	A	Dividend	J	T					
56. Proctor & Gamble (PG)	A	Dividend	J	T					
57. Qualcomm Inc (QCOM)	A	Dividend	J	T					
58. Raytheon Co (RTN)	A	Dividend	J	T					
59. Southwest Airlines (LUV)	A	Dividend	J	T					
60. Target Corp (TGT)	A	Dividend	J	T					
61. Texas Instruments (TXN)	A	Dividend	J	T					
62. The Coca Cola Co (KO)	A	Dividend	J	T					
63. Union Pacific Corp (UNP)	A	Dividend	J	T					
64. United Technologies (UTX)	A	Dividend	J	T					
65. Verizon Communicatn (VZ)	A	Dividend	J	T					
66. Wal-mart Stores (WMT)	A	Dividend	J	T					
67. 3M Co (MMM)	A	Dividend	J	T					
68. iShares Russell Mid Cap Growth ETF (IWP)		None	K	T	Buy	12/18/18	K		

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FINANCIAL DISCLOSURE REPORT

Page 8 of 11

Name of Person Reporting

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Date of Report

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69. IShares Russell Mid Cap Value ETF (IWS)		None	K	T	Buy	12/18/18	K		
70. AB Sustainable Global Thematic A (ALTFX)	A	Dividend	J	T					
71. American Fd Amcap Fund F2 (AMCFX)		None			Sold	02/08/18	K		
72. American Fd Amcap Fund F3 (FMACX)	B	Dividend			Buy	02/08/18	K		
73.					Sold	12/17/18	K		
74. Artisan Intl Fund Adv (APDIX)		None			Buy	03/22/18	K		
75.					Sold	11/19/18	K		
76. DFA Intl Value Port Instl (DFIVX)		None	K	T	Buy	12/18/18	K		
77. Diamond Hill Small Cap (DHSIX)		None			Sold	12/12/18	K		
78. Dodge & Cox Stock Fund (DODGX)	B	Dividend			Sold	12/17/18	L		
79. Fuller & Thaler Behavioral Sm Cap Eqty (FTHSX)		None	K	T	Buy	12/18/18	K		
80. Hartford Small Cap Growth (HSLYX)		None			Sold	12/12/18	K		
81. Matthews Asia Dividend Fund Instl (MIPIX)	B	Dividend			Buy	03/22/18	K		
82.					Sold	12/12/18	K		
83. Primecap Odyssey Growth (POGRX)	A	Dividend	K	T					
84. T Rowe Price Mid Cap Growth Fd (RPTIX)		None			Sold	12/12/18	K		
85. T Rowe Price Mid Cap Val FD (TRMIX)		None			Sold	12/12/18	K		

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FINANCIAL DISCLOSURE REPORT

Page 9 of 11

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86. T Rowe Price Gwth Stock (PRUFX)		None	K	T	Buy	12/18/18	K		
87. Vanguard Value Index Admiral Share (VVIAX)	A	Dividend	L	T	Buy	12/19/18	L		
88. Public Storage REIT (PSA)	B	Dividend	L	T					
89. Misc. Holdings (H)									
90. Moberly Investments LLC	B	Royalty	L	U					

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FINANCIAL DISCLOSURE REPORT

Page 10 of 11

Name of Person Reporting

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

In prior reports, like holdings were grouped in Part VII. For clarity in this and future reports, Part VII has been restructured by account and organized in conformity with corresponding brokerage statements. As a result, various line items in the 2017 report correspond with two or more entries in the current report. Duplicate entries have been deleted. This is not indicative of new assets or reportable transactions.

Part VII, lines 2, 15, 19 and 40: These items are reportable in 2018; there are not corresponding reportable transactions.

Part VII, lines 6 and 70: Name changes; see 2017, lines 42 and 28, respectively.

Part VII, lines 41-43: These are CDs; names have been modified in accordance with brokerage statements and page 35 of the filing instructions. See 2017, lines 49, 20, and 37, respectively.

Part VII, line 90: Underlying investments include a small collection of oil and mineral rights in Texas, Oklahoma, and New Mexico; no control.

Part VII, Column B: Lines reflecting no income are so reported because none was attributed to those particular holdings during the reporting period, whether taxable, tax exempt, or tax deferred, or because the investments do not allocate income to individual holdings, but instead are credited only in unit value, per page 42 of the filing instructions.

FINANCIAL DISCLOSURE REPORT

Page 11 of 11

Name of Person Reporting

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07/23/2019

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ Robyn L. Moberly

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544