

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2018

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Rueter, Thomas J.	2. Court or Organization USDC - EDPA	3. Date of Report 05/03/2019
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) USMJ - Full Time	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2018 to 12/31/2018
7. Chambers or Office Address 3000 U.S. Courthouse 601 Market Street Philadelphia, Pa 19106		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☒ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1.		
2.		
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1.	
2.	
3.	

FINANCIAL DISCLOSURE REPORT

Page 2 of 7

Name of Person Reporting

Rueter, Thomas J.

Date of Report

05/03/2019

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*DATESOURCE AND TYPEINCOME
(yours, not spouse's)

1. _____

2. _____

3. _____

4. _____

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*DATESOURCE AND TYPE

1. 2018

Montgomery County Medical Associates.

2. _____

3. _____

4. _____

IV. REIMBURSEMENTS *— transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*SOURCEDATESLOCATIONPURPOSEITEMS PAID OR PROVIDED

1. _____

2. _____

3. _____

4. _____

5. _____

FINANCIAL DISCLOSURE REPORT

Page 3 of 7

Name of Person Reporting

Rueter, Thomas J.

Date of Report

05/03/2019

V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.	U.S. Department of Education	Parent Plus Loan (College Tuition)	J
2.	Wells Fargo Bank	Mortgage Rental Property - [REDACTED] (PT VII, Line 4)	O
3.			
4.			
5.			

FINANCIAL DISCLOSURE REPORT

Page 4 of 7

Name of Person Reporting

Rueter, Thomas J.

Date of Report

05/03/2019

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Police & Fire Credit Union Sav.	A	Interest	J	T					
2. Merck & Co. - Common Stock	A	Dividend			Sold	09/26/18	J	D	
3. Glaxo Holdings - Common Stock	A	Dividend			Sold	09/26/18	J	B	
4. [REDACTED] rental property 3/27/96 -- \$194,000.00	D	Rent	O	R					
5. Double LineTTL Ret CL1	A	Dividend			Sold	09/19/18	K		
6. Fidelity Intl Cap App 1	A	Dividend	J	T	Sold (part)	09/19/18	K	D	
7. Janus Flexible Bond 1	A	Dividend			Sold	09/19/18	K		
8. Lord Abbott Short DUR F	A	Dividend	K	T	Sold (part)	09/19/18	K		
9. Oppenheimer SR FLTG Rate Y	A	Dividend	J	T	Sold (part)	07/25/18	J	A	
10. Powershares S&P 500 ETF (Invesco)	A	Dividend			Sold	09/19/18	K	C	
11. Principal Midcap 1	A	Dividend			Sold	09/19/18	J	C	
12. T Rowe Price DIVR SM Cap	A	Dividend	J	T	Sold (part)	09/19/18	J	B	
13. Templeton Inc GLB BD ADV	A	Dividend			Sold	09/19/18	J	A	
14. Vanguard DVD Apprec ETF	A	Dividend			Sold	09/19/18	K	D	
15. Vanguard HGH DVD YLD ETF	A	Dividend	J	T	Sold (part)	09/19/18	K	C	
16. Fidelity Intl Cap App 1	A	Dividend	K	T	Sold (part)	09/19/18	K	D	
17. iShares Core MSCI ETF	A	Dividend	J	T	Buy	09/19/18	J		

1. Income Gain Codes:	A = \$1,000 or less (See Columns B1 and D4)	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes	F = \$50,001 - \$100,000 (See Columns C1 and D3)	J = \$15,000 or less K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes	N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market

FINANCIAL DISCLOSURE REPORT

Page 5 of 7

Name of Person Reporting

Rueter, Thomas J.

Date of Report

05/03/2019

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

18. iShares Core S&P 500 ETF	A	Dividend	J	T	Buy	09/19/18	J		
19. Black Rock Strat.	A	Dividend	K	T	Buy	09/19/18	K		
20. Vanguard Reit ETF	A	Dividend	J	T	Buy	09/19/18	J		
21. Vanguard Mid Cap ETF	A	Dividend	J	T	Buy	09/19/18	J		
22. Alps Sector Div ETF	A	Dividend	J	T	Buy	09/19/18	J		
23. Delaware Emerging Market	A	Dividend	J	T	Buy	09/19/18	J		
24. First Eagle Global	A	Dividend	J	T	Buy	09/19/18	J		
25. Invesco High Yield ETF	A	Dividend	J	T	Buy	09/19/18	J		
26. iShares Core US Aggregative Bond ETF	A	Dividend	K	T	Buy	09/19/18	K		
27. Pimco Invest. Bond	A	Dividend	K	T	Buy	09/19/18	K		
28. SPDR S&P Div. Achievers ETF	A	Dividend	J	T	Buy	09/19/18	J		
29. Templeton Global Total Return	A	Dividend	J	T	Buy	09/19/18	J		
30.									
31.									
32.									
33.									
34.									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 6 of 7

Name of Person Reporting

Rueter, Thomas J.

Date of Report

05/03/2019

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

FINANCIAL DISCLOSURE REPORT

Page 7 of 7

Name of Person Reporting

Rueter, Thomas J.

Date of Report

05/03/2019

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **Thomas J. Rueter**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544