

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2018

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Ryu, Donna M.	2. Court or Organization United States District Court; Northern District of California	3. Date of Report 07/31/2019
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) Magistrate Judge, Full-Time	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2018 to 12/31/2018
7. Chambers or Office Address United States District Court 1301 Clay Street Oakland, CA 94612		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. Co-Trustee	Revocable Trust Agreement re family member's estate
2. Lecturer	University of California, Berkeley Law School
3.	
4.	
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☐ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1. July 2002	University of California Retirement Plan (pension on retirement)
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1. Jan-Dec	University of California, Berkeley Law School	\$4,428.76
2. Jan-Dec	University of California Retirement System (pension payments) (see Part II)	\$20,192.62
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☒ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1.	
2.	
3.	
4.	

IV. REIMBURSEMENTS -- *transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☒ NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.				
2.				
3.				
4.				
5.				

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.			
2.			
3.			
4.			
5.			

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1.									
2.									
3.									
4. TIAA- CREF 529 College Savings Plan (H)									
5. -Age Based Portfolio - 18 & Over Index	A	Int./Div.	J	T					
6.									
7. Wells Fargo Bank Accounts	A	Int./Div.	J	T					
8.									
9. Provident Credit Union Accounts	A	Int./Div.	J	T					
10.									
11. Capitolone Accounts	A	Int./Div.	L	T					
12.									
13. Brokerage--Indiv. TOD Renamed to Trust (H)									
14. -Wilshire Large Company Growth Fund (DTLGX)	A	Dividend	J	T	Buy (add'l)	12/17/18	J		
15. -Wilshire Large Cap Core Fund (WLCTX)	A	Dividend	J	T	Buy (add'l)	12/17/18	J		
16.	A	Dividend	J	T	Buy (add'l)	12/28/18	J		
17. -Wilshire Small Company Growth Fund (DTSGX)	A	Int./Div.	J	T	Buy (add'l)	12/17/18	J		

1. Income Gain Codes:	A ~\$1,000 or less	B ~\$1,001 - \$2,500	C ~\$2,501 - \$5,000	D ~\$5,001 - \$15,000	E ~\$15,001 - \$50,000
(See Columns B1 and D4)	F ~\$50,001 - \$100,000	G ~\$100,001 - \$1,000,000	H1 ~\$1,000,001 - \$5,000,000	H2 ~More than \$5,000,000	
2. Value Codes	J ~\$15,000 or less	K ~\$15,001 - \$50,000	L ~\$50,001 - \$100,000	M ~\$100,001 - \$250,000	
(See Columns C1 and D3)	N ~\$250,001 - \$500,000	O ~\$500,001 - \$1,000,000	P1 ~\$1,000,001 - \$5,000,000	P2 ~\$5,000,001 - \$25,000,000	
	P3 ~\$25,000,001 - \$50,000,000		P4 ~More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

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☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

18. -Wilshire Small Company Value Fund (DTSVX)	A	Int./Div.	J	T	Buy (add'l)	12/17/18	J		
19. -Wilshire Large Company Value Fund (DTLVX)	A	Int./Div.	J	T	Buy (add'l)	02/28/18	J		
20.	A	Int./Div.	J	T	Buy (add'l)	12/17/18	J		
21.	A	Int./Div.	J	T	Buy (add'l)	12/28/18	J		
22. -Wilshire Income Fund (WIORX)	A	Dividend	J	T	Buy (add'l)	12/28/18	J		
23. -Fidelity Spartan 500 Index Fund (FUSVX)	A	Dividend			Sold	08/02/18	J	A	
24. -Fidelity US Bond Index Fund (FSITX)	A	Dividend			Buy (add'l)	01/03/18	J		
25.	A	Dividend			Buy (add'l)	02/02/18	J		
26.	A	Dividend			Buy (add'l)	03/02/18	J		
27.	A	Dividend			Buy (add'l)	04/02/18	J		
28.	A	Dividend			Buy (add'l)	05/02/18	J		
29.	A	Dividend			Buy (add'l)	06/04/18	J		
30.	A	Dividend			Buy (add'l)	07/03/18	J		
31.	A	Dividend			Buy (add'l)	08/02/18	J		
32.	A	Dividend			Buy (add'l)	09/05/18	J		
33.	A	Dividend			Buy (add'l)	10/02/18	J		
34.	A	Dividend			Sold	11/05/18	J	A	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

35. -Fidelity US Bond Index Fund (FXNAX)	A	Dividend	J	T	Buy	11/05/18	J		
36. -Vanguard Emerging Markets Stock Index Fund (VEMAX)	A	Dividend	J	T	Buy (add'l)	02/28/18	J		
37.	A	Dividend	J	T	Buy (add'l)	03/26/18	J		
38.	A	Dividend	J	T	Buy (add'l)	06/22/18	J		
39.	A	Dividend	J	T	Buy (add'l)	09/26/18	J		
40.	A	Dividend	J	T	Buy (add'l)	12/24/18	J		
41. -Vanguard BD Index Short Term BD ETF (BSV)	A	Dividend	J	T	Buy	08/02/18	J		
42.	A	Dividend	J	T	Buy (add'l)	09/07/18	J		
43.	A	Dividend	J	T	Buy (add'l)	10/05/18	J		
44.	A	Dividend	J	T	Buy (add'l)	11/06/18	J		
45.	A	Dividend	J	T	Buy (add'l)	12/06/18	J		
46.	A	Dividend	J	T	Buy (add'l)	12/31/18	J		
47. -Vanguard Index Md-Cap ETF (VO)	A	Dividend	J	T	Buy	08/02/18	J		
48.	A	Dividend	J	T	Buy (add'l)	10/03/18	J		
49.	A	Dividend	J	T	Buy (add'l)	12/28/18	J		
50. -Cash Account FlexInsured CFID	A	Dividend	J	T	Buy	01/12/18	J		
51.	A	Dividend	J	T	Sold (part)	01/16/18	J	A	

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3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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52.	A	Dividend	J	T	Buy (add'l)	01/17/18	J		
53.	A	Dividend	J	T	Buy (add'l)	02/07/18	J		
54.	A	Dividend	J	T	Buy (add'l)	02/15/18	J		
55.	A	Dividend	J	T	Buy (add'l)	02/26/18	J		
56.	A	Dividend	J	T	Buy (add'l)	02/27/18	J		
57.	A	Dividend	J	T	Sold (part)	02/28/18	J	A	
58.	A	Dividend	J	T	Buy (add'l)	03/02/18	J		
59.	A	Dividend	J	T	Buy (add'l)	03/14/18	J		
60.	A	Dividend	J	T	Buy (add'l)	03/15/18	J		
61.	A	Dividend	J	T	Buy (add'l)	04/13/18	J		
62.	A	Dividend	J	T	Sold (part)	04/16/18	J	A	
63.	A	Dividend	J	T	Buy (add'l)	05/16/18	J		
64.	A	Dividend	J	T	Buy (add'l)	06/18/18	J		
65.	A	Dividend	J	T	Buy (add'l)	06/18/18	J		
66.	A	Dividend	J	T	Buy (add'l)	07/13/18	J		
67.	A	Dividend	J	T	Sold (part)	07/17/18	J	A	
68.	A	Dividend	J	T	Buy (add'l)	07/24/18	J		

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69.	A	Dividend	J	T	Buy (add'l)	08/06/18	J		
70.	A	Dividend	J	T	Buy (add'l)	08/16/18	J		
71.	A	Dividend	J	T	Buy (add'l)	08/17/18	J		
72.	A	Dividend	J	T	Buy (add'l)	09/10/18	J		
73.	A	Dividend	J	T	Buy (add'l)	09/14/18	J		
74.	A	Dividend	J	T	Buy (add'l)	10/04/18	J		
75.	A	Dividend	J	T	Buy (add'l)	10/09/18	J		
76.	A	Dividend	J	T	Buy (add'l)	10/15/18	J		
77.	A	Dividend	J	T	Buy (add'l)	10/16/18	J		
78.	A	Dividend	J	T	Buy (add'l)	11/07/18	J		
79.	A	Dividend	J	T	Buy (add'l)	11/15/18	J		
80.	A	Dividend	J	T	Sold (part)	11/28/18	J	A	
81.	A	Dividend	J	T	Buy (add'l)	12/04/18	J		
82.	A	Dividend	J	T	Buy (add'l)	12/07/18	J		
83.	A	Dividend	J	T	Buy (add'l)	12/17/18	J		
84.	A	Dividend	J	T	Buy (add'l)	12/20/18	J		
85.	A	Dividend	J	T	Buy (add'l)	12/31/18	J		

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86.									
87. Brokerage--IRA Rollover (H)									
88. -VANGUARD MALVERN FDS STRM (VTIP)	A	Dividend	J	T	Buy (add'l)	06/21/18	J		
89.	A	Dividend	J	T	Buy (add'l)	09/27/18	J		
90.	A	Dividend	J	T	Buy (add'l)	10/12/18	J		
91.	A	Dividend	J	T	Buy (add'l)	12/18/18	J		
92.	A	Dividend	J	T	Sold (part)	12/21/18	J	A	
93. -SPDR GOLD TR GOLD SHS (GLD)			J	T	Buy (add'l)	10/08/18	J		
94.			J	T	Buy (add'l)	11/14/18	J		
95. -ISHARES TR MSCI USA VALUE FACTOR ETF (VLUE)	A	Dividend	J	T	Buy (add'l)	03/12/18	J		
96.	A	Dividend	J	T	Sold (part)	03/26/18	J	A	
97.	A	Dividend	J	T	Buy (add'l)	03/28/18	J		
98.	A	Dividend	J	T	Buy (add'l)	04/25/18	J		
99.	A	Dividend	J	T	Buy (add'l)	07/02/18	J		
100.	A	Dividend	J	T	Buy (add'l)	10/02/18	J		
101.	A	Dividend	J	T	Sold (part)	12/06/18	J	A	
102.	A	Dividend	J	T	Sold (part)	12/17/18	J	A	

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2. Value Codes (See Columns C1 and D3)	J ~\$15,000 or less N ~\$250,001 - \$500,000 P3 ~\$25,000,001 - \$50,000,000	K ~\$15,001 - \$50,000 O ~\$500,001 - \$1,000,000	L ~\$50,001 - \$100,000 P1 ~\$1,000,001 - \$5,000,000 P4 ~More than \$50,000,000	M ~\$100,001 - \$250,000 P2 ~\$5,000,001 - \$25,000,000	
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103.	A	Dividend	J	T	Buy (add'l)	12/21/18	J		
104. -ISHARES TR MSCI USA QUALITY FACTOR ETF (QUAL)	A	Dividend			Sold (part)	02/09/18	J	A	
105.	A	Dividend			Sold (part)	03/23/18	J	A	
106.	A	Dividend			Buy (add'l)	03/28/18	J		
107.	A	Dividend			Buy (add'l)	05/11/18	K		
108.	A	Dividend			Buy (add'l)	07/02/18	J		
109.	A	Dividend			Sold (part)	08/13/18	J	A	
110.	A	Dividend			Buy (add'l)	10/02/18	J		
111.	A	Dividend			Sold (part)	10/25/18	J	A	
112.	A	Dividend			Sold	12/17/18	J	A	
113. -INDEX IQ ETF TR IQ MERGER ARBITRAGE ETF (MNA)	A	Dividend	J	T	Buy (add'l)	01/04/18	J		
114. -CLS FLEXIBLE INCOME FUND CLASS N (CLFLX)	A	Dividend	L	T	Buy (add'l)	02/28/18	J		
115.	A	Dividend	L	T	Buy (add'l)	03/29/18	J		
116.	A	Dividend	L	T	Buy (add'l)	04/30/18	J		
117.	A	Dividend	L	T	Buy (add'l)	05/31/18	J		
118.	A	Dividend	L	T	Buy (add'l)	06/29/18	J		
119.	A	Dividend	L	T	Buy (add'l)	07/31/18	J		

1. Income Gain Codes: (See Columns B1 and D4)	A ~\$1,000 or less F ~\$50,001 - \$100,000	B ~\$1,001 - \$2,500 G ~\$100,001 - \$1,000,000	C ~\$2,501 - \$5,000 H1 ~\$1,000,001 - \$5,000,000	D ~\$5,001 - \$15,000 H2 ~More than \$5,000,000	E ~\$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J ~\$15,000 or less N ~\$250,001 - \$500,000 P3 ~\$25,000,001 - \$50,000,000	K ~\$15,001 - \$50,000 O ~\$500,001 - \$1,000,000	L ~\$50,001 - \$100,000 P1 ~\$1,000,001 - \$5,000,000 P4 ~More than \$50,000,000	M ~\$100,001 - \$250,000 P2 ~\$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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Name of Person Reporting

Ryu, Donna M.

Date of Report

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
120.	A	Dividend	L	T	Buy (add'l)	08/31/18	J		
121.	A	Dividend	L	T	Buy (add'l)	09/28/18	J		
122.	A	Dividend	L	T	Buy (add'l)	10/31/18	J		
123.	A	Dividend	L	T	Buy (add'l)	11/30/18	J		
124.	A	Dividend	L	T	Buy (add'l)	12/27/18	J		
125. -CLS GROWTH AND INCOME FUND CLASS N (CLERX)	A	Dividend	L	T	Buy (add'l)	12/27/18	J		
126. -CLS GLOBAL DIVERSIFIED EQUITY FUND CLASS N (CLSAX)	A	Dividend	L	T	Sold (part)	12/04/18	J	A	
127.	A	Dividend	L	T	Buy (add'l)	12/27/18	J		
128. -ISHARES TR MSCI EAFE ETF (IEFA)	A	Dividend			Sold	02/13/18	J	A	
129. -COLUMBIA ADAPTIVE ALTS FUND (CLFUX)	A	Dividend			Sold (part)	10/08/18	J	A	
130.	A	Dividend			Sold	11/14/18	J	A	
131. -CLS GLOBAL AGGRESSIVE EQUITY (CLACX)	A	Dividend	K	T	Buy (add'l)	12/27/18	J		
132. -GLOBAL LONG/SHORT CREDIT - CLASS A (BGCAX)	A	Dividend	J	T	Buy (add'l)	12/24/18	J		
133. -ISHARES INC CORE MSCI MMKT (IEMG)	A	Dividend			Sold	02/09/18	K	A	
134. -ISHARES TR MSCI ACWIETF (ACWI)	A	Dividend	J	T	Sold (part)	02/01/18	J	A	
135.	A	Dividend	J	T	Buy (add'l)	11/27/18	J		
136.	A	Dividend	J	T	Sold (part)	12/20/18	J	A	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
137.	A	Dividend	J	T	Buy (add'l)	12/24/18	J		
138. -RYDEX ETF TR GUGGENHEIM S&P 500/INVESCO EXC TRADE S&P 500 ETF (RSP)	A	Dividend			Sold (part)	03/14/18	K	A	
139.	A	Dividend			Buy (add'l)	03/29/18	K		
140.	A	Dividend			Buy (add'l)	06/29/18	K		
141.	A	Dividend			Sold (part)	08/13/18	K	A	
142.	A	Dividend			Buy (add'l)	09/13/18	K		
143.	A	Dividend			Sold	10/25/18	K	A	
144. -RYDEX ETF TR GUGGENHEIM S&P 500 PURE VALUE ETF (RPV)					Sold	02/06/18	K	A	
145. -JOHN HANCOCK ABSOLUTE RETURN CURRENCY FUND CLASS A (JCUAX)	A	Dividend	J	T	Buy (add'l)	12/21/18	J		
146. -AQR MANAGED FUTURES STRATEGY FUND I (AQMIX)					Sold	04/02/18	J	A	
147. -BLACKSTONE ALTERNATIVE MULTI- STRATEGY INST CLASS (BXMIX)	A	Dividend	J	T	Buy (add'l)	12/19/18	J		
148. -CLS INTERNATIONAL EQUITY FUND CLASS N (CLHAX)	A	Dividend	J	T	Buy (add'l)	12/04/18	J		
149.	A	Dividend	J	T	Buy (add'l)	12/27/18	J		
150. -SPDR SERIES TRUST BLMBRG BRC CNVRT (CWB)	A	Dividend			Buy (add'l)	03/07/18	J		
151.	A	Dividend			Buy (add'l)	04/06/18	J		
152.	A	Dividend			Buy (add'l)	05/07/18	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
153.	A	Dividend			Buy (add'l)	06/07/18	J		
154.	A	Dividend			Buy (add'l)	07/09/18	J		
155.	A	Dividend			Buy (add'l)	08/07/18	J		
156.	A	Dividend			Buy (add'l)	09/10/18	J		
157.	A	Dividend			Buy (add'l)	10/05/18	J		
158.	A	Dividend			Buy (add'l)	11/07/18	J		
159.	A	Dividend			Sold	12/21/18	J	A	
160.					Sold	11/26/18	J	A	
161.									
161.	-BARCLAYS BK PLX DJUBS CMDT ETN36 (DJP)				Sold	11/26/18	J	A	
161.	-ISHARES TR MSCI EAFEVALUE ETF (EFV)	A	Dividend		Sold (part)	02/06/18	J	A	
162.									
162.					Buy (add'l)	11/27/18	J		
163.									
163.					Buy (add'l)	12/24/18	J		
164.									
164.					Sold	12/26/18	J	A	
165.									
165.	-EATON VANCE GLOBAL MACRO ABSOLUTE RETURN ADV FUND CL I (EGRIX)				Buy	04/27/18	J		
166.									
166.					Sold	11/14/18	J	A	
167.									
167.	-JP MORGAN RESEARCH MARKET NEUTRAL FUND CLASS A (JMNAX)				Sold	04/27/18	J	A	
168.									
168.	-POWERSHARES GLOBAL EXCHANGE TRADED FD TR S&P 500 (PBP)	A	Dividend		Buy	01/02/18	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000 J = \$15,000 or less	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000 K = \$15,001 - \$50,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000 L = \$50,001 - \$100,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000 M = \$100,001 - \$250,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
169.	A	Dividend			Buy (add'l)	10/18/18	J		
170.	A	Dividend			Sold	12/24/18	J	A	
171. -HORIZONS ETF TR I HORIZONS NASDAQ 100 COVERED CALL ETF (QYLD)	A	Dividend			Buy (add'l)	01/30/18	J		
172.	A	Dividend			Buy (add'l)	02/27/18	J		
173.	A	Dividend			Buy (add'l)	03/27/18	J		
174.	A	Dividend			Buy (add'l)	05/01/18	J		
175.	A	Dividend			Buy (add'l)	05/29/18	J		
176.	A	Dividend			Buy (add'l)	06/26/18	J		
177.	A	Dividend			Buy (add'l)	07/31/18	J		
178.	A	Dividend			Buy (add'l)	08/28/18	J		
179.	A	Dividend			Buy (add'l)	10/02/18	J		
180.	A	Dividend			Sold	10/12/18	J	A	
181. -POWERSHARES/INVESCO EXC- TRADED FD TR II S&P 500 LOW VOLATILITY (SPLV)	A	Dividend	J	T	Buy	02/01/18	J		
182.	A	Dividend	J	T	Buy (add'l)	02/06/18	J		
183.	A	Dividend	J	T	Buy (add'l)	02/09/18	J		
184.	A	Dividend	J	T	Buy (add'l)	02/28/18	J		

1. Income Gain Codes: (See Columns B1 and D4)	A ~\$1,000 or less F ~\$50,001 - \$100,000	B ~\$1,001 - \$2,500 G ~\$100,001 - \$1,000,000	C ~\$2,501 - \$5,000 H1 ~\$1,000,001 - \$5,000,000	D ~\$5,001 - \$15,000 H2 ~More than \$5,000,000	E ~\$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J ~\$15,000 or less N ~\$250,001 - \$500,000 P3 ~\$25,000,001 - \$50,000,000	K ~\$15,001 - \$50,000 O ~\$500,001 - \$1,000,000	L ~\$50,001 - \$100,000 P1 ~\$1,000,001 - \$5,000,000 P4 ~More than \$5,000,000	M ~\$100,001 - \$250,000 P2 ~\$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
185.	A	Dividend	J	T	Sold (part)	03/12/18	J	A	
186.	A	Dividend	J	T	Buy (add'l)	03/29/18	J		
187.	A	Dividend	J	T	Sold (part)	04/25/18	J	A	
188.	A	Dividend	J	T	Buy (add'l)	04/30/18	J		
189.	A	Dividend	J	T	Buy (add'l)	05/31/18	J		
190.	A	Dividend	J	T	Buy (add'l)	06/22/18	J		
191.	A	Dividend	J	T	Buy (add'l)	06/29/18	J		
192.	A	Dividend	J	T	Sold (part)	07/23/18	J	A	
193.	A	Dividend	J	T	Buy (add'l)	07/31/18	J		
194.	A	Dividend	J	T	Buy (add'l)	08/31/18	J		
195.	A	Dividend	J	T	Buy (add'l)	09/28/18	J		
196.	A	Dividend	J	T	Buy (add'l)	10/05/18	J		
197.	A	Dividend	J	T	Buy (add'l)	10/31/18	J		
198.	A	Dividend	J	T	Buy (add'l)	11/30/18	J		
199.	A	Dividend	J	T	Buy (add'l)	12/10/18	J		
200.	A	Dividend	J	T	Buy (add'l)	12/31/18	J		
201.	A	Dividend	J	T	Buy	02/06/18	J		
-ISHARES EDGE MSCI MIN VOL ETF AVG (ACWV)									

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
202.	A	Dividend	J	T	Sold (part)	03/12/18	J	A	
203.	A	Dividend	J	T	Buy (add'l)	03/14/18	J		
204.	A	Dividend	J	T	Buy (add'l)	06/25/18	J		
205.	A	Dividend	J	T	Sold (part)	08/08/18	J	A	
206.	A	Dividend	J	T	Buy (add'l)	08/13/18	J		
207.	A	Dividend	J	T	Sold (part)	09/13/18	J	A	
208.	A	Dividend	J	T	Buy (add'l)	10/11/18	J		
209.	A	Dividend	J	T	Buy (add'l)	10/12/18	J		
210.	A	Dividend	J	T	Sold (part)	11/27/18	J	A	
211.	A	Dividend	J	T	Buy (add'l)	12/10/18	J		
212.	A	Dividend	J	T	Buy (add'l)	12/24/18	J		
213. -ASG MANAGED FUTURES FUND Y (ASFYX)	A	Dividend			Buy	03/29/18	J		
214.	A	Dividend			Buy (add'l)	04/11/18	J		
215.	A	Dividend			Sold	10/26/18	J	A	
216. -SPDR BLOOMBERG BARCLAYS 1-3 MNTH T BILL ETF (BIL)	A	Dividend	K	T	Buy	12/17/18	J		
217.		Dividend	K	T	Buy (add'l)	12/26/18	J		
218. -ISHARES MSCI EAFE MIN VOL ETF AVG (EFAV)					Buy	05/16/18	J		

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
219.					Sold	06/15/18	J	A	
220. -SCHWAB STRATEGIC TR SCHWAB FUNDAMENTAL US SMALL CO INDEX ETF (FNDA)	A	Dividend	J	T	Buy	07/18/18	J		
221.	A	Dividend	J	T	Sold (part)	12/10/18	J	A	
222. -GOLDMAN SACHS ABSOLUTE RETURN TRACKER FD (GJRTX)	A	Dividend	J	T	Buy	11/14/18	J		
223.	A	Dividend	J	T	Buy (add'l)	12/12/18	J		
224.	A	Dividend	J	T	Buy (add'l)	12/31/18	J		
225. -ISHARES/HORIZONS ETF S&P 500 (HSPX)			J	T	Buy	12/21/18	J		
226. -ISHARES TR CONV BD ETF (ICVT)			J	T	Buy	12/21/18	J		
227. -JOHN HANCOCK GLOBAL ABSOLUTE RETURN (JHAIX)			J	T	Buy	11/14/18	J		
228. -JP MORGAN EXCHANGE TRADED FD INTL EQUITY ETF (JPIN)	A	Dividend	K	T	Buy	03/12/18	K		
229.	A	Dividend	K	T	Sold (part)	10/12/18	K	A	
230. -ISHARES TR EDGE MSCIUSA MOMENTUM FACTOR ETF (MTUM)	A	Dividend			Buy	03/12/18	K		
231.	A	Dividend			Sold	06/22/18	K	A	
232.	A	Dividend			Buy	08/08/18	K		
233.	A	Dividend			Sold	10/05/18	K	A	
234.	A	Dividend			Buy	11/29/18	K		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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Name of Person Reporting

Ryu, Donna M.

Date of Report

07/31/2019

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

235.	A	Dividend			Sold	12/20/18	K	A	
236. -WISDOMTREE TR CBOE S&P500 (PUTW)			J	T	Buy	12/24/18	J		
237. -POWERSHARES EXCHANGE TRADED FD TR II FTSE RAFI EMERGING MARKETS (PXH)	A	Dividend			Buy	03/12/18	K		
238.	A	Dividend			Sold	05/16/18	K	A	
239.	A	Dividend			Buy	06/15/18	K		
240.	A	Dividend			Sold (part)	12/10/18	K	A	
241.	A	Dividend			Sold	12/17/18	K	A	
242. -ISHARES TR SHORT TREAS (SHV)			K	T	Buy	12/20/18	K		
243. -ISHARES TR MSCI USA MIN VOL ETF (USMV)			K	T	Buy	02/07/18	K		
244.			K	T	Buy (add'l)	02/09/18	J		
245.			K	T	Sold (part)	03/12/18	K	A	
246.			K	T	Buy (add'l)	03/23/18	K		
247.			K	T	Sold (part)	05/11/18	K	A	
248.			K	T	Buy (add'l)	10/25/18	K		
249.			K	T	Sold (part)	11/29/18	K	A	
250.			K	T	Buy (add'l)	12/06/18	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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Ryu, Donna M.

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

251. -VANGUARD INTL EQUITY INDEX FDS GLOBAL EX-US REAL ESTATE ETF (VNQI)	A	Dividend			Buy	03/12/18	K		
252.	A	Dividend			Sold	10/11/18	K	A	
253. -FEDERATED TREASURY OBLIGATIONS			J	T	Sold (part)	01/02/18	J	A	
254.			J	T	Sold (part)	01/16/18	J	A	
255.			J	T	Buy (add'l)	01/22/18	J		
256.			J	T	Buy (add'l)	01/23/18	J		
257.			J	T	Buy (add'l)	01/25/18	J		
258.			J	T	Buy (add'l)	01/31/18	J		
259.			J	T	Buy (add'l)	02/06/18	J		
260.			J	T	Sold (part)	02/18/18	J	A	
261.			J	T	Sold (part)	02/13/18	J	A	
262.			J	T	Buy (add'l)	02/20/18	J		
263.			J	T	Buy (add'l)	02/23/18	J		
264.			J	T	Buy (add'l)	02/28/18	J		
265.			J	T	Buy (add'l)	03/01/18	J		
266.			J	T	Buy (add'l)	03/08/18	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

267.			J	T	Buy (add'l)	03/15/18	J		
268.			J	T	Buy (add'l)	03/19/18	J		
269.			J	T	Buy (add'l)	03/20/18	J		
270.			J	T	Sold (part)	03/27/18	J	A	
271.			J	T	Buy (add'l)	03/29/18	J		
272.			J	T	Sold (part)	04/02/18	J	A	
273.			J	T	Buy (add'l)	04/09/18	J		
274.			J	T	Sold (part)	04/16/18	J	A	
275.			J	T	Buy (add'l)	04/17/18	J		
276.			J	T	Buy (add'l)	04/20/18	J		
277.			J	T	Buy (add'l)	04/23/18	J		
278.			J	T	Buy (add'l)	04/30/18	J		
279.			J	T	Buy (add'l)	05/02/18	J		
280.			J	T	Buy (add'l)	05/08/18	J		
281.			J	T	Buy (add'l)	05/14/18	J		
282.			J	T	Buy (add'l)	05/16/18	J		
283.			J	T	Buy (add'l)	05/17/18	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	P3 = \$25,000,001 - \$50,000,000 Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	P4 = More than \$50,000,000 S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
284.			J	T	Sold (part)	05/18/18	J	A	
285.			J	T	Sold (part)	05/21/18	J	A	
286.									
287. Brokerage--Roth IRA (H)									
288. -Vanguard Total International Stock Index Fund ETF (VXUS)	A	Dividend	J	T	Buy (add'l)	04/02/18	J		
289.	A	Dividend	J	T	Buy (add'l)	06/27/18	J		
290.	A	Dividend	J	T	Buy (add'l)	10/01/18	J		
291.	A	Dividend	J	T	Buy (add'l)	12/28/18	J		
292. -Vanguard Total Stock Market ETF (VTI)	A	Dividend	J	T	Sold (part)	01/10/18	J		
293.	A	Dividend	J	T	Buy (add'l)	03/27/18	J		
294.	A	Dividend	J	T	Buy (add'l)	06/27/18	J		
295.	A	Dividend	J	T	Buy (add'l)	10/03/18	J		
296.	A	Dividend	J	T	Buy (add'l)	12/28/18	J		
297. -Vanguard Total Bond Market ETF (BND)	A	Dividend	J	T	Buy (add'l)	01/10/18	J		
298.	A	Dividend	J	T	Buy (add'l)	02/06/18	J		
299.	A	Dividend	J	T	Buy (add'l)	03/06/18	J		
300.	A	Dividend	J	T	Buy (add'l)	04/05/18	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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Ryu, Donna M.

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
301.	A	Dividend	J	T	Buy (add'l)	05/04/18	J		
302.	A	Dividend	J	T	Buy (add'l)	06/06/18	J		
303.	A	Dividend	J	T	Buy (add'l)	07/06/18	J		
304.	A	Dividend	J	T	Buy (add'l)	08/06/18	J		
305.	A	Dividend	J	T	Buy (add'l)	09/06/18	J		
306.	A	Dividend	J	T	Buy (add'l)	10/04/18	J		
307.	A	Dividend	J	T	Buy (add'l)	11/06/18	J		
308.	A	Dividend	J	T	Buy (add'l)	12/06/18	J		
309.	A	Dividend	J	T	Buy (add'l)	12/31/18	J		
310.	-Vanguard Total International Bond Index ETF (BNDX)	Dividend	J	T	Buy (add'l)	02/08/18	J		
311.	A	Dividend	J	T	Buy (add'l)	03/06/18	J		
312.	A	Dividend	J	T	Buy (add'l)	04/05/18	J		
313.	A	Dividend	J	T	Buy (add'l)	05/04/18	J		
314.	A	Dividend	J	T	Buy (add'l)	06/06/18	J		
315.	A	Dividend	J	T	Buy (add'l)	07/06/18	J		
316.	A	Dividend	J	T	Buy (add'l)	08/06/18	J		
317.	A	Dividend	J	T	Buy (add'l)	09/07/18	J		

1. Income Gain Codes: (See Columns B1 and D4)	A ~\$1,000 or less F ~\$50,001 - \$100,000	B ~\$1,001 - \$2,500 G ~\$100,001 - \$1,000,000	C ~\$2,501 - \$5,000 H1 ~\$1,000,001 - \$5,000,000	D ~\$5,001 - \$15,000 H2 ~More than \$5,000,000	E ~\$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J ~\$15,000 or less N ~\$250,001 - \$500,000 P3 ~\$25,000,001 - \$50,000,000	K ~\$15,001 - \$50,000 O ~\$500,001 - \$1,000,000	L ~\$50,001 - \$100,000 P1 ~\$1,000,001 - \$5,000,000 P4 ~More than \$5,000,000	M ~\$100,001 - \$250,000 P2 ~\$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
318.	A	Dividend	J	T	Buy (add'l)	10/05/18	J		
319.	A	Dividend	J	T	Buy (add'l)	11/06/18	J		
320.	A	Dividend	J	T	Buy (add'l)	12/06/18	J		
321.	A	Dividend	J	T	Buy (add'l)	12/31/18	J		
322. -Money Market/FDIC Insured Bank Deposit Fund (CFIQ) (PER989909)			J	T	Buy	05/21/18	J		
323.			J	T	Buy (add'l)	06/07/18	J		
324.			J	T	Buy (add'l)	06/28/18	J		
325.			J	T	Buy (add'l)	07/09/18	J		
326.			J	T	Sold (part)	07/17/18	J	A	
327.			J	T	Buy (add'l)	08/07/18	J		
328.			J	T	Buy (add'l)	09/07/18	J		
329.			J	T	Buy (add'l)	09/10/18	J		
330.			J	T	Buy (add'l)	10/02/18	J		
331.			J	T	Buy (add'l)	10/04/18	J		
332.			J	T	Buy (add'l)	10/05/18	J		
333.			J	T	Buy (add'l)	10/09/18	J		
334.			J	T	Sold (part)	10/16/18	J	A	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
335.			J	T	Sold (part)	10/23/18	J	A	
336.			J	T	Buy (add'l)	11/07/18	J		
337.			J	T	Buy (add'l)	12/31/18	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Part I:

Line 1: Trust for the benefit of family member.

-I am not the owner or grantor of the trust, I am solely the co-trustee of a revocable trust created for the benefit of a family member.

-I am a co-trustee for the convenience of my family member, and I have not received any income through the trust or trust assets. In an abundance of caution, I had previously listed the trust property (my mother's house) in Section VII, but that have since been instructed not to list the house in Section VII, since it is not an investment, and I do not receive any income of any kind from the house or the trust.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **Donna M. Ryu**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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