

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2018

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) SEYMOUR, STEPHANIE K.	2. Court or Organization U.S. COURT OF APPEALS	3. Date of Report 07/20/2019
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. CIRCUIT JUDGE--SENIOR	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2018 to 12/31/2018
7. Chambers or Office Address 4-562 U.S. COURTHOUSE 333 WEST FOURTH TULSA, OK 74103-3877		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1. Vice President of Board of Directors	Crested Butte Music Festival, Crested Butte, CO
2.	
3.	
4.	
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1.	
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2018	self-employed - lawyer
2.	
3.	
4.	

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.				
2.				
3.				
4.				
5.				

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*

☒ NONE *(No reportable gifts.)*

SOURCE	DESCRIPTION	VALUE
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*

☒ NONE *(No reportable liabilities.)*

CREDITOR	DESCRIPTION	VALUE CODE
1.		
2.		
3.		
4.		
5.		

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Bank of Okla. Accts	A	Interest	J	T					
2. Prosperity Bank Accounts	A	Interest	K	T					
3. UBS Accounts	A	Interest			Closed	11/18/18	L		
4. Raymond James Accounts	A	Interest	N	T	Open	11/18/18	L		
5. Lot, Mt. Crested Butte, CO (\$81,000, 8/10/94)		None	O	R					
6. IRA #5 (H)									
7. -Double Line Total Return Fund Instl (DBLTX) (mutual fund)	A	Dividend	J	T					
8. -Loomis Sayles Investment Grade Bd Cl Y (LSHX) (mutual fund)	A	Dividend	J	T	Sold (part)	02/09/18	J		
9. -TCW Emerging Markets Income Fd Cl I (TGEIX) (mutual fund)	A	Dividend	J	T	Buy (add'l)	02/09/18	J		
10.					Sold (part)	06/28/18	J	B	
11. -Virtus Multi-Sector Short Term Bond (PIMSX) (mutual fund)	A	Dividend	J	T	Sold (part)	02/09/18	J		
12. -Blackrock Strategic Income I (BSHX) (mutual fund)	A	Dividend	K	T	Sold (part)	02/09/18	J		
13.					Sold (part)	11/02/18	J		
14. -Columbia Income Opportunities FD Class Z (CIOZX) (mutual fund)	A	Dividend			Sold	02/09/18	J		
15. -Blackrock Global Long/Short Credit FD I (BGCIX) (mutual fund)		None	J	T	Sold (part)	02/09/18	J		
16. -Blackrock Multi-Asset Income Portfolio Instl (BIHCX) (mutual fund)	A	Dividend	J	T	Sold (part)	02/09/18	J		
17. -Lord Abnett Short Duration Income Fd (LDLFX) (mutual fund)	A	Dividend	J	T					

1. Income Gain Codes:	A = \$1,000 or less (See Columns B1 and D4)	B = \$1,001 - \$2,500 F = \$50,001 - \$100,000	C = \$2,501 - \$5,000 G = \$100,001 - \$1,000,000	D = \$5,001 - \$15,000 H1 = \$1,000,001 - \$5,000,000	E = \$15,001 - \$50,000 H2 = More than \$5,000,000
2. Value Codes	J = \$15,000 or less (See Columns C1 and D3)	K = \$15,001 - \$50,000 N = \$250,001 - \$500,000	L = \$50,001 - \$100,000 O = \$500,001 - \$1,000,000	M = \$100,001 - \$250,000 P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000 P4 = More than \$50,000,000
3. Value Method Codes	Q = Appraisal (See Column C2)	R = Cost (Real Estate Only) U = Book Value	S = Assessment V = Other	T = Cash Market W = Estimated	

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18. -Mainstay Short Duration High Yd Fd Class I (MDHIX) (mutual fund)	A	Dividend			Sold	02/09/18	J		
19. -Mainstay Incom Builder I (MTOIX) (mutual fund)	A	Dividend			Sold (part)	02/09/18	J		
20.					Sold	06/28/18	J		
21. -Guggenheim Macro Opportunities Fd Cl (GIOIX) (mutual fund)	A	Dividend	J	T	Sold (part)	02/09/18	J		
22. -Vanguard Total Bond MKT (BND) (ETF)	A	Dividend			Sold	02/09/18	J		
23. -Vanguard Total Intl BD (BNDX) (ETF)	A	Dividend	J	T					
24. -Invesco Core Bond Plus Fund (CPBYX) (mutual fund)	A	Dividend	J	T	Sold (part)	02/09/18	J		
25. -SPDR Double Line TR Tact ETF (TOTL) (ETF)	A	Dividend	J	T					
26. -AB Global Bond Fund (ANAYX) (mutual fund)	A	Dividend	J	T					
27. -Invesco Floating Rate Fund Class Y (AFRYX) (mutual fund)	A	Dividend			Sold	02/09/18	J		
28. -Guggenheim Total Return Bond Fund (GIBIX) (mutual fund)	A	Dividend	K	T	Buy	02/09/18	K		
29. -Vanguard Wellesley Income Fund (VW1AX) (mutual fund)	A	Dividend	J	T	Buy	02/09/18	J		
30.					Sold (part)	06/28/18	J		
31. -Invesco Bulletshares 2019 HY Corp Bond (BSJJ) (ETF)	A	Dividend	K	T	Buy	06/28/18	K		
32. -Spdr Bloomberg Barclays 1-2 mo. t-bill (BIL) (ETF)	A	Dividend	K	T	Buy	05/03/18	J		
33.					Buy (add'l)	06/28/18	J		
34. IRA #6 (H)									

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2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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35. -Double Line Total Return Fund Instl (DBLTX) (mutual fund)	B	Dividend	K	T	Sold (part)	02/09/18	J		
36. -Loomis Sayles Investment Grade Bond (LSHX) (mutual fund)	A	Dividend	K	T	Sold (part)	02/09/18	J		
37. -TCW Emerging Markets Income Fd Class I (TGEIX) (mutual fund)	B	Dividend	K	T	Buy (add'l)	02/09/18	J		
38.					Sold (part)	06/28/18	J		
39. -Virtus Multi-Sector Short Term Bond (PMSX) (mutual fund)	B	Dividend	K	T					
40. -Blackrock Strategic Income I (BSHX) (mutual fund)	B	Dividend	L	T	Sold (part)	02/09/18	J		
41. -Columbia Income Opportunities FD (CIOZX) (mutual fund)	A	Dividend			Sold	02/09/18	K	A	
42. -Blackrock Global Long/Short Credit FD I (BGCIX) (mutual fund)	B	Dividend	K	T	Sold (part)	02/09/18	J		
43. -Blackrock Multi-Asset Income Portfolio Instl (BIICX) (mutual fund)	B	Dividend	K	T	Sold (part)	02/09/18	J		
44. -Lord Abbett Short Duration Inc Fd (LDLFX) (mutual fund)	B	Dividend	K	T					
45. -Mainstay Short Duration High Yield Fd Cl (MDHIX) (mutual fund)		None			Sold	02/09/18	K		
46. -Guggenheim Macro Opportunities Fd (GIOIX) (mutual fund)	B	Dividend	K	T	Sold (part)	02/09/18	J		
47. -Mainstay Incom Building I (MTOIX) (mutual fund)	A	Dividend			Sold (part)	02/09/18	J		
48.					Sold	06/28/18	K		
49. -AB Global Bond Fund Class Advisor (ANAYX) (mutual fund)	B	Dividend	K	T					
50. -Invesco Core Bond Plus Fund Class Y (CPBYX) (mutual fund)	B	Dividend	K	T					
51. -SPDR Double Line Tr Tact ETF (TOTL) (ETF)	B	Dividend	K	T	Buy (add'l)	02/13/18	J		

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52. -Vanguard Total Bond Mkt ETF (BND) (ETF)		None			Sold	02/09/18	K		
53. -Vanguard Total Intl Bond ETF (BNDX) (ETF)	B	Dividend	K	T					
54. -Invesco Floating Rate Fund Class Y (AFRYX) (mutual fund)	A	Dividend			Sold	02/09/18	L		
55. -Guggenheim Total Return Bond Fund (GIBIX) (mutual fund)	B	Dividend	L	T	Buy	02/09/18	L		
56.					Sold (part)	06/28/18	J	A	
57. -Vanguard Wellesley Income Fund (VWIX) (mutual fund)	B	Dividend	K	T	Buy	02/09/18	K		
58. -Invesco Bulletshares 2019 High Yield (BSJJ) (ETF)	B	Dividend	L	T	Buy	06/28/18	L		
59. -Spdr Bloomberg Barclays 1-3 mo. (BIL) (ETF)	A	Dividend	L	T	Buy	05/13/18	K		
60.					Buy (add'l)	06/28/18	K		
61. Brokerage #9 (H)									
62. -Jackson Nat'l Life Ins Co Perspective L Series Class L		None	N	T					
63. -Lincoln Nat'l Choice Plus Assurance L Share		None	O	T					
64. -Lincoln Nat'l Choice Plus Assur L Share		None	O	T					
65. -Ohio Nat'l Oncore Lite II Class L		None	N	T					
66. Brokerage #10 (H)									
67. -Blackrock Strategic Income I (BSIIX) (mutual fund)	B	Dividend	K	T	Sold (part)	02/02/18	J	A	
68.					Sold (part)	04/09/18	J	A	

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2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	P3 = \$25,000,001 - \$50,000,000
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69.					Sold (part)	06/28/18	J		
70. -Vanguard Total Stock Market (VTI) (ETF)	C	Dividend	M	T	Sold (part)	02/02/18	K	D	
71.					Sold (part)	04/09/18	J	B	
72.					Sold (part)	06/28/18	K	D	
73.					Sold (part)	07/12/18	J	A	
74.					Sold (part)	12/18/18	K	C	
75. -Powershares QQQ Trust (QQQ) (ETF)	A	Dividend	K	T	Buy (add'l)	02/02/18	K		
76.					Sold (part)	07/12/18	J	A	
77.					Sold (part)	12/18/18	K		
78.					Sold (part)	12/31/18	K		
79. -Invesco Equally-Weighted S&P 500 (VADDX) (mutual fund)	A	Dividend	K	T	Sold (part)	02/02/18	L	D	
80.					Sold (part)	04/09/18	J	A	
81.					Sold (part)	06/28/18	J	B	
82.					Sold (part)	07/12/18	J	A	
83.					Sold (part)	12/31/18	K	A	
84. -Lord Abnett Short Duration Income Fd (LDLFX) (mutual fund)	B	Dividend	K	T	Buy (add'l)	02/02/18	L		
85.					Sold (part)	04/09/18	J		

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86.					Sold (part)	06/28/18	J		
87.	-Guggenheim Macro Opportunities Fd (GIOIX) (mutual fund)	B	Dividend	K	T	Sold (part)	02/02/18	J	
88.					Sold (part)	04/09/18	J		
89.					Sold (part)	06/28/18	J		
90.	-Davis Financial Fund Class Y (DVFYX) (mutual fund)		None		Sold (part)	02/02/18	K	C	
91.					Sold	06/28/18	L	D	
92.	-JP Morgan Small Cap Equity Bond Fund Select (VSEIX) (mutual fund)	A	Dividend	L	T	Sold (part)	02/02/18	K	D
93.					Sold (part)	04/09/18	J	A	
94.					Sold (part)	06/28/18	K	C	
95.					Sold (part)	07/12/18	J	A	
96.	-SPDR S&P 500 ETF (SPY) (ETF)	A	Dividend		Sold	02/02/18	L	E	
97.	-Vanguard Intl Equity Index FD FTSE All World EX US (VEU) (ETF)	B	Dividend	K	T	Sold (part)	02/02/18	K	D
98.					Sold (part)	04/09/18	J	B	
99.					Sold (part)	06/28/18	L	D	
100.					Sold (part)	07/12/18	J	A	
101.	-Invesco Floating Rate Fund Class Y (AFRYX) (mutual fund)	B	Dividend	K	T	Sold (part)	02/02/18	J	A
102.					Sold (part)	04/09/18	J		

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	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
103.					Sold (part)	06/28/18	J		
104. -Virtus Emerging Markets Opportunities (HIEMX (mutual fund))	A	Dividend	K	T	Buy (add'l)	02/02/18	J		
105.					Sold (part)	04/09/18	J	B	
106.					Sold (part)	06/28/18	K	C	
107.					Sold (part)	07/12/18	J	A	
108.					Sold (part)	10/29/18	J	A	
109. -Guggenheim Total Return Bond Fund (GIBIX) (mutual fund)	B	Dividend	K	T	Buy	02/02/18	L		
110.					Sold (part)	04/09/18	J		
111.					Sold (part)	06/28/18	J		
112. -Spdr Bloomberg Barclays 1-3 mo T-Bill (BIL) (ETF)	A	Dividend	L	T	Buy	06/28/18	L		
113.					Sold (part)	07/12/18	J		
114. -Spdr Gold Shares (GLD) (ETF)		None			Buy	02/02/18	L		
115.					Sold (part)	04/09/18	J		
116.					Sold	05/31/18	L		
117. -Spdr SSGA Multi-Assest Real Return (RLY) (ETF)	A	Dividend			Buy	02/06/18	L		
118.					Sold (part)	04/09/18	J		
119.					Sold (part)	05/31/18	K	A	

1. Income Gain Codes: (See Columns B1 and D4)	A ~\$1,000 or less F ~\$50,001 - \$100,000	B ~\$1,001 - \$2,500 G ~\$100,001 - \$1,000,000	C ~\$2,501 - \$5,000 H1 ~\$1,000,001 - \$5,000,000	D ~\$5,001 - \$15,000 H2 ~More than \$5,000,000	E ~\$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J ~\$15,000 or less N ~\$250,001 - \$500,000 P3 ~\$25,000,001 - \$50,000,000	K ~\$15,001 - \$50,000 O ~\$500,001 - \$1,000,000	L ~\$50,001 - \$100,000 P1 ~\$1,000,001 - \$5,000,000 P4 ~More than \$50,000,000	M ~\$100,001 - \$250,000 P2 ~\$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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SEYMOUR, STEPHANIE K.

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
120.					Sold (part)	07/12/18	J		
121.					Sold	12/18/18	L		
122. -Vanguard Energy (VDE) (ETF)	A	Dividend			Buy	06/28/18	K		
123.					Sold (part)	07/12/18	J		
124.					Sold	12/18/18	K		
125. IRA #7 (H)									
126. -iShares MSCI Brazil Capped (EWZ) (ETF)		None			Sold	04/06/18	K	B	
127. -iShares Core MSCI EAFE (IEFA) (ETF)	C	Dividend	M	T	Sold (part)	04/06/18	J	A	
128.					Sold (part)	12/12/18	K		
129.					Sold (part)	12/18/18	K		
130. -iShares Core MSCI Emerging Market (IEMG) (ETF)	A	Dividend	K	T					
131. -iShares Core S&P Small Cap (UR) (ETF)	A	Dividend	K	T	Buy (add'l)	04/06/18	J		
132. -iShares MSCI China (MCHI) (ETF)		None	K	T	Sold (part)	04/06/18	J	A	
133. -Vanguard Mid Cap (VO) (ETF)	A	Dividend	L	T	Buy (add'l)	04/06/18	J		
134. -Vanguard Total World STK (VT) (ETF)	A	Dividend	J	T					
135. -Vanguard Value (VTV) (ETF)	A	Dividend	K	T	Buy (add'l)	04/06/18	J		
136. -Vanguard Growth (VUG) (ETF)	A	Dividend	K	T	Sold (part)	04/06/18	J	B	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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SEYMOUR, STEPHANIE K.

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "X" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
137. -Vanguard Large Cap (VV) (ETF)	B	Dividend	M	T	Buy (add'l)	04/06/18	K		
138.					Sold (part)	12/18/18	K	A	
139. -Energy Select Sector SPDR Fund (XLE) (ETF)		None			Sold	04/06/18	K		
140. -iShares Incorporated MSCI Russia (ERUS) (ETF)		None			Buy	04/06/18	K		
141.					Sold	12/12/18	K		
142. Brokerage #12 (H)									
143. -Blackrock Strategic Income 1 (BSHX) (mutual fund)	B	Dividend	K	T	Sold (part)	02/02/18	J	A	
144.					Sold (part)	05/11/18	J		
145.					Sold (part)	06/19/18	J		
146.					Sold (part)	09/14/18	J		
147.					Sold (part)	10/17/18	J		
148. -Double Line Total Return Fd Instl (DBLTX) (mutual fund)	B	Dividend	K	T	Sold (part)	02/02/18	J		
149.					Sold (part)	05/11/18	J		
150.					Sold (part)	06/19/18	J		
151.					Sold (part)	09/14/18	J		
152.					Sold (part)	10/17/18	J		
153. -Invesco High Yield Municipal Fund Cl Y (ACTDX) (mutual fund)	B	Dividend	K	T	Sold (part)	02/02/18	J	A	

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
154.					Sold (part)	05/11/18	J	A	
155.					Sold (part)	06/19/18	J	A	
156.					Sold (part)	06/28/18	J	A	
157.					Sold (part)	09/14/18	J	A	
158.					Sold (part)	10/17/18	J	A	
159. -Thornburg Limited Term Municipal Fd Cl I (LTMIX) (mutual fund)	A	Dividend	K	T	Sold (part)	05/11/18	J		
160.					Sold (part)	06/19/18	J		
161.					Sold (part)	09/14/18	J		
162.					Sold (part)	10/17/18	J		
163. -Virtus Multi-Sector Short Term Bd (PIMSX) (mutual fund)	B	Dividend	K	T	Sold (part)	05/11/18	J		
164.					Sold (part)	06/19/18	J		
165.					Sold (part)	09/14/18	J		
166.					Sold (part)	10/17/18	J		
167. -Blackrock Multi-Asset Income Portfolio Instl (BHICX) (mutual fund)	B	Dividend	K	T	Sold (part)	02/02/18	J	A	
168.					Sold (part)	05/11/18	J		
169.					Sold (part)	06/19/18	J		
170.					Sold (part)	09/14/18	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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SEYMOUR, STEPHANIE K.

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
171.					Sold (part)	10/17/18	J		
172. -Blackrock Strategic Municipal Opportunities (MAMTX) (mutual fund)	A	Dividend	K	T	Sold (part)	02/02/18	J	A	
173.					Sold (part)	05/11/18	J	A	
174.					Sold (part)	06/19/18	J	A	
175.					Sold (part)	06/28/18	J	A	
176.					Sold (part)	09/14/18	J	A	
177.					Sold (part)	10/17/18	J	A	
178. -Lord Abbett Short Duration Income Fund (LDLFX) (mutual fund)	B	Dividend	K	T	Sold (part)	02/02/18	J		
179.					Sold (part)	05/11/18	J		
180.					Sold (part)	06/19/18	J		
181.					Sold (part)	06/28/18	J		
182.					Sold (part)	09/14/18	J		
183.					Sold (part)	10/17/18	J		
184. -Mainstay Tax Free Bond C11 (MTBIX) (mutual fund)	B	Dividend	K	T	Sold (part)	05/11/18	J		
185.					Sold (part)	06/19/18	J		
186.					Sold (part)	06/28/18	J		
187.					Sold (part)	09/14/18	J		

1. Income Gain Codes:	A - \$1,000 or less	B - \$1,001 - \$2,500	C - \$2,501 - \$5,000	D - \$5,001 - \$15,000	E - \$15,001 - \$50,000
(See Columns B1 and D4)	F - \$50,001 - \$100,000	G - \$100,001 - \$1,000,000	H1 - \$1,000,001 - \$5,000,000	H2 - More than \$5,000,000	
2. Value Codes	J - \$15,000 or less	K - \$15,001 - \$50,000	L - \$50,001 - \$100,000	M - \$100,001 - \$250,000	
(See Columns C1 and D3)	N - \$250,001 - \$500,000	O - \$500,001 - \$1,000,000	P1 - \$1,000,001 - \$5,000,000	P2 - \$5,000,001 - \$25,000,000	
	P3 - \$25,000,001 - \$50,000,000		P4 - More than \$50,000,000		
3. Value Method Codes	Q - Appraisal	R - Cost (Real Estate Only)	S - Assessment	T - Cash Market	
(See Column C2)	U - Book Value	V - Other	W - Estimated		

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
188.					Sold (part)	10/17/18	J		
189. -Mainstay Incom Builder I (MTOIX) (mutual fund)		None			Sold (part)	02/02/18	J		
190.					Sold (part)	05/11/18	J		
191.					Sold (part)	06/19/18	J		
192.					Sold	06/28/18	K		
193. -Loomis Sayles Investment Grade Bond Class Y (LSIIX) (mutual fund)	A	Dividend	K	T	Sold (part)	02/02/18	J		
194.					Sold (part)	05/11/18	J		
195.					Sold (part)	06/19/18	J		
196.					Sold (part)	06/28/18	J		
197.					Sold (part)	09/14/18	J		
198.					Sold (part)	10/17/18	J		
199. -Guggenheim Macro Opportunities Fund Class (GIOX) (mutual fund)	B	Dividend	K	T	Sold (part)	02/02/18	J		
200.					Sold (part)	05/11/18	J		
201.					Sold (part)	06/19/18	J		
202.					Sold (part)	09/14/18	J		
203.					Sold (part)	10/17/18	J		
204. -TCW Emerging Markets Income Fund Class I (TGEIX) (mutual fund)	B	Dividend	K	T	Buy (add'l)	02/02/18	J		

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
205.					Sold (part)	05/11/18	J	A	
206.					Sold (part)	06/19/18	J		
207.					Sold (part)	06/28/18	J		
208.					Sold (part)	09/14/18	J		
209.					Sold (part)	10/17/18	J		
210. -AB Global Bond Fund Class Adviser (ANAYX) (mutual fund)	A	Dividend	K	T	Sold (part)	02/02/18	J	A	
211.					Sold (part)	05/11/18	J	A	
212.					Sold (part)	06/19/18	J	A	
213.					Sold (part)	09/14/18	J		
214.					Sold (part)	10/17/18	J		
215. -Invesco Core Bond Plus Fund Class Y (CPBYX) (mutual fund)	B	Dividend	K	T	Sold (part)	02/02/18	J		
216.					Sold (part)	05/11/18	J		
217.					Sold (part)	06/19/18	J		
218.					Sold (part)	09/14/18	J		
219.					Sold (part)	10/17/18	J		
220. -SPDR Doubleline TR Tact ETF (TOTL) (ETF)		None			Sold	02/02/18	K		
221. -Vanguard Total Bond Mkt ETF (BND) (ETF)		None			Sold	02/02/18	K		

1. Income Gain Codes:	A = \$1,000 or less (See Columns B1 and D4)	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	F = \$50,001 - \$100,000 J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34–60 of filing instructions.)

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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
222. -Invesco Floating Rate Fund Class Y (AFRYX) (mutual fund)	A	Dividend			Sold	02/02/18	L	A	
223. -Invesco Intermediate Term Muni Inc Fd Cl Y N/L (VKLIX) (mutual fund)	A	Dividend	K	T	Buy	02/02/18	K		
224.					Sold (part)	05/11/18	J		
225.					Sold (part)	06/19/18	J		
226.					Sold (part)	06/28/18	J		
227.					Sold (part)	09/14/18	J		
228.					Sold (part)	10/17/18	J		
229. -Guggenheim Total Return Bd Fd Instl Cl (GIBIX) (mutual fund)	B	Dividend	K	T	Buy	02/02/18	L		
230.					Sold (part)	05/11/18	J		
231.					Sold (part)	06/19/18	J		
232.					Sold (part)	06/28/18	J		
233.					Sold (part)	09/14/18	J		
234.					Sold (part)	10/17/18	J		
235. -Vanguard Wellesley Inc Fd Admiral Shares (VWIX) (mutual fund)	A	Dividend	K	T	Buy	02/02/18	P4		
236.					Sold (part)	05/11/18	J		
237.					Sold (part)	06/19/18	J		
238.					Sold (part)	09/14/18	J		

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

239.					Sold (part)	10/17/18	J		
240. -Invesco Bulletshares 2019 HY Corp Bd (BSJJ) (ETF)	A	Dividend	K	T	Buy	06/28/18	K		
241.					Sold (part)	09/14/18	J		
242.					Sold (part)	10/17/18	J		
243. -Spdr Bloomberg Barclays 1-3 mo T-Bill (BIL) (ETF)	A	Dividend	K	T	Buy	06/28/18	K		
244.					Sold (part)	09/14/18	J		
245.					Sold (part)	10/17/18	J		
246. Brokerage Account #13 (H)									
247. -iShares NASDAQ Biotechnology (IBB) (ETF)		None	J	T					
248. -SPDR S&P Bank (KBE) (ETF)		None			Sold	02/18/18	K	B	
249. -iShares Edge MSCI USA Momentum Factor (MTUM) (ETF)		None	J	T					
250. -iShares Edge MSCI USA Quality Factor (QUAL) (ETF)		None	J	T					
251. -Vaneck Vectors Retail (RTH) (ETF)		None			Sold	02/08/18	J	A	
252. -Vanguard Consumer Discretionary (VCR) (ETF)	A	Dividend	K	T	Sold (part)	02/02/18	J	A	
253. -Vanguard Energy (VDE) (ETF)	A	Dividend	J	T	Buy (add'l)	02/08/18	J		
254.					Sold (part)	12/31/18	J		
255. -Vanguard Financials (VFH) (ETF)	A	Dividend	K	T	Sold (part)	12/31/18	K		

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
256. -Vanguard Information Technology (VGT) (ETF)	A	Dividend	L	T	Sold (part)	02/08/18	J	B	
257. -Vanguard Health Care (VHT) (ETF)	A	Dividend	K	T	Sold (part)	02/08/18	J	A	
258. -Materials Select Sector SPDR Trust (XLB) (ETF)		None	J	T					
259. -Industrial Select Sector SPDR Fund (XLI) (ETF)	A	Dividend	K	T					
260. -Consumers Staples Select Sector SPDR Fund (XLP)	A	Dividend	J	T	Buy (add'l)	02/08/18	J		
261. -iShares TR Trans Avg (IYT) (ETF)		None	J	T	Buy	02/18/18	J		
262. -Invesco KBW Bank (KBWB) (ETF)	A	Dividend			Buy	02/18/18	J		
263.					Sold	12/31/18	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: S/ STEPHANIE K. SEYMOUR

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544