

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2018

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Sontchi, Christopher S.	2. Court or Organization Delaware - Bankruptcy Court	3. Date of Report 7/13/2019
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. Bankruptcy Judge	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 1/1/2018 to 12/31/2018
7. Chambers or Office Address 824 Market Street 5th Floor Wilmington, Delaware 19899		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

	<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1.	Trustee	Turnaround Management Association
2.	Trustee	Turnaround Mngement Association Philadelphia and Delaware Chapter
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

	<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.		
2.		
3.		

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1. 2018	University of Chicago Law School	\$10,000.00
2.		
3.		
4.		

B. Spouse's Non-Investment Income - If you were married during any portion of the reporting year, complete this section.*(Dollar amount not required except for honoraria.)*☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2018	self-employed physician
2.	
3.	
4.	

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☐ NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1. The M&A Advisor Summit	03/19/18 - 03/22/18	Palm Beach, FL	Panel member for seminar	Meals, lodging, tuition, and travel
2. American Bankruptcy Institute: New York City Conference	05/23/18 - 05/24/18	New York, NY	Panel member for seminar	Meals, lodging, tuition, and travel
3. Turnaround Management Association: Mid-Atlantic Regional Symposium	06/06/18 - 06/07/18	Atlantic City, NJ	Panel member for seminar	Meals, lodging, tuition, and travel
4. American Bankruptcy Institute: Mid-Atlantic Conference	08/02/18 - 08/03/18	Hershey, PA	Panel member for seminar	Meals, lodging, tuition and travel

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5.	Credit Research Foundation Conference	10/22/18 - 10/23/18	Salt Lake City, UT	Panel member for seminar	Meals, lodging, tuition, and travel
6.	Association of Insolvency and Restructuring Advisors Conference	11/12/18 - 11/13/18	New York, NY	Panel member for seminar	Meals, lodging, tuition, and travel

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.	Wachovia Educaion Services	Spouse's Education Loan	K
2.			
3.			
4.			
5.			

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. WSFS Bank	A	Interest	L	T					
2. DEXSTA Federal Credit Union	A	Interest	J	T					
3. Brokerage Account #1		None	J	T					
4. 529 Plan #1									
5. - John Hancock, Freedom 529 College 2013-2016		None	M	T					
6. 529 Plan #2									
7. - John Hancock, Freedom 529 College 2017-2020		None	M	T					
8. IRA #1									
9. - RJ Bank Deposit Program	A	Interest	J	T					
10. - American Funds, Europacific Growth Fund	A	Dividend	K	T	Sold (part)	10/17/18	K		
11. - John Hancock Disciplined Value Fund	A	Dividend			Sold (part)	09/14/18	J		
12.					Sold	10/16/18	K		
13. - Brown Advisory Growth Equity Fund	A	Dividend			Sold	10/15/18	K		
14. - Metropolitan West Total Return Bond Fund	A	Dividend	L	T	Buy (add'l)	10/16/18	J		
15. - Lazard Emerging Markets Equity	A	Dividend			Sold	10/15/18	J		
16. - AMG SouthernSun U.S. Equity Fund	A	Dividend			Sold	09/13/18	K		
17. - JP Morgan Value Advantage Fund	A	Dividend			Sold	09/13/18	K		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

18. - Clearbridge Aggressive Growth Fund	A	Dividend			Sold	10/15/18	K		
19. - Victory Integrity Small Cap Value Fund	A	Dividend			Sold (part)	09/13/18	J		
20.					Sold	10/15/18	J		
21. - ISHARES TR CORE US AGGBD ET	A	Dividend	L	T	Buy (add'l)	10/17/18	K		
22. - ISHARES TR MIN VOL USA ETF	A	Dividend	K	T	Buy (add'l)	10/17/18	J		
23. - ISHARES TR CORE MSCI EAFE	A	Dividend	K	T	Buy (add'l)	10/17/18	J		
24. - Vanguard Index Funds S&P 500 ETF SHS NEW	A	Dividend	K	T	Sold (part)	10/17/18	K		
25. - American Mutual Fund	A	Dividend	K	T	Buy	10/16/18	K		
26.					Buy (add'l)	10/17/18	J		
27. - Dodge & Cox Income Fund	A	Dividend	L	T	Buy	10/15/18	K		
28.					Buy (add'l)	10/16/18	J		
29. - Loomis Sayles Growth Fund	A	Dividend	K	T	Buy	10/15/18	K		
30.					Buy (add'l)	10/16/18	J		
31. - Parnassus Mid Cap Fund	A	Dividend	K	T	Buy	10/15/18	J		
32.					Buy (add'l)	10/16/18	J		
33. - Pimco Low Duration Fund	A	Dividend	K	T	Buy	10/15/18	J		
34.					Buy (add'l)	10/16/18	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
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35. - PGIM High Yield Fund	A	Dividend	J	T	Buy	10/15/18	J		
36.					Buy (add'l)	10/16/18	J		
37. - T. Rowe Price Small Cap Value Fund	A	Dividend	J	T	Buy	10/15/18	J		
38.					Buy (add'l)	10/16/18	J		
39. - Western Asset Total Return Fund	A	Dividend	J	T	Buy	10/15/18	J		
40.					Buy (add'l)	10/16/18	J		
41. - Meridian Growth Fund					Buy	09/13/18	K		
42.					Sold	10/15/18	J		
43. - Nuance Mid Cap Value Fund					Buy	09/13/18	K		
44.					Sold	10/15/18	K		
45. IRA #2									
46. - Fidelity Investments, Fidelity Overseas Fund Mutual fund	A	Dividend	J	T					
47. - RJ Bank Deposit Program	A	Interest	J	T					
48. IRA #3									
49. - RJ Bank Deposit Program	A	Interest	J	T					
50. - American Funds, Europacific Growth Fund	A	Dividend	K	T	Sold (part)	03/21/18	J		
51.					Sold (part)	10/17/18	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
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52. - John Hancock Disciplined Value Fund	A	Dividend			Buy (add'l)	09/14/18	J		
53.					Sold	10/16/18	K		
54. - Brown Advisory Growth Equity Fund	A	Dividend			Sold (part)	03/20/18	J		
55.					Sold	10/15/18	J		
56. - Metropolitan West Total Return Bond Fund	A	Dividend	K	T	Buy (add'l)	03/20/18	J		
57.					Buy (add'l)	10/16/18	J		
58. - Lazard Emerging Markets Equity	A	Dividend			Sold	10/15/18	J		
59. - AMG SOUTHERNSUN U.S. Equity Fund	A	Dividend			Sold	09/13/18	J		
60. - JP Morgan Value Advantage Fund	A	Dividend			Sold	09/13/18	J		
61. - Clearbridge Aggressive Growth Fund	A	Dividend			Sold	10/15/18	J		
62. - Victory Integrity Small Cap Value Fund	A	Dividend			Sold (part)	09/13/18	J		
63.					Sold	10/15/18	J		
64. - ISHARES TR CORE US AGGBD	A	Dividend	K	T	Buy (add'l)	03/21/18	J		
65.					Buy (add'l)	10/17/18	J		
66. - ISHARES TR MIN VOL USA ETF	A	Dividend	J	T	Buy (add'l)	10/17/18	J		
67. - ISHARES TR CORE MSCI EAFE	A	Dividend	J	T	Buy (add'l)	03/21/18	J		
68.					Buy (add'l)	10/17/18	J		

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69. - Vanguard Index Funds S&P 500 ETF SHS NEW	A	Dividend	K	T	Sold (part)	10/17/18	J		
70. - American Mutual Fund	A	Dividend	J	T	Buy	10/16/18	J		
71. - Dodge & Cox Income Fund	A	Dividend	K	T	Buy	10/15/18	K		
72.					Buy (add'l)	10/16/18	J		
73. - Loomis Sayles Growth Fund	A	Dividend	J	T	Buy	10/15/18	J		
74.					Buy (add'l)	10/16/18	J		
75. - Parnassus Mid Cap Fund	A	Dividend	J	T	Buy	10/15/18	J		
76.					Buy (add'l)	10/16/18	J		
77. - Pimco Low Duration Fund	A	Dividend	J	T	Buy	10/15/18	J		
78.					Buy (add'l)	10/16/18	J		
79. - PGIM High Yield Fund	A	Dividend	J	T	Buy	10/15/18	J		
80. - T. Rowe Price Small Cap Value Fund	A	Dividend	J	T	Buy	10/15/18	J		
81.					Buy (add'l)	10/16/18	J		
82. - Western Asset Total Return Fund	A	Dividend	J	T	Buy	10/15/18	J		
83. - Meridian Growth Fund					Buy	09/13/18	J		
84.					Sold	10/15/18	J		
85. - Nuance Mid Cap Vluue Fund					Buy	09/13/18	J		

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86.					Sold	10/15/18	J		
87. IRA #4									
88. - Fidelity Investments, Fidelity Overseas Fund Mutual fund	A	Dividend	J	T					
89. - RJ Bank Deposit Program	A	Interest	J	T					
90. 401(k) Plan #2									
91. - JH LS Grow Active Strategy Fund	A	Dividend	N	T					
92. Nationwide Flexible Prem. Variable Universal Life Policy #1									
93. - NW NVIT Inv Dest Aggr II		None	K	T					
94. Nationwide Flexible Prem. Variable Universal Life Policy #2									
95. - NW NVIT Inv Dest Aggr II		None	K	T					

1. Income Gain Codes: (See Columns B1 and D4)	A ~\$1,000 or less F ~\$50,001 - \$100,000	B ~\$1,001 - \$2,500 G ~\$100,001 - \$1,000,000	C ~\$2,501 - \$5,000 H1 ~\$1,000,001 - \$5,000,000	D ~\$5,001 - \$15,000 H2 ~More than \$5,000,000	E ~\$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J ~\$15,000 or less N ~\$250,001 - \$500,000 P3 ~\$25,000,001 - \$50,000,000	K ~\$15,001 - \$50,000 O ~\$500,001 - \$1,000,000	L ~\$50,001 - \$100,000 P1 ~\$1,000,001 - \$5,000,000 P4 ~More than \$50,000,000	M ~\$100,001 - \$250,000 P2 ~\$5,000,001 - \$25,000,000	
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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

- 1) Part VII, page 5, line 3: The entire balance in this account was withdrawn in 2007. This account remains open but had a balance of \$0.00 throughout the reporting period.
- 2) Part VII, page 5, line 11(2017 report): The entire balance of Deutsche Global Real Estate Securities Fund was sold on January 11, 2017.
- 3) Part VII, page 5, line 12 (2017 report): The entire balance of Wells Fargo Advantage International Value Fund was sold on January 11, 2017.
- 4) Part VII, page 5, line 12: The entire balance of John Hancock Disciplined Value Fund was sold on October 16, 2018.
- 5) Part VII, page 5, line 13 (2017 report): The entire balance of MFS Research Fund was sold on January 11, 2017.
- 6) Part VII, page 5, line 13: The entire balance of Brown Advisory Growth Equity Fund was sold on October 15, 2018.
- 7) Part VII, page 5, line 14 (2017 report): The entire balance of AMG River Road Small Cap Value Fund was sold on January 11, 2017.
- 8) Part VII, page 5, line 15 (2017 report): The entire balance of JP Morgan U.S. Large Cap Core Plus Fund was sold on January 9, 2017.
- 9) Part VII, page 5, line 15: The entire balance of Lazard Emerging Markets Equity was sold on October 15, 2018.
- 10) Part VII, page 5, line 16: The entire balance of AMG SouthernSun U.S. Equity Fund was sold on September 13, 2018.
- 11) Part VII, page 5, line 17 (2017 report): The entire balance of JP Morgan Mid Cap Value Fund was sold on January 9, 2017.
- 12) Part VII, page 5, line 17: The entire balance of JP Morgan Value Advantage Fund was sold on September 13, 2018.
- 13) Part VII, page 6, line 18: The entire balance of Clearbridge Aggressive Growth Fund was sold on October 15, 2018.
- 14) Part VII, page 6, line 19 (2017 report): The entire balance of MFS International Growth Fund was sold on January 11, 2017.
- 15) Part VII, page 6, line 20 (2017 report): The entire balance of AMG Times Square Small Cap Growth Fund was sold on January 11, 2017.
- 16) Part VII, page 6, line 20: The entire balance of Victory Integrity Small Cap Value Fund was sold on October 15, 2018.
- 17) Part VII, page 6, line 21 (2017 report): The entire balance of AQR Managed Futures Strategy Fund was sold on January 9, 2017.
- 18) Part VII, page 6, line 22 (2017 report): The entire balance of Baird Aggregate Bond Fund was sold on January 9, 2017.
- 19) Part VII, page 6, line 23 (2017 report): The entire balance of Clarkston Partners Fund was sold on January 9, 2017.
- 20) Part VII, page 6, line 25 (2017 report): The entire balance of Hartford Mid Cap Fund was sold on January 11, 2017.
- 21) Part VII, page 6, line 27 (2017 report): The entire balance of Victory Trivalent International Small-Cap Fund was sold on January 9, 2017.
- 22) Part VII, page 7, line 42: The entire balance of Meridian Growth Fund was sold on October 15, 2018.
- 23) Part VII, page 7, line 44: The entire balance of Nuance Mid Cap Value Fund was sold on October 15, 2018.
- 24) Part VII, page 8, line 53 (2017 report): The entire balance of Deutsche Global Real Estate Securities Fund was sold on January 11, 2017.
- 25) Part VII, page 8, line 53: The entire balance of John Hancock Disciplined Value Fund was sold on October 16, 2018.
- 26) Part VII, page 8, line 54 (2017 report): The entire balance of Wells Fargo Advantage International Value Fund was sold on January 11, 2017.
- 27) Part VII, page 8, line 55 (2017 report): The entire balance of MFS Research Fund was sold on January 11, 2017.
- 28) Part VII, page 8, line 55: The entire balance of Brown Advisory Growth Equity Fund was sold on October 15, 2018.
- 29) Part VII, page 8, line 56 (2017 report): The entire balance of AMG River Road Small Cap Value Fund was sold on January 11, 2017.
- 30) Part VII, page 8, line 57 (2017 report): The entire balance of JP Morgan U.S. Large Cap Core Plus Fund was sold on January 9, 2017.
- 31) Part VII, page 8, line 58: The entire balance of Lazard Emerging Markets Equity was sold on October 15, 2018.
- 32) Part VII, page 8, line 59 (2017 report): The entire balance of JP Morgan Mid Cap Value Fund was sold on January 9, 2017.
- 33) Part VII, page 8, line 59: The entire balance of AMG SOUTHERNSUN U.S. Equity Fund was sold on September 13, 2018.
- 34) Part VII, page 8, line 60: The entire balance of JP Morgan Value Advantage Fund was sold on September 13, 2018.
- 35) Part VII, page 8, line 61 (2017 report): The entire balance of MFS International Growth Fund was sold on January 11, 2017.
- 36) Part VII, page 8, line 61: The entire balance of Clearbridge Aggressive Growth Fund was sold on October 15, 2018.
- 37) Part VII, page 8, line 62 (2017 report): The entire balance of AMG Times Square Small Cap Growth Fund was sold on January 11, 2017.
- 38) Part VII, page 8, line 63 (2017 report): The entire balance of AQR Managed Futures Strategy Fund was sold on January 9, 2017.
- 39) Part VII, page 8, line 63: The entire balance of Victory Integrity Small Cap Value Fund was sold on October 15, 2018.
- 40) Part VII, page 8, line 64 (2017 report): The entire balance of Baird Aggregate Bond Fund was sold on January 9, 2017.
- 41) Part VII, page 8, line 65 (2017 report): The entire balance of Clarkston Partners Fund was sold on January 9, 2017.
- 42) Part VII, page 8, line 67 (2017 report): The entire balance of Hartford Mid Cap Fund was sold on January 11, 2017.
- 43) Part VII, page 9, line 69 (2017 report): The entire balance of Victory Trivalent International Small-Cap Fund was sold on January 9, 2017.
- 44) Part VII, page 9, line 84: The entire balance of Meridian Growth Fund was sold on October 15, 2018.
- 45) Part VII, page 10, line 86: The entire balance of Nuance Mid Cap Value Fund was sold on October 15, 2018.

FINANCIAL DISCLOSURE REPORT

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Name of Person Reporting

Sontchi, Christopher S.

Date of Report

7/13/2019

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **s/ Christopher S. Sontchi**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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