

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2018

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) SIRAGUSA, CHARLES J.	2. Court or Organization U.S. DISTRICT COURT, WDNY	3. Date of Report 03/11/2019
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S.DISTRICT JUDGE (SENIOR)	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2018 to 12/31/2018
7. Chambers or Office Address 1360 UNITED STATES COURTHOUSE 100 STATE STREET ROCHESTER, NEW YORK 14614		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☒ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1.		
2.		
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☐ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1. 2005	NEW YORK STATE RETIREMENT SYSTEM (DEFINED BENEFIT PENSION PLAN)
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1. 2018	NEW YORK STATE RETIREMENT SYSTEM	\$33,339.24
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2018	ATTORNEY (SELF-EMPLOYED)
2. 2018	BROWN, GRUTTADARO, GAUJEAN & PRATO, PLLC (SALARY)
3.	
4.	

IV. REIMBURSEMENTS *— transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☒ NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.				
2.				
3.				
4.				
5.				

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*

☒ NONE *(No reportable gifts.)*

SOURCE	DESCRIPTION	VALUE
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*

☒ NONE *(No reportable liabilities.)*

CREDITOR	DESCRIPTION	VALUE CODE
1.		
2.		
3.		
4.		
5.		

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. M & T BANK	A	Interest	M	T					
2. ACCOUNT # 1 (H)									
3. MORGAN STANLEY PRIVATE BANK NA (CASH)	A	Interest	J	T					
4. ADIDAS AG (ADDYY) (X)	A	Dividend	J	T					
5. ADIENT PLC COM (ADNT) (Y)									
6. ADOBE SYSTEMS (ADBE)		None	J	T					
7. AFLAC INCORPORATED (AFL)	A	Dividend	J	T					
8. AIA GROUP LTD (AAGIY) (X)	A	Dividend			Sold	12/19/18	J		
9. AKAMAI TECHNOLOGIES (AKAM)		None	J	T					
10. ALEXION PHARM INC (ALXN)		None			Sold	12/19/18	J		
11. ALIBABA GROUP HLDG LTD (BABA)		None	J	T					
12. ALLERGAN PLC SHS (AGN)	A	Dividend	J	T	Buy (add'l)	01/22/18	J		
13.					Sold (part)	12/19/18	J		
14. ALPHABET INC CL A (GOOGL)		None	J	T					
15. ALPHABET INC CL C (GOOG)		None	J	T					
16. AMADEUS IT GROUP S.A ADR (AMADY)	A	Dividend	J	T					
17. AMAZON COM INC (AMZN)		None	J	T	Sold (part)	03/07/18	J	B	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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18. AMCOR LIMITED ADR NEW (AMCRY) (Y)									
19. AMERICAN EXPRESS CO (AXP)	A	Dividend	J	T					
20. ANADARKO PETROLEUM CORP. (APC)	A	Dividend	J	T	Buy (add'l)	01/22/18	J		
21.					Sold (part)	12/19/18	J		
22. ANHEUSER-BUSCH INBEV SPONS (BUD) (Y)									
23. APPLE INC (AAPL)	A	Dividend	J	T					
24. ASML HLDG NV NEW YORK REG (ASML)	A	Dividend	J	T	Sold (part)	08/10/18	J	B	
25. ASOS PLC SPON ADR (ASOMY) (Y)									
26. ATLAS COPCO AB SP ADR B SP ADR (ATLCY) (Y)									
27. AUTODESK INC (ADSK)		None	J	T	Sold (part)	10/19/18	J	B	
28. BAIDU INC (BIDU) (X) (Y)									
29. BIOGEN INC COM (BIIB)		None	J	T					
30. BIOMARIN PHARMAC SE (BMRN) (X) (Y)									
31. BLACKROCK INC. (BLK)	A	Dividend	J	T					
32. BROADCOM CORP (AVGO)	A	Dividend	J	T					
33. BURBERRY GROUP PLC SPONS ADR (BURBY)	A	Dividend			Sold	12/19/18	J		
34. CATERPILLAR INC (CAT) (X)	A	Dividend			Sold	12/19/18	J		

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35. CDN PACIFIC RY LTD NEW (CP)	A	Dividend	J	T					
36. CELGENE CORP (CELG)		None	J	T					
37. CHARLES SCHWAB CORP (SCHW)	A	Dividend	J	T					
38. CHECK POINT SOFTWARE TECH LTD (CHKP)		None	J	T					
39. CHIPOTLE MEXICAN GRILL (CMG)		None	J	T	Buy	01/22/18	J		
40. CITRIX SYSTEMS INC (CTXS)	A	Dividend	J	T					
41. COCA COLA BOTTLERS JAPAN (CCOJY) (X) (Y)									
42. CK HUTCHISON HLDGS LTD ADR (CKHUY)		None			Sold	01/23/18	J	A	
43. COCA COLA CO. (KO)	A	Dividend	J	T					
44. COMCAST CORP CL A-SPL (CMCSA)	A	Dividend	J	T					
45. COSTCO WHOLESALE CORP NEW (COST)	A	Dividend	J	T					
46. COVESTRO AG (COVTY) (X) (Y)									
47. CREE RESEARCH INC (CREE) (X)		None	J	T					
48. CVS HEALTH CORP COM (CVS) (Y)									
49. DENTSPLY SIRONA INC (XRAY) (Y)									
50. DIAGEO PLC -SPONSORED ADR NEW (DEO)	A	Dividend	J	T					
51. DISCOVERY COMM SER A (DISCA)		None	J	T	Buy	01/22/18	J		

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52. DOLBY LABORATORIES INC CLASS A (DLB)	A	Dividend	J	T					
53. EBAY INC. (EBAY) (Y)									
54. ECOLAB INC (ECL)	A	Dividend	J	T					
55. EQUINIX INC (EQIX) (X)	A	Dividend			Sold	12/19/18	J		
56. ERSTE GROUP BANK AG SPONS ADR (EBKDY)	A	Dividend	J	T	Sold (part)	12/19/18	J		
57. FACEBOOK INC CL-A (FB)		None	J	T					
58. FANUC CORPORATION UNSP ADR (FANUY)	A	Dividend			Sold	12/19/18	J		
59. FERGUSON PLC SPONSORED ADR (FERGY) (Y)									
60. FLUOR CORP NEW (FLR)	A	Dividend			Buy (add'l)	01/22/18	J		
61.					Sold	12/19/18	J		
62. FREEPORT-MCMORAN INC (FCX)	A	Dividend	J	T					
63. GIVAUDAN SA ADR (GVDNY)	A	Dividend	J	T					
64. HDFC BANK LTD (HDB) (X)	A	Dividend	J	T					
65. HOME DEPOT (HD)	A	Dividend	J	T					
66. HONEYWELL INTERNATIONAL INC (HON)	A	Dividend	J	T					
67. HONG KONG EXCHANGES & CLEARING (HKXCY)	A	Dividend	J	T					
68. HOYA CORP SPONS ADR (HOCPY)	A	Dividend	J	T					

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69. ICON PLC SP ADR (ICLR)		None	J	T					
70. INDUSTRIA DE DISENO TEXTIL IND (IDEXY) (Y)									
71. ING GROEP NV SPONS ADR (ING) (Y)									
72. INTESA SANPAOLO S.P.A. ADR (ISNPY) (Y)									
73. IHS MARKIT LTD (INFO) (X)		None	J	T					
74. IONIS PHARMACEUTICALS (IONS)		None	J	T					
75. JOHNSON & JOHNSON (JNJ)	A	Dividend	J	T					
76. JOHNSON CTLS INTL PLC (JCI)	A	Dividend	J	T					
77. KAO CORP SPONS ADR (KCRPY)	A	Dividend	J	T					
78. KBC GROUP NV UNSPONS ADR (KBCSY)	A	Dividend	J	T					
79. L'OREAL CO ADR (LRLCY) (X)		None	J	T					
80. L 3 TECHNOLOGIES INC. (LLL)	A	Dividend	J	T					
81. LEGRAND SA (LGRDY) (X)		None			Sold	12/19/18	J		
82. LINDE PLC (LIN) (FORMERLY PRAXAIR INC (PX))	A	Dividend			Buy (add'l)	02/06/18	J		
83.					Sold	12/19/18	J		
84. LONDON STK EXCHANGE GROUP ADR (LNSTY)	A	Dividend	J	T					
85. LVMH MOET HENNESSY (LVMUY) (X)	A	Dividend			Sold	12/19/18	J		

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86. MC CORMICK AND CO NON VOTING (MKC)	A	Dividend	J	T					
87. MEDTRONIC PLC SHS (MDT)	A	Dividend	J	T					
88. METTLER TOLEDO INTERNATIONAL INC. COMMON STOCK (MTD)		None	J	T					
89. MICROSOFT CORP (MSFT)	A	Dividend	J	T					
90. MONSANTO CO (MON) (Y)									
91. NATIONAL OILWELL VARCO (NOV) (Y)					Buy	01/22/18	J		
92. NESTLE SA SPONSORED ADR REPSTG REG SH (NSRGY)	A	Dividend	J	T					
93. NIDEC CORP (NJDCY)	A	Dividend			Sold	12/19/18	J		
94. NOVO NORDISK A S ADR (NVO)	A	Dividend	J	T					
95. NOW INC (DNOV) (X) (Y)									
96. NUCOR CORP (NUE)	A	Dividend	J	T					
97. NUTANIX INC CL A (NTNX) (X)		None	J	T					
98. ORACLE CORP (ORCL)	A	Dividend			Sold	12/19/18	J		
99. ORIX CORP SPONS ADR (IX)	A	Dividend	J	T					
100. PALO ALTO NETWORKS INC (PANW)		None	J	T					
101. PAYPAL HLDGS INC COM (PYPL)		None	J	T					
102. PENTAIR PLC (PNR) (Y)									

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103. PING AN INSURANCE (PNGAY) (X)	A	Distribution			Sold	12/19/18	J		
104. PIONEER NATURAL RESOURCES (PXD)	A	Distribution			Buy	01/22/18	J		
105.					Sold	12/19/18	J		
106. QUALCOMM INC (QCOM) (X)	A	Dividend	J	T					
107. QURATE RETAIL (QRTEA) (FORMERLY LIBERTY INTERACTIVE CORP QVC A (QVCA))		None	J	T					
108. RECKITT BENCKISER PLC SPON ADR (RBGLY)	A	Dividend			Sold	11/28/18	J		
109. RED HAT INC (RHT)		None	J	T					
110. REGENERON PHARM (REGN)		None	J	T					
111. RENTOKIL GROUP PLC SP ADR (RTOKY)	A	Dividend	J	T					
112. ROCKWELL COLLINS INC (COL) (Y)									
113. RYANAIR HLDGS PLC ADR (RYAAY) (Y)									
114. SAP AG (SAP)	A	Dividend	J	T					
115. SCHLUMBERGER LTD (SLB)	A	Dividend			Buy	01/22/18	J		
116.					Sold	12/19/18	J		
117. SEAGATE TECHNOLOGY (STX)	A	Dividend	J	T					
118. SHIMANO INC (SMNNY) (X) (Y)									

1. Income Gain Codes: (See Columns B1 and D4)	A ~\$1,000 or less F ~\$50,001 - \$100,000	B ~\$1,001 - \$2,500 G ~\$100,001 - \$1,000,000	C ~\$2,501 - \$5,000 H1 ~\$1,000,001 - \$5,000,000	D ~\$5,001 - \$15,000 H2 ~More than \$5,000,000	E ~\$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J ~\$15,000 or less N ~\$250,001 - \$500,000 P3 ~\$25,000,001 - \$50,000,000	K ~\$15,001 - \$50,000 O ~\$500,001 - \$1,000,000	L ~\$50,001 - \$100,000 P1 ~\$1,000,001 - \$5,000,000 P4 ~More than \$50,000,000	M ~\$100,001 - \$250,000 P2 ~\$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
119. SHISEIDO LTD SPON ADR (SSDOY)	A	Dividend	J	T					
120. SOCIEDAD QUIMICA Y MINERA (SQM) (X) (Y)									
121. SPLUNK INC (SPLK)		None	J	T					
122. SUNCOR ENERGY INC NEW COM (SU)	A	Dividend	J	T					
123. TE CONNECTIVITY LTD NEW (TEL)	A	Dividend	J	T					
124. TELEPERFORMANCE SA (TLPFY) (X)		None			Sold	12/19/18	J		
125. TEMENOS GROUP AG SPON ADR (TMSNY)	A	Dividend	J	T					
126. TENCENT HLDGS LTD UNSPON ADR (TCEHY) (Y)									
127. TEXAS INSTRUMENTS (TXN)	A	Dividend	J	T					
128. THERMO FISHER SCIENTIFIC (TMO)	A	Dividend	J	T					
129. TORONTO DOM BK NEW (TD) (Y)									
130. TWITTER INC (TWTR)		None	J	T					
131. UMICORE SA ADR (UMICY) (Y)									
132. UNITED PARCEL SERVICE CL B (UPS)	A	Dividend	J	T					
133. UNITEDHEALTH GROUP INC. (UNH)	A	Dividend	J	T					
134. VALE SA (VALE) (X) (Y)									
135. VERTEX PHARMACEUTICALS (VRTX)		None	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
136. VISA INC (V)	A	Dividend	J	T					
137. VMWARE INC CLASS A (VMW)	A	Dividend	J	T					
138. WW GRAINGER (GWW)	A	Dividend	J	T	Buy	01/22/18	J		
139. WALT DISNEY CO (DIS)	A	Dividend	J	T					
140. WEATHERFORD INTL (WFT) (Y)					Buy	01/22/18	J		
141.					Buy (add'l)	01/26/18	J		
142. WESTERN DIGITAL CORP (WDC)	A	Dividend			Sold	12/19/18	J		
143. YUM CHINA HOLDINGS (YUMC)	A	Dividend	J	T					
144. ZOETIS INC CLASS-A (ZTS)	A	Dividend	J	T					
145. ISHARES CORE RUSSELL US GR ETF (IUSG)		None	J	T	Sold	01/22/18	J	A	
146.					Buy	12/19/18	J		
147. ISHARES MSCI EAFE ETF (EFA)		None	K	T	Buy	12/19/18	K		
148. ISHARES RUSSELL 1000 GRW ETF (IWF)		None	K	T	Sold	01/22/18	J	A	
149.					Buy	12/19/18	K		
150. VANGUARD FTSE DEVELOPED MKTS E (VEA)		None			Sold	01/22/18	J	A	
151. ACCOUNT # 2 (H)									
152. BLACKROCK VALUE OPPORTUNITIES FUND INC CL INSTL (MASPX)	B	Dividend	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
153. BLACKROCK GLOBAL SCIENCE & TECH OPPORT PORTFOLIO CL INSTL (BGSIX)		None	J	T					
154. ACCOUNT # 3 (H)									
155. MORGAN STANLEY BANK, N.A.	A	Interest	J	T					
156. IRA #1 (H)									
157. CERES TACTICAL SYSTEMATIC LP		None	K	T					
158. IRA #2 (H)									
159. GOLDMAN SACHS SM CAP VAL A (GSSMX)	A	Dividend	J	T					
160. IRA #3 (H)									
161. BLACKROCK VALUE OPPORTUNITIES FUND INC CL INSTL (MASPX)	B	Dividend	J	T					
162. IRA #4 (H)									
163. BLACKROCK VALUE OPPORTUNITIES FUND INC CL INSTL (MASPX)	A	Dividend	J	T					
164. NY's COLLEGE SAVINGS PROGRAM #1 (H)									
165. VALUE STOCK INDEX PORTFOLIO		None	M	T	Sold (part)	05/01/18	J	A	
166.					Buy (add'l)	06/20/18	K		
167.					Sold (part)	06/29/18	M		
168.					Sold (part)	07/24/18	J	A	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
169.					Sold (part)	11/20/18	J	A	
170. CONSERVATIVE GROWTH PORTFOLIO		None	M	T	Buy	06/29/18	M		
171.					Sold (part)	07/24/18	J	A	
172.					Sold (part)	11/20/18	J	A	
173. NY'S COLLEGE SAVINGS PROGRAM #2 (H)									
174. VALUE STOCK INDEX PORTFOLIO		None	M	T	Buy (add'l)	06/20/18	K		
175.					Sold (part)	06/29/18	M		
176.					Sold (part)	07/24/18	J	A	
177.					Sold (part)	11/20/18	J	A	
178. CONSERVATIVE GROWTH PORTFOLIO		None	M	T	Buy	06/29/18	M		
179.					Sold (part)	07/24/18	J	A	
180.					Sold (part)	11/20/18	J	A	
181. DEFERRED COMPENSATION (H)									
182. FIDELITY LARGE CAP STOCK FUND		None	J	T					
183. VT FIDELITY CONTRAFUND		None	J	T					
184. LAZARD INTERNATIONAL STRATEGIC EQUITY		None			Sold	02/16/18	J		
185. OAKMARK INTERNATIONAL INSTITUTIONAL		None	J	T	Buy	02/16/18	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
186. IRA #5 (H)									
187. MORGAN STANLEY BANK NA (X) (Y)									
188. ISHARES SILVER TRUST EQUITY (SLV)		None			Sold	07/02/18	J		
189. SPDR GOLD TR GOLD (GLD)		None			Sold	07/02/18	J		
190. VANECK VECTORS GOLD MINERS E (GDX)	A	Dividend			Sold	07/02/18	J		
191. BLACKROCK GLOBAL ALLOCATION (MCLOX)	B	Dividend			Sold	07/02/18	K		
192. COHEN & STEERS INTL REALTY FUND A (IRFAX)	A	Dividend	J	T					
193. COHEN & STEERS INTL REALTY FD C (IRFCX)	A	Dividend	K	T					
194. LOCORR MARKET TREND A (LOTAX)		None			Sold	07/02/18	K		
195. GOLDMAN SACHS SM CAP VAL A (GSSMX) (Y)									
196. ACCOUNT # 4 (H)									
197. MORGAN STANLEY BANK, N.A.	A	Interest	K	T					
198. ACCENTURE PLC IRELAND CL A (ACN)	A	Dividend	J	T					
199. ADECCO SA UNSPON ADR (AHEXY) (Y)									
200. ADOBE SYSTEMS (ADBE)		None	J	T					
201. AES CORP (AES)	A	Dividend	J	T					
202. AKAMAI TECHNOLOGIES (AKAM)		None	J	T					

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
203. AKZO NOBEL (AKZOY) (Y)									
204. ALEXION PHARM INC (ALXN)		None			Sold (part)	12/19/18	J		
205.					Sold	12/19/18	J		
206. ALIBABA GROUP (BABA) (X) (Y)									
207. ALLERGAN PLC SHS (AGN)	A	Dividend	J	T	Buy (add'l)	01/22/18	J		
208.					Buy (add'l)	02/14/18	J		
209.					Sold (part)	12/19/18	J		
210. ALLISON TRANSMN HLDGS INC (ALSN)	A	Dividend	J	T					
211. ALPHABET INC CL A (GOOGL)		None	J	T					
212. ALPHABET INC CL C (GOOG)		None	J	T					
213. AMAZON (AMZN)		None	J	T	Sold (part)	01/23/18	J	B	
214. AMERICAN INTL GROUP (AIG)	A	Dividend			Buy	02/06/18	J		
215.					Buy (add'l)	02/07/18	J		
216.					Buy (add'l)	11/14/18	J		
217.					Sold	12/19/18	J		
218. AMERICAN EXPRESS CO (AXP)	A	Dividend	J	T					
219. AMERICAN HOMES 4 RENT (AMH)	A	Dividend	J	T					

1. Income Gain Codes:	A ~\$1,000 or less	B ~\$1,001 - \$2,500	C ~\$2,501 - \$5,000	D ~\$5,001 - \$15,000	E ~\$15,001 - \$50,000
(See Columns B1 and D4)	F ~\$50,001 - \$100,000	G ~\$100,001 - \$1,000,000	H1 ~\$1,000,001 - \$5,000,000	H2 ~More than \$5,000,000	
2. Value Codes	J ~\$15,000 or less	K ~\$15,001 - \$50,000	L ~\$50,001 - \$100,000	M ~\$100,001 - \$250,000	
(See Columns C1 and D3)	N ~\$250,001 - \$500,000	O ~\$500,001 - \$1,000,000	P1 ~\$1,000,001 - \$5,000,000	P2 ~\$5,000,001 - \$25,000,000	
	P3 ~\$25,000,001 - \$50,000,000		P4 ~More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
220. AMERIPRISE FINCL INC (AMP) (Y)									
221. ANADARKO PETROLEUM CORP (APC)	A	Dividend	J	T	Buy (add'l)	01/22/18	J		
222. ANHEUSER-BUSCH (BUD) (Y)									
223. AP MOLLAR-MAERSK A/S ADR (AMKBY) (Y)									
224. APACHE CORP (APA)	A	Dividend			Buy	01/22/18	J		
225.					Sold	12/19/18	J		
226. APPLE INC (AAPL)	A	Dividend	J	T					
227. APPLIED MATERIALS INC DELWARE (AMAT) (Y)									
228. AUTODESK INC. (ADSK)		None	J	T					
229. AUTOZONE INC (AZO)		None	J	T					
230. AXA ADS (AXAHY)	A	Dividend	J	T					
231. BANCO SANTANDER S.A. (SAN)	A	Dividend			Sold	12/19/18	J		
232. BANK OZK (OZK) (FORMERLY BANK OF THE OZARKS (OZRK)) (Y)					Buy	01/22/18	J		
233. BASF SE SP ADR (BASFY) (Y)									
234. BAYER AG SPONSORED ADR (BAYRY)	A	Dividend			Sold	12/19/18	J		
235. BIOGEN INC COM (BIIB)		None	J	T	Buy (add'l)	05/02/18	J		
236. BLACKROCK INC. (BLK)	A	Dividend	J	T					

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

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	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

237. BNP PARIBAS SP ADR REPSTG (BNPQY)	A	Dividend			Sold	12/19/18	J		
238. BOEING CO. (BA)	A	Dividend	J	T					
239. BP PLC ADS (BP)	A	Dividend	J	T					
240. BRISTOL MYERS SQUIBB CO (BMY)	A	Dividend			Sold	12/19/18	J		
241. BROADCOM CORP CL A (AVGO)	A	Dividend	J	T					
242. CATERPILLAR INC (CAT) (X)	A	Dividend			Sold	12/19/18	J		
243. CABOT OIL & GAS CORP A (COG) (Y)									
244. CBS CORP NEW CL B SHRS (CBS)	A	Dividend			Sold	12/19/18	J		
245. CELGENE CORP (CELG)		None	J	T					
246. CEMEX SAB DE CV (CX) (Y)									
247. CHARLES SCHWAB CORP (SCHW)	A	Dividend	J	T					
248. CHINA CONSTRUCTION BANK CORP (CICHY) (Y)									
249. CHIPOTLE MEXICAN GRILL (CMG) (X)		None	J	T					
250. CISCO SYS INC (CSCO)	A	Dividend	J	T					
251. CITIGROUP INC NEW (C)	A	Dividend	J	T	Sold (part)	01/23/18	J		
252. CITRIX SYSTEMS INC (CTXS)	A	Dividend	J	T					
253. CNX RESOURCE CORP (CNX) (Y)									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

254. COCA-COLA CO. (KO)	A	Dividend	J	T					
255. COMCAST CORP CL A (CMCSA)	A	Dividend	J	T					
256. CONTL AG SPONS ADR (CTTAY) (Y)									
257. COSTCO WHOLESALE CORP NEW (COST)	A	Dividend	J	T					
258. CREE RESEARCH INC (CREE) (X)	A	Dividend	J	T					
259. CREDIT SUISSE GROUP (CS)	A	Dividend			Sold	12/19/18	J		
260. CVS HEALTH CORP COM (CVS) (Y)									
261. DANONE SPONSORED ADR (DANOY) (Y)									
262. DENTSPLY SIRONA INC (XRAY) (Y)									
263. DEVON ENERGY CORP NEW (DVN)	A	Dividend			Buy (add'l)	01/22/18	J		
264.					Sold	12/19/18	J		
265. DIAGEO PLC SPON ADR NEW (DEO) (Y)									
266. DISCOVER FINCL SVCS (DFS)	A	Dividend	J	T					
267. DISCOVERY COMMUNICATIONS SER A (DISCA) (Y)					Buy	01/22/18	J		
268. DOLBY LABORATORIES INC CLASS A (DLB)	A	Dividend	J	T					
269. DOWDUPONT INC (DWDP)	A	Dividend	J	T					
270. EBAY INC. (EBAY) (Y)									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

271. ECOLAB INC (ECL)	A	Dividend	J	T					
272. ENCANA CORP (ECA)	A	Dividend			Buy	01/29/18	J		
273.					Buy (add'l)	04/12/18	J		
274.					Sold	12/19/18	J		
275. EQUINIX INC (EQIX) (X)	A	Dividend			Sold	12/19/18	J		
276. EXELON CORP (EXC)	A	Dividend	J	T					
277. FACEBOOK INC (FB)		None	J	T					
278. FAIRFAX FIN HLDG SUB VTG (FRFHF) (Y)									
279. FIRST AMERICAN FINL CORP (FAF) (X) (Y)									
280. FLUOR CORP NEW (FLR)	A	Dividend			Sold	12/19/18	J		
281. FOOT LOCKER (FL) (X) (Y)									
282. FREEPORT-MCMORAN INC (FCX)		None	J	T					
283. G4S PLC UNSPONS ADR (GFSZY)	A	Dividend			Sold	12/19/18	J		
284. GATX CORP (GATX)	A	Dividend	J	T					
285. GENTEX CORP (GNTX)	A	Dividend	J	T					
286. GREEK ORGANISATION OF FOOTBALL (GOFPY) (Y)									
287. HALLIBURTON CO HOLDINGS CO (HAL)	A	Dividend			Sold	12/19/18	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
288. HANESBRANDS INC (HBI)	A	Dividend			Sold	12/19/18	J		
289. HENGAN INTL GROUP CO LTD ADR (HEGIY) (Y)									
290. HITACHI 10 COM NEW ADR (HTHIY)	A	Dividend			Sold	12/19/18	J		
291. HOME DEPOT INC. (HD)	A	Dividend	J	T					
292. HONDA MOTOR COMPANY LTD ADR (HMC)	A	Dividend			Sold	12/19/18	J		
293. HONEYWELL INTL INC (HON)	A	Dividend	J	T					
294. HOYA CORP (HOCY)	A	Dividend	J	T					
295. HSBC HOLDINGS PLC SPON ADR NEW (HSBC)	A	Dividend			Sold	12/19/18	J		
296. HYPERMARCAS S A SPONSORED ADR (HYPMY) (Y)									
297. IHS MARKIT LTD (INFO) (X)		None	J	T					
298. INCITEC PIVOT LIMITED ADR (INCZY)	A	Dividend			Sold	12/19/18	J		
299. INDRA SISTEMAS SA FGN ORD ADR (ISNAY) (Y)									
300. INFOSYS LIMITED (INFY) (X)	A	Dividend	J	T					
301. INTESA SANPAOLO ADR (ISNPY)		None			Buy	09/20/18	J		
302.					Sold	12/19/18	J		
303. INVESCO LTD (IVZ)	A	Dividend			Sold	12/19/18	J		
304. IONIS PHARMACEUTICALS (IONS)		None	J	T					

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305. ITAU UNIBANCO MULTIPLE ADR (ITUB)	A	Dividend	J	T					
306. JOHNSON & JOHNSON (JNJ)	A	Dividend	J	T	Buy (add'l)	02/09/18	J		
307. JOHNSON CTLS INTL PLC (JCI)	A	Dividend	J	T	Buy (add'l)	01/22/18	J		
308.					Sold (part)	12/19/18	J		
309. KEYCORP - NEW (KEY)	A	Dividend	J	T					
310. KINDER MORGAN INCORP (KMI)	A	Dividend			Sold	12/19/18	J		
311. KLA-TENCOR CORP (KLAC) (Y)									
312. KROGER CO (KR) (Y)					Buy	01/22/18	J		
313. KT CORP SPON ADR (KT) (Y)									
314. L 3 TECHNOLOGIES INC. (LLL)	A	Dividend	J	T					
315. LIBERTY MEDIA C SER C SIRIUSXM (LSXMK)		None	J	T					
316. LINDE PLC (LIN) (FORMERLY PRAXAIR (PX))	A	Dividend			Sold	12/19/18	J		
317. MC CORMICK AND CO NON VOTING (MKC)	A	Dividend	J	T					
318. MEDTRONIC PLC SHS (MDT)	A	Dividend	J	T					
319. MERCK & CO INC. (MRK)	A	Dividend	J	T					
320. METLIFE INCORPORATED (MET)	A	Dividend			Sold	12/19/18	J		
321. MGIC INVT CORP (MTG)		None	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

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	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
322. MICROSOFT (MFST)	A	Dividend	J	T	Sold (part)	01/10/18	J		
323. MONSANTO CO (MON) (Y)									
324. MURPHY USA INC COM (MUSA)		None	J	T					
325. MYLAN N V (MYL)		None			Sold	12/19/18	J		
326. NATIONAL OILWELL VARCO INC (NOV) (X) (Y)									
327. NETAPP INC COM (NTAP) (X)	A	Dividend			Sold	12/19/18	J		
328. NOVARTIS AG ADR (NVS)	A	Dividend	J	T					
329. NSK LTD SPONSORED ADR (NPSKY) (Y)									
330. NUCOR CORP (NUE)	A	Dividend	J	T					
331. NUTANIX INC CL A (NTNX) (X)		None	J	T					
332. NUTRIEN LTD (NTR) (X)		None			Sold	12/19/18	J		
333. ONEMAIN HLDGS INC (OMF)		None	J	T					
334. ORACLE CORP (ORCL)	A	Dividend	J	T	Buy (add'l)	03/20/18	J		
335.					Sold (part)	12/19/18	J		
336.					Sold (part)	12/19/18	J		
337. OWENS CORNING (OC) (X)	A	Dividend	J	T	Buy (add'l)	06/21/18	J		
338. PALO ALTO NETWORKS INC (PANW)		None	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
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339. PAYPAL HLDGS INC COM (PYPL)		None	J	T					
340. PEBBLEBROOK HOTEL TRUST (PEB)	A	Dividend	J	T					
341. PENTAIR PLC (PNR) (Y)									
342. PIONEER NATURAL RESOURCES (PXD)	A	Dividend			Buy	01/22/18	J		
343.					Sold	12/19/18	J		
344. POSCO ADS (PKX) (Y)									
345. PRADA SPA (PRDSY) (X) (Y)									
346. QUALCOMM INC (QCOM) (X)	A	Dividend	J	T					
347. QURATE RETAIL (QRTEA) (FORMERLY LIBERTY INTERACTIVE CORP QVC A (QVCA))		None	J	T					
348. REALOGY HOLDINGS CORP (RLGY)	A	Dividend			Sold	12/19/18	J		
349. RED HAT INC (RHT)		None	J	T					
350. REGENERON PHARM (REGN)		None	J	T					
351. REGIONS FINANCIAL CORP (RF) (Y)									
352. RIO TINTO PLC SPON ADR (RIO)	A	Dividend	J	T					
353. ROCHE HOLDINGS (RHHBY) (X)		None	J	T					
354. ROCKWELL COLLINS INC (COL) (Y)									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
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3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
355. ROYAL BK SCOTLAND GROUP SP ADR (RBS)	A	Dividend			Sold	12/19/18	J		
356. ROYAL DUTCH SHELL PLC (RDS'A)	A	Dividend	J	T					
357. SANDERSON FARMS (SAFM)		None	J	T	Buy	10/12/18	J		
358. SCHLUMBERGER LTD (SLB)	A	Dividend			Buy	01/22/18	J		
359.					Sold	12/19/18	J		
360. SCHNEIDER ELEC SA UNSP ADR (SBGSY) (Y)									
361. SEAGATE TECHNOLOGY (STX)	A	Dividend	J	T					
362. SENSATA TECHNOLOGIES (ST)		None	J	T					
363. SERVICE CORP INTL (SCI)	A	Dividend	J	T					
364. SIGNET JEWELERS LIMITED (SIG)	A	Dividend	J	T	Buy	01/22/18	J		
365. SONY CORP (SNE) (X) (Y)									
366. SPLUNK INC (SPLK)		None	J	T					
367. SPROUTS FARMERS MARKET INC (SFM) (X) (Y)					Buy (add'l)	02/09/18	J		
368.					Sold (part)	12/19/18	J		
369. STANDARD LIFE PLC ADR REPSTG 4 (SLFPY) (Y)									
370. STANLEY BLACK & DECKER INC (SWK)	A	Dividend	J	T					
371. SUMITOMO MITSUI FINL GROUP INC (SMFG)	A	Dividend			Sold	12/19/18	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
372. SUNCOR ENERGY INC (SU)	A	Dividend	J	T					
373. SYNCHRONY FINANCIAL (SYF)	A	Dividend	J	T	Sold (part)	12/19/18	J		
374. TE CONNECTIVITY LTD-CHF (TEL)	A	Dividend	J	T					
375. TERADYNE INC (TER)	A	Dividend			Sold (part)	01/16/18	J	B	
376.					Sold	01/17/18	J	A	
377. TEVA PHARMACEUTICALS (TEVA) (X) (Y)									
378. TEXAS INSTRUMENTS INC. (TXN)	A	Dividend	J	T					
379. THERMO FISHER SCIENTIFIC (TMO)	A	Dividend	J	T					
380. TOTAL S A SPON ADR (TOT)	A	Dividend	J	T					
381. TRANSDIGM GROUP INC (TDG)		None			Sold	11/20/18	J	A	
382. TWITTER INC (TWTR)		None	J	T					
383. US BANCORP (USB) (X)	A	Dividend			Buy (add'l)	04/20/18	J		
384.					Buy (add'l)	04/23/18	J		
385.					Sold	12/19/18	J		
386. UBS GROUP AG SHS (UBS) (Y)									
387. UNILEVER PLC (NEW) ADS (UL)	A	Dividend	J	T					
388. UNITED PARCEL SERVICE (UPS)	A	Dividend	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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Name of Person Reporting

SIRAGUSA, CHARLES J.

Date of Report

03/11/2019

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
389. UNITEDHEALTH GROUP INC. (UNH)	A	Dividend	J	T	Sold (part)	11/26/18	J	A	
390. VERIZON COMM (VZ)	A	Dividend	J	T	Buy	09/05/18	J		
391. VERTEX PHARMACEUTICALS (VRTX)		None	J	T					
392. VISA INC COM (V)	A	Dividend	J	T	Sold (part)	11/16/18	J	B	
393. VMWARE INC CLASS A (VMW) (Y)									
394. VODAFONE GROUP (VOD) (X)		None	J	T					
395. VOYA FINL INC (VOYA)	A	Dividend	J	T					
396. W W GRAINGER INC (GWW)	A	Dividend	J	T	Buy	01/22/18	J		
397. WALT DISNEY CO. (DIS)	A	Dividend	J	T					
398. WEATHERFORD INTERNATIONAL (WFT) (Y)					Buy	01/23/18	J		
399.					Buy (add'l)	01/26/18	J		
400. WELLS FARGO & CO NEW (WFC)	A	Dividend	J	T	Buy (add'l)	02/09/18	J		
401.					Sold (part)	12/19/18	J		
402. WESTERN DIGITAL CORPORATION (WDC)	A	Dividend			Sold	12/19/18	J		
403. WEYERHAEUSER CO. COMMON STOCK (WY) (Y)									
404. WHITBREAD PLC (WTBDY) (X) (Y)									
405. WIENERBERGER AG SPON ADR (WBRBY) (Y)									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

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Name of Person Reporting

SIRAGUSA, CHARLES J.

Date of Report

03/11/2019

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
406. WPP PLC SPON NEW ADR (WPP)	A	Dividend			Sold	08/03/18	J		
407. XL GROUP LTD (XL) (Y)									
408. YUM CHINA HLDNGS (YUMC)	A	Dividend	J	T					
409. ZOETIS INC CLASS A (ZTS)	A	Dividend	J	T					
410. ISHARES CORE RUSSELL US GR ETF (IUSG)		None	J	T	Sold	01/22/18	J	A	
411.					Buy	12/19/18	J		
412. ISHARES CORE RUSSELL US VA ETF (IUSV)		None	K	T	Sold	01/22/18	K	A	
413.					Buy	12/19/18	K		
414. ISHARES RUSSELL 1000 GRW ETF (IWF)		None	J	T	Sold	01/22/18	J	A	
415.					Buy	12/19/18	J		
416. VANGUARD FTSE DEVELOPED MKTS E	A	Dividend	K	T	Sold	01/22/18	J	A	
417.					Buy	12/19/18	K		
418. NEW YORK & NEW JERSEY PORT AUTH SER-179 (73358WQH0)	B	Interest			Redeemed	12/03/18	J		
419. NYS URB DEV CORP SER CONTR REV REF EMP ST (650035UM8)		None	J	T	Buy	07/02/18	J		
420. NEW YORK ST URBAN DEV CORP INCOME TAX REV-B-1 (6500355H7)	A	Interest	J	T					
421. NEW YORK ST THRUWAY AUTH GEN REV JR (650010AD3)	A	Interest			Sold	06/11/18	K	A	
422. NEW YORK ST DORM AUTH 3RD GENL (64990HMG2)	A	Interest	K	T					

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
423. ERIE CNTY NY FISCAL STABILITY AUTH (29508RGJ9)	A	Interest	K	T					
424. ONONDAGA CNTY N Y TR CULTURAL RES REV (68276FAK6)	B	Interest	K	T					
425. ROCHESTER NY GENL OBLIG SER-I (771694LV6)	A	Interest			Sold	08/22/18	J		
426. METROPOLITAN TRANSPORTATION AUTHORITY REV-E (59259YN54)	A	Interest	K	T					
427. SYRACUSE N Y PUB IMPT GENL OBLIG REF-A (871702U53)	A	Interest	K	T					
428. SUFFOLK CNTY NY GENL OBLIG REF SER-B (86476PPN2)	A	Interest	J	T					
429. LONG ISLAND PWR AUTH N Y ELEC SYS REV-B (542690W6)	A	Interest	J	T					
430. TRIBOROUGH BRIDGE TUNNEL NY AU MTA BRDG TUNNEL GEN (89602NZE7)	A	Interest	K	T					
431. ROCKLAND CNTY NY SOLID WASTE MGMT AUGH GENL OB REF-A (773562EN9)	A	Interest	J	T	Buy	08/22/18	J		
432. BUFFALO NY GENL OBLIG REF-A (119677MP4)	A	Interest	J	T					
433. ROCKLAND CNTY NY GENL OBLIG REF (77355PVK6)	A	Interest	J	T					
434. NEW YORK ST DORM AUTH REVS NON ST SUPPOR REV-A (64990CUX7)	A	Interest	J	T	Buy	01/03/18	J		
435. NASSAU CNTY N Y FOR ISSUES DTD PRIOR TO (63165TWF8)	A	Interest	J	T					
436. NEW YORK NY CITY MUN WTR FIN AUTH WTR (64972GRP1)	A	Interest	J	T	Buy	06/13/18	J		
437. ST OF NEW YORK AUTH UNIV REV-A (64990CEG2)		None	J	T	Buy	12/06/18	J		
438. WESTCHESTER CNTY N Y GENL OBLIG SER-B (95736UR89)	A	Interest	J	T					

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(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
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	(1) Amount Code I (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

439. HUDSON YDS INFRASTRUCTURE CORP N Y SECOND INDENTURE REV- A (44420RAK6)	A	Interest	J	T					
440. WESTERN NASSAU CNTY N Y WTR AUTH WTR SYS REV-B (958792DR1)	A	Interest	J	T					
441. NEW YORK ST ENVIRONMTL FACS CO RP CLEAN WTR (64986DDH5)	A	Interest	J	T	Buy	02/09/18	J		
442. NEW YORK ST DORM AUTH REVS NON ST SUPPORTED DEBT REV-A (64990BT29)	A	Interest	J	T					
443. NEW YORK ST DORM AUTH REVS NON ST SUPPORTED DEBT REV-B (64990BUN1)	A	Interest	K	T					
444. LONG IS PWR AUTH NY ELEC SYS REV (542691AF7)		None	J	T	Buy	09/20/18	J		
445. TRIBOROUGH BRIDGE TUNNEL AUTH GENL REV-A (89602N5D2)	A	Interest	J	T					
446. NEW YORK N Y CITY TR CULTURAL RES REV-A (649717UE3)	B	Interest	K	T					
447. ACCOUNT # 5 (H)									
448. MORGAN STANLEY BANK, N.A.	A	Interest	L	T					
449. DELAWARE LIFE NY SUN UL PROTECTOR (whole life)		None	M	T					
450. IRA # 6 (H)									
451. MORGAN STANLEY BANK N.A. (Y)									
452. AQR EQ MARKET NEUTRAL INST (QMNIX)	A	Dividend	M	T	Buy (add'l)	07/05/18	K		
453. AQR MANAGED FUTURES STRATEGY 1 (AQMIX)		None	K	T					
454. GOLDMAN SACHS SM CAP VAL A (GSSMX) (X)		None			Buy (add'l)	07/05/18	K		

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455.					Sold	07/09/18	L		
456. GOLDMAN SACHS SM CAP VAL I (GSSIX)	D	Dividend	L	T	Buy	07/09/18	L		
457. LORD ABBETT FLT RT F (LFRFX)	B	Dividend	K	T	Buy	07/05/18	K		
458. IRA #7 (H)									
459. MORGAN STANLEY BANK N.A. (CASH)	A	Dividend	J	T					
460. ABB LTD (ABB) (X) (Y)									
461. ABNAMRO GROUP (ABNRY) (X) (Y)									
462. ACCENTURE PLC IRELAND CL A (ACN)	A	Dividend	J	T					
463. ADVANCED DISPOSAL SERVICES (ADSW) (X)		None	J	T					
464. ALLERGAN PLC SHS (AGN)	A	Dividend	J	T					
465. ALPHABET INC CL C (GOOG)		None	J	T					
466. ALTRA HLDGS INC (AIMC)	A	Dividend	J	T					
467. AMER INTL GP INC NEW (AIG)	A	Dividend	J	T	Buy (add'l)	10/29/18	J		
468. AMERICAN EXPRESS CO (AXP)	A	Dividend			Sold	10/17/18	J	B	
469. AMN HEALTHCARE SVCS INC (AMN) (Y)									
470. ANHEUSER BUSCH INBEV SA SPON (BUD)	A	Dividend	J	T					
471. AON PLC SHS CL-A (AON)	A	Dividend	J	T					

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472. ASHTEAD GROUP PLC ADR (ASHTY) (Y)									
473. ASSA ABLOY AB UNSP ADR (ASAZY)		None	J	T					
474. AXALTA COATING SYSTEMS LTD. (AXTA)		None	J	T					
475. BANCORPSOUTH INC (BXS)	A	Dividend	J	T					
476. BB SEGURIDADE PARTICIPACOES (BBSEY) (Y)									
477. BERKLEY W R CORP (WRB)	A	Dividend	J	T					
478. BERKSHIRE HATHAWAY CL-B NEW (BRK'B)		None	J	T					
479. BHP BILLITON LTD (BHP)	A	Dividend	J	T					
480. BLACK KNIGHT INC (BKI)		None	J	T					
481. BMC STK HLDGS INC (BMCH) (Y)									
482. BRITISH AMER TOB SPON ADR (BTI) (Y)									
483. BROOKFIELD ASSET MGNT CL A LTD (BAM)	A	Dividend	J	T	Buy	09/07/18	J		
484.					Buy (add'l)	10/10/18	J		
485.					Buy (add'l)	10/11/18	J		
486. BROOKS AUTOMATION INC (BRKS) (X)	A	Dividend	J	T					
487. CANADIAN NATL RAILWAY CO (CNI) (Y)									
488. CARLSBERG AS (CABGY)	A	Dividend	J	T					

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489. CIELO SA SPONSORED ADR NEW (CIOXY) (Y)									
490. COLUMBIA SPORTSWEAR CO (COLM) (Y)									
491. COMPAGNIE FIN RICHEMONTAG ADR (CFRUY)	A	Dividend	J	T					
492. COMPASS GROUP PLC SPD ADR (CMPGY)	A	Dividend	J	T					
493. CONTL AG SPONS ADR (CTTAY) (Y)									
494. CVB FINCL CP (CVBF)	A	Dividend	J	T					
495. DAIWA HOUSE IND LTD ADR (DWAHY)	A	Dividend	J	T					
496. DANA INCORPORATED (DAN) (Y)									
497. DBS GROUP HOLDINGS LTD SP (DBSDY)	A	Dividend	J	T					
498. DIAGEO PLC SPON ADR NEW (DEO)	A	Dividend	J	T	Buy (add'l)	03/01/18	J		
499. DISCOVERY COMMUNICATIONS SER C (DISCK)		None			Sold (part)	09/06/18	J	A	
500.					Sold	09/07/18	J	A	
501. EQUINOR ASA (EQNR) (FORMERLY STATOIL ASA ADR (STO))	A	Dividend	J	T					
502. FASTENAL CO (FAST)	A	Dividend	J	T					
503. FCB FINL HLDGS INC CL A (FCB) (Y)									
504. FERGUSON PLC SPONSORED ADR (FERGY)	A	Dividend	J	T					
505. FRESENIUS SE & CO (FSNUY) (X) (Y)									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

506. FULLER HB & CO (FUL) (X) (Y)									
507. GLACIER BANCORP (GBCI) (X)	A	Dividend	J	T					
508. HORACE MANN EDUCATORS CP (HMN) (Y)									
509. IBERIA BK CORP (IBKC)	A	Dividend	J	T					
510. ICICI BANK LTD (IBN)	A	Dividend	J	T					
511. ICU MEDICAL INC (ICUI) (Y)									
512. ILL TOOL WORKS INC (ITW)	A	Dividend	J	T	Buy (add'l)	04/02/18	J		
513. INDEPENDENT BK MASS (INDB)	A	Dividend	J	T					
514. INGEVITY CORP (NGVT) (Y)									
515. JOHNSON & JOHNSON (JNJ)	A	Dividend	J	T	Buy (add'l)	06/19/18	J		
516. KASIKORNBANK PUB CO LTD UNSPON (KPCPY) (Y)									
517. LA Z BOY INCORPORATED (LZB)	A	Dividend	J	T					
518. LITTELFUSE INC (LFUS) (X) (Y)									
519. LOWES COMPANIES INC (LOW)	A	Dividend	J	T					
520. M K S INSTRUMENTS (MKSI) (Y)									
521. MAKITA CORPORATION LTD ADR NEW (MKTAY)	A	Dividend	J	T					
522. MARKEL CORP (HOLDING CO) (MKL)		None	J	T					

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3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

523. MASTERCARD INC CL A (MA)	A	Dividend	J	T					
524. MATADOR RES CO (MTDR) (Y)									
525. MEDTRONIC PLC SHS (MDT)	A	Dividend	J	T					
526. MICHELIN COMPAGNIE GENERALE DE (MGDDY) (Y)									
527. MINERALS TECHNOLOGY INC (MTX) (Y)									
528. MR PRICE GROUP LTD SPON ADR (MRPLY) (Y)									
529. MURPHY USA INC (MUSA) (X) (Y)									
530. NORDEA NK SWEDEN AB (PUBL) ADR (NRBAY)	A	Dividend	J	T					
531. NOVARTIS AG ADR (NVS)	A	Dividend	J	T					
532. NXP SEMICONDUCTORS (NXPI)	A	Dividend	J	T	Buy	01/22/18	J		
533.					Buy (add'l)	04/03/18	J		
534.					Buy (add'l)	07/27/18	J		
535.					Buy (add'l)	10/29/18	J		
536. ORACLE CORP (ORCL)	A	Dividend	J	T	Buy	01/09/18	J		
537. PEBBLEBROOK HOTEL TR COM (PEB)	A	Dividend	J	T					
538. PEPSICO INC NC (PEP)	A	Dividend	J	T					
539. PHYSICIANS REALTY TRUST (DOC) (Y)									

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2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
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	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

540. PING AN INSURANCE ADR (PNGAY) (X)	A	Dividend	J	T					
541. PRUDENTIAL PLC ADR (PUK)	A	Dividend	J	T					
542. PT TELEKOMUNIKASI INDONESIA (TLK) (Y)									
543. QTS RLTY TR INC COM CL A (QTS)	A	Dividend	J	T					
544. RED ELECTRICA CORPORACION SA (RDEIY)	A	Dividend	J	T					
545. RELX PLC SPONSORED ADR (RELX)	A	Dividend	J	T					
546. ROGERS CORP (ROG)	A	Dividend	J	T					
547. ROYAL DUTCH SHELL PLC (RDS'A)	A	Dividend	J	T					
548. RYANAIR HLDGS PLC ADR (RYAAY) (Y)									
549. RYOHIN KEIKAKU CO LTD ADR (RYKKY)	A	Dividend	J	T					
550. SAFRAN SA (SAFRY) (X)	A	Dividend	J	T					
551. SAMPO OYJ UNSPON ADR (SAXPY)		None	J	T					
552. SANLAM LTD ADR (SLLDY)	A	Dividend	J	T					
553. SAP AG (SAP)	A	Dividend	J	T					
554. SCHLUMBERGER LTD (SLB)	A	Dividend	J	T					
555. SELECTIVE INS GRP (SIGI) (X)	A	Dividend	J	T					
556. SHIRE PLC ADR (SHPG)	A	Dividend			Sold	12/17/18	J	B	

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557. SONY CORP ADR 1974 NEW (SNE) (Y)									
558. STARBUCKS CORP WASHINGTON (SBUX)	A	Dividend	J	T					
559. STRYKER CORP (SYK)	A	Dividend	J	T	Buy (add'l)	10/26/18	J		
560. SUMITOMO MITSUI FINL GROUP INC (SMFG)	A	Dividend	J	T					
561. SUNCOR ENERGY INC (SU)	A	Dividend	J	T					
562. SYNEX CORP (SNX) (X)		None	J	T					
563. TAIWAN SMCNDCR MFG CO LTD ADR (TSM)	A	Dividend	J	T					
564. TELENOR ASA ADS (TELNY)	A	Dividend	J	T					
565. TENCENT HLDGS LTD UNSPON ADR (TCEHY)	A	Dividend	J	T					
566. THERMO FISHER SCIENTIFIC (TMO)	A	Dividend	J	T					
567. TJX COS INC NEW (TJX)	A	Dividend	J	T	Sold	10/08/18	J	B	
568.					Buy	12/06/18	J		
569. TOKYO ELECTRON LTD UNSPON ADR (TOELY) (Y)									
570. TURKCELL ILETISM HIZM AS NEW (TKC) (Y)									
571. UBISOFT ENTMT SA UNSPON ADR (UBSFY) (X)		None	J	T					
572. UNILEVER PLC (NEW) ADS (UL)	A	Dividend	J	T					
573. UNITED TECHNOLOGIES CORP (UTX)	A	Dividend	J	T	Buy (add'l)	02/21/18	J		

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574. US ECOLOGY INC NEW (ECOL)	A	Dividend	J	T					
575. VALEO SPONSORED ADR (VLECY) (Y)									
576. VINCI SA ADR (VCISY)	A	Dividend	J	T					
577. VIVENDI SA UNSPON ADR (VIVHY) (X)		None	J	T					
578. WOLTERS KLUWER NV SPON ADR (WTKWY)	A	Dividend	J	T					
579. WOLVERINE WORLD WIDE (WWW)	A	Dividend	J	T					
580. YANDEX N.V. A (YNDX) (Y)									
581. VOLKSWAGEN AG RP (VWAPY) (X)		None	J	T					
582. JP MORGAN CHASE & CO (46625HHS2)	A	Interest	J	T	Buy (add'l)	01/18/18	J		
583. VERIZON COMMUNICATIONS (92343VBR4)	A	Interest	J	T					
584. BANK OF AMERICA CORP (06051GFB0)	A	Interest	J	T					
585. GOLDMAN SACHS GROUP INC (38141GVM3)	A	Interest	J	T					
586. BP CAPITAL MARKETS PLC (05565QDB1)	A	Interest	J	T	Sold (part)	02/05/18	J		
587. SHELL INTERNATIONAL FINANCE BV (822582BT8)	A	Interest	J	T					
588. WELLS FARGO CO F XD (95000U2A0)	A	Interest	J	T	Buy (add'l)	01/18/18	J		
589. CITIGROUP INC 3.66 FXD TO 07/24/2027 FLTS THRFR (172967LP4)	A	Interest	J	T					
590. GENERAL ELECTRIC CAPITAL CORP (36962G3P7)	A	Interest	J	T	Buy (add'l)	03/21/18	J		

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591. MICROSOFT CORP (594918CA0)	A	Interest	J	T					
592. ENTERPRISE PRODUCTS OPER (29379VAV5)	A	Interest			Sold	11/02/18	J		
593. ENTERPRISE PRODUCTS OPER (29379VBU6)		None	J	T	Buy	11/02/18	J		
594. UNITED STATES TREASURY NOTE (9128284Y3)		None	K	T	Buy	10/22/18	J		
595. UNITED STATES TREASURY BILL (912796QD4)		None			Buy	09/19/18	J		
596.					Buy (add'l)	09/20/18	J		
597.					Redeemed	10/18/18	K	A	
598. UNITED STATES TREASURY NOTE (912828F21)	A	Interest	J	T	Buy (add'l)	04/12/18	J		
599.					Buy (add'l)	04/24/18	J		
600. UNITED STATES TREASURY NOTE (9128283W8)		None	J	T	Buy	04/24/18	J		
601. UNITED STATES TREASURY NOTE- INFLATION INDEXED (912828UH1)	A	Interest			Buy (add'l)	01/19/18	J		
602.					Sold	04/12/18	J		
603. UNITED STATES TREASURY BOND (912810RM2)	A	Interest	J	T	Buy (add'l)	02/05/18	J		
604. UNITED STATES TREASURY BOND- INFLATION INDEXED (912810RR1)	A	Interest			Buy (add'l)	02/27/18	J		
605.					Sold	04/24/18	J	A	
606. UNITED STATES TREASURY BOND (912810RQ3) (X)	A	Interest	J	T					
607. FED NATL MTG ASSN (31359MGK3)	A	Interest	J	T	Buy (add'l)	02/27/18	J		

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608.					Redeemed (part)	09/18/18	K		
609. FED HOME LN MTG CORP (3137EACA5)	A	Interest			Sold	04/20/18	J		
610. FHLMC 30YR GOLD	D	Interest	K	T	Buy (add'l)	09/12/18	J		
611. FEDERAL NATIONAL MTG ASSN POOL BM1285 (3140J5NB1)	C	Interest	J	T					
612. FEDERAL NATIONAL MTG ASSN POOL MA3210 (31418CR89)	B	Interest	K	T	Buy (add'l)	04/20/18	J		
613. AQR STYLE PREMIA ALTERNATIVE I (QSPIX)	C	Dividend	M	T	Buy (add'l)	10/29/18	J		
614. WESTERN ASSET SMASH SERIES C (LMLCX)	B	Dividend	K	T					
615. WESTERN ASSET SMASH SERIES EC (LMECX)	C	Dividend	L	T					
616. WESTERN ASSET SMASH SERIES M (LMSMX)	C	Dividend	L	T	Buy (add'l)	01/24/18	J		
617.					Buy (add'l)	02/02/18	J		

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Part VII, lines 91, 140, 232, 267, 312, 367, and 398: Following one or more reportable transactions, these assets became unreportable without corresponding reportable transactions.

Part VII, lines 603 and 607: See 2017, Part VII, lines 553 and 557, respectively.

Part VII, Column B: Lines reflecting no income are so reported because none was attributed to those particular holdings during the reporting period, whether taxable, tax exempt, or tax deferred, or because the investments do not allocate income to individual holdings, but instead are credited only in unit value, per page 42 of the filing instructions.

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **CHARLES J. SIRAGUSA**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544