AO 10
Rev. 1/2018

FINANCIAL DISCLOSURE REPORT
FOR CALENDAR YEAR 2018

Report Required by the Ethics in Government Act of 1978 (5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial)	2. Court or Organization	3. Date of Report
Thacker, Stephanie D.	U.S. Court of Appeals for the Fourth Circuit	5/13/2019
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time)	**5a. Report Type (check appropriate type)**	**6. Reporting Period**
Active Circuit Judge	☐ Nomination Date ☐ Initial ☑ Annual ☐ Final **5b.** ☐ Amended Report	01/01/2018 **to** 12/31/2018

7. Chambers or Office Address

Robert C. Byrd United States Courthouse
300 Virginia Street, East
Room 7404
Charleston, West Virginia 25301

IMPORTANT NOTES: ***The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.***

I. POSITIONS. *(Reporting individual only; see pp. 9-13 of filing instructions.)*

☑ NONE *(No reportable positions.)*

	POSITION	NAME OF ORGANIZATION/ENTITY
1.		
2.		
3.		
4.		
5.		

II. AGREEMENTS. *(Reporting individual only; see pp. 14-16 of filing instructions.)*

☑ NONE *(No reportable agreements.)*

	DATE	PARTIES AND TERMS
1.		
2.		
3.		

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)*

A. Filer's Non-Investment Income

☐ NONE *(No reportable non-investment income.)*

	DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1.	9/13/18	Marshall University - Forum and Address	$1,000.00
2.			
3.			
4.			

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.*

(Dollar amount not required except for honoraria.)

☑ NONE *(No reportable non-investment income.)*

	DATE	SOURCE AND TYPE
1.		
2.		
3.		
4.		

IV. REIMBURSEMENTS -- *transportation, lodging, food, entertainment.*

(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)

☐ NONE *(No reportable reimbursements.)*

	SOURCE	DATES	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
1.	Elisabeth Haub School of Law	February 24-25, 2018	White Plains, New York	Jeffrey G. Miller Nat'l Environmental Law Moot Court Competition	Airfare, parking at airport
2.	West Virginia University College of Law	February 27, 2018	Morgantown, West Virginia	Baker Cup Competition	Lodging
3.	West Virginia University College of Law	March 10, 2018	Morgantown, West Virginia	National Energy & Sustainability Moot Court	Lodging, some meals
4.	Case Western Reserve University School of Law	April 7, 2018	Cleveland, Ohio	Dean Dunmore Moot Court	Lodging; mileage and some meals
5.					

V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*

☑ NONE *(No reportable gifts.)*

	SOURCE	DESCRIPTION	VALUE
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*

☑ NONE *(No reportable liabilities.)*

	CREDITOR	DESCRIPTION	VALUE CODE
1.			
2.			
3.			
4.			
5.			

Name of Person Reporting	Date of Report
Thacker, Stephanie D.	5/13/2019

VII. INVESTMENTS and TRUSTS – *income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period (1) Amount Code 1 (A-H)	B. (2) Type (e.g., div., rent, or int.)	C. Gross value at end of reporting period (1) Value Code 2 (J-P)	C. (2) Value Method Code 3 (Q-W)	D. Transactions during reporting period (1) Type (e.g., buy, sell, redemption)	D. (2) Date mm/dd/yy	D. (3) Value Code 2 (J-P)	D. (4) Gain Code 1 (A-H)	D. (5) Identity of buyer/seller (if private transaction)
1. TCW Total Return Fund - TGLMX	B	Dividend	K	T	Buy	01/23/18	J		
2.					Sold (part)	03/28/18	J	A	
3. PGIM Short Term Fund - PIFZX	A	Dividend	J	T	Buy	01/23/18	J		
4. Delaware Corp. Bond Fund - DGCIX	B	Dividend	K	T	Buy	01/23/18	J		
5.					Buy	06/27/18	J		
6. T. Rowe Price US Treasury Fund - PRTIX	A	Dividend	K	T	Buy	01/23/18	J		
7.					Sold (part)	03/28/18	J	A	
8. Cambiar International Fund - CAMIX	A	Dividend	K	T	Buy	01/23/18	J		
9.					Buy	03/28/18	J		
10. Sound Shore Fund - SSHVX	A	Dividend	K	T	Buy	01/23/18	J		
11.					Sold (part)	03/28/18	J	A	
12.					Sold (part)	06/27/18	J	A	
13. Blackrock HighYield Bond Fund - BHYIX	A	Dividend	K	T	Buy	01/23/18	J		
14. JP Morgan Value Fund - JVASX	A	Dividend			Sold (part)	01/23/18	J	A	
15.					Sold (part)	03/28/18	J	A	
16.					Sold	06/27/18	J	C	
17. Edgewood Growth Fund - EGFIX	A	Dividend	K	T	Sold (part)	01/23/18	J	A	

1. Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000	
2. Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
	P3 =$25,000,001 - $50,000,000		P4 =More than $50,000,000		
3. Value Method Codes (See Column C2)	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
	U =Book Value	V =Other	W =Estimated		

Name of Person Reporting	Date of Report
Thacker, Stephanie D.	5/13/2019

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☐ NONE *(No reportable income, assets, or transactions.)*

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period (1) Amount Code 1 (A-H)	B. (2) Type (e.g., div., rent, or int.)	C. Gross value at end of reporting period (1) Value Code 2 (J-P)	C. (2) Value Method Code 3 (Q-W)	D. Transactions during reporting period (1) Type (e.g., buy, sell, redemption)	D. (2) Date mm/dd/yy	D. (3) Value Code 2 (J-P)	D. (4) Gain Code 1 (A-H)	D. (5) Identity of buyer/seller (if private transaction)
18.					Buy	03/28/18	J		
19.					Buy	06/27/18	J		
20. Hartford Schroders Emerging Fund - SEMNX	A	Dividend	K	T	Sold (part)	01/23/18	J	A	
21.					Buy	06/27/18	J		
22. Clearbridge Large Cap Fund - SBLYX	A	Dividend	K	T	Sold (part)	01/23/18	J	A	
23.					Buy	03/28/18	J		
24.					Buy	06/27/18	J		
25. JP Morgan Equity Income Fund - HLIEX	A	Dividend	K	T	Buy	06/27/18	K		
26. Nuveen Small Cap Value Fund - FSCCX	A	Dividend	J	T	Sold (part)	03/28/18	J	A	
27. Wells Fargo Small Company Fund - WSCGX	A	Dividend	J	T	Sold (part)	03/28/18	J	A	
28.					Buy	06/27/18	J		
29. Harding Loevner Int'l Fund - HLMIX	A	Dividend	J	T	Buy	03/28/18	J		
30.					Sold (part)	06/26/18	J	A	
31. American Tax-Exempt Bond Fund of America Cl F3	A	Dividend	J	T					
32. Hartford International Opportunities Cl F	A	Dividend	J	T					
33. Hartford Dividend and Growth Cl F	A	Dividend	J	T					
34. Hartford Midcap Cl F	A	Dividend	J	T					

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2. Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
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3. Value Method Codes (See Column C2)	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
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35. JP Morgan Emerging Markets Equity Cl R6	A	Dividend	J	T					
36. JP Morgan Equity Income Cl R6	A	Dividend	J	T					
37. MFS Municipal Income Cl R6	A	Dividend	J	T					
38. Edward Jones Money Market	A	Dividend	J	T					

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Name of Person Reporting	Date of Report
Thacker, Stephanie D.	5/13/2019

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Name of Person Reporting	Date of Report
Thacker, Stephanie D.	5/13/2019

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ Stephanie D. Thacker

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544