

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2018

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Smith, James P.	2. Court or Organization U.S. Bankruptcy Court	3. Date of Report 04/10/2019
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. Bankruptcy Judge	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2018 to 12/31/2018
7. Chambers or Office Address 433 Cherry Street Macon, Georgia 31201		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. Board of Directors	Central Georgia Boy Scouts of America
2.	
3.	
4.	
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.	
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☒ **NONE** *(No reportable non-investment income.)*

DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income - If you were married during any portion of the reporting year, complete this section.*(Dollar amount not required except for honoraria.)*☒ **NONE** *(No reportable non-investment income.)*

DATE	SOURCE AND TYPE
1.	
2.	
3.	
4.	

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☐ **NONE** *(No reportable reimbursements.)*

SOURCE	DATES	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
1. U.S. Treasury	01/12/18	Columbus, GA	Judges Meeting	Meal
2. Middle District of Georgia Bankruptcy Law Institute	04/16/18 - 04/17/18	Washington, DC	Hear oral argument at Supreme Court	Hotel, meals and airfare
3. Coastal Bankruptcy Law Institute	04/19/18 - 04/20/18	Savannah, GA	Seminar (Teach)	Hotel, meal and transportation
4. U.S. Treasury	05/01/18 - 05/05/18	Ponte Vedra Beach, FL	11th Circuit Judicial Conference	Hotel, meals and mileage
5. Federal Judicial Center	05/09/18 - 05/11/18	Washington, DC	Seminar	Hotel, airfare, meals, taxi and mileage
6. W. Homer Drake Inn of Court	06/08/18	Atlanta, GA	Social	Golf, beverage and meal

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7.	Federal Judicial Center	07/10/18 - 07/13/18	Denver, CO	Seminar	Hotel, airfare, parking, meals and mileage
8.	National Conference of Bankruptcy Judges	10/28/18 - 10/31/18	San Antonio, TX	Seminar	Hotel and meals
9.	State Bar of Georgia	12/13/18 - 12/14/18	Greensboro/Lake Oconee, GA	Seminar	Hotel, meals and parking
10.	Federal Judicial Center	11/13/18 - 11/16/18	New York, NY	Mid-Career Seminar	Hote, airfare, meals, parking and mileage
11.	U.S. Treasury	04/24/18	Albany, GA	Meet with Judge to discuss bankruptcy committee information	Car rental

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.	Neil Graham	purchase real estate	None
2.			
3.			
4.			
5.			

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

1. IRA #1 (H)									
2. Bank of America, NA	A	Interest	K	T					
3. IRA#2 (H)									
4. Charles Schwab Bank	A	Interest	J	T					
5. -DFA Five Year Global	D	Dividend	M	T					
6. -DFA One Year Fixed	A	Dividend	L	T					
7. -Primco Real Return Fund	A	Dividend	K	T					
8. -DFA Intl. Real Estate	A	Dividend	J	T					
9. -DFA Intl. Small Cap	A	Dividend	J	T					
10. -DFA Large Cap Intl. Port	A	Dividend	K	T					
11. -DFA Real Estate	A	Dividend	J	T					
12. -DFA U.S. Large Cap Value	C	Dividend	L	T					
13. -DFA U.S. Targeted Value	B	Dividend	K	T					
14. -Vanguard Growth Index Fund	A	Dividend	L	T					
15. -Vanguard Mid Cap Growth	A	Dividend	K	T					
16. IRA #3 (H)									
17. -Blackrock Global Mutual Fund	C	Interest	L	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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18. Bank of America, NA	A	Interest	J	T					
19. IRA #4 (H)									
20. Bank of America, NA	A	Interest	J	T					
21. -Home Depot Stock	A	Dividend	M	T					
22. -Coca Cola Stock	A	Dividend	K	T					
23. IRA #5 (H)									
24. Bank of America, NA	A	Interest	J	T					
25. -Coca Cola Stock	A	Dividend	J	T					
26. IRA #6 (H)									
27. -Blackrod Global Mutual Fund	A	Dividend	K	T					
28. IRA #7 (H)									
29. -Columbia Cash Reserves	A	Interest	J	T					
30. -Oppenheimer Global Fund Class A	C	Int./Div.	K	T					
31. Brokerage Account #5 (H)									
32. -Fidelity Cash Reserves	A	Interest			Redeemed	04/19/18	J	A	
33. Branch Banking & Trust (H)									
34. -Checking Account	A	Interest	K	T					

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35. -Money Market Account	A	Interest	J	T					
36. Northwestern Life Inc.	B	Dividend	K	T					
37. Real Estate, Terrell County, GA	C	Rent	M	Q					
38. Real Estate, Bibb County, GA		None	J	R					

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Appraisal date for Item 37, Section VII real estate - July 8, 2003.

Liability in Section VI to Neil Graham incurred in 2015 for \$7,500.00. Satisfied October 2018.

Purchase date and amount for Item 38, Section VII is October 15, 2015 for \$12,500.00. Empty lot next to residence. No income.

Part VII, Investments and Trusts, Line 7, Column D(1) - there were no transactions during this period.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **s/ James P. Smith**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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