

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2018

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Seitz, Patricia A.	2. Court or Organization District Court, SDFL	3. Date of Report 05/10/2019
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. District Judge, Senior	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2018 to 12/31/2018
7. Chambers or Office Address U.S. District Court 400 North Miami Avenue, Chambers 11-4 Miami, FL 33128-1810		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☒ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1.		
2.		
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1.	
2.	
3.	

FINANCIAL DISCLOSURE REPORT

Page 2 of 8

Name of Person Reporting

Seitz, Patricia A.

Date of Report

05/10/2019

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2018	Richman, Greer PA - Salary
2. 2018	The Northern Trust Company - Minimum Required Distribution
3.	
4.	

IV. REIMBURSEMENTS *— transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.				
2.				
3.				
4.				
5.				

FINANCIAL DISCLOSURE REPORT

Page 3 of 8

Name of Person Reporting

Seitz, Patricia A.

Date of Report

05/10/2019

V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.			
2.			
3.			
4.			
5.			

FINANCIAL DISCLOSURE REPORT

Page 4 of 8

Name of Person Reporting

Seitz, Patricia A.

Date of Report

05/10/2019

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Timberland, Clark Co., AR, Appraisal dated 1996		None	K	Q					
2. Richman, Greer, P.A. interest in law firm		None	K	U					
3. City National Bank, Money Market, Miami, FL	A	Interest	N	T					
4. Northern Trust Bank, IRA/U.S. Treasury Bills, Miami, FL,	B	Interest	M	T					
5. Interest in Richman Greer 401(k) Plan		None	P1	T					
6. Wells Fargo, N.A., Miami, FL	A	Interest	O	T					
7. USAA Savings Account	A	Interest	J	T					
8. Oil & Gas Interest, Trego Co., KS	D	Royalty	K	W					
9. Condo Boat Slip, Miami-Dade County, FL	C	Rent	M	S					
10. Northern Trust Bank, Money Market - Miami, FL	B	Interest	N	T					
11. MS Cash	A	Interest	J	T					
12. MS Bank Deposit Program - CitiBank NA	A	Interest	K	T					
13. Berkshire Hathaway Inc. CL B	A	Dividend	M	T					
14. iShares Russell 2000 ETF	B	Dividend	L	T					
15. iShares iBoxx Invest Gr Cor Bd	C	Dividend			Sold (part)	05/02/18	L	A	
16. iShares iBoxx Invest Gr Cor Bd	C	Dividend			Sold	10/04/18	L	A	
17. iShares TIP Bond	A	Dividend			Sold	08/28/18	L	A	

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

FINANCIAL DISCLOSURE REPORT

Page 5 of 8

Name of Person Reporting

Seitz, Patricia A.

Date of Report

05/10/2019

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

18. FPA Crescent FD Instl	B	Dividend			Sold (part)	05/02/18	J	A	
19. FPA Crescent FD Instl	B	Dividend			Sold (part)	06/22/18	L	C	
20. FPA Crescent FD Instl	B	Dividend			Sold	07/05/18	K	C	
21. IVY Sci & Tech	A	Dividend	L	T	Buy (add'l)	06/26/18	J		
22. MFB Northern FDS Money Mkt FD (X)	A	Dividend	M	T					
23. Nuveen Ehd Mun Cred Opp Fd	C	Dividend	K	T					
24. T Rowe Price Health Science Fd	A	Dividend	L	T					
25. Vanguard Ltd Tm Tx Ext Inv	A	Dividend	L	T					
26. American New Perspective F2	B	Dividend	L	T	Buy (add'l)	02/27/18	K		
27. Industrial Sel Sec Spdr Fd	B	Dividend	K	T					
28. T Rowe Price Blue Chip Gr	A	Dividend	M	T	Buy (add'l)	07/09/18	K		
29. General Electric Co 5% Fixed to 01/21/21	B	Interest	K	T					
30. Oakmark Select I	A	Dividend			Sold (part)	06/26/18	K	B	
31. Oakmark Select I	A	Dividend			Sold	07/05/18	K	B	
32. SPDR S&P Regional Banking	A	Dividend			Buy	03/05/18	K		
33. SPDR S&P Regional Banking	A	Dividend			Sold	10/12/18	K	A	
34. AT&T Inc 5% due 03/01/21	B	Interest	L	T	Buy	03/01/18	L		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 6 of 8

Name of Person Reporting

Seitz, Patricia A.

Date of Report

05/10/2019

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

35. American International Group Inc. 4.875% due 06/01/22	B	Interest	L	T	Buy	03/01/18	L		
36. Bank of America Corp 5% due 05/13/21	B	Interest	L	T	Buy	03/05/18	L		
37. Blackrock Inc	A	Dividend	K	T	Buy	03/21/18	K		
38. Goldman Sachs Group 4% due 03/03/24	C	Interest	L	T	Buy	08/28/18	L		
39. HSBC Holdings PLC 4.875% due 01/14/22	B	Interest	L	T	Buy	03/01/18	L		
40. Ishares MSCI ACWI EX US ETF	B	Dividend	L	T	Buy	05/02/18	L		
41. Ishares US Aerospace & Def ETF	A	Dividend	L	T	Buy	12/12/18	L		
42. JCMorgan Chase & Co 4.5% due 01/24/22	B	Interest	K	T	Buy	07/05/18	K		
43. Marsh & McLennan Cos Inc 4.05% due 10/15/23	B	Interest	L	T	Buy	03/05/18	L		
44. T Rowe Price QM US SM CP	A	Dividend	L	T	Buy	06/22/18	L		
45. Verizon Communications 5.15% due 09/15/23	C	Interest	L	T	Buy	03/05/18	L		
46.									
47.									
48.									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 7 of 8

Name of Person Reporting

Seitz, Patricia A.

Date of Report

05/10/2019

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

The Purchases in Section VII beginning with item 26 of 02/27/18 and items 32, 34, 35, 36, 37,39,43 and 45 were funded by the rollover of my Government Thrift Savings Plan and a mandatory distribution from my 401K Plan.

FINANCIAL DISCLOSURE REPORT

Page 8 of 8

Name of Person Reporting

Seitz, Patricia A.

Date of Report

05/10/2019

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **s/ Patricia A. Seitz**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544