

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2018

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) ST. EVE, AMY J.	2. Court or Organization U.S. Circuit Court, Seventh Circuit	3. Date of Report 5/14/2019
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. Circuit Judge - Active	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2018 to 12/31/2018
7. Chambers or Office Address 219 South Dearborn Street Chicago, Illinois 60604		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. Adjunct Professor	Northwestern Law School
2. Board Member	Federal Bar Association -- Chicago Chapter
3. Member	Public Safety Commissioner
4. Board Member	Posse Foundation Chicago
5. Board Member	Loyola Academy

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.	
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1. 2018	Northwestern Law School (teaching)	\$2,000.00
2. 2018	Thompson Reuters (payment for editing chapters in a treatise)	\$3,743.52
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2018	Northwestern Medical Faculty Foundation
2. 2018	Interventional Consultants, LLC
3. 2018	U.S. Government - Department of Veteran's Affairs
4.	

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☒ NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.				
2.				
3.				
4.				
5.				

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.		
2.		
3.		
4.		
5.		

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Fidelity Ginnie Mae Mutual Fund	A	Dividend	K	T					
2. Fidelity Magellan Mutual Fund (monthly purchase of \$250)	D	Dividend	M	T					
3. Fidelity Low Priced Stock Mutual Fd. (monthly purchase \$250)	D	Dividend	M	T					
4. Fidelity Municipal Money Market Account	A	Interest	K	T					
5. Chase (Cash Accounts)	C	Interest	N	T					
6. Abbott Laboratories Common Stock	A	Dividend	K	T					
7. Fidelity Contrafund (Monthly purchase of \$250)	D	Dividend	M	T					
8. Fidelity Select Biotech	A	Dividend	J	T					
9. McDonalds Corp. CS	A	Dividend	K	T					
10. Ameriprise Insured Money Market	A	Interest	M	T					
11. Fidelity Strategic Dividend/Income	C	Dividend	J	T					
12. 529 Unique College Investing Plan (age based)(See Note 1 In Part VIII)	D	Interest	O	T					
13. Fidelity Health Care Services	D	Dividend	L	T					
14. Fidelity Balanced	A	Dividend	J	T					
15. Fidelity New Markets Income Mutual Fund	A	Dividend	J	T					
16. Monsanto	D	Dividend	K	T					
17. Bayer (see Note 4 in Part VIII)	D	Dividend	K	T	Spinoff (from line 15)	06/07/18	K		

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
(See Column C2)	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
	U = Book Value	V = Other	W = Estimated		

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

18. Manchester United	A	Dividend	J	T					
19. Invesco American Franchise Mutual Fund	A	Dividend	K	T					
20. Invesco European Growth Fund	A	Dividend	J	T					
21. Facebook		None	L	T					
22. Palo Alto Networks		None	K	T					
23. Chevron	A	Dividend	K	T					
24. JP Morgan	C	Dividend	M	T					
25. Pfizer	A	Dividend	K	T					
26. AbbVie	A	Dividend	J	T					
27. Lennar (see Note 3 in Part VIII)	A	Dividend	J	T					
28. Eden Vance Bonds	A	Dividend			Sold	01/26/18	J	A	
29. Post		None	K	T					
30. Nutrien	A	Dividend	J	T					
31. Union Pacific Railroad	A	Dividend	K	T					
32. Halliburton	A	Dividend	J	T					
33. Fidelity Gov't Cash Reserves	A	Dividend	J	T					
34. Alphabet Inc. Cap Stk Class A	B	Dividend	K	T					

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2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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35. Amazon		None	M	T	Buy (add'l)	01/26/18	J		
36. Box Inc.		None	J	T	Buy	06/05/18	J		
37. Apple Inc.	B	Dividend	L	T					
38. IXG	A	Dividend	J	T	Buy	01/26/18	J		
39. Berkshire Hathaway Inc. B		None	L	T					
40. Clorox Co.	A	Dividend	J	T					
41. Goldman Sachs Group Inc.	B	Dividend	K	T					
42. Starbucks Corp.	A	Dividend	K	T					
43. Visa Inc.	B	Dividend	K	T					
44. Select Sector Spdr Tr Health Care	B	Dividend	M	T					
45. Vanguard Target 2030 (See Note 2 in Part VIII)	C	Dividend	N	T					
46. Vanguard Index FDS/Vanguard Growth ETF	B	Dividend	M	T					
47. Vanguard Index FDS/Vanguard Small Cap Fund	B	Dividend	M	T					
48. Vanguard Specialized Funds Div App ETF	C	Dividend	M	T					
49. Morgan Stanley CD	A	Interest	K	T	Buy	12/04/18	J		
50. Vanguard Index Funds High Dividend Yield	B	Dividend	M	T					
51. Amgen Inc.	A	Dividend	J	T	Buy	12/04/18	J		

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52. EOG Energy		None	J	T					
53. Freeport McMoran	A	Dividend	J	T					
54. JP Morgan Growth & Income Fund	A	Dividend	J	T					
55. PNC Financial	A	Dividend	J	T					
56. Pioneer National Resources	A	Dividend	J	T					
57. Undor Armour	A	Dividend	J	T					
58. Fidelity Cash Reserves	A	Interest	K	T					
59. SPDR S&P Dividend ETF	A	Dividend	J	T					
60. Vanguard Whitehall FDS High Dividend		None	L	T					
61. Vanguard Index Funds Vanguard Value EFT	A	Dividend	J	T					
62. Vanguard Bond Index Total Bond Market ETF	B	Dividend	J	T					
63. Ishares Core US Aggregate Bond ETF		None	J	T					
64. Fidelity Gov Money Market	A	Interest	J	T					
65. Tetra-Westwood Mighty Mites	A	Dividend	J	T					
66. Citigroup	A	Dividend	K	T					
67. Walt Disney Company		None	J	T	Buy	12/04/18	J		
68. Microsoft		None	K	T	Buy	12/04/18	J		

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69. Nike Inc.		None	J	T	Buy	12/04/18	J		
70. Paypal Hldgs Inc.		None	J	T	Buy	12/04/18	J		
71. Salesforce.com, Inc.		None	J	T	Buy	12/04/18	J		

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Notes

1. We made monthly contributions to these Fidelity 529 Education Plans. We contributed a total of \$2,000 monthly in 2018.
2. [REDACTED] employer makes automatic contributions to this fund as part of [REDACTED] retirement. It was mistakenly listed as sold in my 2017 Financial Disclosure Report.
3. Lennar was only partially sold in 2017. My 2017 Financial Disclosure Report lists a partial sale and then, mistakenly, a full sale.
4. Bayer acquired Monsanto in 2018 and called Monsanto's stock.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ AMY J. ST. EVE

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544