

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2017

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Spraker, Gary A.	2. Court or Organization Bankruptcy Court	3. Date of Report 10/11/2019
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) Chief Bankruptcy Judge	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☒ Amended Report	6. Reporting Period 01/01/2017 to 12/31/2017
7. Chambers or Office Address US Bankruptcy Court Old Federal Building 605 West 4th Avenue Anchorage, AK 99501		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☒ NONE (No reportable positions.)

	<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1.		
2.		
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

	<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.		
2.		
3.		

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1. 2017	Alaska Permanent Fund Dividend	\$1,100.00
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2017	Alaska Permanent Fund
2. 2017	Self-employed - attorney
3. 2017	Self-employed - landlord (separate entity owning law firm building)
4.	

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☒ NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.				
2.				
3.				
4.				
5.				

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*☐

NONE (No reportable gifts.)

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.	West Publishing	Bankruptcy Exemption Manual 2018	\$527.00
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*☐

NONE (No reportable liabilities.)

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.	Bank of America	Credit Card	J
2.	American Express	Credit Card	J
3.			
4.			
5.			

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Invesco American Franchise Fund (f/k/a Leisure Fund)	C	Dividend	L	T					
2. Franklin Templeton Inv.- Mutual Quest Fund (TEQIX)	B	Dividend	K	T					
3. Touchstone Invests - OM Copper Rock Int'l Small Cap Fund Z	A	Dividend	J	T					
4. T. Rowe Price Small Cap Value Fund (PRSVX)	B	Dividend	K	T					
5. T Rowe Price - Int'l Stock	B	Dividend	K	T					
6. Wells Fargo Advantage Funds - Discovery (Inv)	D	Dividend	K	T					
7. White Oak Growth	A	Dividend	J	T					
8. Vanguard - IRA (Header)									
9. 500 Index Fund	B	Dividend	L	T					
10. Wellington Fund Inv. Shares	C	Distribution	L	T					
11. Wellesley Fund	A	Dividend	J	T					
12. Money Market	A	Dividend	L	T					
13. Global Equity	A	Dividend	K	T					
14. Prime Market	C	Dividend	N	T					
15. ABA Retirement Accounts (Header)									
16. ABA Retirement - Moderate Risk Fund	A	Dividend	N	T					
17. ABA Retirement - Lifetime Income Retirement Fund	A	Dividend	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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18. Municipality of Anchorage 401k (Header)									
19. TRP Equity Index Trust Class C		None	K	T					
20. Oakmark International Trust Fund -X - Harbor International Fund	B	Dividend	K	T					
21. Vanguard Mid-Cap Growth Fund	B	Dividend	L	T					
22. UBS Resource Management Account									
23. UBS Bank USA Deposit Account	A	Interest	N	T					
24. Nuveen Amt-Free Mun Credit Inc	D	Dividend	M	T					
25. UBS Access Account-managed by Davis Core Equities (header)									
26. UBS Bank USA Deposit Account	A	Interest	M	T					
27. UBS Retirement Account -IRA (Header)									
28. RMA Govt Money Mkt FD (f/k/a UBS Ret. Money Fund)	A	Interest	J	T					
29. UBS Bank USA Deposit Account	A	Interest	M	T					
30. Blackrock Eurofund A	A	Dividend	J	T					
31. Columbia Seligmann Comm & Info, Class A	C	Dividend	K	T					
32. Invesco American Value, Class A	A	Dividend	K	T					
33. Invesco Mid Cap Growth, Class A	C	Dividend	K	T					
34. Invesco Small Cap Discovery Fund, Class B	D	Dividend	K	T					

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35. Victory Munder Multi-Cap Fund Class A (f/k/a Munder Growth Opp)	A	Dividend	J	T					
36. Lord Abbott Bond Debenture Fund, Class A	C	Dividend	L	T					
37. UBS Retirement Account- SEP IRA (Header)									
38. RMA Govt Money Markey FD (f/k/a UBS Bank)	A	Interest	J	T					
39. UBS Bank	A	Interest	J	T					
40. UBS Bank - CODA SEP	A	Interest	L	T					
41. Key Bank (money market)	A	Interest			Closed	09/09/17	J		
42. Alaska USA Fed. Credit Union	A	Interest	L	T					
43. Northrim Bank	A	Interest	L	T					
44. Northrim Bank - UTMA 2	A	Interest	J	T					
45. Northrim Bank - III	A	Interest	K	T					
46. Wells Fargo Business Checking Account		None	J	T					
47. Wells Fargo Custodian SEP IRA - Money Market	A	Interest	J	T					
48. TJT Boreal Investments LLC	A	Rent	K	U					
49. American Enter. Inv. Serv. Inc.-Cap Inc Bldr A	A	Dividend	J	T					
50. Cohen & Steer Closed End Opp. Fund Inc	A	Dividend	J	T					
51. Franklin Inc. Cl. A	A	Dividend	J	T					

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52. Regions Fin. Corp.	A	Dividend	J	T					
53. Ameriprise Enter. - Cash	A	Interest	J	T					
54. Oregon State University Fed Credit Union	A	Interest	J	T	Open	09/29/17	J		
55.									

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

V. Gifts. I received a copy of West Publishing's Bankruptcy Exemption Manual as a contributing author. The retail sales price of the book now appears to be \$527.00, so I included it in the gift section as it is over the \$390 minimum.

VII. Investments and Trusts - Closed Key Bank account and deposited funds into Alaska USA account.

FOR AMENDED RETURN - Part VII - The TRP Equity Index Trust did not have any dividends in 2017. The fund was exchanged on May 23, 2018 by the plan, and shares were liquidated and placed into Blackrock Equity Index M. I inadvertently included this information in the 2017 report because the transaction had happened prior to the filing of the 2017 report in 2018. I have removed that line entry and will limit that entry to the 2018 report.

Part VII - Similarly, the retirement plan exchanged the investment in Harbor International Fund, formerly known as the Oakmark International Trust Fund, to DFA World Ex US Core Equity Inst, also on May 23, 2018. I have delete the reference to the DFA World Ex US Core Equity Inst as that was not owned in 2017, and shall limit the entry to the 2018 report.

In discussing these matters with T Rowe Price as the plan administrator, I was able to get more detail as to the income from these investments and have revised the income designations accordingly.

Part VII - I also deleted the reference to the Vanguard Wellington Fund Admiral as that investment was part of an exchange for Wellington Fund Investor in 2018, and shall be reflected in the 2018 report.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ Gary A. Spraker

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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Washington, D.C. 20544