

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2018

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Srinivasan, Srikanth	2. Court or Organization U.S. Court of Appeals for the District of Columbia Circuit	3. Date of Report 5/15/2019
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. Court of Appeals Judge - Active	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2018 to 12/31/2018
7. Chambers or Office Address E. Barrett Prettyman U.S. Courthouse and William B. Bryant Annex 333 Constitution Avenue, NW Washington, D.C. 20001		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. Adjunct Professor of Law	Georgetown University Law Center
2. Trustee/Grantor	Trust #1
3. Adviser	American Law Institute
4. Member	Edward Coke Appellate Inn of Court
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☐ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1. 2018	Georgetown University Law Center - Teaching in 2019
2.	
3.	

FINANCIAL DISCLOSURE REPORT

Page 2 of 11

Name of Person Reporting

Srinivasan, Srikanth

Date of Report

5/15/2019

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☐ NONE *(No reportable non-investment income.)*

DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1. 2018	Georgetown University Law Center - Teaching Income	\$5,000.00
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☒ NONE *(No reportable non-investment income.)*

DATE	SOURCE AND TYPE
1.	
2.	
3.	
4.	

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☐ NONE *(No reportable reimbursements.)*

SOURCE	DATES	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
1. Duke University School of Law	February 19-21, 2018	Durham, NC	Dean's Cup Moot Court Competition (judge)	Transportation, meals, hotel
2. University of Illinois College of Law	April 17-18, 2018	Champaign, IL	Frederick Green Moot Court Competition (judge)	Transportation, meals, hotel
3. New York University School of Law	May 1-2, 2018	New York, NY	Supreme Court Seminar (guest speaker)	Transportation
4. South Asian Bar Association of North America	June 30 - July 1, 2018	New York, NY	2018 Annual Conference (panelist)	Transportation, gala ticket
5.				

FINANCIAL DISCLOSURE REPORT

Page 3 of 11

Name of Person Reporting

Srinivasan, Srikanth

Date of Report

5/15/2019

V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.	American Express	Credit Card	J
2.			
3.			
4.			
5.			

FINANCIAL DISCLOSURE REPORT

Page 4 of 11

Name of Person Reporting

Srinivasan, Srikanth

Date of Report

5/15/2019

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Brinker Destinations IRA									
2. - Fidelity Cash Reserves (FDRXX)	A	Dividend	J	T					
3. - Destinations Large Cap Equity (DLCFX)	D	Dividend	M	T	Sold (part)	01/19/18	J	B	
4.					Sold (part)	09/25/18	J	B	
5. - Destinations Small Mid Cap Equity (DSMFX)	C	Dividend	L	T	Buy (add'l)	01/19/18	J		
6.					Sold (part)	05/22/18	J	A	
7.					Buy (add'l)	09/25/18	J		
8. - Destinations International Equity (DIEFX)	B	Dividend	M	T					
9. - Destinations Equity Income (DGEFX)	A	Dividend	J	T	Sold (part)	01/19/18	J	A	
10. - Destinations Real Assets (DRAFX)	A	Dividend	J	T	Buy (add'l)	01/19/18	J		
11.					Buy (add'l)	05/22/18	J		
12. - Destinations Multi Strategy (DMSFX)	B	Dividend	K	T	Sold (part)	01/18/18	J	A	
13.					Sold (part)	09/25/18	J	A	
14. - Destinations Core Fixed Income (DCFFX)	B	Dividend	K	T	Buy (add'l)	01/18/18	J		
15.					Buy (add'l)	09/25/18	J		
16. - Destinations Low Duration Fixed Income (LDLFX)	A	Dividend	J	T	Buy (add'l)	09/25/18	J		
17. - Destinations Global Fixed Income (DGFFX)	A	Dividend	K	T	Buy (add'l)	01/19/18	J		

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

FINANCIAL DISCLOSURE REPORT

Page 5 of 11

Name of Person Reporting

Srinivasan, Srikanth

Date of Report

5/15/2019

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	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

18.					Buy (add'l)	09/25/18	J		
19. Trust #1 - Brinker Destinations Brokerage Account									
20. - Fidelity 500 Index (FXAIX)	A	Dividend	K	T	Sold (part)	01/17/18	J	C	
21. - Fidelity Intermediate Muni Income (FLTIX)	A	Dividend			Sold	07/18/18	L		
22. - Avenue Credit Strategies (ACSAX)		None			Sold (part)	01/17/18	J		
23.					Sold	03/13/18	J		
24. - Columbia Dividend Opportunity (INUTX)	A	Dividend			Sold (part)	01/17/18	J	A	
25.					Sold	05/01/18	J	A	
26. - Columbia Select Large Cap Growth (ELGAX)	D	Dividend	K	T	Sold (part)	01/17/18	J	A	
27. - Delaware Value (DDVAX)	B	Dividend	K	T	Buy (add'l)	09/25/18	J		
28. - Doubleline Total Return Bond (DLTNX)	A	Dividend			Sold	07/18/18	K		
29. - Driehaus Active Income (LCMAX)	A	Dividend			Sold (part)	01/17/18	J		
30.					Buy (add'l)	03/13/18	J		
31.					Sold	07/18/18	J		
32. - MFS International Value (MGIAX)	A	Dividend	K	T	Sold (part)	01/17/18	J	A	
33.					Buy (add'l)	09/25/18	J		
34. - Northern Intermediate Tax Exempt (NOITX)	A	Dividend			Buy (add'l)	01/17/18	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$5,001,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 6 of 11

Name of Person Reporting

Srinivasan, Srikanth

Date of Report

5/15/2019

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35.					Sold	07/18/18	K		
36. - T. Rowe Price Growth Stock Advisor (TRSAX)	B	Dividend	K	T	Sold (part)	01/17/18	J	B	
37. - Victory Global Natural Resources (RSNRX)		None			Buy (add'l)	01/17/18	J		
38.					Buy (add'l)	05/23/18	J		
39.					Sold	07/18/18	J	A	
40. - Virtus Mid Cap Value Equity (SMVTX)	C	Dividend	K	T	Buy (add'l)	01/17/18	J		
41. - Rivernorth Core Opportunity (RNCOX)	A	Dividend			Sold	07/18/18	J		
42. - T. Rowe Price Intl Stock Advisor (PAITX)	A	Dividend	J	T	Sold (part)	05/23/18	J	A	
43. - TCW Relative Value Dividend Apprec (TGIGX)	B	Dividend	K	T	Buy (add'l)	05/01/18	J		
44.					Sold (part)	09/25/18	J	A	
45. - Touchstone Focused (TFOAX)	A	Dividend	K	T					
46. - Wasatch International Opportunities (WAIOX)	A	Dividend	J	T					
47. - Fidelity Cash Reserves (FCASH)	A	Dividend	J	T					
48. - Riverpark Strategic Income (RSIVX)	A	Dividend			Buy (add'l)	01/17/18	J		
49.					Buy (add'l)	03/13/18	J		
50.					Sold	07/18/18	J		
51. - Baron Emerging Markets (BEXFX)	A	Dividend	J	T	Buy (add'l)	01/17/18	J		

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FINANCIAL DISCLOSURE REPORT

Page 7 of 11

Name of Person Reporting

Srinivasan, Srikanth

Date of Report

5/15/2019

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52.					Sold (part)	09/25/18	J	A	
53. - Driehaus Event Driven (DEVDX)		None			Sold	07/18/18	J	A	
54. - Driehaus Micro Cap Growth (DMCRX)	B	Dividend	J	T					
55. - Touchstone Small Cap Value (TVOAX)	A	Dividend	J	T					
56. - Doubleline Low Duration (DELNX) (Y)	A	Dividend			Sold (part)	07/18/18	J		
57. - JP Morgan Strategic Income (JSOAX)	A	Dividend			Sold	07/18/18	J	A	
58. - Riverpark Short Term Hi Yield (RPHYX)	A	Dividend			Sold	07/18/18	J		
59. - Destinations Real Assets (DRAFX)	A	Dividend	J	T	Buy	07/18/18	J		
60. - Destinations Multi Strategy (DMSFX)	B	Dividend	K	T	Buy	07/18/18	K		
61.					Sold (part)	09/25/18	J	A	
62. - Destinations Core Fixed Income (DCFFX)	A	Dividend	J	T	Buy	07/18/18	K		
63.					Sold (part)	09/25/18	J		
64. - Destinations Low Duration Fixed Income (DLDFX)	A	Dividend	J	T	Buy	07/18/18	J		
65.					Buy (add'l)	09/25/18	J		
66. - Destinations Global Fixed Income (DGFFX)	A	Dividend	K	T	Buy	07/18/18	K		
67.					Buy (add'l)	09/25/18	J		
68. - Destinations Municipal Fixed Income (DMFFX)		None	M	T	Buy	07/18/18	L		

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FINANCIAL DISCLOSURE REPORT

Page 8 of 11

Name of Person Reporting

Srinivasan, Srikanth

Date of Report

5/15/2019

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69.					Buy (add'l)	09/25/18	J		
70. American Funds Roth IRA									
71. - American Funds AMCAP (AMCPX)	A	Dividend	J	T					
72. - American Funds New World (NEWFX)	A	Dividend	J	T					
73. - American Funds Small Cap World (SMCWX)	A	Dividend	J	T					
74. - American Funds Capital World Growth & Income (CWGIX)	A	Dividend	J	T					
75. - American Funds Washington Mutual (AWSHX)	A	Dividend	J	T					
76. - American Funds Income Fund of America (AMECX)	A	Dividend	J	T					
77. Wells Fargo Checking Account	A	Interest	K	T					
78. Citibank Checking Account	A	Interest	J	T					
79. American Funds College Savings Plan (529) #1									
80. - College 2021 Fund 529 (CTOFX)	C	Dividend	M	T					
81. - College 2024 Fund 529 (CTFFX)	C	Dividend	M	T					
82. - AF U.S. Government Money Market 529 (FARXX)	B	Dividend	M	T					
83. American Funds College Savings Plan (529) #2									
84. - College 2021 Fund 529 (CTOFX)	C	Dividend	M	T					
85. - College 2024 Fund 529 (CTFFX)	C	Dividend	M	T					

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FINANCIAL DISCLOSURE REPORT

Page 9 of 11

Name of Person Reporting

Srinivasan, Srikanth

Date of Report

5/15/2019

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86. - AF U.S. Government Money Market 529 (FARXX)	B	Dividend	M	T					
87. - Preservation Portfolio 529 (CPPFX)	A	Dividend	J	T					
88. Mass Mutual Variable Life Insurance Policy									
89. - Mass Mutual VUL Guard Guaranteed Account	B	Interest	L	T	Buy (add'l)	08/18/18	J		
90. - Deutsche Small Cap Index		None	K	T	Buy (add'l)	08/18/18	J		
91. - Mass Mutual VUL Guard Small Cap Equity		None	J	T					
92. American Funds Brokerage Account									
93. - American Funds Bond Fund of America (ABNFX)	A	Dividend	J	T					
94. - American Funds Fundamental Investors (FINFX)	A	Dividend	J	T					
95. - American Funds Growth Fund of America (GFFFX)	A	Dividend	J	T					
96. - American Funds New Economy Fund (NEFFX)	A	Dividend	J	T					
97. - American Funds Tax Exempt Bond Fund of America (TEAFX)	A	Dividend	J	T					

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FINANCIAL DISCLOSURE REPORT

Page 10 of 11

Name of Person Reporting

Srinivasan, Srikanth

Date of Report

5/15/2019

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Part VII (Investments and Trusts):

The ticker symbol for Fidelity 500 Index Fund (line 20) changed from FUSVX to FXAIX. The new ticker symbol is used in this report.

The name of RS Global Natural Resources changed to Victory Global Natural Resources (line 37). The ticker symbol did not change. The new name is used in this report.

The mutual funds in the two American Funds College Savings Plans (lines 79-87) and the American Funds Brokerage Account (lines 92-97) were converted from Class A to Class F on 10/12/18. The new ticker symbols are used in this report.

FINANCIAL DISCLOSURE REPORT

Page 11 of 11

Name of Person Reporting

Srinivasan, Srikanth

Date of Report

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ Srikanth Srinivasan

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544