

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2018

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Scudder, Jr., Michael Y.	2. Court or Organization Circuit Court - Seventh Circuit	3. Date of Report 05/14/2019
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) Article III Judge	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2018 to 12/31/2018
7. Chambers or Office Address 219 S. Dearborn Street, Room 2742 Chicago, IL 60604		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. Partner	Skadden, Arps, Slate, Meagher & Flom LLP (see Part VIII)
2. Adjunct Professor of Law	Northwestern University Pritzker School of Law
3. Lecturer in Law	University of Chicago Law School
4. Director	Chicago Council on Global Affairs (see Part VIII)
5. Director	Archdiocese of Chicago School Board (see Part VIII)
6. Director	Catholic Charities of Archdiocese of Chicago (see Part VIII)
7. Director	Midtown Educational Foundation (see Part VIII)

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☐ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1. 2009	Agreement with Skadden, Arps, Slate, Meagher & Flom LLP for the return of my capital. (See Part VIII)
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1. 2018	Skadden, Arps, Slate, Meagher & Flom LLP - partnership income	\$599,513.00
2. 2018	University of Chicago Law School - Teaching	\$0.00
3. 2018	Northwestern University Pritzker School of Law - Teaching	\$0.00
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☒ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1.	
2.	
3.	
4.	

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☐ NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1. Notre Dame Law School	November 1-2, 2018	South Bend, IN	Law Review Symposium Keynote Address	Hotel, transportation, meals
2. The Federalist Society	November 16-17, 2018	Washington, DC	National Lawyers Convention Panel Moderator	Hotel, transportation, meals
3.				
4.				
5.				

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*☐NONE *(No reportable gifts.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.	Skadden, Arps, Slate, Meagher & Flom LLP	Judicial investiture reception (see Part VIII)	\$19,868.04
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*☒NONE *(No reportable liabilities.)*

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.			
2.			
3.			
4.			
5.			

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. JPMorgan Chase Bank Account #1 (cash account)	A	Interest	K	T					
2. JPMorgan Chase Bank Account #2 (cash account)	A	Interest	L	T					
3. JPMorgan Chase Bank Account #3 (cash account)	A	Interest	J	T					
4. Navy Federal Credit Union Account (cash account)	A	Int./Div.	J	T					
5. PNC Bank Account #1 (cash account)	A	Interest	J	T					
6. PNC Bank Account #2 (cash account)	A	Interest	J	T					
7. PNB Bank Account #3 (cash account)	A	Interest	J	T					
8. Bank of America Account #1 (cash account)	A	Interest	J	T					
9. Bank of America Account #2 (cash account)	A	Interest	J	T					
10. Merrill Lynch Cash Management Account (H) (see Part VIII)									
11. Bank of America Deposit Cash Account	A	Interest			Closed	08/31/18	J		
12. Merrill Lynch Investment Account (H) (see Part VIII)									
13. Bank of America Deposit Cash Account	A	Interest			Closed	09/18/18	J		
14. Northeastern IL University CTFS PARTN Capital Improvement Bond	A	Interest			Sold	08/08/18	J		
15. Pfizer Inc. Stock (PFE)	A	Dividend			Sold	08/08/18	J		
16. ALPS Sector Dividend DOGS Fund (SDOG)	A	Dividend			Sold	08/08/18	K		
17. Blackrock Muni Enhanced Fund (MEN)	A	Dividend			Sold	08/08/18	K		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$250,000.01 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

18. Fidelity Advisor Limited Term Municipal Income Fund - Class A (FASHX)	A	Dividend			Sold	08/08/18	L		
19. Fidelity Advisor Municipal Income Fund - Class A (FAMUX)	A	Dividend			Sold	08/08/18	L		
20. Invesco Municipal Opportunity Trust Fund (VMO)	A	Dividend			Sold	08/08/18	J		
21. Legg Mason Low Volatility - High Dividend ETF (LVHD)	A	Dividend			Sold	08/08/18	K		
22. Nuveen Municipal Value Fund (NUV)	A	Dividend			Sold	08/08/18	J		
23. Nuveen New York Quality Municipal Income Fund (NAN)	A	Dividend			Sold	08/08/18	K		
24. Nuveen Enhanced Municipal Value Fund (NEV)	A	Dividend			Sold	08/08/18	J		
25. Nuveen Municipal 2021 Target Term Fund (NHA)	A	Dividend			Sold	08/08/18	J		
26. Nuveen Intermediate Duration Municipal Term Fund (NID)	A	Dividend			Sold	08/08/18	K		
27. Invesco National AMT Free Municipal Bond (PZA)	A	Dividend			Sold	08/08/18	K		
28. Tekla World Healthcare Fund (THW)	A	Dividend			Sold	08/08/18	K		
29. Vaneck Vectors Fallen Angel High Yield Bond Fund (ANGL)	A	Dividend			Sold	08/08/18	J		
30. Citi Private Bank Investment Account (H)									
31. Western Asset Institutional Government Money Market Deposit Account	A	Interest	K	T					
32. iShares Russell TR 1000 Value ETF (IWD)	C	Dividend	L	T	Buy (add'l)	01/19/18	K		
33.					Buy (add'l)	02/01/18	J		
34.					Buy (add'l)	03/13/18	J		

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
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(See Column C2)	U = Book Value	V = Other	W = Estimated		

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35.					Buy (add'l)	04/02/18	J		
36.					Sold (part)	05/07/18	J	A	
37.					Sold (part)	08/02/18	J	A	
38. iShares Russell TR 1000 Growth ETF (IWF)	B	Dividend	L	T	Buy (add'l)	01/19/18	K		
39.					Buy (add'l)	02/01/18	J		
40.					Buy (add'l)	03/13/18	J		
41.					Buy (add'l)	04/02/18	J		
42.					Sold (part)	05/07/18	J	A	
43.					Sold (part)	08/02/18	J	B	
44. iShares TR Russell 2000 ETF (IWM)	A	Dividend	K	T	Buy (add'l)	01/19/18	J		
45.					Buy (add'l)	02/01/18	J		
46.					Buy (add'l)	03/13/18	J		
47.					Buy (add'l)	04/02/18	J		
48.					Sold (part)	05/07/18	J	A	
49.					Sold (part)	08/02/18	J	A	
50. iShares TR JPMorgan USD Emerging Markets Bond ETF (EMB)	C	Dividend	L	T	Buy (add'l)	01/19/18	K		
51.					Buy (add'l)	02/01/18	K		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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52.					Buy (add'l)	03/13/18	J		
53.					Sold (part)	04/02/18	J		
54.					Buy (add'l)	05/07/18	J		
55. PIMCO ETF TR Enhanced Short Maturity Active ETF (MINT)	A	Dividend	K	T	Buy (add'l)	01/19/18	J		
56.					Buy (add'l)	02/01/18	J		
57.					Buy (add'l)	03/13/18	J		
58.					Sold (part)	04/02/18	J		
59. SPDR Bloomberg Barclays High Yield Bond ETF (JNK)	B	Dividend	K	T	Buy (add'l)	01/19/18	J		
60.					Buy (add'l)	02/01/18	J		
61.					Buy (add'l)	03/13/18	J		
62.					Sold (part)	04/02/18	J		
63.					Sold (part)	05/07/18	J		
64.					Sold (part)	08/02/18	J		
65. SPDR Nuveen Bloomberg Barclays Municipal Bond ETF (TFI)	D	Dividend	N	T	Buy (add'l)	01/19/18	M		
66.					Sold (part)	02/01/18	K	A	
67.					Buy (add'l)	03/13/18	J		
68.					Sold (part)	04/02/18	J	A	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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69.					Buy (add'l)	05/07/18	J		
70.					Buy (add'l)	08/02/18	J		
71. SPDR Nuveen Bloomberg Barclays Short Term Municipal Bond ETF (SHM)	C	Dividend	N	T	Buy (add'l)	01/19/18	M		
72.					Buy (add'l)	02/01/18	L		
73.					Buy (add'l)	03/13/18	K		
74.					Sold (part)	04/02/18	J		
75.					Buy (add'l)	05/07/18	J		
76.					Buy (add'l)	08/02/18	K		
77. Vanguard FTSE Developed Markets ETF (VEA)	C	Dividend	L	T	Buy (add'l)	01/19/18	K		
78.					Buy (add'l)	02/01/18	J		
79.					Buy (add'l)	03/13/18	J		
80.					Buy (add'l)	04/02/18	J		
81.					Sold (part)	05/07/18	J	A	
82.					Sold (part)	08/02/18	J	A	
83. Vanguard Int'l Equity Index FDS FTSE Emerging Markets ETF (VWO)	B	Dividend	K	T	Buy (add'l)	01/19/18	J		
84.					Buy (add'l)	02/01/18	J		
85.					Buy (add'l)	03/13/18	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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86.					Buy (add'l)	04/02/18	J		
87.					Buy (add'l)	05/07/18	J		
88.					Sold (part)	08/02/18	J	A	
89. Citi Private Bank Individual Retirement Account #1 (H)									
90. Western Asset Institutional Government Money Market Deposit Account	A	Interest	J	T					
91. iShares Russell TR 1000 Value ETF (IWD)	B	Dividend	L	T	Buy (add'l)	02/01/18	J		
92.					Buy (add'l)	04/02/18	J		
93.					Sold (part)	08/02/18	J	A	
94. iShares Russell TR 1000 Growth ETF (IWF)	A	Dividend	L	T	Sold (part)	02/01/18	J	A	
95.					Sold (part)	05/07/18	J	A	
96.					Sold (part)	08/02/18	J	B	
97. iShares TR Russell 2000 ETF (IWM)	A	Dividend	J	T	Sold (part)	04/02/18	J	A	
98.					Sold (part)	05/07/18	J	A	
99.					Sold (part)	08/02/18	J	A	
100. iShares TR JPMorgan USD Emerging MKTs Bond ETF (EMB)	A	Dividend	J	T	Buy	02/01/18	J		
101.					Sold (part)	04/02/18	J		
102.					Sold (part)	05/07/18	J		

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103. iShares TR Short-Term Corp. Bond ETF (IGSB)	A	Dividend	J	T	Buy	08/02/18	J		
104. SPDR Bloomberg Barclays High Yield Bond Fund ETF (JNK)	A	Dividend			Sold (part)	02/01/18	J		
105.					Sold (part)	02/01/18	J		
106.					Sold	02/01/18	J	A	
107. Vanguard Total Bond Market ETF (BND)	A	Dividend	K	T	Buy (add'l)	02/01/18	J		
108.					Sold (part)	04/02/18	J		
109.					Buy (add'l)	05/07/18	J		
110.					Buy (add'l)	08/02/18	J		
111. Vanguard FTSE Developed Markets ETF (VEA)	B	Dividend	L	T	Sold (part)	02/01/18	J	A	
112.					Sold (part)	05/07/18	J	A	
113. Vanguard Charlotte FDS Total International Bond ETF (BNDX)	A	Dividend	J	T	Sold (part)	02/01/18	J		
114. Vanguard Int'l Equity Index FDS FTSE Emerging Markets ETF (VWO)	A	Dividend	K	T	Sold (part)	02/01/18	J		
115.					Sold (part)	08/02/18	J		
116. TD Ameritrade Investment Account #1 (H)									
117. TD Ameritrade FDIC Insured Deposit Account (cash account)	A	Interest	J	T					
118. Guggenheim BulletShares 2021 ETF (BSCL)	B	Dividend	L	T	Buy (add'l)	01/16/18	L		
119.					Buy (add'l)	08/16/18	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000 J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000 K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000 L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
120.					Sold (part)	12/06/18	J		
121.					Sold (part)	12/17/18	J		
122. Guggenheim BulletShares 2020 ETF (BSCK)	B	Dividend	L	T	Buy (add'l)	01/16/18	M		
123.					Sold (part)	08/16/18	K		
124.					Sold (part)	12/06/18	J		
125.					Sold (part)	12/17/18	J		
126. Guggenheim BulletShares 2022 ETF (BSCM)	B	Dividend	L	T	Buy	08/16/18	L		
127.					Sold (part)	12/06/18	J		
128.					Sold (part)	12/17/18	J		
129. Guggenheim 2023 Corporate Bond ETF (BSCN)	B	Dividend	L	T	Buy	08/16/18	J		
130.					Buy (add'l)	08/16/18	L		
131.					Sold (part)	12/06/18	J		
132.					Sold (part)	12/17/18	J		
133. Invesco Bullet Shares 2024 Corporate Bond ETF (BSCO)	B	Dividend	L	T	Buy	08/16/18	L		
134.					Sold (part)	12/17/18	J		
135. Guggenheim Ultra Short Duration ETF (GSY)	A	Dividend			Buy (add'l)	01/16/18	K		
136.					Sold (part)	08/16/18	K		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
137.					Sold	10/16/18	K	A	
138. iShares Core High Dividend ETF (HDV)	B	Dividend	K	T	Buy (add'l)	09/19/18	J		
139. iShares Gold Trust ETF (IAU)		None	K	T	Buy (add'l)	01/16/18	J		
140.					Buy (add'l)	09/19/18	J		
141.					Sold (part)	12/17/18	J		
142. ProShares Short S&P 500 New ETF (SH)		None			Buy (add'l)	01/16/18	J		
143.					Sold	02/06/18	K		
144. Vanguard Dividend Appreciation ETF (VIG)	A	Dividend	K	T	Buy (add'l)	09/19/18	J		
145.					Sold (part)	10/16/18	J		
146. Vanguard Growth ETF (VUG)	A	Dividend	K	T	Buy (add'l)	09/19/18	K		
147.					Sold (part)	10/16/18	K		
148.					Buy (add'l)	12/06/18	J		
149. Vanguard Value ETF (VTI)	B	Dividend	L	T	Buy (add'l)	02/06/18	J		
150.					Buy (add'l)	09/19/18	K		
151.					Buy (add'l)	12/06/18	J		
152. Vanguard Total Stock Market ETF (VTI)	B	Dividend	K	T	Buy (add'l)	09/19/18	K		
153.					Sold (part)	10/16/18	K	B	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
154. DoubleLine Flexible Income Fund I (DFLEX)	C	Dividend	L	T	Buy (add'l)	01/16/18	L		
155.					Sold (part)	08/16/18	K		
156. DoubleLine Low Duration Bond Fund Class I (DBLSX)	C	Dividend	M	T	Buy (add'l)	01/16/18	J		
157.					Sold (part)	12/06/18	J		
158.					Sold (part)	12/17/18	J		
159. Wisdom Tree Emerging Markets Equity Income Fund (DEM)	A	Dividend	J	T	Buy	10/16/18	J		
160. Wisdom Tree International LargeCap Dividend Fund (DOL)	A	Dividend	K	T	Buy	10/16/18	K		
161. Wisdom Tree U.S. Total Market Fund (EXT)	A	Dividend	K	T	Buy	10/16/18	K		
162. SPDR Bloomberg Barclays Investment Grade Floating Rate ETF (FLRN)	A	Dividend	K	T	Buy	10/16/18	K		
163.					Sold (part)	12/17/18	J		
164. SPDR SSGA U.S. Large Cap Low Volatility Index ETF (LGLV)	A	Dividend	K	T	Buy	10/17/18	K		
165. Federated Drive Cash Obligations Fund (PRCXX)	A	Dividend	K	T	Buy	08/16/18	L		
166.					Sold (part)	09/19/18	L		
167.					Sold (part)	12/17/18	J		
168. SPDR Portfolio Short Term Treasury ETF (SPTS)	A	Dividend			Buy	02/06/18	K		
169.					Sold (part)	08/02/18	K		
170.					Buy (add'l)	08/16/18	L		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
171.					Sold	10/16/18	L		
172. Wisdom Tree Floating Rate Treasury Fund (USFR)	A	Dividend	L	T	Buy	10/16/18	L		
173.					Sold (part)	12/17/18	J	A	
174. Northeastern IL University CTFS PARTN Capital Improvement Bond	A	Dividend			Sold	09/18/18	J		
175. Vaneck Vectors Fallen Angel High Yield Bond Fund (ANGL)		None			Sold	08/16/18	J	A	
176. Fidelity Advisor Limited Term Municipal Income Fund - Class A (FASHX)	A	Dividend			Sold	08/16/18	L		
177. Fidelity Advisor Municipal Income A (FHUGX)	A	Dividend			Sold	08/16/18	L		
178. Lord Abbett Short Duration Income Fund Class A (LALDX)	A	Dividend			Sold	01/16/18	M		
179. Legg Mason Low Volatility - High Dividend ETF (LVHD)		None			Sold	08/16/18	K	B	
180. Blackrock Muni Enhanced Fund (MEN)	A	Dividend			Sold	08/16/18	K		
181. Nuveen New York Quality Municipal Income Fund (NAN)	A	Dividend			Sold	08/16/18	K		
182. Nuveen Enhanced Municipal Value Fund (NEV)	A	Dividend			Sold	08/16/18	J	A	
183. Nuveen Municipal 2021 Target Term Fund (NHA)	A	Dividend			Sold	08/16/18	J		
184. Nuveen Intermediate Duration Municipal Term Fund (NID)	A	Dividend			Sold	08/16/18	K		
185. Nuveen Municipal Value Fund (NUV)	A	Dividend			Sold	08/16/18	J		
186. Pfizer Inc. Stock (PFE)		None			Sold	08/16/18	J	B	
187. Invesco National AMT-Free Municipal Bond ETF (PZA)		None			Sold	08/16/18	K		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
188. ALPS Sector Dividend Dogs ETF (SDOG)		None			Sold	08/16/18	K	B	
189. Tekla World Healthcare Fund (THW)		None			Sold	08/16/18	K	B	
190. SPDR DoubleLine Total Return Tactical ETF (TOTL)		None			Sold	01/16/18	L		
191. Invesco Municipal Opportunity Trust Fund (VMO)	A	Dividend			Sold	08/16/18	J		
192. Wells Fargo Short-Term High Yield Bond Fund (WDHYX)	A	Dividend			Sold	01/16/18	M		
193. TD Ameritrade Investment Account #2 (H)									
194. TD Ameritrade FDIC Insured Deposit Account (cash account)	A	Interest	J	T					
195. Guggenheim 2023 Corporate Bond ETF (BSCN)	A	Dividend	J	T	Buy (add'l)	01/16/18	J		
196.					Sold (part)	12/21/18	J		
197. Guggenheim BulletShares 2022 ETF (BSCM)	A	Dividend	J	T	Buy (add'l)	01/16/18	J		
198.					Sold (part)	12/21/18	J		
199. Guggenheim BulletShares 2021 ETF (BSCL)	A	Dividend	J	T	Buy (add'l)	01/16/18	J		
200.					Sold (part)	12/21/18	J		
201. Guggenheim BulletShares 2020 ETF (BSCK)	A	Dividend	J	T	Buy (add'l)	01/16/18	J		
202.					Sold (part)	12/21/18	J		
203. iShares Core High Dividend ETF (HDV)	A	Dividend	J	T	Buy (add'l)	12/21/18	J		
204. iShares Gold Trust ETF (IAU)		None	J	T	Buy (add'l)	01/16/18	J		

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

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☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
205. ProShares Short S&P 500 New ETF (SH)		None			Buy (add'l)	01/16/18	J		
206.					Sold	02/06/18	J		
207. Vanguard Dividend Appreciation ETF (VIG)	A	Dividend	J	T	Buy (add'l)	12/21/18	J		
208. Vanguard All-World ex-US ETF (VEU)	A	Dividend	J	T	Buy (add'l)	01/16/18	J		
209.					Sold (part)	10/12/18	J		
210. Vanguard Growth ETF (VUG)	A	Dividend	J	T	Buy (add'l)	02/06/18	J		
211.					Sold (part)	10/12/18	J	A	
212. Vanguard Value ETF (VTV)	A	Dividend	J	T	Buy (add'l)	01/16/18	J		
213.					Sold (part)	12/21/18	J		
214. Vanguard Total Stock Market ETF (VTI)	A	Dividend	J	T	Buy (add'l)	01/16/18	J		
215.					Sold (part)	10/12/18	J	A	
216. DoubleLine Flexible Income Fund I (DFLEX)	A	Dividend			Buy (add'l)	01/16/18	J		
217.					Sold	12/21/18	J		
218. DoubleLine Low Duration Bond Fund Class I (DBLSX)	A	Dividend	J	T	Sold (part)	12/21/18	J		
219. Wisdom Tree Emerging Markets Equity Income Fund (DEM)	A	Dividend	J	T	Buy	10/12/18	J		
220.					Buy (add'l)	12/21/18	J		
221. Wisdom Tree International LargeCap Dividend Fund (DOL)	A	Dividend	J	T	Buy	10/12/18	J		

1. Income Gain Codes:	A ~\$1,000 or less (See Columns B1 and D4)	B ~\$1,001 - \$2,500 G ~\$100,001 - \$1,000,000	C ~\$2,501 - \$5,000 H1 ~\$1,000,001 - \$5,000,000	D ~\$5,001 - \$15,000 H2 ~More than \$5,000,000	E ~\$15,001 - \$50,000
2. Value Codes	J ~\$15,000 or less (See Columns C1 and D3)	K ~\$15,001 - \$50,000 O ~\$500,001 - \$1,000,000	L ~\$50,001 - \$100,000 P1 ~\$1,000,001 - \$5,000,000	M ~\$100,001 - \$250,000 P2 ~\$5,000,001 - \$25,000,000	
3. Value Method Codes	P3 ~\$25,000,001 - \$50,000,000 (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	P4 ~More than \$50,000,000 S = Assessment W = Estimated	T = Cash Market

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
222.					Buy (add'l)	12/21/18	J		
223. Wisdom Tree U.S. SmallCap Quality Dividend Growth Fund (DGRS)	A	Dividend	J	T	Buy	12/21/18	J		
224. Wisdom Tree U.S.SmallCap Fund (EES)	A	Dividend	J	T	Buy	12/21/18	J		
225. Wisdom Tree U.S. Total Market Fund (EXT)	A	Dividend	J	T	Buy	10/12/18	J		
226.					Buy (add'l)	12/21/18	J		
227. SPDR Bloomberg Barclays Investment Grade Floating Rate ETF (FLRN)	A	Dividend	J	T	Buy	10/12/18	J		
228. Guggenheim Ultra Short Duration ETF (GSY)	A	Dividend			Sold	01/16/18	J		
229. Wisdom Tree International Quality Dividend Growth Fund (IQDG)	A	Dividend	J	T	Buy	10/12/18	J		
230.					Buy (add'l)	12/21/18	J		
231. Lord Abbett Short Duration Income Fund Class A (LALDX)	A	Dividend			Sold	01/16/18	J		
232. SPDR SSGA U.S. LargeCap Low Volatility Index ETF (LGLV)	A	Dividend			Buy	10/12/18	J		
233.					Sold	12/21/18	J		
234. SPDR Portfolio Short Term Treasury ETF (SPTS)	A	Dividend			Buy	02/06/18	J		
235.					Sold	10/12/18	J		
236. Vanguard Small-Cap Growth ETF (VBK)	A	Dividend	J	T	Buy	12/21/18	J		
237. Vanguard Small-Cap Value ETF (VBR)	A	Dividend	J	T	Buy	12/21/18	J		
238. Vanguard High Dividend (VYM)	A	Dividend	J	T	Buy	12/21/18	J		

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

239. Wells Fargo Short-Term High Yield Bond Fund (WDHYX)	A	Dividend			Sold	01/16/18	J		
240. TD Ameritrade Investment Account #3 (H)									
241. TD Ameritrade FDIC Insured Deposit Account (cash account)	A	Interest	J	T					
242. Invesco BulletShares 2019 Corporate Bond ETF (BSCJ)	A	Dividend	L	T	Buy	09/19/18	L		
243.					Sold (part)	10/23/18	J		
244.					Sold (part)	12/06/18	J		
245. Guggenheim BulletShares 2020 ETF (BSCK)	A	Dividend	L	T	Buy	09/19/18	J		
246.					Buy (add'l)	09/19/18	J		
247.					Buy (add'l)	09/19/18	J		
248.					Buy (add'l)	09/19/18	L		
249.					Sold (part)	10/23/18	J		
250.					Sold (part)	12/06/18	J		
251. Guggenheim BulletShares 2021 ETF (BSCL)	A	Dividend	L	T	Buy	09/19/18	L		
252.					Sold (part)	10/23/18	J		
253.					Sold (part)	12/06/18	J		
254. DoubleLine Flexible Income Fund I (DFLEX)	A	Dividend	K	T	Buy	09/19/18	K		
255. SPDR S&P Emerging Markets Dividend ETF (EDIV)	A	Dividend	J	T	Buy	09/19/18	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

256.					Buy (add'l)	10/16/18	J		
257.					Buy (add'l)	10/23/18	J		
258. iShares Gold Trust ETF (IAU)		None	K	T	Buy	09/19/18	K		
259.					Buy (add'l)	10/16/18	J		
260.					Buy (add'l)	10/23/18	J		
261. Federated Drive Cash Obligations Fund (PRCXX)	A	Dividend			Buy	09/19/18	M		
262.					Sold (part)	10/16/18	L		
263.					Sold	10/23/18	M		
264. Invesco FTSE RAFI U.S. 1000 ETF (PRF)	A	Dividend	K	T	Buy	09/19/18	K		
265.					Buy (add'l)	10/16/18	J		
266.					Buy (add'l)	10/23/18	J		
267. Invesco FTSE RAFI Developed Markets ex-U.S. ETF (PXF)	A	Dividend	J	T	Buy	09/19/18	J		
268.					Buy (add'l)	10/16/18	J		
269.					Buy (add'l)	10/23/18	J		
270. Invesco FTSE RAFI Emerging Markets ETF (PXH)	A	Dividend	J	T	Buy	09/19/18	J		
271.					Buy (add'l)	10/16/18	J		
272.					Buy (add'l)	10/23/18	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

273. SPDR Portfolio Developed World ex-U.S. ETF (SPDW)	A	Dividend	J	T	Buy	09/19/18	J		
274.					Buy (add'l)	10/16/18	J		
275.					Buy (add'l)	10/23/18	J		
276. SPDR Portfolio Total Stock Market ETF (SPTM)	A	Dividend	L	T	Buy	09/19/18	K		
277.					Buy (add'l)	10/16/18	K		
278.					Buy (add'l)	10/23/18	K		
279. SPDR Portfolio S&P 500 High Dividend ETF (SPYD)	A	Dividend	K	T	Buy	09/19/18	K		
280.					Buy (add'l)	10/16/18	J		
281.					Buy (add'l)	10/23/18	J		
282. SPDR Portfolio S&P 500 Growth ETF (SPYG)	A	Dividend	L	T	Buy	09/19/18	K		
283.					Buy (add'l)	10/16/18	K		
284.					Buy (add'l)	10/23/18	K		
285. SPDR Portfolio S&P 500 Value ETF (SPYV)	A	Dividend	L	T	Buy	09/19/18	K		
286.					Buy (add'l)	10/16/18	K		
287.					Buy (add'l)	10/23/18	K		
288. T. Rowe Price Ultra Short-Term Bond Fund (TRBUX)	A	Dividend	L	T	Buy	09/19/18	L		
289. SPDR SSGA Ultra Short Term Bond Fund ETF (ULST)	A	Dividend	K	T	Buy	09/19/18	K		

1. Income Gain Codes: (See Columns B1 and D4)	A ~\$1,000 or less F ~\$50,001 - \$100,000	B ~\$1,001 - \$2,500 G ~\$100,001 - \$1,000,000	C ~\$2,501 - \$5,000 H1 ~\$1,000,001 - \$5,000,000	D ~\$5,001 - \$15,000 H2 ~More than \$5,000,000	E ~\$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J ~\$15,000 or less N ~\$250,001 - \$500,000 P3 ~\$25,000,001 - \$50,000,000	K ~\$15,001 - \$50,000 O ~\$500,001 - \$1,000,000	L ~\$50,001 - \$100,000 P1 ~\$1,000,001 - \$5,000,000 P4 ~More than \$5,000,000	M ~\$100,001 - \$250,000 P2 ~\$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
290.					Sold (part)	10/23/18	J	A	
291.					Sold (part)	12/17/18	J		
292. TD Ameritrade Individual Retirement Account #1 (H)									
293. TD Ameritrade FDIC Insured Deposit Account (cash account)	A	Interest	J	T					
294. DoubleLine Low Duration Bond Fund Class I (DBLSX)	A	Dividend	L	T	Buy	08/15/18	J		
295.					Buy (add'l)	09/19/18	K		
296.					Sold (part)	10/12/18	J		
297.					Buy (add'l)	11/07/18	L		
298.					Sold (part)	12/18/18	J		
299. Wisdom Tree Emerging Markets Equity Income Fund (DEM)	A	Dividend	J	T	Buy	10/12/18	J		
300.					Buy (add'l)	11/07/18	J		
301.					Buy (add'l)	11/15/18	J		
302. Wisdom Tree Emerging Markets Quality Dividend Growth Fund (DGRE)	A	Dividend	J	T	Buy	10/12/18	J		
303.					Buy (add'l)	11/07/18	J		
304.					Buy (add'l)	11/15/18	J		
305. Wisdom Tree U.S. SmallCap Quality Dividend Growth Fund (DGRS)	A	Dividend	K	T	Buy	10/12/18	J		
306.					Buy (add'l)	11/07/18	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
307.					Buy (add'l)	11/15/18	J		
308. Wisdom Tree International LargeCap Dividend Fund (DOL)	A	Dividend	J	T	Buy	10/12/18	J		
309.					Buy (add'l)	11/07/18	J		
310.					Buy (add'l)	11/15/18	J		
311. Wisdom Tree U.S.SmallCap Fund (EES)	A	Dividend	J	T	Buy	10/12/18	J		
312.					Buy (add'l)	11/07/18	J		
313.					Buy (add'l)	11/15/18	J		
314. Wisdom Tree U.S. Total Market Fund (EXT)	A	Dividend	K	T	Buy	10/12/18	J		
315.					Buy (add'l)	11/07/18	J		
316.					Buy (add'l)	11/15/18	J		
317. Wisdom Tree U.S. Mid Cap Fund (EZM)	A	Dividend	K	T	Buy	10/12/18	J		
318.					Buy (add'l)	11/07/18	J		
319.					Buy (add'l)	11/15/18	J		
320. SPDR Bloomberg Barclays Investment Grade Floating Rate ETF (FLRN)	A	Dividend	K	T	Buy	10/12/18	J		
321.					Buy (add'l)	11/07/18	K		
322. Guggenheim Ultra Short Duration ETF (GSY)	A	Dividend			Buy	08/15/18	J		
323.					Buy (add'l)	09/19/18	J		

1. Income Gain Codes: (See Columns B1 and D4)	A ~\$1,000 or less F ~\$50,001 - \$100,000	B ~\$1,001 - \$2,500 G ~\$100,001 - \$1,000,000	C ~\$2,501 - \$5,000 H1 ~\$1,000,001 - \$5,000,000	D ~\$5,001 - \$15,000 H2 ~More than \$5,000,000	E ~\$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J ~\$15,000 or less N ~\$250,001 - \$500,000 P3 ~\$25,000,001 - \$50,000,000	K ~\$15,001 - \$50,000 O ~\$500,001 - \$1,000,000	L ~\$50,001 - \$100,000 P1 ~\$1,000,001 - \$5,000,000 P4 ~More than \$5,000,000	M ~\$100,001 - \$250,000 P2 ~\$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
324.					Sold	10/12/18	J		
325. iShares Gold Trust ETF (IAU)		None	K	T	Buy	08/15/18	J		
326.					Buy (add'l)	09/19/18	J		
327.					Buy (add'l)	11/07/18	K		
328.					Sold (part)	12/18/18	J	A	
329. Wisdom Tree International Quality Dividend Growth Fund (IQDG)	A	Dividend	J	T	Buy	10/12/18	J		
330.					Buy (add'l)	11/07/18	J		
331.					Buy (add'l)	11/15/18	J		
332. Federated Drive Cash Obligations Fund (PRCXX)	A	Dividend			Buy	09/19/18	J		
333.					Sold (part)	10/12/18	J		
334.					Buy (add'l)	11/07/18	M		
335.					Sold	11/15/18	M		
336. SPDR Portfolio Emerging Markets ETF (SPEM)	A	Dividend	J	T	Buy	09/19/18	J		
337.					Buy (add'l)	11/07/18	J		
338.					Buy (add'l)	11/15/18	J		
339. SPDR Portfolio Short Term Treasury ETF (SPTS)	A	Dividend	K	T	Buy	08/15/18	J		
340.					Buy (add'l)	09/19/18	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

341.					Buy (add'l)	11/07/18	K		
342.					Sold (part)	12/18/18	J	A	
343. Vanguard Small-Cap Growth ETF (VBK)	A	Dividend	J	T	Buy	08/15/18	J		
344.					Buy (add'l)	09/19/18	J		
345.					Sold (part)	10/12/18	J		
346.					Buy (add'l)	11/07/18	J		
347.					Buy (add'l)	11/15/18	J		
348. Vanguard Small-Cap Value ETF (VBR)	A	Dividend	K	T	Buy	08/15/18	J		
349.					Buy (add'l)	09/19/18	J		
350.					Sold (part)	10/12/18	J		
351.					Buy (add'l)	11/07/18	J		
352.					Buy (add'l)	11/15/18	J		
353. Vanguard FTSE All-World ex-U.S. ETF (VEU)	A	Dividend	K	T	Buy	08/15/18	J		
354.					Buy (add'l)	09/19/18	J		
355.					Sold (part)	10/12/18	J		
356.					Buy (add'l)	11/07/18	J		
357.					Buy (add'l)	11/15/18	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
358. Vanguard Mid-Cap ETF (VO)	A	Dividend	K	T	Buy	08/15/18	J		
359.					Buy (add'l)	09/19/18	J		
360.					Sold (part)	10/12/18	J		
361.					Buy (add'l)	11/07/18	J		
362.					Buy (add'l)	11/15/18	J		
363. Vanguard Total Stock Market ETF (VTI)	A	Dividend	L	T	Buy	08/15/18	J		
364.					Buy (add'l)	09/19/18	K		
365.					Sold (part)	10/12/18	J		
366.					Buy (add'l)	11/07/18	K		
367.					Buy (add'l)	11/15/18	K		
368.					Buy (add'l)	12/18/18	J		
369. Vanguard Value ETF (VTV)	A	Dividend	L	T	Buy	08/15/18	K		
370.					Buy (add'l)	09/19/18	K		
371.					Buy (add'l)	11/07/18	K		
372.					Buy (add'l)	11/15/18	K		
373.					Buy (add'l)	12/18/18	J		
374. Vanguard Growth ETF (VUG)	A	Dividend	L	T	Buy	08/15/18	K		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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Name of Person Reporting

Scudder, Jr., Michael Y.

Date of Report

05/14/2019

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "X" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
375.					Buy (add'l)	09/19/18	K		
376.					Sold (part)	10/12/18	J		
377.					Buy (add'l)	11/07/18	K		
378.					Buy (add'l)	11/15/18	K		
379.					Buy (add'l)	12/18/18	J		
380. TD Ameritrade Individual Retirement Account #2 (H)									
381. TD Ameritrade FDIC Insured Deposit Account (cash account)	A	Interest	J	T					
382. SPDR S&P Emerging Markets Small Cap ETF (EWX)	A	Dividend	J	T					
383. SPDR S&P International Small Cap ETF (GWX)	A	Dividend	J	T					
384. Federated Drive Cash Obligations Fund (PRCXX)	A	Dividend			Buy	08/31/18	K		
385.					Sold	09/19/18	K		
386. SPDR S&P 600 Small Cap Value ETF (SLYV)	A	Dividend	J	T	Buy	10/12/18	J		
387. SPDR Portfolio Developed World ex-U.S. ETF (SPDW)	A	Dividend	J	T	Buy	08/15/18	J		
388.					Buy (add'l)	08/27/18	J		
389. SPDR Portfolio Emerging Markets ETF (SPEM)	A	Dividend	J	T	Buy	08/15/18	J		
390.					Buy (add'l)	08/27/18	J		
391. SPDR Portfolio Large Cap ETF (SPLG)	A	Dividend	K	T	Buy	08/15/18	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

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Name of Person Reporting

Scudder, Jr., Michael Y.

Date of Report

05/14/2019

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
392.					Buy (add'l)	08/27/18	J		
393. SPDR Portfolio Small Cap ETF (SPSM)	A	Dividend	J	T	Buy	08/15/18	J		
394.					Buy (add'l)	08/27/18	J		
395.					Sold (part)	10/12/18	J		
396. SPDR Portfolio S&P 500 High Dividend ETF (SPYD)	A	Dividend	J	T	Buy	08/15/18	J		
397.					Buy (add'l)	09/19/18	J		
398. SPDR Portfolio S&P 500 Growth ETF (SPYG)	A	Dividend	J	T	Buy	08/15/18	J		
399.					Buy (add'l)	09/19/18	J		
400. SPDR Portfolio S&P 500 Value ETF (SPYV)	A	Dividend	J	T	Buy	08/15/18	J		
401.					Buy (add'l)	09/19/18	J		
402. TD Ameritrade Individual Retirement Account #3 (H)									
403. TD Ameritrade FDIC Insured Deposit Account (cash account)	A	Interest	J	T					
404. Vanguard Total Bond Market ETF (BND)	A	Dividend	J	T	Buy	09/19/18	J		
405.					Buy (add'l)	10/12/18	J		
406. Federated Drive Cash Obligations Fund (PRCXX)	A	Dividend			Buy	09/19/18	K		
407.					Sold	10/12/18	K		
408. Vanguard Small-Cap Index Fund ETF (VB)	A	Dividend	J	T	Buy	09/19/18	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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Name of Person Reporting

Scudder, Jr., Michael Y.

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
409.					Buy (add'l)	10/12/18	J		
410. Vanguard Short-Term Corporate Bond (VCSH)	A	Dividend	J	T	Buy	09/19/18	J		
411.					Buy (add'l)	10/12/18	J		
412. Vanguard FTSE Developed Markets ETF (VEA)	A	Dividend	J	T	Buy	09/19/18	J		
413.					Buy (add'l)	10/12/18	J		
414. Vanguard Short-Term Treasury Index Fund ETF Shares (VGSH)	A	Dividend	J	T					
415. Vanguard Dividend Appreciation ETF (VIG)	A	Dividend	J	T	Buy	09/19/18	J		
416.					Buy (add'l)	10/12/18	J		
417. Vanguard Total Stock Market ETF (VTI)	A	Dividend	J	T	Buy	09/19/18	J		
418.					Buy (add'l)	10/12/18	J		
419. Vanguard Value ETF (VTV)	A	Dividend	J	T	Buy	09/19/18	J		
420.					Buy (add'l)	10/12/18	J		
421. Vanguard Growth ETF (VUG)	A	Dividend	J	T	Buy	09/19/18	J		
422.					Buy (add'l)	10/12/18	J		
423. Vanguard FTSE Emerging Markets ETF (VWO)	A	Dividend	J	T					
424. Skadden Arps Retirement Savings Plan (H) (see Part VIII)									
425. Vanguard Federal Money Market Fund (VMFXX)	A	Dividend			Sold	08/01/18	K		

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
426. Vanguard Wellington Admiral Fund (VWENX)	A	Dividend			Sold	08/01/18	K		
427. Vanguard Institutional Target Retirement Income Fund (VITRX)	B	Dividend			Sold	08/01/18	M		
428. FPS Trust Individual Retirement Account #3 (H) (see Part VIII)									
429. Standard Insurance Co. IRA Stable Asset Fund	A	Dividend			Sold	09/13/18	K		
430. Illinois Bright Directions 529 Account (H)									
431. Invesco Government Agency Portfolio C		None	K	T					
432. Bright Directions Age-Based Allocation		None	L	T					
433. West Virginia Smart Select 529 Account #1 (H) (see Part VIII)									
434. All Equity Portfolio D		None	K	T					
435. West Virginia Smart Select 529 Account #2 (H) (see Part VIII)									
436. All Equity Portfolio D		None	K	T					
437. Farmland - LaGrange County, Indiana	B	Rent	M	W					
438. REC RT, L.L.C. 125 12.5% Preferred Units (REIT)	A	Dividend	J	U					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

In Part I, I have disclosed all positions held at any point during 2018. In connection with joining the U.S. Court of Appeals for the Seventh Circuit on May 21, 2018, I resigned from my former law firm, Skadden, Arps, Slate, Meagher & Flom LLP and from serving as a director of the non-profit organizations listed in Part I.

In Part II, I have disclosed my agreement with my former law firm, Skadden, Arps, Slate, Meagher & Flom LLP, entitling me to a return of my capital in the firm. I received the first installment of my return of capital in 2018 after joining the U.S. Court of Appeals for the Seventh Circuit. I will receive the final installment in 2019. I am no longer a participant in any retirement plan sponsored by my former law firm. All interests in such plans have been rolled over to private investment accounts with TD Ameritrade and the rollover transactions are reported in Part VII.

In Part V, I have disclosed the reception my former law firm, Skadden, Arps, Slate, Meagher & Flom LLP, sponsored in connection with my judicial investiture ceremony in July 2018. I recognize my ethical obligation to recuse myself from all cases in which Skadden Arps represents a party or otherwise participates in a case in a way that would present a conflict of interest.

In connection with joining the federal judiciary, I chose to consolidate certain investment holdings, including by transferring my investments at Merrill Lynch to accounts at TD Ameritrade. Those transfers did not generate gains or losses (but instead were in-kind) and are reported in Part VII.

As part of resigning as a partner of the law firm of Skadden, Arps, Slate, Meagher & Flom LLP and upon joining the federal judiciary in May 2018, I ceased participating in all retirement plans and sponsored by Skadden Arps and in subsequent months in 2018 transferred my interest in the plans in which I had participated to new individual retirement accounts at TD Ameritrade. Those transactions are reported in Part VII.

In Part VII, I have disclosed two accounts in the West Virginia Smart Select 529 Plan. In both accounts, the principal is invested in an all equity portfolio. The Plan's fund manager is the Hartford Life Insurance Company. Beyond choosing an all equity portfolio concentration, I do not make or receive information about individual investment decisions within the accounts. The investment statements I receive show only the aggregate market value of the accounts at reporting dates, not specific equity holdings that the Plan chose to invest in.

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **s/ Michael Y. Scudder, Jr.**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
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