

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2018

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Silverstein, Laurie S.	2. Court or Organization U.S. Bankruptcy Court, Delaware	3. Date of Report 05/13/2019
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. Bankruptcy Judge -Full Time	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2018 to 12/31/2018
7. Chambers or Office Address United States Bankruptcy Court 824 Market Street Wilmington, Delaware 19801		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

	<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1.	Officer	Watersfield Homeowners Association
2.	Director	Delaware Bar Foundation
3.	Executive Committee	Milton & Hattie Kutz Home
4.	Co-President	Delaware Bankruptcy Inns of Court
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.	
2.	
3.	

FINANCIAL DISCLOSURE REPORT

Page 2 of 10

Name of Person Reporting

Silverstein, Laurie S.

Date of Report

05/13/2019

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☒ **NONE** *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☐ **NONE** *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2018	The Pennsylvania State University, salary
2.	
3.	
4.	

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☐ **NONE** *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1. Administrative Office	1/9/2018 to 1/12/2018	San Antonio, TX	Judicial Conference Budget Committee Meeting	Transportation, Lodging, Food
2. Federal Judicial Center	3/13/2018 to 3/16/2018	New Orleans, LA	FJC educational seminar	Transportation, Lodging, Food
3. UJA-Federation of New York	4/17/2018 to 4/17/2018	New York, NY	Activity of professional service or civic organization	Transportation, Food
4. Administrative Office	7/9/2018 to 7/12/2018	Washington, D.C.	Judicial Conference Budget Committee Meeting	Transportation, Lodging
5. ABI	8/2/2018 to 8/4/2018	Hershey, Pa	CLE	Transportation, Lodging

FINANCIAL DISCLOSURE REPORT

Page 3 of 10

Name of Person Reporting

Silverstein, Laurie S.

Date of Report

05/13/2019

6.	Administrative Office	9/24//2018 to 9/24/2018	New York, NY	Singapore Memorandum of Understanding Signing Ceremony	Transportation, Food
7.	Federal Judicial Center	10/17/2018 to 10/19/2018	Wilmington, DE	thurd Circuit Judicial Conference	Food, Registration
8.	National Conference of Bankruptcy Judges	10/27/2017 to 10/30/2017	San Antonio, TX	NCBJ Annual Conference	Transportation, Lodging, Food

FINANCIAL DISCLOSURE REPORT

Page 4 of 10

Name of Person Reporting

Silverstein, Laurie S.

Date of Report

05/13/2019

V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.	Smugglers Notch Resort	Condo Fee	J
2.	Gettysburg College	tuition	L
3.			
4.			
5.			

FINANCIAL DISCLOSURE REPORT

Page 5 of 10

Name of Person Reporting

Silverstein, Laurie S.

Date of Report

05/13/2019

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

1. PNC Bank account	A	Interest	M	T					
2. Artisans' Bank account	B	Interest	N	T					
3. WSFS Bank accounts	A	Interest	O	T					
4. M&T Bank accounts	A	Interest	M	T					
5. Bank of America account	A	Interest	L	T					
6. TD Bank student account		None	J	T					
7. Smugglers Notch Resort	D	Rent	M	T					
8. Pennsylvania 529 Guaranteed Savings Plan	D		L	T	Distributed (part)	06/29/18	K		
9.					Distributed (part)	12/05/18	K		
10.					Distributed (part)	05/08/18	K		
11. Vanguard Wellington Fund Investor Shares	F	Int./Div.	O	T					
12. Vanguard Windsor II Fund Investor Shares	F	Int./Div.	O	T					
13. Vanguard Target Retirement Income Fund	E	Int./Div.	N	T					
14. RBC Bank Deposit Program		None	J	T					
15. FPACX	A	Dividend	J	T	Sold (part)	05/08/18	J	A	
16. PRWCX	A	Dividend	J	T	Sold (part)	05/08/18	J	A	
17. AEP	A	Dividend			Sold	03/16/18	K	D	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 6 of 10

Name of Person Reporting

Silverstein, Laurie S.

Date of Report

05/13/2019

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18. EPD	A	Distribution			Sold	03/22/18	K	C	
19. HCN	B	Dividend	K	T					
20. MO	B	Dividend	K	T					
21. MRK	B	Dividend	K	T					
22. APDKX		None			Sold	10/12/18	M	D	
23. FMIHX		None			Sold	01/17/18	M	E	
24. NSEIX	D	Dividend	K	T	Buy	01/17/18	J		
25.					Buy (add'l)	07/17/18	K		
26.					Sold (part)	11/21/18	K	A	
27. POSKX	D	Dividend	M	T					
28. TIBIX	B	Dividend			Buy	01/17/18	J		
29.					Sold	07/17/18	J	D	
30. VFIAX	D	Dividend	N	T					
31. VTMGX	C	Dividend	M	T					
32. AMVFX	A	Dividend	J	T	Sold (part)	05/08/18	J	A	
33. VEIRX	D	Dividend	L	T	Buy	01/17/18	J		
34.					Buy (add'l)	07/17/18	J		

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FINANCIAL DISCLOSURE REPORT

Page 7 of 10

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Silverstein, Laurie S.

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35.					Sold (part)	12/20/18	J	A	
36. LSWWX	A	Dividend	J	T	Sold (part)	05/08/18	J	A	
37. FMIYX		None			Sold	11/21/18	L	B	
38. PRDGX	D	Dividend	L	T	Buy	07/17/18	K		
39.					Buy (add'l)	01/17/18	J		
40.					Sold (part)	12/20/18	K	A	
41. PRIDX		None	J	T	Buy	10/12/18	K		
42.					Sold (part)	11/21/18	L	A	
43. VGSTX	A	Dividend	J	T					
44. CAIFX	A	Dividend			Buy	01/17/18	J		
45.					Sold	07/17/18	L	A	
46. VDADX	B	Dividend	L	T	Buy	07/17/18	J		
47.					Sold (part)	12/20/18	J		
48. VIAAX		None			Buy	03/16/18	K		
49.					Buy (add'l)	03/23/18	K		
50.					Buy (add'l)	07/17/18	K		
51.					Sold (part)	11/21/18	L	A	

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FINANCIAL DISCLOSURE REPORT

Page 8 of 10

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Silverstein, Laurie S.

Date of Report

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52.					Sold	12/20/18	J	A	
53. DRGO	A	Dividend	M	T	Buy	11/23/18	M		
54. IYY		None	N	T	Buy	11/26/18	N		
55. IWB		None			Buy	11/23/18	N		
56.					Sold	12/26/18	N	A	
57. TRBCX	A	Dividend	K	T	Buy	01/17/18	M		
58.					Buy (add'l)	10/18/18	L		
59.					Sold (part)	11/20/18	M	A	
60. RPGAX	A	Dividend	J	T	Buy	05/08/18	J		
61. CD -- Wells Fargo Bank NA	A	Interest			Redeemed	06/18/18	L		
62. CD--Luana Savings Bank	A	Interest			Redeemed	10/15/18	L		
63. CD--State Bank of India	A	Interest	L	T					
64. CD--Bank of China	A	Interest	L	T	Buy	06/27/18	L		
65. CD --BMO Harris Bank NA	A	Interest	L	T	Buy	06/27/18	L		
66. ML Bank Deposit Program	A	Int./Div.	L	T					

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FINANCIAL DISCLOSURE REPORT

Page 9 of 10

Name of Person Reporting

Silverstein, Laurie S.

Date of Report

05/13/2019

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Part VII line 7, Smugglers Notch Resort -- fractional share purchased January, 2003 for \$142,600. Located in Smuggler's Notch Resort

Part VII line 8, Pennsylvania 529 Guaranteed Savings Plan appreciates each year based on Penn State's tuition increase. This account is not invested in stock.

Part VII, line 11 Vanguard Wellington Fund Admiral Shares rolled into Vanguard Wellington Fund Investor Shares (I did not realize that last year when it rolled over)

Part VII line 13 Vanguard Target Retirement Income Fund was PAC Target Retirement Fund (changed when rolled over last year)

Part VII, lines 61-65 CDs were purchased through Merrill Lynch. Interest of CDs is deposited in ML Bank Deposit Program (Bank of America N.A. money m

FINANCIAL DISCLOSURE REPORT

Page 10 of 10

Name of Person Reporting

Silverstein, Laurie S.

Date of Report

05/13/2019

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ Laurie S. Silverstein

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
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Washington, D.C. 20544