

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2018

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Tomlinson, A. Kathleen	2. Court or Organization Eastern District of New York	3. Date of Report 03/20/2020
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. Magistrate Judge	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☒ Amended Report	6. Reporting Period 01/01/2018 to 12/31/2018
7. Chambers or Office Address Alphonse D'Amato US Courthouse 914 Federal Plaza Central Islip, NY 11722		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☒ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1.		
2.		
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1.	
2.	
3.	

FINANCIAL DISCLOSURE REPORT

Page 2 of 8

Name of Person Reporting

Tomlinson, A. Kathleen

Date of Report

03/20/2020

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*DATESOURCE AND TYPEINCOME
(yours, not spouse's)

1. _____

2. _____

3. _____

4. _____

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*DATESOURCE AND TYPE

1. _____

2. _____

3. _____

4. _____

IV. REIMBURSEMENTS *— transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*SOURCEDATESLOCATIONPURPOSEITEMS PAID OR PROVIDED

1. _____

2. _____

3. _____

4. _____

5. _____

FINANCIAL DISCLOSURE REPORT

Page 3 of 8

Name of Person Reporting

Tomlinson, A. Kathleen

Date of Report

03/20/2020

V. GIFTS. (Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)

☒ NONE (No reportable gifts.)

	SOURCE	DESCRIPTION	VALUE
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. (Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)

☐ NONE (No reportable liabilities.)

	CREDITOR	DESCRIPTION	VALUE CODE
1.	American Express FSB Mortgage	Shared mortgage on family home, Livingston, NJ	K
2.	Citizens First Bank	Mortgage on Rental Property, The Villages, Florida (Pt. VII, line 10)	M
3.			
4.			
5.			

FINANCIAL DISCLOSURE REPORT

Page 4 of 8

Name of Person Reporting

Tomlinson, A. Kathleen

Date of Report

03/20/2020

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Citibank Accounts (cash equivalent)	A	Interest	L	T					
2. IBM Stock	B	Dividend	K	T					
3. Talcott Resolution Select Dimen Var Ann. (formerly Hartford)									
4. Invesco V.I. Govt MM Fd	A	Dividend	K	T					
5. Invesco V.I. GrowthandInc Fd	C	Dividend	L	T					
6. Prudential Financial (common stock)	A	Dividend	J	T					
7. TIAA/CREF Account: CREF Stock R2	A	Dividend	J	T					
8. Allianz Vision New York Qualified IRA									
9. AZL MVP Growth Index Strategy	A	Dividend	N	T					
10. Rental Prop: The Villages, FL (7/08 \$290,000)	D	Rent	N	R					
11. 1st Global Acct #1 (ECX) (H)									
12. First Global Capital Corp. Tax Free Franklin		None			Sold	11/21/18	K		
13. American Amcap Fund Class F2 (AMCFX) (formerly AMPFX)	B	Dividend	M	T					
14. T Rowe Price Equity Income	A	Dividend	K	T					
15. T Rowe Price NY Tax Free Bond	C	Interest	M	T	Buy (add'l)	11/21/18	K		
16. iShares Tr Devppty ETF	A	Dividend	J	T					
17. UMB Scout International		None			Sold	11/21/18	L		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$250,000.01 - \$500,000.00	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 5 of 8

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Tomlinson, A. Kathleen

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03/20/2020

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18. DFA Commod Strategy Port Instl	A	Dividend	J	T					
19. DFA Emerging Mks Core Eq	A	Dividend	K	T					
20. DFA US Large Cap Value	B	Dividend	L	T					
21. DFA International Core Eq	B	Dividend	L	T	Buy	11/21/18	L		
22. Fidelity Govt Mmkt Capital Reserves		None			Closed	07/27/18	J		
23. 1st Global IRA Acct #2 (EZY) (H):									
24. DFA Commod Strategy Port Instl	A	Dividend	K	T					
25. DFA Emerging Mrkts Core Eq	B	Dividend	K	T					
26. DFA US Large Cap Value	B	Dividend	L	T					
27. DFA Int'l Small Cap Value	A	Dividend	K	T					
28. DFA Investment Grade Portfolio Instl	D	Dividend	M	T					
29. DFA International Core Equity	C	Dividend	M	T					
30. DFA Real Estate Sec Prtf Instl	B	Dividend	K	T					
31. DFA US Large Company Portfolio	B	Dividend	L	T					
32. DFA US Small Cap Portfolio Instl CL	A	Dividend	K	T					
33. DFA US Targeted Value Prtf Instl	A	Dividend	L	T					
34. 1st Global Account # 3 (EBU) (H)									

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FINANCIAL DISCLOSURE REPORT

Page 6 of 8

Name of Person Reporting

Tomlinson, A. Kathleen

Date of Report

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35. Inpoint Commerical REIT	D	Dividend	M	T					
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FINANCIAL DISCLOSURE REPORT

Page 7 of 8

Name of Person Reporting

Tomlinson, A. Kathleen

Date of Report

03/20/2020

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Lines 8, 21 and 30: These are the same investments as last year. However, my financial advisor now has them in separate accounts for closer monitoring and because next year I will need to begin required RMDs.

Line 10: I received notification on August 9, 2018 from 1st Global that my shares of American Amcap Fund Class F1 (AMPFX) were converted to American Amcap Fund F2 (AMCFX). There is no option for "conversion" in the software, so I listed the transition in the manner which appears on line 10. The exact dollar amount remained the same and there is a notation that this is a "non-taxable transaction."

Line 32: I learned in speaking to my financial advisor while I was preparing this FDR that this account was actually opened on 11/15/17. It appears that I inadvertently did not include this account on my 2017 Report and I believe I made this error because I did not see the actual paperwork on the account until January 2018. Likewise, the first monthly dividend on this account was not paid out until January 2, 2018.

FINANCIAL DISCLOSURE REPORT

Page 8 of 8

Name of Person Reporting

Tomlinson, A. Kathleen

Date of Report

03/20/2020

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **s/ A. Kathleen Tomlinson**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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