

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2018

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Van Tatenhove, Gregory F.	2. Court or Organization District Court -ED-KY	3. Date of Report 06/26/2019
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) United States District Judge - Active	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2018 to 12/31/2018
7. Chambers or Office Address 330 West Broadway 354 Federal Building Frankfort, Kentucky 40601		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

	<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1.	Member	University of Kentucky College of Law Visiting Committee
2.	Member	Henry Clay Memorial Foundation Board
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

	<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.		
2.		
3.		

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1. May 2018	University of Kentucky College of Law -- Teaching	\$3,000.00
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2018	Commonwealth of Kentucky, Public Protection Cabinet (Department of Alcoholic Beverage Control)
2. 2018	Trout Law Office, PLLC
3. 2018	Rental Property Number One (Lexington, Kentucky)
4.	

IV. REIMBURSEMENTS -- *transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☐ NONE *(No reportable reimbursements.)*

	<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.	Mr. Joseph Craft (Permanent Recusal List)	January 2018	Montreal, Canada	Personal Travel	Transportation
2.	National Conference of State Liquor Administrators	March 2018	Washington, D.C.	Spouse Business Travel	Transportation, Lodging and Food
3.	Ms. Kiki Courtelis (Permanent Recusal List)	April 2018	Nashville, Tennessee	Personal Travel	Transportation and Lodging
4.	Ms. Kiki Courtelis (Permanent Recusal List)	May 2018	Jackson, Wyoming	Personal Travel	Transportation and Lodging
5.	National Conference of State Liquor Administrators	June 2018	Kailua-Kona, Hawaii	Spouse Business Travel	Transportation, Lodging and Food

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6.	Ms. Kiki Courtelis (Permanent Recusal List)	July 2018	Botswana and Zambia Africa	Personal Travel	Transportation, Lodging and Food (Partial)
7.	United Nations Office of Drugs and Crime	August 2018	Pretoria, South Africa	Teaching	Transportation, Lodging and Food
8.	National Conference of State Liquor Administrators	October 2018	Nashville, Tennessee	Spouse Business Travel	Transportation, Lodging and Food
9.	National Conference of State Liquor Administrators	October 2018	Pasadena, California	Spouse Business Travel	Transportation, Lodging and Food
10.	Lawyers Without Borders	October 2018	Kingston, Jamaica	Teaching	Transportation, Lodging and Food
11.	Ms. Kiki Courtelis (Permanent Recusal List)	November 2018	Foxborough, Massachusetts	Personal Travel	Transportation
12.	National Conference of State Liquor Administrators	December 2018	Nashville, Tennessee	Spouse Business Travel	Transportation, Lodging and Food

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*☐NONE *(No reportable gifts.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.	Mr. Joseph Craft (Permanent Recusal List)	Sporting Event Tickets	\$3,100.00
2.	Ms. Kiki Courtelis (Permanent Recusal List)	Sporting Event Tickets	\$2,920.00
3.	Ms. Kelly and Joseph Craft (Permanent Recusal List)	Spouse Birthday Gift	\$800.00
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*☐NONE *(No reportable liabilities.)*

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.	American Express	Credit Card	K
2.			
3.			
4.			
5.			

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Blackrock Health Sciences Fund	B	Dividend	J	T	Buy	04/20/18	J		
2. BP Capital Markets PLC 2.241%	A	Interest			Buy	07/17/18	K		
3.					Redeemed	09/26/18	K		
4. Deutsche Bank AG London 2.5%	A	Interest	J	T	Buy	04/26/18	J		
5. Double Line Total Return Fund Instl	A	Dividend	K	T	Buy	04/20/18	K		
6. Financial Select Sector SPDR Fund ETF	A	Dividend	J	T	Buy	01/23/18	J		
7. iShares Core S&P Small-Cap ETF	A	Dividend			Buy	01/23/18	K		
8.					Sold	06/18/18	K		
9. iShares Core S&P 500 ETF	A	Dividend	K	T	Buy	01/23/18	K	B	
10. MFS International Diversification Fund	B	Dividend	L	T	Buy	01/23/18	L		
11. Oppenheimer Developing Markets Class Y	A	Dividend	K	T	Buy	01/23/18	K		
12.					Buy (add'l)	04/20/18	J		
13. Pimco Income Fund Class P	B	Dividend	K	T	Buy	01/23/18	K		
14. Putnam Short Duration Income Fund Y	A	Dividend	K	T	Buy	07/23/18	K		
15. Technology Select Sector SPDR ETF	A	Dividend	J	T	Buy	04/20/18	J		
16. Thornburg Income Builder	B	Dividend	K	T	Buy	01/23/18	K		
17. Vanguard Mid-Cap ETF	A	Dividend	K	T	Buy	01/23/18	K		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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18. Virtus Newfleet Multi-Sector Short-Term	A	Dividend	K	T	Buy	04/20/18	K		
19. Alliance Resources Partners LP Unit	A	Dividend	J	T					
20. Double Line Total Return Fund Instl	A	Dividend	J	T	Buy	01/23/18	J		
21. Intel Corp	A	Dividend	J	T					
22. Invesco QQQ Trust ETF	A	Dividend	J	T					
23. iShares Core S&P Small-Cap ETF	A	Dividend	J	T	Buy	01/22/18	J		
24. iShares Core S&P 500	A	Dividend	J	T	Buy	01/22/18	J		
25. MFS International Diversification Fund	A	Dividend	J	T	Buy	01/22/18	J		
26. Oppenheimer Developing Markets Class Y	A	Dividend	J	T	Buy	04/20/18	J		
27. Pimco Income Fund Class P	A	Dividend	J	T	Buy	01/22/18	J		
28. Powershares QQQ Trust ETF	A	Dividend			Buy	01/22/18	J		
29.					Buy (add'l)	03/22/18	J		
30.					Merged (with line 4)	06/01/18			
31. Thornburg Income Builder	A	Dividend	J	T	Buy	01/22/18	J		
32. Vanguard Mid-Cap ETF	A	Dividend	J	T	Buy	01/22/18	J		
33.					Buy (add'l)	03/22/18	J		
34. Virtus Newfleet Multi-Sector Short Term	A	Dividend	J	T	Buy	04/20/18	J		

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35. Energy Select Sector Fund		None	L	T	Buy	01/05/18	K		
36.					Buy (add'l)	01/23/18	K		
37. MFS International Diversification Fund	C	Dividend	M	T	Buy	01/05/18	L	B	
38.					Buy (add'l)	01/23/18	M		
39.					Buy (add'l)	03/20/18	J		
40.					Sold (part)	07/12/18	L		
41. Oppenheimer Developing Markets Class Y	A	Distribution	L	T	Buy	01/05/18	K		
42.					Buy (add'l)	01/23/18	K		
43.					Buy (add'l)	03/20/18	J		
44.					Sold (part)	07/12/18	L		
45. Alerian MLP ETF	D	Dividend			Buy	01/05/18	K		
46.					Buy (add'l)	01/23/18	K		
47.					Sold (part)	07/12/18	K		
48.					Sold	12/14/18	L		
49. Vanguard Value ETF	C	Dividend	M	T	Buy	01/05/18	K		
50.					Buy (add'l)	01/23/18	K		
51.					Buy (add'l)	03/20/18	J		

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52.					Buy (add'l)	07/12/18	J		
53. Vanguard Mid-Cap Value ETF	A	Dividend			Buy	01/05/18	K		
54.					Sold (part)	06/25/18	L		
55.					Sold	07/12/18	J		
56. UBS Select Prime Institutional Fund	D	Dividend			Sold (part)	01/05/18	L		
57.					Sold (part)	01/08/18	N		
58.					Sold (part)	01/10/18	L		
59.					Sold (part)	01/23/18	O		
60.					Sold (part)	02/05/18	K		
61.					Sold (part)	03/20/18	L		
62.					Sold (part)	04/20/18	N		
63.					Sold (part)	06/07/18	M		
64.					Sold	07/12/18	M		
65. iShares Core S&P Small-Cap ETF	B	Dividend	M	T	Buy	01/08/18	K		
66.					Buy (add'l)	01/23/18	L		
67.					Buy (add'l)	12/14/18	K		
68. Invesco High Yield Municipal Fund Class Y	D	Dividend			Buy	01/08/18	M		

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69.					Buy (add'l)	01/23/18	L		
70.					Sold	10/04/18	M		
71. Nuveen Short Duration High Yield Muni Bond	D	Dividend	M	T	Buy	01/08/18	M		
72.					Buy (add'l)	01/23/18	L		
73.					Buy (add'l)	07/12/18	L		
74.					Sold (part)	12/14/18	K		
75. Vanguard Growth ETF	B	Dividend	M	T	Buy	01/08/18	L		
76.					Buy (add'l)	01/23/18	L		
77. Thornburg Income Builder CL I	C	Dividend			Buy	01/23/18	M		
78.					Buy (add'l)	03/20/18	J		
79.					Sold	07/26/18	M		
80. Powershares QQQ Trust ETF	A	Dividend			Buy	01/23/18	L		
81.					Buy (add'l)	03/20/18	K		
82.					Merged (with line 49)	06/01/18			
83. Invesco QQQ Trust (formerly Powershares QQQ Trust)	A	Dividend	M	T	Redeemed	06/01/18	J		
84.					Buy	06/25/18	K		
85.					Buy (add'l)	07/12/18	J		

1. Income Gain Codes: (See Columns B1 and D4)	A ~\$1,000 or less F ~\$50,001 - \$100,000	B ~\$1,001 - \$2,500 G ~\$100,001 - \$1,000,000	C ~\$2,501 - \$5,000 H1 ~\$1,000,001 - \$5,000,000	D ~\$5,001 - \$15,000 H2 ~More than \$5,000,000	E ~\$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J ~\$15,000 or less N ~\$250,001 - \$500,000 P3 ~\$25,000,001 - \$50,000,000	K ~\$15,001 - \$50,000 O ~\$500,001 - \$1,000,000	L ~\$50,001 - \$100,000 P1 ~\$1,000,001 - \$5,000,000 P4 ~More than \$5,000,000	M ~\$100,001 - \$250,000 P2 ~\$5,000,001 - \$25,000,000	
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86.					Buy (add'l)	12/14/18	J		
87. Technology Select Sector SPDR ETF	B	Dividend	L	T	Buy	01/23/18	L		
88.					Buy (add'l)	03/20/18	K		
89.					Sold (part)	09/11/18	K		
90.					Buy (add'l)	12/14/18	J		
91. Financial Select Sector SPDR Fund	B	Dividend	L	T	Buy	01/23/18	L		
92.					Buy (add'l)	03/20/18	K		
93.					Sold (part)	12/14/18	J		
94. Energy Select Sector Fund	B	Dividend			Buy	01/23/18	K		
95.					Sold (part)	06/15/18	L		
96.					Sold	06/25/18	J		
97. HCP INC B/E 3.75	A	Interest			Buy	02/01/18	K		
98.					Redeemed	11/08/18	K		
99. UBS RMA Government Money Market Fund	A	Dividend							
100. Credit Suisse AG TA-CYN SPX- 156CYA	B	Dividend			Buy	04/13/18	K		
101.					Redeemed	10/17/18	K		
102. Credit Suisse L/O SDT-AN SPT - 161TE7		None	L	T	Buy	07/13/18	L		

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103. Credit Suisse L/O SDT-AN SPT - 168QE8		None	K	T	Buy	07/13/18	L		
104. JP Morgan Chase Financial L/O TA-CYN - 156W21	B	Dividend	K	T	Buy	04/25/18	K		
105. JP Morgan Chase Financial L/O TA-CYN - 158H87	B	Dividend	K	T	Buy	05/29/18	K		
106. Deutsche Bank AG London 2.5%	A	Interest	K	T	Buy	04/26/18	K		
107. Xerox Corp NTS B/E 4.07%	A	Interest	J	T	Buy	04/26/18	J		
108. Barclays Bank PLC L/O TA-CYN - 157D57	B	Dividend	K	T	Buy	05/11/18	K		
109. Barclays Bank PLC L/O TA-CYN - 166SL5		None	K	T	Buy	10/29/18	K		
110. Fidelity Advisor Biotechnology Fund		None			Buy	06/07/18	L	B	
111.					Sold	12/14/18	L		
112. Invesco Short Duration High Yield Muni Bond	C	Dividend	N	T	Buy	06/07/18	M		
113.					Buy (add'l)	10/04/18	M		
114. Vanguard Mid-Cap ETF	B	Dividend	M	T	Buy	06/25/18	M		
115.					Buy (add'l)	07/12/18	K		
116.					Buy (add'l)	12/14/18	L		
117. iShares Core S&P 500 ETF	B	Dividend	M	T	Buy	07/12/18	M		
118. BK of Amer Corp NTS B/E 2.6%		None	J	T	Buy	07/17/18	K		
119. UBS Select Prime Investor Fund	A	Dividend			Buy	07/17/18	L		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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Van Tatenhove, Gregory F.

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
120.					Sold	08/09/18	L		
121. Davis Global Fund Class Y	A	Dividend	M	T	Buy	07/26/18	M	D	
122. Blackrock Health Sciences Fund	A	Dividend	L	T	Buy	08/10/18	L	C	
123.					Buy (add'l)	12/14/18	K		
124. Comm Services Select Sector SPDR ETF	A	Dividend	K	T	Buy	09/11/18	K		
125.					Buy (add'l)	12/14/18	J		
126. Alerian MLP ETF	D	Dividend			Buy	01/08/18	J		
127.					Buy (add'l)	01/23/18	K		
128.					Sold	12/14/18	L		
129. Barclays Bank PLC L/O TA-CYN - 157D57	B	Dividend	K	T	Buy	05/11/18	K		
130. Barclays Bank PLC L/O TA-CYN - 163RQ8	A	Dividend	K	T	Buy	08/29/18	K		
131. Barclays Bank PLC L/O TA-CYN - 156NW6	B	Dividend	K	T	Buy	04/26/18	K		
132. Blackrock Health Sciences Fund	D	Dividend	L	T	Buy	08/13/18	L		
133.					Buy (add'l)	09/11/18	K		
134. Block Financial B/E 5.5%	B	Interest	K	T	Buy	04/18/18	K		
135. Citigroup Gibal Markets L/O TA-CYN SPX	B	Dividend	K	T	Buy	08/10/18	K		
136. Comm Services Select Sector	A	Dividend	K	T	Buy	09/11/18	K		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
137. Credit Suisse AG/LO SDT-AN SPX - 161TE7		None	L	T	Buy	07/13/18	L		
138. Credit Suisse AG/LO TA-CYN - 168QE8		None	L	T	Buy	12/14/18	L		
139. Credit Suisse AG TA-CYN SPX	B	Dividend			Buy	04/13/18	K		
140.					Redeemed	10/17/18	K		
141. Deutsche BK AG 3.125% - 663QV9	A	Interest	K	T	Buy	04/18/18	K		
142. Deutsche BK AG London 2.95% - 667DG0	A	Interest	K	T	Buy	02/26/18	K		
143. Energy Select Sector SPDR Fund ETF	B	Dividend			Buy	01/08/18	J		
144.					Buy (add'l)	01/23/18	K		
145.					Sold	06/25/18	L		
146. Financial Select Sector SPDR Fund ETF	A	Dividend	K	T	Buy	07/17/18	K		
147. GENL Motors FINL CO Inc. 2.35% - 703SG3	A	Interest	K	T	Buy	02/26/18	K		
148. GENL Motors FINL CO Inc. 3.50% - 702307		None	K	T	Buy	12/14/18	K		
149. Invesco High Yield Municipal Fund Class Y	D	Dividend			Buy	01/08/18	M		
150.					Buy (add'l)	01/23/18	L		
151.					Buy (add'l)	04/18/18	L		
152.					Sold	10/02/18	M		
153. IShares Core S&P Small-Cap ETF	B	Dividend	M	T	Buy	01/08/18	L		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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Van Tatenhove, Gregory F.

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
154.					Buy (add'l)	01/23/18	L		
155. iShares Core S&P 500 ETF	A	Dividend	L	T	Buy	07/12/18	L		
156. iShares U.S. Technology ETF	A	Dividend			Buy	03/01/18	K		
157.					Buy (add'l)	07/12/18	J		
158.					Sold	12/14/18	K		
159. JP Morgan Chase Financial L/O TA-CYN - 156W21	B	Dividend	K	T	Buy	04/25/18	K		
160. JP Morgan Chase Financial L/O TA-CYN - 158YN2	B	Dividend	K	T	Buy	06/15/18	K		
161. Kinder Morgan Energy 2.65%	A	Interest	K	T	Buy	02/15/18	K		
162. MFS International Diversification Fund	C	Dividend	M	T	Buy	01/08/18	K		
163.					Buy (add'l)	01/23/18	M		
164.					Buy (add'l)	04/13/18	J		
165.					Sold (part)	08/13/18	L		
166.					Sold (part)	09/11/18	K		
167. Morgan Stanley 2.20%	A	Interest			Buy	02/15/18	K		
168.					Redeemed	12/07/18	K		
169. Nomura HLDGS Inc. 2.75%	A	Interest	K	T	Buy	04/18/18	K		
170. Nuveen Short Duration High Yield Muni Bond	D	Dividend	M	T	Buy	01/08/18	M		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
171.					Buy (add'l)	01/13/18	L		
172.					Buy (add'l)	04/13/18	L		
173. Oppenheimer Developing Markets Class Y	A	Dividend	L	T	Buy	01/08/18	K		
174.					Buy (add'l)	01/23/18	K		
175.					Buy (add'l)	03/01/18	K		
176.					Sold (part)	07/12/18	K		
177.					Sold (part)	08/13/18	J		
178.					Sold (part)	09/11/18	J		
179. Technology Select Sector SPDR ETF	A	Dividend	K	T	Buy	03/01/18	K	A	
180.					Buy (add'l)	04/13/18	J		
181.					Buy (add'l)	08/13/18	J		
182.					Buy (add'l)	12/14/18	J		
183. Thronburg Income Builder	C	Dividend	L	T	Buy	01/23/18	M		
184.					Buy (add'l)	04/13/18	J		
185.					Sold (part)	08/13/18	K		
186.					Sold (part)	09/11/18	K		
187. UBS FDIC Insured Deposit Program	A	Interest							

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

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Van Tatenhove, Gregory F.

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
188. UBS RMA Government Money Market Fund	A	Dividend							
189. UBS Select Prime Institutional Fund	D	Dividend			Sold (part)	01/08/18	N		
190.					Sold (part)	01/23/18	O		
191.					Sold (part)	02/15/18	L		
192.					Sold (part)	03/07/18	L		
193.					Sold (part)	04/13/18	M		
194.					Sold (part)	04/13/18	M		
195.					Sold (part)	06/01/18	J		
196.					Sold (part)	06/07/18	L		
197.					Sold (part)	07/16/18	K		
198.					Sold (part)	07/17/18	L		
199.					Sold (part)	08/13/18	K		
200.					Sold	09/11/18	K		
201. Vanguard Growth ETF	B	Dividend	M	T	Buy	01/08/18	L	A	
202.					Buy (add'l)	01/23/18	L		
203.					Buy (add'l)	03/01/18	J		
204.					Buy (add'l)	06/25/18	K		

1. Income Gain Codes:	A ~\$1,000 or less	B ~\$1,001 - \$2,500	C ~\$2,501 - \$5,000	D ~\$5,001 - \$15,000	E ~\$15,001 - \$50,000
(See Columns B1 and D4)	F ~\$50,001 - \$100,000	G ~\$100,001 - \$1,000,000	H1 ~\$1,000,001 - \$5,000,000	H2 ~More than \$5,000,000	
2. Value Codes	J ~\$15,000 or less	K ~\$15,001 - \$50,000	L ~\$50,001 - \$100,000	M ~\$100,001 - \$250,000	
(See Columns C1 and D3)	N ~\$250,001 - \$500,000	O ~\$500,001 - \$1,000,000	P1 ~\$1,000,001 - \$5,000,000	P2 ~\$5,000,001 - \$25,000,000	
	P3 ~\$25,000,001 - \$50,000,000		P4 ~More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34–60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
205. Vanguard Mid-Cap ETF	B	Dividend	M	T	Buy	01/08/18	J		
206.					Buy (add'l)	01/23/18	L		
207.					Buy (add'l)	07/12/18	M		
208.					Sold (part)	07/12/18	M		
209.					Buy (add'l)	12/14/18	L		
210. Vanguard Value ETF	C	Dividend	M	T	Buy	01/08/18	J		
211.					Buy (add'l)	01/23/18	L		
212.					Buy (add'l)	03/01/18	K		
213.					Buy (add'l)	06/25/18	K		
214. Xerox Corp 2.75% - 693CJ6	A	Interest	J	T	Buy	04/18/18	J		
215. Xerox Corp NTS B/E 4.070% - 693EJ1	A	Interest	J	T	Buy	04/18/18	J		
216. UBS Bank USA Deposit Account (X)	A	Interest	J	T					
217. UBS Select Prime Institutional Fund	E	Dividend	N	T	Buy	04/20/18	O		
218.					Sold (part)	01/02/18	N		
219.					Sold (part)	01/08/18	K		
220.					Sold (part)	02/08/18	J		
221.					Sold (part)	02/13/18	L		

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34–60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
222.					Sold (part)	03/20/18	K		
223.					Sold (part)	03/20/18	N		
224.					Sold (part)	04/16/18	P1		
225.					Sold (part)	04/30/18	L		
226.					Sold (part)	06/05/18	K		
227.					Sold (part)	08/20/18	K		
228.					Sold (part)	09/06/18	K		
229.					Sold (part)	09/14/18	J		
230.					Sold (part)	09/28/18	K		
231.					Sold (part)	10/15/18	L		
232.					Sold (part)	10/16/18	L		
233.					Sold (part)	12/21/18	L		
234. Pro Foal Partners, LLC		None	K	U	Open	12/12/18	K		
235. Wright Patman Congressional Federal Credit Union	A		J	T					
236. Central Bank and Trust Co. (x)	A		J	T					
237. Chase Bank	A		J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

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Name of Person Reporting

Van Tatenhove, Gregory F.

Date of Report

06/26/2019

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **s/ Gregory F. Van Tatenhove**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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