

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2018

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Slomsky, Joel H.	2. Court or Organization Eastern District of Pennsylvania	3. Date of Report 04/15/2019
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) District Judge-Senior Status	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2018 to 12/31/2018
7. Chambers or Office Address United States Courthouse 601 Market Street Philadelphia, Pennsylvania 19106		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions)

☒ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1.		
2.		
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions)

☐ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1. 2018	Joel Harvey Slomsky Individual Retirement Account (IRA), control
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*

DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*

DATE	SOURCE AND TYPE
1. 2018	--Salary
2.	
3.	
4.	

IV. REIMBURSEMENTS -- *transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*

SOURCE	DATES	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
1. New York Intellectual Property Lawyers Assn.	3/23-24/2018	New York, New York	Annual Event to Honor Federal Judges	Hotel, Food, Transportation, Seminar
2.				
3.				
4.				
5.				

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.			
2.			
3.			
4.			
5.			

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. IRA #1									
2. --Federated Prime Cash Obligations Fund	A	Dividend			Distributed (part)	03/01/18	K	A	
3. --Federated Prime Cash Obligations Funds	A	Dividend			Distributed (part)	03/16/18	J	A	
4. --Federated Prime Cash Obligations Fund	A	Dividend			Distributed	04/03/18	J	A	
5. IRA #2									
6. --Vanguard Wellington Fund	D	Dividend	M	T					
7. --Verizon Communications Inc. (Common Stock)	B	Dividend	K	T					
8. --Franklin Income Fund	C	Dividend	L	T					
9. --iShares Preferred Stock Index Fund	C	Dividend	L	T					
10. --AT&T Inc. Common Stock	C	Dividend	L	T					
11. --Federated Prime Cash Obligations Fund	A	Dividend	K	T					
12. --Federated Prime Cash Obligations Fund					Distributed (part)	01/02/18	L		
13. --Federated Prime Cash Obligations Fund					Distributed (part)	01/25/18	J		
14. --Federated Prime Cash Obligations Fund					Distributed (part)	06/29/18	M		
15. --Wilshire Reit (ETF)	A	Dividend	J	T					
16. --Vanguard Wellsley Fund	C	Dividend	M	T					
17. --Comcast (Common Stock)	A	Dividend	K	T					

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

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	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

18. --Ally Bank Midvale Utah (CD)	A	Interest			Redeemed	04/16/18	K	A	
19. --Wells Fargo Bank (CD)	A	Interest			Redeemed	01/18/18	L	A	
20. --Ally Bank Midvale Utah (CD)	B	Interest			Redeemed	05/03/18	M	A	
21. --Discover Bank (CD)	B	Interest			Redeemed	05/02/18	M	A	
22. --Bickel Bank Miami Fla. (CD)	B	Interest	L	T					
23. --Wells Fargo Bank (CD)	C	Interest	M	T					
24. --Discover Bank (CD)	A	Interest	L	T					
25. --American Express Federal Savings Bank (CD)	B	Interest	L	T					
26. --Goldman Sachs Bank USA NY (CD)	A	Interest	L	T					
27. --Capital One Bank USA Nat'l Association (CD)	C	Interest	M	T					
28. --Ally Bank Midvale Utah (CD)	B	Interest	L	T					
29. --Morgan Stanley Bank NA Utah (CD)	B	Interest	L	T					
30. --Morgan Stanley Bank NA Utah (CD)	B	Interest	L	T					
31. --Goldman Sachs Bank USA (CD)	A	Interest	L	T	Buy	01/31/18	L		
32. --Bank America NA Charlotte NC (CD)		None	M	T	Buy	08/15/18	M		
33. Flexible Annuity Plan									
34. --Mutual of America Conservative Allocation Fund		None	K	T					

1 Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2 Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
3 Value Method Codes	P3 = \$25,000,001 - \$50,000,000	R = Cost (Real Estate Only)	P4 = More than \$50,000,000	S = Assessment	T = Cash Market
(See Column C2)	Q = Appraisal	V = Other		W = Estimated	
	U = Book Value				

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35. --Mutual of America Moderate Allocation Fund		None	K	T					
36. --Mutual of America 2020 Retirement Fund		None	K	T					
37. --Mutual of America General Account	A	Interest	L	T					
38. Tax Deferred Annuity									
39. --Mutual of America Conservative Allocation Fund		None	L	T					
40. --Mutual of America Interest Accumulation Account	D	Interest	N	T					
41. Citizens Bank Checking Account		None	K	T					
42. PNC Bank Checking Account	A	Interest	J	T					
43. PNC Bank Money Market Account	A	Interest	J	T					

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2 Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

1. For the Flexible Annuity Plan and the Tax Deferred Annuity holdings listed in Part VII with no income, "none" was listed because the statements do not provide the income attributable to those assets.
2. IRA#1 was closed by April 30, 2018.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **s/ Joel H. Slomsky**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544