

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2018

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Simon, Michael H.	2. Court or Organization U.S. District Court, Oregon	3. Date of Report 05/06/2019
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. District Judge	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2018 to 12/31/2018
7. Chambers or Office Address 1527 United States Courthouse 1000 Southwest Third Avenue Portland, OR 97204		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions)

☐ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1. Director	Classroom Law Project
2.	
3.	
4.	
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions)

☒ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1.	
2.	
3.	

FINANCIAL DISCLOSURE REPORT

Page 2 of 11

Name of Person Reporting

Simon, Michael H.

Date of Report

05/06/2019

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1. 2018	Samuel French, Inc. (theatrical royalties for "The Odd Couple")	\$8,306.07
2. 2018	Tams-Witmark Music Library, Inc. (theatrical royalties for "Promises, Promises")	\$491.46
3. 2018	International Authors Society (theatrical royalties for "The Odd Couple")	\$9,172.00
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2018	U.S. House of Representatives (spouse's wages)
2.	
3.	
4.	

IV. REIMBURSEMENTS -- *transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☒ NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.				
2.				
3.				
4.				
5.				

FINANCIAL DISCLOSURE REPORT

Page 3 of 11

Name of Person Reporting
Simon, Michael H.

Date of Report
05/06/2019

V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*

☒ NONE *(No reportable gifts.)*

SOURCE	DESCRIPTION	VALUE
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*

☒ NONE *(No reportable liabilities.)*

CREDITOR	DESCRIPTION	VALUE CODE
1.		
2.		
3.		
4.		
5.		

FINANCIAL DISCLOSURE REPORT

Page 4 of 11

Name of Person Reporting

Simon, Michael H.

Date of Report

05/06/2019

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. U S Bank NA (checking and savings)	A	Interest	M	T					
2. OnPoint Community Credit Union (checking and savings)	A	Interest	L	T					
3. Northwestern Mutual Life Ins. (whole life insurance cash value)	C	Dividend	M	T					
4. Gold coins		None	L	T					
5. Bonamici for Congress (spouse campaign loan) (See Part VIII, Line 1.)		None			Redeemed	12/20/18	L	A	See Part VIII, Line 1.
6. Vanguard Brokerage Account (H)									
7. -- Vanguard 500 Index mut. fund	D	Dividend	N	T					
8. -- Vanguard PRIMECAP mut. fund	E	Dividend	N	T	Buy (add'l)	01/02/18	K		
9. -- Vanguard REIT Index mut. fund	D	Dividend	M	T					
10. -- Vanguard Small-Cap Index mut. fund	C	Dividend	N						
11. -- Vanguard Interim Term Corp Bond Index mut. fund	E	Dividend	P1	T	Sold (part)	01/02/18	K	A	
12. Ameriprise Brokerage Account (H)									
13. -- Ameriprise cash holding account	A	Interest	L	T					
14. -- Oregon State Dept. of Admin. Services municipal bond	C	Interest	M	T					
15. -- Active Portfolio Multi Manager Small Cap Equity A mut. fund	D	Dividend	L	T	Buy (add'l)	02/15/18	J		
16.					Sold (part)	05/21/18	J	A	
17.					Buy (add'l)	08/15/18	K		

1. Income Gain Codes:	A = \$1,000 or less (See Columns B1 and D4)	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes	F = \$50,001 - \$100,000 (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000
3. Value Method Codes	P3 = \$25,000,001 - \$50,000,000 (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	P4 = More than \$50,000,000 S = Assessment W = Estimated	T = Cash Market

FINANCIAL DISCLOSURE REPORT

Page 5 of 11

Name of Person Reporting

Simon, Michael H.

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☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code I (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
18.					Buy (add'l)	11/16/18	J		
19. -- Active Portfolio Multi Manager Growth Strat. A mut. fund	D	Dividend			Sold (part)	02/15/18	M	E	
20.					Sold (part)	05/21/18	J		
21.					Sold	08/15/18	L	D	
22. -- Active Portfolio Multi Manager Value Strat. A mut. fund	D	Dividend	M	T	Sold (part)	02/15/18	J	A	
23.					Buy (add'l)	05/21/18	J		
24.					Sold (part)	08/15/18	K	C	
25.					Sold (part)	11/16/18	J	A	
26. -- Active Portfolio Multi Manager Directional Alt. A mut. fund					Sold	02/15/18	K	C	
27. -- Active Portfolio Multi Manager Total Return Bond Strat. A mut. fund	B	Dividend	L		Buy (add'l)	02/15/18	J		
28.					Buy (add'l)	05/21/18	J		
29.					Sold (part)	08/15/18	J	A	
30.					Sold (part)	11/16/18	J	A	
31. -- JPMorgan Large Cap Growth A mut. fund	D	Dividend	L	T	Sold (part)	02/15/18	K	C	
32.					Sold (part)	05/21/18	J	A	
33.					Sold (part)	08/15/18	J	A	
34.					Buy (add'l)	11/16/18	J		

1 Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2 Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3 Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

FINANCIAL DISCLOSURE REPORT

Page 6 of 11

Name of Person Reporting

Simon, Michael H.

Date of Report

05/06/2019

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A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

35. -- JPMorgan Tax Aware Equity A mut. fund	C	Dividend	M	T	Buy	02/15/18	M		
36.					Buy (add'l)	05/21/18	K		
37.					Sold (part)	08/15/18	K		
38.					Buy (add'l)	11/16/18	J		
39. -- Loomis Sayles Growth A mut. fund	C	Dividend	L	T	Buy	08/15/18	L		
40.					Sold (part)	11/16/18	J	A	
41. -- MFS Municipal High Inc. A mut. fund	B	Dividend	K	T	Buy (add'l)	02/15/18	J		
42.					Sold (part)	05/21/18	J	A	
43.					Sold (part)	08/15/18	J	A	
44.					Sold (part)	11/16/18	J	A	
45. -- MFS International Value A mut. fund	C	Dividend	L	T	Buy (add'l)	02/15/18	J		
46.					Sold (part)	05/21/18	J	A	
47.					Buy (add'l)	08/15/18	J		
48.					Buy (add'l)	11/16/18	J		
49. -- MFS Value A mut. fund	C	Dividend	M	T	Sold (part)	02/15/18	J	A	
50.					Buy (add'l)	05/21/18	K		
51.					Sold (part)	08/15/18	J	A	

1 Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 7 of 11

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Simon, Michael H.

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52.					Sold (part)	11/16/18	J	B	
53. -- Nuveen Interm. Duration Mun. Bond A mut. fund	C	Dividend	L	T	Buy (add'l)	02/15/18	J		
54.					Sold (part)	05/21/18	J	A	
55.					Sold (part)	08/15/18	J	A	
56.					Sold (part)	11/16/18	J	A	
57. -- Oppenheimer International Growth A mut. fund	A	Dividend	L	T	Sold (part)	02/15/18	J	A	
58.					Buy (add'l)	05/21/18	J		
59.					Buy (add'l)	08/15/18	J		
60.					Buy (add'l)	11/16/18	J		
61. -- Prudential Jennison Mid Cap Growth A mut. fund					Sold (part)	02/15/18	J	A	
62.					Sold	05/21/18	K	C	
63. -- Wells Fargo Emerging Markets Equity A mut. fund	A	Dividend	L	T	Buy (add'l)	02/15/18	J		
64.					Buy (add'l)	05/21/18	J		
65.					Buy (add'l)	08/15/18	J		
66.					Buy (add'l)	11/16/18	K		
67. -- Wells Fargo Short Term Municipal Bond A mut. fund	B	Dividend	L	T	Buy (add'l)	02/01/18	J		
68.					Buy (add'l)	02/15/18	J		

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FINANCIAL DISCLOSURE REPORT

Page 8 of 11

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Simon, Michael H.

Date of Report

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69.					Sold (part)	05/21/18	J	A	
70.					Buy (add'l)	08/15/18	J		
71.					Sold (part)	11/16/18	J	A	
72. -- Wells Fargo Special Mid Cap Value A mut. fund					Sold (part)	02/15/18	J	A	
73.					Sold	05/21/18	L	D	
74. -- Western Asset Intern. Term Municipal A mut. fund	C	Dividend	M	T	Buy (add'l)	02/15/18	J		
75.					Sold (part)	05/21/18	J	A	
76.					Sold (part)	11/16/18	J	A	
77. -- iShares MSCI EAFE Value ETF fund	B	Dividend	K	T	Buy (add'l)	02/15/18	K		
78.					Sold (part)	05/21/18	J	A	
79.					Buy (add'l)	08/15/18	J		
80.					Sold (part)	11/16/18	J	A	
81. -- iShares CORE S&P Mid-Cap ETF fund	B	Dividend	K	T	Buy	05/21/18	L		
82.					Sold (part)	08/15/18	J	A	
83.					Buy (add'l)	11/15/18	J		
84. -- Franklin Templeton Growth Allocation Class A mut. fund	C	Dividend	L	T					
85. Co-Trustee of Family Member's Living Trust (H)									

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FINANCIAL DISCLOSURE REPORT

Page 9 of 11

Name of Person Reporting

Simon, Michael H.

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05/06/2019

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86. -- U S Bank, NA (checking and savings)	A	Interest	N	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
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FINANCIAL DISCLOSURE REPORT

Page 10 of 11

Name of Person Reporting

Simon, Michael H.

Date of Report

05/06/2019

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Part VII, Line 5. On December 20, 2018, the Bonamici for Congress campaign paid off the remaining balance on this loan (\$100,000) in full.

FINANCIAL DISCLOSURE REPORT

Page 11 of 11

Name of Person Reporting

Simon, Michael H.

Date of Report

05/06/2019

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ Michael H. Simon

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544