

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2018

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) VANSICKLE, FRED	2. Court or Organization U.S. District Court	3. Date of Report 08/13/2019
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) Senior District Judge	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2018 to 12/31/2018
7. Chambers or Office Address 7 N. Wenatchee Avenue, Suite 310 Wenatchee, WA 98801		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☒ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1.		
2.		
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1.	
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1. 2018	Washington State Judicial Retirement	\$63,326.00
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2018	State of Washington Retirement
2.	
3.	
4.	

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☒ NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.				
2.				
3.				
4.				
5.				

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*SOURCEDESCRIPTIONVALUE

1.

2.

3.

4.

5.

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*CREDITORDESCRIPTIONVALUE CODE

1.

2.

3.

4.

5.

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "X" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Schwab Money Market sweep account	A	Interest	K	T					
2. Abbott Laboratories (ABT)	A	Dividend	K	T					
3. Alibaba Group Holding F (BABA)	A	Dividend			Buy	02/08/18	K		
4.					Sold	10/10/18	K		
5. Alphabet Inc (GOOGL)	A	Dividend	K	T	Buy	10/26/18	K		
6. American Intl Gro 21 WTS (AIGWS) n/k/a Life Ins Co 21 WTS (AIGWS)	A	Dividend	K	T	Buy (add'l)	11/05/18	J		
7. American Intl Group (AIG)	A	Dividend			Sold	06/11/18	K		
8. AQR Eqty Mkt Neutral FD (QMNIX)	A	Dividend	L	T	Sold (part)	06/12/18	J		
9. Artisan High Incm FD Inv (ARTFX)	A	Dividend	J	T	Buy	09/28/18	J		
10. Boeing (BA)	B	Dividend	M	T					
11. Borg Warner Inc (BWA)	A	Dividend			Buy	07/18/18	K		
12.					Sold	12/20/18	K		
13. Builders Firstsource (BLDR)	A	Dividend			Sold	01/04/18	K		
14. Charles Schwab Corp (SCHW)	A	Dividend	K	T					
15. Cheniere Energy Inc (LNG)		None	K	T	Buy	11/02/18	K		
16. Comcast Corp (CMCSA)	A	Dividend	K	T					
17. Communications Sales (CSAL)		None	K	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code I (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

18. Digital Realty Trust (DLR)	A	Dividend			Sold	01/12/18	K		
19. Doubleline Flexible Inc (DLINX)	A	Dividend	J	T	Buy	09/28/18	J		
20.					Buy (add'l)	11/19/18	J		
21. Eaton Vance Short Dur Infltn Protected Inc (EARRX)	A	Dividend	J	T	Buy	09/28/18	J		
22. Enseo Plc ADR (ESV) (Y)									
23. First American Fin Corp (FAF)	A	Dividend	J	T	Sold (part)	07/03/18	K		
24. FMI Large Capital Fund	A	Dividend	J	T					
25. FMI Intl Fd Inst (FMIYX)	C	Dividend	L	T					
26. Goldman Sachs MLP Energy (GMLPX)	C	Dividend	J	T					
27. Guggenheim Short Duration ETF (GSY)	A	Dividend	M	T	Buy (add'l)	03/23/18	J		
28.					Buy (add'l)	04/03/18	M		
29. Hart Cap Management Acct	A	Interest	L	T					
30. Intel Corp (INTC)	A	Dividend	K	T	Buy	10/16/18	K		
31. Invesco S&P 500 High Divi Low Volatility (SPHD)	A	Dividend	L	T	Buy (add'l)	06/04/18	L		
32.					Sold (part)	08/31/18	J		
33. Invesco Ultra Short ETF f/k/a Powershares Ultra Short	A	Dividend	L	T	Buy (add'l)	06/04/18	J		
34. Invesco QQQ Trust (QQQ) f/k/a Powershares QQQ	A	Dividend	J	T	Buy	10/01/18	J		

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

35.					Sold (part)	11/19/18	J		
36. Ishares Short Treasury Bond ETF (SHV)	A	Dividend			Buy	07/03/18	K		
37.					Buy (add'l)	06/29/18	J		
38.					Buy (add'l)	09/04/18	J		
39.					Sold	12/14/18	K		
40. Ishares S&P Mid Cap 400 Grwth ETF (IJK)	A	Dividend	J	T					
41. Ishares S&P 500 Growth ETF (IVW)	A	Dividend	J	T					
42. Johnson & Johnson (JNJ)	B	Dividend	L	T					
43. JP Morgan Chase & Co (JPM)	A	Dividend	K	T	Sold (part)	10/01/18	J		
44. JPMorgan Emrg Mkts Eqty (JEMSX)		None			Sold	06/11/18	L		
45. Lamar Advertising (LAMR)	A	Dividend	K	T					
46. MGM Resorts Intl (MGM)	A	Dividend			Sold	05/25/18	K		
47. Microsoft (MSFT)	B	Dividend	M	T					
48. MainGate MLP Fund (IMLPX)		None	L	T	Sold (part)	03/08/18	K		
49. Nasdaq 100 Shares	A	Dividend	L	T					
50. North Cascades Bank Shares	A	Dividend	K	T					
51. Norwegian Cruise Lin (NCLH)	A	Dividend	K	T	Sold (part)	07/18/18	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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52. Numerica CU	A	Interest	L	T					
53. Outfront Media Inc (OUT)	B	Dividend			Sold	08/13/18	K		
54. Pimco Enhanced Shrt Mat (MINT)	B	Dividend			Sold (part)	06/11/18	L		
55.					Sold	09/04/18	J		
56. Pimco Eqs Long Short Fd	A	Dividend	J	T					
57. Pimco Low Duration Incm Fd (PFIAX)	A	Dividend	J	T	Buy	09/28/18	J		
58. Realty Income Corp (O)	A	Dividend	J	T					
59. RMPI Account	A	Interest	K	T					
60. Schwab Govt Money Fund (SWGXX)	A	Dividend	K	T	Buy (add'l)	06/19/18	J		
61.					Sold (part)	08/30/18	J		
62. Schwab International Equity ETF (SCHF)	B	Dividend			Sold (part)	10/01/18	J		
63.					Sold	12/20/18	K		
64. Schwab US Large Cap ETF (SCHX)	A	Dividend	J	T	Buy (add'l)	10/01/18	J		
65. Schwab U.S. Mid-Cap ETF (SCHM)	A	Dividend	L	T	Sold (part)	07/18/18	J		
66. Schwab US Small Cap ETF (SCHA)	A	Dividend	K	T	Buy	09/05/18	J		
67. Select STR Financial Select SPDR ETF (XLF)	A	Dividend			Buy	11/19/18	J		
68.					Sold	12/13/18	J		

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69. SPDR Dow Jones Global Real Estate ETF	A	Dividend	J	T					
70. Sportsmans WHSE Hldg (SPWH)	A	Dividend	K	T					
71. St. Jude Med (STJ)	A	Dividend	K	T					
72. Tripadvisor In (TRIP)	A	Dividend	K	T					
73. United Parcel Srvc (UPS)	A	Dividend			Buy	02/13/18	K		
74.					Sold	10/26/18	K		
75. Vaneck Vectors Fallen Angel High Yield ETF (ANGL)	A	Dividend			Sold	03/08/18	J		
76. Vanguard Health Care ETF (VHT)	A	Dividend	J	T	Buy	11/19/18	J		
77. Wash Trust Savings	A	Interest	J	T					
78. Whirlpool Corp (WHR)		None	K	T	Buy	11/01/18	K		
79. Wisdomtree Int RT HDG Hgh YLD (HYZD)	A	Dividend	J	T	Buy	10/01/18	J		
80.					Sold (part)	12/13/18	J		
81.									
82.									
83.									
84.									
85.									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
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86.									
87.									
88.									
89.									
90.									
91.									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **FRED VANSICKLE**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544