

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2018

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Walker, Susan R.	2. Court or Organization Middle District of Alabama	3. Date of Report 05/15/2019
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) United States Magistrate Judge	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2018 to 12/31/2018
7. Chambers or Office Address One Church Street Room 501-B Montgomery, Alabama		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions)

☐ NONE (No reportable positions.)

	<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1.	Trustee	Eckerd College, St. Petersburg, FL
2.		
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions)

☒ NONE (No reportable agreements.)

	<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.		
2.		
3.		

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*

DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*

DATE	SOURCE AND TYPE
1. 2018	Self-employed attorney
2.	
3.	
4.	

IV. REIMBURSEMENTS *— transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*

SOURCE	DATES	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
1. Law & Economics Center, George Mason University Antonin Scalia Law School	October 21-23, 2018	Arlington, VA	Symposium on the Law & Economics of Criminal Justice Reform	Transportation, lodging, food
2.				
3.				
4.				
5.				

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.	U. S. Dept. of Education	Student Loan 	L
2.			
3.			
4.			
5.			

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Regions (tax account)	A	Interest	K	T					
2. Balch & Bingham (interest in partnership)	C	Dividend	K	T					
3. APCO Credit Union	A	Interest	J	T					
4. Regions Savings Account	A	Interest	K	T					
5. Abbot Laboratories (ticker ABT)	A	Dividend			Sold	01/30/18	K	E	
6. AbbVie Inc (ticker ABBV)	B	Dividend	K	T					
7. Accenture Ltd Cl A (ticker ACN)	A	Dividend	K	T	Sold (part)	09/07/18	J	D	
8. Alphabet Inc (formerly Google Inc Class) (ticker GOOGL)		None	K	T					
9. Amazon.Com (ticker AMZN)		None	K	T	Sold (part)	09/04/18	J	C	
10. Apple Computer Inc (ticker AAPL)	A	Dividend	K	T					
11. Bank Sweep Shares (Cash Deposits), Charles Schwab & Co Inc	A	Interest	K	T					
12. Berkshire Hathaway Cl B (ticker BRKB)		None	J	T					
13. Carnival Corp (ticker CCL)	A	Dividend	J	T					
14. China Mobile Ltd Sp ADR (ticker CHL)	B	Dividend	K	T					
15. Cisco Systems Inc. (ticker CSCO)	A	Dividend	J	T					
16. Coca Cola Co. (ticker KO)	B	Dividend	K	T					
17. CVS Corp (ticker CVS)	A	Dividend	J	T					

1. Income Gain Codes:	A = \$1,000 or less (See Columns B1 and D4)	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes	F = \$50,001 - \$100,000 (See Columns C1 and D3)	J = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes	N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market

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18. Dominion Resources-Va (ticker D)	A	Dividend	J	T					
19. Exxon Mobil Corporation I (ticker XOM)	B	Dividend	K	T					
20. Intel Corp (ticker INTC)	B	Dividend	K	T					
21. Johnson & Johnson Com. (ticker JNJ)	B	Dividend	L	T	Sold (part)	09/24/18	J	C	
22. Kroger Co. (ticker KR)	A	Dividend	K	T	Buy	07/23/18	K		
23. McDonalds Corp. (ticker MCD)	A	Dividend	K	T					
24. Microsoft Corp (ticker MSFT)	B	Dividend	L	T	Sold (part)	09/07/18	J	C	
25. Molson Coors Brewing Co C (ticker TAP)	A	Dividend	K	T					
26. Novartis A G Spon ADRF (ticker NVS)	B	Dividend	K	T					
27. Novo-Nordisk A/S ADR (ticker NVO)	A	Dividend	J	T					
28. Oracle Corporation (ticker ORCL)	A	Dividend	K	T					
29. Pepsico Inc. (ticker PEP)	B	Dividend	K	T					
30. Pfizer Inc (ticker PFE)	A	Dividend	K	T					
31. Philip Morris Internation (ticker PM)	A	Dividend	J	T					
32. PIMCO Incm Cl D (ticker PONAX converted from POND)	A	Dividend	J	T					
33. PIMCO Low Duration Fund (ticker PTLAX converted from PLDD)	A	Dividend			Sold	07/23/18	K		
34. Schwab Value Advantage Money Market Fund (SWVXX)	A	Dividend	K	T	Buy (add'l)	05/03/18	K		

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35.					Sold (part)	05/11/18	J		
36.					Sold (part)	07/23/18	K		
37.					Sold (part)	11/30/18	K		
38. Southern Company (ticker SO)	B	Dividend	K	T					
39. Starbucks Corp (ticker SBUX)	A	Dividend	K	T	Buy (add'l)	07/23/18	J		
40. Target (ticker TGT)	B	Dividend	K	T					
41. TD Bank USA FDIC Insured Deposit (ticker IDA12)	A	Interest	J	T					
42. Union Pacific Corp (ticker UNP)	A	Dividend	K	T					
43. United Technologies Corp. (ticker UTX)	A	Dividend	K	T	Sold (part)	09/24/18	J	C	
44. US Bancorp Del (ticker USB)	A	Dividend	K	T	Buy	05/03/18	K		
45. Verizon Communications (ticker VZ)	A	Dividend	K	T					
46. Visa (ticker V)	A	Dividend	K	T	Sold (part)	09/07/18	J	B	
47. Vodafone Group Public Limited (ticker VOD)	A	Dividend			Sold	06/15/18	J		
48. Wal-Mart Stores Inc. (ticker WMT)	A	Dividend	K	T					
49. Waste Management Inc. Del (ticker WM)	B	Dividend	L	T	Sold (part)	09/07/18	J	D	
50. Weitz Funds Short Term Income (ticker WEFIX)	A	Dividend			Sold	07/23/18	K		
51. Adobe Systems Inc 02/01/2020 4.75% (CUSIP 00724FAB7)	A	Interest	K	T	Buy	02/06/18	K		

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52. Alabama St REV 3/1/27 Callable Taxable (CUSIP 010612BM0)	B	Interest	K	T					
53. Allied World Assur Co Hldgs S Enior Note Callable (CUSIP 01959EAC2)	A	Interest	K	T					
54. Anheuser-Busch Inbev Fin Senior (CUSIP 035242AF3)	A	Interest	K	T					
55. Apple Inc 05/06/2021 2.85% (CUSIP 037833AR1)	A	Interest	K	T	Buy	05/03/18	K		
56. Apple Inc 08/04/2021 1.55% (CUSIP 037833CC2)	A	Interest	K	T	Buy	07/23/18	K		
57. Bank Montreal Medium Term Sr Nts 01/25/2021 2% (06367TX21)	A	Interest	K	T	Buy	01/31/18	K		
58. Bk Nova Scotia Flt 2033F (CUSIP 064159CP9)	A	Interest	J	T					
59. Bank West San Francisco Calif CD (CUSIP 06426XTM2)		None	J	T					
60. Barclays Bank (CUSIP 06738KCM1)	A	Interest	J	T					
61. Berkshire Hathaway Inc Del 08/15/2021 3.75% (084670BC1)		None	K	T	Buy	09/07/18	K		
62. Buckeye Partners Lp Sr Un (CUSIP 118230AJ0)	A	Interest	K	T					
63. Canadian Imp Bk Comm Sr Med Term (CUSIP 13605WDR9)		None			Redeemed	07/13/18	K	B	
64. Caterpillar Financial Svcs Corp Med Term Nts 05/15/2020 2.75% (14912H)	A	Interest	K	T	Buy	05/14/18	K		
65. CENTERSTATE BK CD FDIC WINTER HAVEN FL (15201QAB3) 15201QAB3)	A	Interest			Matured	01/30/18	K		
66. Citibank N A Cd Cll Fx/FI (CUSIP 172986GQ8)		None	J	T					
67. Credit Suisse Ag Sr Med Term N Ote Index Lnkd (CUSIP 22548QGN9)	B	Interest	K	T					
68. Credit Suisse London Sr M (CUSIP 22546VHC3)	B	Interest			Redeemed	07/31/18	K	A	

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69. Exxon Mobil Corp 08/15/2021 8.625% (607059AT9)		None	L	T	Buy	09/24/18	L		
70. Fed Hm Ln Mtg Co 06/30/2021 1.50% (3134G9RR0)	A	Interest	J	T	Buy	05/03/18	J		
71. Federal Home Ln 06/29/2020 1.75% (3134GBTX0)	A	Interest	K	T	Buy	05/11/18	K		
72. Goldman Sachs Bk Usa Ny C (CUSIP 38148JHQ7)	A	Interest	K	T					
73. Goldman Sachs Group Inc U (CUSIP 38141GTZ7)	A	Interest	J	T					
74. Hewlett Packard Co Sr Uns (CUSIP 428236BV4)	B	Interest	K	T					
75. HSBC Bank Usa Na Cd Cbl Stepp Ed 1.25%-3.125% (CUSIP 40434AU49)	A	Interest	J	T					
76. HSBC Bank Usa Na Cd Index (CUSIP 40434AQB8)		None	K	T					
77. Ingersoll-Rand Global Hld (CUSIP 45687AAA0)	B	Interest			Redeemed	03/15/18	K		
78. Jefferson & Madison Cntys Ida (CUSIP 474178DZ0)	B	Interest	K	T					
79. John Deere Cap Senior Med Term (CUSIP 24422ETK5)	B	Interest	L	T					
80. Johnson & Johnson 05/15/2021 3.55% (478160AZ7)	A	Interest	K	T	Buy	05/11/18	K		
81. JPMORGAN CHASE & VAR 18 DUE 04/25/18 (CUSIP 46623EKA6)	A	Interest			Redeemed	04/25/18	K		
82. Jp Morgan Chase Bank Na (CUSIP 48126DZF9)	A	Interest	K	T					
83. Jp Morgan Chase Bank Na Cd 0% (CUSIP 48125TWD3)	A	Interest	K	T					
84. Jp Morgan Chase Bank Na Cd Ind Ex Lnkld 0% (CUSIP 48124JGL6)		None	K	T					
85. Jp Morgan Chase Bank Na Cd Qtr (CUSIP 48125T6T7)	B	Interest	K	T					

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86. Lloyds Tsb Bank Plc Rge Accrl (CUSIP 5394E8BQ1)	B	Interest	K	T					
87. Microsoft Corp 08/08/2021 1.55% (594918BP8)	A	Interest	K	T	Buy	07/23/18	K		
88. Old Republic Intl Corp Sr Gbl (CUSIP 680223AJ3)	A	Interest	K	T					
89. PENNSYLVANIA ST 5.85%30GO UTX DUE 7/15/30 TAXBL (CUSIP 70914PPQ9)	B	Interest	K	T					
90. Plains Exploration & Prod (CUSIP 726505AP5)	B	Interest			Redeemed	04/04/18	K		
91. Royal Bank Of Canada Sr Med Te Rm Note Index Lnk 8/3/21 (78013XNM6)		None	K	T	Buy	07/31/18	K		
92. Sanofi S A 03/29/2021 4% (80105NAG0)	A	Interest	K	T	Buy	05/03/18	K		
93. Societe Gen Var 2033F (CUSIP 83368WCB5)		None	K	T					
94. Toyota Motor Credit Sr Unsecur (CUSIP 89233P6P6)	A	Interest	K	T					
95. UNION BANK NA 3%18CD FDIC SAN FRANCISCO CA (CUSIP 90521AGB8)	A	Interest			Matured	06/29/18	J	A	
96. Union Bank Na San (CUSIP 90521AML9)	D	Interest			Matured	04/30/18	K	A	
97. US TREASURY BILL18U S T BILL (CUSIP 912796NQ8)		None			Redeemed	08/16/18	K	A	
98. US TREASURY NT 3.625% DUE 08/15/19 (CUSIP 912828LJ7)	A	Interest	L	T	Buy	05/11/18	L		
99. US TREASURY NT 1.375% DUE 10/31/20 (CUSIP 912828L99)	A	Interest	K	T	Buy	09/07/18	K		
100. VERIZON COMMUNICA 2.6%22 DUE 05/15/22 (CUSIP 92346MAA9)	A	Interest	K	T					
101. WALMART INC. 3.125% DUE 06/23/21 (CUSIP 931142EJ8)	A	Interest	K	T	Buy	07/23/18	K		
102. WELLS FARGO BK N A 21CD FDIC INS DUE 09/08/21 (CUSIP 94986TRE9)		None	K	T					

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☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
103. WELLS FARGO 2.1% CD FDIC INS DUE 04/15/19 (CUSIP 949763PA8)	A	Interest	K	T	Buy	03/08/18	K		
104. WELLS FARGO CD FDIC INS DUE 06/07/22 (CUSIP 94986T7K7)		None	K	T	Buy	11/30/18	K		
105. Western Union Sr Unsecured M-W Callable (CUSIP 959802AL3)	B	Interest	K	T					
106. Western Union Sr Unsecured M-W Callable (CUSIP 959802AP4)	A	Interest			Redeemed	08/22/18	K		

1. Income Gain Codes: (See Columns B1 and D4)	A ~\$1,000 or less F ~\$50,001 - \$100,000	B ~\$1,001 - \$2,500 G ~\$100,001 - \$1,000,000	C ~\$2,501 - \$5,000 H1 ~\$1,000,001 - \$5,000,000	D ~\$5,001 - \$15,000 H2 ~More than \$5,000,000	E ~\$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J ~\$15,000 or less N ~\$250,001 - \$500,000 P3 ~\$25,000,001 - \$50,000,000	K ~\$15,001 - \$50,000 O ~\$500,001 - \$1,000,000	L ~\$50,001 - \$100,000 P1 ~\$1,000,001 - \$5,000,000 P4 ~More than \$50,000,000	M ~\$100,001 - \$250,000 P2 ~\$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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Name of Person Reporting

Walker, Susan R.

Date of Report

05/15/2019

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

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Name of Person Reporting

Walker, Susan R.

Date of Report

05/15/2019

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ Susan R. Walker

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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Washington, D.C. 20544