

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2018

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Tharp, John J.	2. Court or Organization District Court, Northern District of Illinois	3. Date of Report 05/10/2019
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) District Judge (Active)	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2018 to 12/31/2018
7. Chambers or Office Address United States District Court Everett McKinley Dirksen United States Courthouse 219 S. Dearborn Street Chicago, IL 60604		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

- | | |
|---------------|---|
| 1. Co-Trustee | Trust #1 (family trust; no reportable assets because I did not create the trust and have no beneficial interest in the trust) |
| 2. | |
| 3. | |
| 4. | |
| 5. | |

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

- | | |
|----|--|
| 1. | |
| 2. | |
| 3. | |

FINANCIAL DISCLOSURE REPORT

Page 2 of 20

Name of Person Reporting

Tharp, John J.

Date of Report

05/10/2019

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*

DATE

SOURCE AND TYPE

INCOME
(yours, not spouse's)

1.

2.

3.

4.

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*

DATE

SOURCE AND TYPE

1. 2018

Janus & Associates, salary

2.

3.

4.

IV. REIMBURSEMENTS *— transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*

SOURCE

DATES

LOCATION

PURPOSE

ITEMS PAID OR PROVIDED

1.

2.

3.

4.

5.

FINANCIAL DISCLOSURE REPORT

Page 3 of 20

Name of Person Reporting

Tharp, John J.

Date of Report

05/10/2019

V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.	United Pacific Life (n/k/a Genworth Life Ins. Co.)	Loan #1	K
2.	United Pacific Life (n/k/a Genworth Life Ins. Co.)	Loan #2	K
3.	JP Morgan Chase	Credit Card	K
4.			
5.			

FINANCIAL DISCLOSURE REPORT

Page 4 of 20

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05/10/2019

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. AT&T (common stock)	B	Dividend	K	T					
2. DowDuPont (common stock)	B	Dividend	L	T					
3. General Electric (common stock)	A	Dividend	J	T					
4. IBM (common stock)	C	Dividend	L	T					
5. JP Morgan Chase Bank Accounts	A	Interest	M	T					
6. Merrill Lynch Bank Deposit Program	A	Interest	J	T					
7. Northwestern Mutual Insurance Policies (Whole Life)	D	Dividend	M	T					
8. Pfizer (common stock)	C	Dividend	M	T					
9. United Pacific Life Ins. (Universal Life; no control) (nka Genworth)	D	Dividend	N	T					
10. U.S. Savings Bonds		None	K	T					
11. Vanguard Dividend Growth Fund	D	Dividend	L	T					
12. Vanguard Energy Fund	A	Dividend	K	T					
13. Vanguard Federal Money Market Fund	A	Int./Div.	K	T					
14. Vanguard Information Tech ETF	B	Dividend	M	T					
15. Vanguard Mid-Cap ETF	A	Dividend	K	T					
16. Vanguard Tax Exempt Money Market Fund	A	Int./Div.			Redeemed	08/03/18	J		
17. Vanguard Long-Term Tax Exempt Bond Fund	B	Dividend	K	T					

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

FINANCIAL DISCLOSURE REPORT

Page 5 of 20

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18. Vanguard S&P 500 Index Fund	B	Dividend	L	T					
19. Vanguard Prime Money Market Fund	A	Int./Div.	J	T					
20. IRA #1 (H)									
21. --Vanguard Total International Stock Index Fund	C	Dividend	L	T					
22. --Vanguard Total Stock Market Index Fund	C	Dividend	M	T					
23. --Vanguard Total Bond Market Index Fund	B	Dividend	K	T					
24. IRA #2 (H)									
25. --Vanguard Total International Stock Index Fund	A	Dividend	J	T					
26. --Vanguard Total Stock Market Index Fund	A	Dividend	L	T					
27. --Vanguard Total Bond Market Index Fund	A	Dividend	J	T					
28. IRA #3 (H)									
29. --Fidelity Magellan Fund	E	Dividend	N	T					
30. IRA #4 (H)									
31. --Vanguard 500 Index Fund	B	Dividend	L	T					
32. IRA #5 (H)									
33. --CIVIX - MFO Causeway Cap Mgmt Tr Intl Value FD Instl Cl	D	Dividend	M	T	Buy (add'l)	01/19/18	J		
34.					Buy (add'l)	10/22/18	J		

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2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 6 of 20

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35. --DFCEX - MFO DFA Invt. Dimensions Group Inc Emerging Mkts	C	Dividend	M	T	Buy (add'l)	01/19/18	J		
36.					Buy (add'l)	10/22/18	J		
37. --DFEVX - MFO DFA Emerging Markets Value	C	Dividend	M	T	Buy (add'l)	01/19/18	J		
38.					Buy (add'l)	10/22/18	J		
39. --DFITX - MFO DFA Invt Dimensions Group Inc Intl Real Estate	C	Dividend	K	T					
40. --DFREX - MFO DFA Invt Dimensions Group Inc. Real Estate	D	Dividend	L	T	Sold (part)	10/19/18	J	C	
41. --GUNR - MFC Flexshares TR Morningstar Global Upstream NRIF	B	Dividend	L	T	Buy (add'l)	01/19/18	J		
42.					Buy (add'l)	10/23/18	J		
43. --HLMIX - MFO Harding Loevner FDS Inc. Intl Equity Portfolio	C	Dividend	M	T	Buy (add'l)	01/19/18	K		
44.					Buy (add'l)	10/22/18	J		
45. --NMFIX MFB Northern Multimanager	D	Dividend	L	T					
46. --NOMIX - MFB Northern Mid Cap Index Fund	D	Dividend	M	T					
47. --NOSIX - MFB Northern Funds Stock Index Fund	E	Dividend	O	T					
48. --NSIDX - MFB Northern Funds Small Cap Index Fund	D	Dividend	M	T					
49. --PHIYX - MFO Pimco Funds Pac Invt Mgmt Ser HY Intl CL	A	Dividend							
50. QDF MFC Flexshares Trust Quality	D	Dividend	N	T					
51. NHFIX MFB Northern High Yield Fixed Income	B	Dividend	K	T	Buy (add'l)	01/19/18	J		

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FINANCIAL DISCLOSURE REPORT

Page 7 of 20

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52.					Buy (add'l)	10/22/18	J		
53. BHYIX Black Rock Federal High Yield Bond	D	Int./Div.	M	T	Buy (add'l)	01/19/18	J		
54.					Buy (add'l)	10/22/18	J		
55. NOGXX Northern US Govt Money Market	A	Int./Div.	K	T					
56. IRA #6 (H)									
57. --Fidelity Blue Chip Growth	C	Dividend	L	T					
58. --Fidelity Independence	E	Dividend	N	T					
59. IRA #7 (H)									
60. --Fidelity Independence	C	Dividend	K	T					
61. Trust #1 (H)									
62. Trust #2 (H)									
63. --Allstate (common)	B	Dividend	K	T					
64. --American Electric Power (common)	B	Dividend	K	T					
65. --Anadarko Petroleum (common)	A	Dividend	J	T					
66. --AT&T (common)	C	Dividend	K	T					
67. --Blackrock Global Allocation C	A	Dividend	J	T					
68. --BP Amoco (ADR)	A	Dividend	J	T					

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FINANCIAL DISCLOSURE REPORT

Page 8 of 20

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69. --Chemours	A	Dividend	J	T					
70. --Conoco Phillips (common)	A	Dividend	K	T					
71. --DowDuPont (common)	A	Dividend	K	T					
72. --Duke Energy (common)	A	Dividend	J	T					
73. --Exxon (common)	B	Dividend	L	T					
74. --Fortune Brands Home & Security (common)	A	Dividend	J	T					
75. --Hershey (common)	B	Dividend	L	T					
76. --ISHARES Russell Mid Cap ETF	A	Dividend	K	T					
77. --ISHARES S&P Growth ETF (IVW)	A	Dividend	J	T	Sold (part)	12/26/18	K	A	
78. --Ivy Asset Strategy	D	Dividend	K	T					
79. --JP Morgan Chase Cash Accounts	A	Dividend	J	T					
80. --JP Morgan Tr I US Small Co. Cl C	B	Dividend	J	T					
81. --JP Morgan Tr II Large Cap Growth (formerly JP Morgan Dynamic Growth)	D	Dividend	K	T					
82. --Lincoln National Group (common)	A	Dividend	K	T					
83. --Merck (common)	A	Dividend	K	T					
84. --Monsanto (common)	A	Dividend			Sold	06/07/18	K	D	
85. --Pfizer (common)	A	Dividend	J	T					

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FINANCIAL DISCLOSURE REPORT

Page 9 of 20

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86. --Phillips 66 (common)	A	Dividend	J	T					
87. --Sterling Cap FDS Mid Value FD (OVECX)	C	Dividend	K	T					
88. --Walgreen's Boots Alliance (common)	C	Dividend	M	T					
89. --Enbridge Inc. (common) (formerly Spectra Energy)	A	Dividend	J	T					
90. Trust #3 (H)									
91. --3-M (common)	B	Dividend	L	T					
92. --Allstate (common)	A	Dividend	K	T					
93. --Bank of America (common)	A	Dividend	J	T					
94. --DowDuPont (common) (formerly Dow Chemical)	A	Dividend	K	T					
95. --Exelon (common)	A	Dividend	J	T					
96. --Exxon (common)	B	Dividend	K	T					
97. --IBM (common)	A	Dividend	J	T					
98. --JP Morgan Chase (common)	A	Dividend	J	T					
99. --JP Morgan US Treasury Plus Money Market Acct.	A	Dividend	J	T					
100. --Lincoln National Corp. (common)	A	Dividend	J	T					
101. --Pfizer (common)	B	Dividend	L	T					
102. --Sherwin Williams (common)	B	Dividend	M	T					

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FINANCIAL DISCLOSURE REPORT

Page 10 of 20

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103. Trust #4 (H)									
104. --3-M (common)	C	Dividend	M	T					
105. --Exxon Mobil (common)	D	Dividend	M	T					
106. --McDonald's (common)	E	Dividend	O	T					
107. --JP Morgan Chase Cash Account	A	Dividend	J	T					
108. Trust #5 (H)									
109. --JP Morgan Chase Cash Account	A	Interest	J	T					
110. --25% of Moles Family Partnership (H)									
111. ---NOTEX-Northern Funds Tax Exempt Fund	A	Dividend			Sold	04/23/18	J	A	
112. ---NSIDX-Northern Funds Sall Cap Index Fund	A	Dividend	J	T					
113. ---NOSIX-Northern Funds Stock Index Fund	C	Dividend	L	T					
114. ---NOMIX-Northern Mid Cap Index Fund	A	Dividend	J	T					
115. ---TDTF-Flexshares TR IBOX 5 yr Tgt TIPS Index Fd	A	Dividend			Sold	04/17/18	J	A	
116. ---DVY-ISHares Tr Dow Jones Select Divid Index Fd	A	Dividend	J	T					
117. ---GUNR-Flexshares TR Morningstar Global Upstream Nat ResIdx	A	Dividend	J	T	Buy (add'l)	04/23/18	J		
118.					Buy (add'l)	09/10/18	J		
119.					Buy (add'l)	10/19/18	J		

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FINANCIAL DISCLOSURE REPORT

Page 11 of 20

Name of Person Reporting

Tharp, John J.

Date of Report

05/10/2019

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
120. ---DFCEX-Invt Dimensions Group Emerging Mkts Core Eq Ptf	A	Dividend	J	T	Buy (add'l)	04/17/18	J		
121.					Buy (add'l)	10/19/18	J		
122. ---DFITX-Invt Dimensions Group Int'l Real Estate Secs Ptf	A	Dividend	J	T					
123. ---HLMIX-Harding Loevner Funds Inc Int'l Equity Ptf	A	Dividend	K	T	Buy (add'l)	09/10/18	J		
124.					Buy (add'l)	10/19/18	J		
125. ---CIVIX-Causeway Cap Mgmt Tr Int'l Value Fd	A	Dividend	J	T	Buy (add'l)	10/19/18	J		
126. ---DFREX-Invt Dimensions Group Real Estate Secs Ptf	A	Dividend	J	T					
127. ---DFEVX-Emerging Markets Value Fd	A	Dividend	J	T	Buy (add'l)	10/19/18	J		
128. ---NMFIX MFB Northern Multi-Manager	A	Dividend			Sold	09/10/18	J	A	
129. ---MFR Northern Fds Cash Accounts (X)									
130. ---BHYIX Blackrock Fed High Yield Bond Portfolio	A	Dividend	J	T					
131. ---QDF MFC Flexshares Trust Quality Div	A	Dividend	J	T	Buy (add'l)	09/11/18	J		
132. ---MFB Northern US Govt Money Mkt Fund (Cash Account)	A	Int./Div.	J	T					
133. ---NFRA MFC Flexshares TR STOXX Global Broad	A	Dividend	J	T	Buy	09/10/18	J		
134. Trust #6 (H)									
135. --Farm land, Christian County, Illinois	E	Distribution	M	W					
136. --JP Morgan Chase Cash Account	A	Distribution	K	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 12 of 20

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05/10/2019

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
137. Trust #7 (H)									
138. --iShares MSCI EAFE Index Fund (EFA)	B	Dividend	K	T	Sold (part)	06/06/18	J	B	
139. JPM Tax Free Bond Fd #1374, NKA JPM Interim Tax Free Bond Fd	A	Dividend			Sold (part)	01/12/18	J	A	
140.					Sold	02/14/18	L	A	
141. --Condominium Unit (real estate), Sarasota County, Florida			M	W					
142. --Hart. Cap. Appr. Fd (ITHIX), NKA Hart.Cap.Appr.-F (HCAFX)	A	Dividend			Sold (part)	10/17/18	J	B	
143.					Sold	12/06/18	K	A	
144. --JPM Cap Core Plus (JLPX) NKA JPM L/ Cap Core -R6 (JLPYX)	C	Dividend	K	T	Sold (part)	10/17/18	J	A	
145. --Neuberger Berman Multi Cap Opportunities Fd (NMULX)	C	Dividend	K	T	Sold (part)	02/14/18	J	C	
146. --Spdr S&P 500 ETF Trust (SPY)	C	Dividend	M	T					
147. --MFS Intl Value Fd-I (MINIX) NKA MFS Intl Value -R6 (MINJX)	B	Dividend	K	T	Sold (part)	10/17/18	J	C	
148. --Capital Private Client SVCS Cap Non-US Equities (CNUSX)	A	Dividend	K	T	Sold (part)	02/14/18	J	A	
149.					Sold (part)	08/16/18	J	A	
150.					Sold (part)	10/17/18	J	B	
151. --JPM Gbl R/E Fund (JEITX) NKA JPM Global R/E Fd (JEIYX)	D	Dividend	K	T	Sold (part)	01/12/18	J	C	
152.					Sold (part)	10/17/18	J	C	
153. --JP Morgan Tax Free Instl Sweep Fund (#840)	A	Interest	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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FINANCIAL DISCLOSURE REPORT

Page 13 of 20

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	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
154. --Met West T/R CL I (MWTIX) NKA Met West T/R BD-PLN	A	Dividend	J	T	Sold (part)	12/17/18	J	A	
155. ---John Hancock Str Inc I (JIPIX) NKA JH II Str. Inc. Op R6	A	Dividend			Sold	01/12/18	J	A	
156. ---AMG Mgr Pictet Intl (APCTX) NKA AMG Mgr Pic Intl (APCZX)	A	Dividend	J	T	Sold (part)	10/17/18	J	A	
157. ---Ishares Inc MSCI Japan New (EWI)	A	Dividend	J	T					
158. ---NB Hi In B INS (NHLIX) NKA NB High Inc Bond R6 (NRHIX)	A	Dividend			Sold	02/08/18	K	A	
159. ---iShares JP Morgan EM Bond Fund (EMB)	A	Dividend			Sold	02/08/18	J	A	
160. ---JP Morgan Cash Account	A	Interest	J	T					
161. ---Dodge & Cox Income Fd (DODIX)	A	Dividend	J	T					
162. ---Ishares Core MSCI EAFE ETF (IEFA)	B	Dividend			Sold	12/21/18	K	A	
163. ---Ishares S&P 500/Barra Growth (IVW)			J	T	Buy	12/26/18	J		
164. ---Ishares S&P 500/Barra Value (IVE)			J	T	Buy	12/26/18	J		
165. ---Six Circles U.S. Unconstrained (CUSUX)	A	Dividend	K	T	Buy	10/18/18	K		
166.					Buy (add'l)	12/07/18	J		
167. ---JPMorgan BetaBuilders Canada ETF (BBCA)	A	Dividend	J	T	Buy	08/23/18	J		
168.					Buy (add'l)	10/19/18	J		
169. ---Six Circles Int'l Unconstrained (CIUEX)	A	Dividend	J	T	Buy	10/18/18	J		
170.					Buy (add'l)	12/07/18	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
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FINANCIAL DISCLOSURE REPORT

Page 14 of 20

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	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
171. ---JPMorgan BetaBuilders Europe (BBEU)	A	Dividend	K	T	Buy	12/26/18	J		
172.					Buy (add'l)	12/28/18	J		
173. ---JPMorgan BetaBuilders Japan (BBJP)	A	Dividend	J	T	Buy	12/26/18	J		
174. ---JPMorgan BetaBuilders Developed Asia (BBAX)	A	Dividend	J	T	Buy	12/26/18	J		
175.					Buy (add'l)	12/28/18	J		
176. ---Six Circles Tax Aware Ultra Short	A	Dividend	J	T	Buy	10/18/18	J		
177. ---Ishares Barclays 1-3 year Treasury	A	Dividend	K	T	Buy	06/06/18	J		
178.					Buy (add'l)	12/19/18	J		
179.					Buy (add'l)	12/27/18	J		
180. ---Fidelity Intermediate Municipal	B	Dividend	L		Buy	02/14/18	L		
181. ---Amer Funds T/E Bnd Fund AM-F3	B	Dividend	K	T	Buy	01/19/18	K		
182.					Sold (part)	12/17/18	J	A	
183. ---Fidelity 500 Index Fund	A	Dividend			Buy	02/07/18	J		
184.					Buy (add'l)	02/12/18	J		
185.					Sold	12/21/18	J	A	
186. ---PIMCO Short Term Fund Inst'l	A	Dividend			Buy	01/12/18	J		
187.					Sold (part)	02/07/18	J	A	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
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Page 15 of 20

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	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
188.					Buy (add'l)	02/22/18	J		
189.					Sold	10/17/18	J	A	
190. Trust #8 (H)									
191. --JPMORGAN CASH ACCOUNT	A	Interest	K	T					
192. --SPDR 500 ETF TR (SPY)	D	Dividend	N	T					
193. --JPM TX FREE (FUND 1374) NKA JPM Interim T/F R6 (JITZX)	C	Dividend	M	T					
194. --JP MORGAN TR I TAX AWARE EQUITY FD INSTL (JPDEX) (fka SELECT JPESX)	B	Dividend	K	T	Sold (part)	10/16/18	J	C	
195. --MFS Intl Val Fd (MINIX) KNA MFS Intl Val Fd R6 (MINJX)	B	Dividend	K	T					
196. --PRIMECAP ODYSSEY FUNDS ODYSSEY STK FD (POSKX)	B	Dividend	K	T					
197. --Hartf.Cap. Appr. I (TTHX) KNA Har.Cap.Appr. F (HCAFX)	C	Dividend	K	T	Sold (part)	10/16/18	J	B	
198. --NEUBERGER BERMAN EQUITY --FDS MULCAP OPP INS FUND (NMULX)	D	Dividend	L	T					
199. --ISHARES MSCI EAFE INDX FD (EFA)	C	Dividend	L	T	Sold (part)	06/06/18	K	B	
200. --JPM Glob R/E Idx Fd (JEITX) NKA JPM Glob R/E R6 (JEIYX)	D	Dividend	L	T	Sold (part)	01/08/18	K	C	
201.					Sold (part)	10/16/18	J	C	
202. --Doubleline Total Ret Bond-I (DBLTX)	B	Dividend	K	T	Sold (part)	12/13/18	J	A	
203. --JH Str Inc-I (JIPIX) NKA JH II Str Inc Opp R6	A	Dividend			Sold	01/08/18	K	A	

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
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Page 16 of 20

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204. ---AMG Mgr PicIntl (ACPTX) NKA AMG Mgr Pic Int Z (APCZX)	C	Dividend	K	T	Sold (part)	10/16/18	K	D	
205. ---Dodge & Cox Intl Stock Fd (DODFX)	A	Dividend	K	T	Sold (part)	08/14/18	J	A	
206.					Sold (part)	12/13/18	K	D	
207. ---iShares Inc MSCI Japan New (EWJ)	A	Dividend	K	T	Buy (add'l)	01/08/18	J		
208.					Buy (add'l)	01/08/18	J		
209. ---NB Hi In B-Ins (NHILX) NKA NB Hi Inc. Bond R6 (NRHIX)	A	Dividend			Sold	02/14/18	K	B	
210. ---iShares JP Morgan EM Bond Fund (EMB)	A	Dividend			Sold	02/13/18	J	A	
211. ---Ishares Core MSCI EAFE ETF (IEFA)	B	Dividend			Buy (add'l)	01/08/18	J		
212.					Sold (part)	10/16/18	J	A	
213.					Sold (part)	12/20/18	K	A	
214.					Sold	12/21/18	K	A	
215. ---Dodge & Cox Income Fd (DODIX)	A	Dividend	J	T					
216. ---JPM Shrt-Int Muni Bnd - I (JIMIX)					Sold (part)	01/08/18	K	A	
217.					Sold	10/16/18	J	A	
218. ---Ishares S&P 500/Barra Growth Index (IVW)			J	T	Buy	12/21/18	J		
219. ---Ishares S&P 500/Barra Value Index (IVE)			J	T	Buy	12/21/18	J		
220. ---Six Circles U.S. Unconstrained (CUSUX)	A	Dividend	K	T	Buy	10/16/18	L		

1. Income Gain Codes:	A ~\$1,000 or less (See Columns B1 and D4)	B ~\$1,001 - \$2,500 G ~\$100,001 - \$1,000,000	C ~\$2,501 - \$5,000 H1 ~\$1,000,001 - \$5,000,000	D ~\$5,001 - \$15,000 H2 ~More than \$5,000,000	E ~\$15,001 - \$50,000
2. Value Codes	J ~\$15,000 or less (See Columns C1 and D3)	K ~\$15,001 - \$50,000 O ~\$500,001 - \$1,000,000	L ~\$50,001 - \$100,000 P1 ~\$1,000,001 - \$5,000,000	M ~\$100,001 - \$250,000 P2 ~\$5,000,001 - \$25,000,000	
3. Value Method Codes	P3 ~\$25,000,001 - \$50,000,000 (See Column C2)	Q ~Appraisal U ~Book Value	R ~Cost (Real Estate Only) V ~Other	S ~Assessment W ~Estimated	T ~Cash Market

FINANCIAL DISCLOSURE REPORT

Page 17 of 20

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221. ---JPMorgan BetaBuilders Canada ETF (BBCA)	A	Dividend	K	T	Buy	08/14/18	J		
222.					Buy (add'l)	08/15/18	J		
223.					Buy (add'l)	10/16/18	J		
224. ---Six Circles Int'l (CIUEX)	A	Dividend	K	T	Buy	10/16/18	K		
225. ---JPMorgan BetaBuilders Europe ETF (BBEU)	A	Dividend	K	T	Buy	12/20/18	K		
226.					Buy (add'l)	12/21/18	K		
227. ---JPMorgan BetaBuilders Japan ETF (BBJP)	A	Dividend	K	T	Buy	12/20/18	J		
228.					Buy (add'l)	12/21/18	J		
229. ---JPMorgan BetaBuilders Developed Asia (BBAX)	A	Dividend	J	T	Buy	12/20/18	J		
230.					Buy (add'l)	12/21/18	J		
231. ---Six Circles Tax Aware Ultra Short	A	Dividend	J	T	Buy	10/16/18	J		
232. ---Ishares Barclays 1-3 year Treasurty	A	Dividend	K	T	Buy	06/06/18	K		
233.					Buy (add'l)	12/13/18	J		
234. ---Ameri Funds T/E Bond Fund (AM-f3)	B	Dividend	L	T	Buy	01/17/18	L		
235. ---Fidelity 500 Index Fund	A	Dividend			Buy	02/08/18	J		
236.					Buy (add'l)	02/14/18	J		
237.					Sold	12/21/18	K		

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
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FINANCIAL DISCLOSURE REPORT

Page 18 of 20

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Date of Report

05/10/2019

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

238. ---Pimco Short Term Fund	A	Dividend			Buy	01/08/18	J		
239.					Sold (part)	02/08/18	J	A	
240.					Buy (add'l)	03/02/18	J		
241.					Sold	10/16/18	J	A	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 19 of 20

Name of Person Reporting

Tharp, John J.

Date of Report

05/10/2019

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Additional Information Concerning Part VII, Investments and Trusts:

██████████ is the sole beneficiary of Trusts #2-#5 and has a 25% beneficial interest in Trusts #6 through #8. I have reported only the value of ██████████ beneficial interest in these trusts.

Line 19: Vanguard Prime Money Market Fund was omitted in error from 2017 report. No change in income/value of asset.

Line 49: Fund was sold on 12/14/17 but dividend income was received on 1/2/18.

Line 61: Trust #1 has no reportable assets. I did not create the Trust and am not a beneficiary.

Line 98: Corrected Name: Previously listed as JPMorgan Cash Account

Line 129: MFR Northern Funds Cash Accounts was transferred into MFB Northern US Govt Money Mkt Fund in 2017. Income and value was incorrectly reported under both account names in 2017 (once under each fund name), but income and value of MFB Northern US Govt Money Mkt Fund was correct even with the addition of the MFR Northern Funds Cash Accounts.

FINANCIAL DISCLOSURE REPORT

Page 20 of 20

Name of Person Reporting

Tharp, John J.

Date of Report

05/10/2019

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **s/ John J. Tharp**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
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