

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2017

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Summerhays, Robert R.	2. Court or Organization United States Bankruptcy Court, W. D. of Louisiana	3. Date of Report 11/08/2019
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) United States Bankruptcy Judge	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☒ Amended Report	6. Reporting Period 01/01/2017 to 12/31/2017
7. Chambers or Office Address 214 Jefferson Street Suite 120 Lafayette, LA 70501		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

	<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1.	President	Acadiana/Lafayette Chapter Federal Bar Association
2.	Master	John Duhe American Inn of Court
3.	Adjunct Instructor	University of Louisiana/Lafayette
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☐ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1. 09/30/2006	Partially vested in Weil, Gotshal & Manges LLP ("WGM") partner pension. The plan is not based on firm profits, and is maintained, controlled, and owned by WGM
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1. 12/15/17	University of Louisiana / Lafayette -- Teaching income	\$3,200.00
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2017	Ascension Episcopal School (Wages)
2.	
3.	
4.	

IV. REIMBURSEMENTS -- *transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☐ NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1. State Bar of Texas Bankruptcy Section	May 31 - June 2, 2017	San Antonio, Texas	Bench/Bar Conference	Hotel, meals, and transportation
2.				
3.				
4.				
5.				

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.	Great Lakes Borrower Services	Stafford Student Loan	K
2.			
3.			
4.			
5.			

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. T. Rowe Price Blue Chip Growth Fund	A	Dividend	J	T	Sold (part)	04/17/17	J		
2. T. Rowe Price Global Real Estate	A	Dividend	J	T					
3. T. Rowe Price European Stock Fund	A	Dividend	J	T	Sold (part)	01/03/17	J		
4. T. Rowe Price Value Fund	A	Dividend	J	T	Sold (part)	04/17/17	J		
5.					Sold (part)	05/24/17	J		
6. T. Rowe Price Global Stock	A	Dividend	J	T	Buy	01/05/17	J		
7.					Sold (part)	04/17/17	J		
8. T. Rowe Price Mid-cap Growth Fund	A	Dividend	J	T	Sold (part)	04/17/17	J		
9.					Sold (part)	05/24/17	J		
10.									
11.									
12. T. Rowe Price SEP IRA									
13. -- T. Rowe Price Blue Chip Growth Fund	A	Dividend	K	T					
14. -- T. Rowe Price Medditeranean	A	Dividend	J	T					
15. -- T. Rowe Price Value Fund	A	Dividend	J	T					
16. -- T. Rowe Price Global Stock Fund	A	Dividend	J	T					
17. -- T. Rowe Price Growth Stock Fund	A	Dividend	K	T					

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
(See Column C2)	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
	U = Book Value	V = Other	W = Estimated		

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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

18. -- T. Rowe Price Retirement 2030 Fund	A	Dividend	K	T					
19. -- T. Rowe Price GNMA Fund	A	Dividend	J	T					
20.									
21. Vanguard Prime Money Market Fund	A	Interest	J	T	Buy (add'l)	01/03/17	J		
22.					Sold (part)	01/10/17	J		
23.					Sold (part)	01/31/17	J		
24.					Buy (add'l)	02/10/17	J		
25.					Buy (add'l)	03/01/17	J		
26.					Sold (part)	03/20/17	J		
27.					Buy (add'l)	03/31/17	J		
28.					Sold (part)	04/17/17	J		
29.					Buy (add'l)	05/01/17	J		
30.					Buy (add'l)	05/03/17	J		
31.					Buy (add'l)	06/01/17	J		
32.					Sold (part)	06/07/17	J		
33.					Sold (part)	06/26/17	J		
34.					Buy (add'l)	07/03/17	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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35.					Buy (add'l)	08/03/17	J		
36.					Sold (part)	08/09/17	J		
37.					Buy (add'l)	09/01/17	J		
38.					Sold (part)	09/08/17	J		
39.					Buy (add'l)	10/03/17	J		
40.					Sold (part)	10/11/17	J		
41.					Buy (add'l)	11/03/17	J		
42.					Buy (add'l)	12/01/17	J		
43.									
44. JP Morgan Chase (Louisiana) Checking Account	A	Interest	J	T					
45.									
46. TransAmerica / Diversified Investment Advisors WGM 401K Plan									
47. -- Vanguard S&P 500 Institutional Index	A	Dividend	K	T					
48. -- TRowePrice Large Cap Growth	A	Dividend	L	T	Open	04/01/17	L		
49. -- Dodge & Cox Large Cap Value Fund	A	Dividend	K	T					
50. -- Vanguard Explorer Small Cap Value Fund	A	Dividend	L	T	Open	04/01/17	L		
51. -- Vanguard REIT Index	A	Dividend	J	T	Open	04/01/17	J		

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52. -- Vanguard Total Intern. Stock Index	A	Dividend	J	T	Open	04/01/17	J		
53. -- American Funds EuroPacific International Fund	A	Dividend	J	T					
54. -- Allianz Technology Fund	A	Dividend	J	T					
55. -- TRowePrice Personal Strat. Grwth	A	Dividend	J	T	Open	04/01/17	J		
56.									
57. Weil, Gotshal & Manges Partner Pension Plan		None	K	T					
58.									
59. CF Schneider Family LP-- Limited partner interest		None	J	U					
60.									
61. Mineral Interest #1 -- Thomas Duty Survey, Texas	D	Royalty	J	W					
62. Mineral Interest #2 -- Bee County, Texas JD Wright Grant	A	Royalty	J	W					
63. Mineral Interest #3 -- Bee County, Texas Mary M. Wright Gr.	A	Royalty	J	W					
64.									
65. T. Rowe Price Medditeranean	A	Dividend			Closed	08/09/17	J		
66. Vanguard GNMA Fund	A	Dividend			Closed	07/10/17	J		
67. Vanguard S&P 500 Index Fund	A	Dividend			Closed	07/10/17	J		
68. Vanguard Small-Cap Index Fund	A	Dividend			Closed	07/10/17	J		

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69. JP Morgan Chase (Louisiana) Savings Account	A	Interest			Closed	07/03/17	J		
70.									
71. TransAmerica / Diversified Investment Advisors WGM 401K Plan									
72. -- Vanguard Money Market	A	Dividend			Closed	04/01/17	J		
73. -- Artisan Small Cap Growth Fund	A	Dividend			Closed	04/01/17	K		
74. -- Longleaf Partners International Value Concentration Fund	A	Dividend			Closed	04/01/17	J		
75. -- Goldman Sachs Real Estate Institutional Fund	A	Dividend			Closed	04/01/17	J		
76. -- Alliance Bernstein Large Cap Growth Fund		None			Closed	04/01/17	L		
77. -- FPA Capital Small Cap Value Fund		None			Closed	04/01/17	K		
78.									
79. Northwestern Mutual Whole Life Policy	A	Dividend			Redeemed	12/01/17	J		
80.									
81.									
82.									
83.									
84.									
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103.									

1. Income Gain Codes: (See Columns B1 and D4)	A ~\$1,000 or less F ~\$50,001 - \$100,000	B ~\$1,001 - \$2,500 G ~\$100,001 - \$1,000,000	C ~\$2,501 - \$5,000 H1 ~\$1,000,001 - \$5,000,000	D ~\$5,001 - \$15,000 H2 ~More than \$5,000,000	E ~\$15,001 - \$50,000
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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Part VII Lines 71-76: These entries are individual fund holdings in a 401k sponsored my former law firm and managed by TransAmerica/Diversified Investment Advisors. TransAmerica/Diversified closed these fund offerings in 2017 and rolled the money over to new funds that were opened to replace the closed funds. The new funds that were opened are listed on Part VII lines 47, 49, 50, 51, and 54.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **Robert R. Summerhays**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544