

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2018

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Thome, Deborah L.	2. Court or Organization Bankruptcy Court for the Northern District of Illinois	3. Date of Report 05/10/2019
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) Bankruptcy Judge	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2018 to 12/31/2018
7. Chambers or Office Address 219 South Dearborn Street Room 668 Chicago, IL 60604		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

	<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1.	Member Board of Directors	American Bankruptcy Institute
2.	Board and Executive Committee Member and Member of Governance Committee	Women Employed
3.	Board of Trustees	Am Yisrael Congregation of the Northshore
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

	<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.		
2.		
3.		

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☒ **NONE** *(No reportable non-investment income.)*

DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☐ **NONE** *(No reportable non-investment income.)*

DATE	SOURCE AND TYPE
1. 2018	State of Illinois - Wages (Circuit Court of Cook County Judge)
2. 2018	Cook County - Wages (Circuit Court of Cook County Judge)
3.	
4.	

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☐ **NONE** *(No reportable reimbursements.)*

SOURCE	DATES	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
1. American Bankruptcy Institute (ABI)	3/2/18 - 3/6/18	New York, NY	26th Annual Duberstein Moot Court Competition and Executive Committee Meeting	Transportation, Lodging, Food
2. American College of Bankruptcy (ACB)	3/15/18 - 3/18/18	Washington, DC	Annual Induction Ceremony and Events	Transportation, Lodging
3. ABI	4/18/18 - 4/20/18	Washington, DC	Annual Spring Meeting	Transportation, Lodging, Food
4. ABI	6/8/18	Lake Geneva, WI	Central States Conference	Transportation, Food
5. NCBJ	10/27/18 - 10/31/18	San Antonio, TX	92nd Annual Conference	Transportation, Lodging

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6.	ABI	12/5/18/- 12/8/18	Scottsdale, AZ	Winter Leadership Conference	Transporation, Lodging, Food
7.					
8.					

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.	Signature Bank	Mortgage on Investment Property [REDACTED] LLC	O
2.			
3.			
4.			
5.			

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

1. Israel Bonds	A	Interest	J	T					
2. [REDACTED] LLC, Cook County, Illinois	E	Rent	N	W					
3. Focused Retail Prop LLC (JAE interest)	A	Distribution	L	W					
4. DLT IRA R/O									
5. Washington Mut. Invs. Fund Inc. (f/k/a American WA Mutual F2)		None			Sold	10/22/18	K	D	
6. Pimco Equity Ser		None			Sold	10/22/18	K	A	
7. Harding Loevner Emerg. Mkts	A	Dividend			Sold	10/22/18	K	A	
8. Touchstone Sands Cap Sel Gr		None			Sold	10/22/18	L	E	
9. Blackrock Global Allocation FD CL K (MKLOX)		None			Sold	10/22/18	K	A	
10. First Trust ETF/Dow Jones Internet Inde Fund		None			Sold	10/22/18	M	E	
11. IShares U.S. Preferred Stock ETF		None			Sold	02/23/18	L		
12. IShares Tips Bond ETF		None			Sold	10/22/18	L		
13. IShares MSCI Europe Financial	B	Dividend			Sold	10/22/18	K		
14. Invesco QQQ TR	A	Dividend			Sold	10/22/18	M	E	
15. Nuveen Floating Rate Income Opportunity FD		None			Sold	10/22/18	L		
16. Nuveen Invt TR V (PFD SECS & INCM FD) (NPSEFX)	D	Dividend			Sold	02/22/18	L		
17. Powershares QQQ Trust Series 1		None			Sold	06/04/18	L		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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18. Select Sector SPDR TRUST/The Financial Select Sector SPDR Fund	B	Dividend			Sold	10/22/18	M	D	
19. SPDR S&P Regional Banking ETF (KRE)	A	Dividend			Sold	10/22/18	K		
20. SPDR S&P 500 ETF Trust	C	Dividend			Sold	10/22/18	M	E	
21. Vanguard World Funds	A	Dividend			Sold	10/22/18	K	D	
22. Vanguard Index Funds/Vanguard Growth ETF	B	Dividend			Sold	10/22/18	L	E	
23. Vanguard Sector Index FDS/Vanguard REIT ETF		None			Sold	10/22/18	L		
24. Vanguard Index Funds/Vanguard Mid-Cap Value ETF	B	Dividend			Sold	10/22/18	L	D	
25. Vanguard Index Funds/Vanguard High Dividend Yield ETF	B	Dividend			Sold	10/22/18	L	D	
26. Vanguard Intl Equity Ind. Fund	C	Dividend			Sold	10/22/18	M	B	
27. Sterling Bank Poplar Bluff Mo.CD		None			Sold	06/04/18	K		
28. Bank of India New York		None			Buy	02/23/18	L		
29.					Sold	10/22/18	L		
30. JAE IRA R/O									
31. AB Large Cap Growth Fund (APGYX)	D	Distribution	L	T					
32.	A	Dividend							
33. ALPS ETF TR ALERIAN MLP (AMLF)	D	Dividend	M	T					
34.					Buy	05/31/18	K		

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35.					Buy	08/20/18	K		
36. Boulder Growth & Income (BIF)	B	Dividend	L	T					
37. First Trust Morningstar Dividend Leaders Index Fund (FDL)	B	Dividend			Sold	10/22/18	M	G	
38. First Trust Preferred Securities and Income ETF (FPE)	D	Dividend	L	T					
39. Fundamental Invs (FUNFX)	A	Dividend	J	T					
40.	A	Distribution							
41. Invesco QQQ TR (QQQ)	A	Dividend	L	T					
42.					Sold (part)	06/04/18	L		
43. Janus Invt. FD (JVTIX)	A	Distribution	J	T					
44.	A	Dividend							
45. iShares MSCI EAFE ETF (EUFN)		None			Sold	05/31/18	L	C	
46. ISHARES TIPS Bond ETF (TIP)	B	Dividend	L	T					
47. Nuveen Floating Rate Income Opportunity Fund (JRO)	D	Dividend	L	T					
48. PIMCO Equity Ser (PMHIX)	C	Distribution	L	T					
49.	C	Dividend							
50. Select Sector SPDR Trust - The Healthcare Select Sector (XLV)	B	Dividend	M	T					
51. SPDR Dow Jones INDL Average ETF TR Unit Ser. 1 (DIA)	C	Dividend	M	T					

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52. SPDR S&P 500 ETF Trust (SPY)	C	Dividend	M	T					
53. Vanguard Index Funds (VYM)	B	Dividend	K	T	Buy	08/20/18	K		
54. Vanguard Sector Index Funds REIT ETF (VNQ)	B	Dividend	L	T					
55. Vanguard Index Funds Mid-cap Value ETF (VOE)	C	Dividend	M	T					
56. Vanguard Intl Equity Index FD ETF (VGK)	C	Dividend	L	T					
57. Vanguard Shortterm Corp. Bond ETF (VCSH)		None			Sold	02/23/18	L		
58. Vanguard Sector Index Funds -- Energy ETF (VDE)	B	Dividend	L	T					
59. JPMorgan Deposit Account		None	N	T					
60. EXELON Generation Company LLC	B	Dividend	K	T					
61. Walgreens Boots Alliance Inc. SR Unsecured	A	Dividend	K	T					
62. Fundamental Inv. CLF3 (FUNFX)		None	J	T					
63. Bank India New York, NY (CD)	B	Dividend			Sold	02/21/18	L		
64. Sterling Bank Poplar Bluff, Missouri (CD)		None			Sold	06/04/18	L		
65. OTHER									
66. Northwestern Mutual Extra Ordinary Life (214)	C	Dividend	M	T					
67. Northwestern Mutual Extra Ordinary Life (246)	D	Dividend	N	T					
68. Northwestern Ord Life- Former EOL (829)	C	Dividend	M	T					

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69. Northwestern Whole Life	C	Dividend	M	T					
70. Signature Bank (Private Stock)		None	L	U					
71. Millennium Trust Company, LLC FBO Deborah Thorne IRA									
72. -- Focus Real Estate Investment		None	L	U					
73. Inherited IRA (WAT/DLT)									
74. Vanguard Value ETF (VTV)	A	Dividend	J	T					
75. iShares Barclays Aggregate Bond Fund (AGG)		None	J	T					
76. Vanguard Total Stock Market ETF (VTI)	A	Dividend	J	T					
77. Vanguard Mid-Cap Value ETF (VOE)	A	Dividend	J	T					
78. Vanguard Total International Bond ETF (BNDX)	A	Dividend	J	T					
79. Vanguard SH.T.Inf.P.S.I ETF (VTIP)		None	J	T					
80. Vanguard Small-Cap. Value (VBR)		None	J	T					
81. VANGUARD TAX-MA/FTSE DEVELOPED (VEA)	A	Dividend	J	T					
82. Vanguard Emerging Markets ETF (VWO)	A	Dividend	J	T					
83. iShares Emerging Markets USD Bond ETF (EMB)	A	Dividend	J	T					
84. iShares US Total Bond Market ETF (BND)	A	Dividend	J	T					
85.									

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Part VII, Line 2: Real property owned by LLC is located in Chicago, Illinois

Part VII, Line 19 (2017 Report) shows a dividend for IShares IBOXS Investment/Grade Corporate Bond ETF. This was sold on 10/16/2017 and only the dividend was received in 2017. The sale should have been reflected in 2017 Report.

Part VII, Line 63 (2018 Report) shows a sale of CD from Bank India New York NY. This was purchased on 02/14/2017 but was inadvertently not listed. It was sold on 02/21/2018.

Part VII, Line 64 (2018 Report) shows a sale of CD from Sterling Bank, Poplar Bluff, Missouri. This was purchase on 06/20/2017 and sold on 06/04/2018. It was inadvertently omitted on the 2017 report.

Part VII, Line 41 This fund appears to have changed its name between June 2018 and December 31, 2018 from Powershares to Invesco QQQ TR.

Part VII, Line 62 was reported last year as sold in part on June 12, 2017. This is incorrect. It was exchanged for Fudamental CFX3 and at the end of 12/31/2017 was valued at \$9300.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ Deborah L. Thorne

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544