

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2018

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Wheeler, Thomas C.	2. Court or Organization U.S. Court of Federal Claims	3. Date of Report 07/25/2019
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) Active	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2018 to 12/31/2018
7. Chambers or Office Address U.S. Court of Federal Claims 717 Madison Place, N.W. Washington, DC 20439		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☒ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1.		
2.		
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☐ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1. 2006	DLA Piper Retirement Trust Plan with former law firm, no control.
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☐ NONE *(No reportable non-investment income.)*

DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1. 2018	DLA Piper Law Firm Retirement Plan.	\$7,588.56
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☒ NONE *(No reportable non-investment income.)*

DATE	SOURCE AND TYPE
1.	
2.	
3.	
4.	

IV. REIMBURSEMENTS *— transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☒ NONE *(No reportable reimbursements.)*

SOURCE	DATES	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
1.				
2.				
3.				
4.				
5.				

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*

☒ NONE *(No reportable gifts.)*

SOURCE	DESCRIPTION	VALUE
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*

☒ NONE *(No reportable liabilities.)*

CREDITOR	DESCRIPTION	VALUE CODE
1.		
2.		
3.		
4.		
5.		

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "X" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. SIT Tax Exempt Bond Mutual Fund	B	Dividend	K	T					
2. Bank of America (cash)	A	Interest	K	T					
3. Potomac Cliffs at Watson House Real Estate Partnership		None	K	R					
4. Hartford Life Second-to-Die Whole Life Insurance Policy		None	M	T					
5. Commonwealth Annuity Whole Life Policy		None	K	T					
6. Brokerage Account #1 (H)									
7. Altria Group Common Stock (MO)	A	Dividend	K	T					
8. Daimler AG Common Stock (DDAIF)	A	Dividend	K	T					
9. Davis New York Venture A (NYVTX)	D	Dividend	K	T					
10. Brokerage Account #2 (H)									
11. Citigroup Common Stock (C)	A	Dividend	J	T					
12. Clearbridge All Cap Value A (SHFVX)	B	Dividend	K	T					
13. Brokerage Account #3 (H)									
14. Morgan Stanley Bank NA (cash)	A	Interest	J	T					
15. 3M Common Stock (MMM)	C	Dividend	M	T					
16. Alphabet, Inc. Common Stock (GOOG)		None	M	T					
17. Altria Group Common Stock (MO)	B	Dividend			Sold	06/25/18	L	E	

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

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18. American Express Common Stock (AXP)	B	Dividend	L	T					
19. Apple Common Stock (AAPL)	A	Dividend	K	T					
20. Bank of America Common Stock (BAC)	B	Dividend	L	T					
21. Blackstone Group LP (BX)	A	Dividend	J	T	Buy	09/21/18	K		
22. Bristol Myers Squibb Common Stock (BMV)	B	Dividend	L	T					
23. Cisco Systems Common Stock (CSCO)	C	Dividend	L	T					
24. Comcast Common Stock (CMCSA)	C	Dividend	M	T	Buy (add'l)	04/26/18	K		
25. Emerson Electric Common Stock (EMR)	B	Dividend	K	T					
26. General Electric Common Stock (GE)	A	Dividend			Sold	06/25/18	K		
27. Halliburton Common Stock (HAL)	B	Dividend	K	T					
28. Home Depot Common Stock (HD)	C	Dividend	M	T					
29. Hospitality Properties Trust Common Stock (HPT)	C	Dividend	K	T					
30. J.P. Morgan Chase Common Stock (JPM)	C	Dividend	M	T					
31. Marriott Int'l Common Stock (MAR)	B	Dividend	L	T					
32. Microsoft Common Stock (MSFT)	B	Dividend	M	T					
33. Mondelez Int'l Inc (MDLZ)	A	Dividend	K	T					
34. Newell Brands Inc. (NWL)	A	Dividend	J	T	Sold (part)	06/25/18	K		

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2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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35. Pepsico Common Stock (PEP)	C	Dividend	L	T					
36. Pfizer Inc. (PFE)	C	Dividend	L	T					
37. Philip Morris Int'l Common Stock (PM)	B	Dividend	K	T					
38. Southwest Airlines Common Stock (LUV)	A	Dividend	K	T					
39. TE Connectivity Ltd. New (TEL)	A	Dividend	K	T					
40. Verizon Common Stock (VZ)	C	Dividend	L	T					
41. Viacom Inc New (VIAB)	B	Dividend	K	T					
42. Walmart Common Stock (WMT)	B	Dividend	L	T					
43. Walt Disney Common Stock (DIS)	B	Dividend	M	T	Buy (add'l)	01/25/18	J		
44. Williams Partners Ltd. (WPZ)	C	Dividend			Sold	08/13/18	L		
45. Williams Co Inc (WMB)	B	Dividend	L	T	Buy	08/13/18	L		
46. Apollo Investment Common Stock (AINV)	B	Dividend	J	T					
47. Cohen & Steers Infrastructure Fund (UTF)	C	Dividend	K	T					
48. DBX ETF TR Xtrack MSCI Europe Fund (DBEU)	A	Dividend	K	T					
49. Eaton Vance LTD Duration Income Fund (EVV)	B	Dividend	K	T					
50. First Tr MLP&ENRG Fund (FEI)	B	Dividend			Sold	12/03/18	K		
51. Kayne Anderson Energy Return Fund (KYE)	B	Dividend			Sold	08/07/18	K		

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52. Kayne and Midstream/Engy Fd (KMF)	A	Dividend	K	T	Buy	08/07/18	K		
53. New Germany Fund (GF)	D	Dividend	L	T					
54. Financial Sel Sect SPDR Fund (XLF)	A	Dividend	K	T	Buy (add'l)	12/03/18	J		
55. ACAP Strategic Interval (XCAPX)	B	Dividend	L	T					
56. Skybridge Mul-Ad Ser G (CAI01)	B	Dividend	L	T					
57. Brokerage Account #4 (H)									
58. Morgan Stanley Bank NA (cash)	A	Interest	J	T					
59. Adobe Systems Common Stock (ADBE)		None	J	T	Sold (part)	06/15/18	J	A	
60. AES Corp. Common Stock (AES)	A	Dividend	J	T	Sold (part)	03/15/18	J		
61.					Buy (add'l)	04/16/18	J		
62. Akamai Technologies Common Stock (AKAM)		None	J	T	Sold (part)	05/01/18	J	A	
63. Alaska Air Group Common Stock (ALK)	A	Dividend	J	T					
64. Alexion Pharm Inc. (ALXN)		None	J	T	Buy (add'l)	05/02/18	J		
65.					Buy (add'l)	05/03/18	J		
66.					Sold (part)	06/18/18	J		
67.					Buy (add'l)	07/19/18	J		
68.					Sold (part)	09/14/18	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
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69.					Buy (add'l)	10/15/18	J		
70. Alibaba Group Hldg Ltd (BABA)		None			Buy	08/24/18	J		
71.					Sold	12/11/18	J		
72. Allergan Common Stock (AGN)	A	Dividend	J	T	Sold (part)	06/18/18	J		
73.					Buy (add'l)	07/19/18	J		
74.					Sold (part)	12/11/18	J		
75. Allison Transmn Hldgs Inc (ALSN)	A	Dividend	J	T					
76. Alphabet Inc Cl A Common Stock (GOOGL)		None	J	T					
77. Alphabet Inc Cl C Common Stock (GOOG)		None	J	T					
78. AMC Networks Common Stock (AMCX)		None	J	T					
79. American Intl Gp Inc (AIG)	A	Dividend			Buy	02/06/18	J		
80.					Buy (add'l)	02/07/18	J		
81.					Buy (add'l)	03/09/18	J		
82.					Sold (part)	06/18/18	J		
83.					Buy (add'l)	07/19/18	J		
84.					Sold (part)	09/14/18	J		
85.					Buy (add'l)	10/15/18	J		

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2. Value Codes (See Columns C1 and D3)					
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value				

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86.					Buy (add'l)	11/14/18	J		
87.					Sold	12/11/18	J		
88. American Express Common Stock (AXP)	A	Dividend	J	T					
89. American Homes 4 Rent Common Stock (AMH)	A	Dividend	J	T	Sold (part)	03/15/18	J		
90. Ameriprise Common Stock (AMP)		None			Sold (part)	02/06/18	J	A	
91.					Sold (part)	02/07/18	J	A	
92.					Sold	02/08/18	J	A	
93. Anadarko Petroleum Common Stock (APC)	A	Dividend	J	T	Sold (part)	03/15/18	J		
94. Anheuser Busch InBev SA Spon. Common Stock (BUD)	A	Dividend	J	T	Buy (add'l)	10/15/18	J		
95.					Sold (part)	12/11/18	J		
96. Apache Corp (APA)	A	Dividend			Buy	01/03/18	J		
97.					Buy (add'l)	01/08/18	J		
98.					Sold	03/15/18	J		
99.					Buy	04/16/18	J		
100.					Sold	12/11/18	J		
101. Apple, Inc. Common Stock (AAPL)	A	Dividend	J	T					
102. Applied Materials, Inc. Common Stock (AMAT)		None			Sold (part)	01/10/18	J	A	

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103.					Sold	01/11/18	J	B	
104. Autodesk Inc Delaware (ADSK)		None	J	T					
105. Autozone Inc. (AZO)		None	J	T					
106. Bank of the Ozarks Inc. (OZRK)	A	Dividend			Sold (part)	09/14/18	J		
107.					Buy (add'l)	10/15/18	J		
108.					Sold	12/11/18	J		
109. Biogen Idec Inc. Common Stock (BIB)		None	K	T	Buy (add'l)	05/02/18	J		
110. Biomarin Pharm SE (BMRN)		None	J	T					
111. Blackrock, Inc. Common Stock (BLK)	A	Dividend	J	T					
112. Bristol Myers Squibb Common Stock (BMY)	A	Dividend	J	T	Sold (part)	06/18/18	J		
113.					Buy (add'l)	07/19/18	J		
114.					Sold (part)	12/11/18	J		
115. Broadcom Corp. Common Stock (AVGO)	A	Dividend	J	T					
116. Caterpillar Inc (CAT)	A	Dividend			Sold	12/11/18	J		
117. CBS Corp New Cl B Shares (CBS)	A	Dividend	J	T					
118. Celgene Corp. Common Stock (CELG)		None	J	T					
119. Charles Schwab New (SCHW)	A	Dividend	J	T					

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Wheeler, Thomas C.

Date of Report

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
120. Chipotle Common Stock (CMG)		None	J	T	Sold (part)	03/15/18	J		
121.					Buy (add'l)	04/16/18	J		
122. Cisco Systems Inc. Common Stock (CSCO)	A	Dividend	J	T					
123. CitiGroup Common Stock (C)	A	Dividend	J	T	Sold (part)	11/14/18	J	A	
124. Citrix Systems, Inc. Common Stock (CTXS)	A	Dividend	J	T					
125. CNX Resource Corp. (CNX)		None			Sold	08/02/18	J		
126. Coca Cola Inc. Common Stock (KO)	A	Dividend	J	T					
127. Comcast Corp. CL A Special New Common Stock (CMCSA)	A	Dividend	J	T	Buy (add'l)	04/30/18	J		
128. Costco Wholesale Corp New (COST)	A	Dividend	J	T					
129. Cree Research Inc. Common Stock (CREE)		None	J	T	Sold (part)	11/08/18	J	A	
130. Dentsply Sirona Inc (XRAY)		None			Sold	06/18/18	J		
131.					Buy	07/19/18	J		
132.					Sold	09/13/18	J		
133. Devon Energy Corp (DVN)	A	Dividend			Buy	01/03/18	J		
134.					Buy (add'l)	01/08/18	J		
135.					Sold	03/15/18	J		
136.					Buy	04/16/18	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
137.					Sold	12/11/18	J		
138. Discover Financial Services Common Stock (DFS)	A	Dividend	J	T					
139. Discovery Inc (formerly Discovery Comm. Serv. Common Stock) (DISCA)		None	J	T	Sold (part)	03/15/18	J		
140.					Buy (add'l)	04/16/18	J		
141. Dolby CL A Common Stock (DLB)	A	Dividend	J	T					
142. DowDuPont Inc. Common Stock (DWDP)	A	Dividend	J	T	Sold (part)	12/11/18	J		
143. EBay Inc. Common Stock (EBAY)		None			Sold (part)	05/08/18	J	A	
144.					Sold	06/04/18	J	A	
145. Ecolab Common Stock (ECL)	A	Dividend	J	T					
146. Encana Corp (ECA)	A	Dividend			Buy	01/12/18	J		
147.					Sold (part)	06/18/18	J		
148.					Buy (add'l)	07/19/18	J		
149.					Sold (part)	09/14/18	J		
150.					Buy (add'l)	10/15/18	J		
151.					Buy (add'l)	11/14/18	J		
152.					Sold	12/11/18	J		
153. Equinix Inc (EQIX)	A	Dividend	J	T	Buy	02/23/18	J		

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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
154. Exelon Corp. Common Stock (EXC)	A	Dividend	J	T					
155. Facebook Common Stock (FB)		None	J	T					
156. Fairfax Financial Hldg Sub Vtg (FRFHF)	A	Dividend	J	T	Sold (part)	03/15/18	J		
157.					Buy (add'l)	04/16/18	J		
158.					Sold (part)	12/11/18	J		
159. First American Finl Corp (FAF)	A	Dividend			Sold	12/11/18	J		
160. Fluor Corp. New Common Stock (FLR)	A	Dividend			Sold (part)	06/18/18	J		
161.					Buy (add'l)	07/19/18	J		
162.					Sold	12/11/18	J		
163. Foot Locker Inc (FL)	A	Dividend	J	T	Sold (part)	09/14/18	J		
164.					Buy (add'l)	10/15/18	J		
165. Freeport McMoran Common Stock (FCX)	A	Dividend	J	T	Sold (part)	03/15/18	J		
166. GATX Corp. Common Stock (GATX)	A	Dividend	J	T					
167. Gentex Common Stock (GNTX)	A	Dividend	J	T					
168. Halliburton Co. Common Stock (HAL)	A	Dividend			Sold	12/11/18	J		
169. Hanesbrand Inc (HBI)	A	Dividend			Sold	09/14/18	J		
170.					Buy	10/15/18	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
171.					Sold	12/11/18	J		
172. Home Depot Inc. Common Stock (HD)	A	Dividend	J	T	Sold (part)	03/13/18	J	A	
173. Honeywell Intl. Inc. Common Stock (HON)	A	Dividend	J	T					
174. IHS Markit Ltd (INFO)		None	J	T					
175. Immunogen Common Stock (IMGN)		None			Sold	10/03/18	J	A	
176. Invesco Ltd. Common Stock (IVZ)	A	Dividend			Sold	06/18/18	J		
177.					Buy	07/19/18	J		
178.					Sold	09/14/18	J		
179.					Buy	10/15/18	J		
180.					Sold	12/11/18	J		
181. Ionis Pharmaceuticals Common Stock (IONS)		None	J	T					
182. Johnson & Johnson Common Stock (JNJ)	A	Dividend	J	T	Buy (add'l)	02/09/18	J		
183. Johnson Ctls Intl PLC (JCI)	A	Dividend	J	T	Buy (add'l)	01/25/18	J		
184.					Sold (part)	03/15/18	J		
185.					Buy (add'l)	04/16/18	J		
186. Keycorp New Common Stock (KEY)	A	Dividend	J	T	Sold (part)	12/11/18	J		
187. Kinder Morgan Inc. (KMI)	A	Dividend			Sold (part)	06/18/18	J		

1. Income Gain Codes: (See Columns B1 and D4)	A ~\$1,000 or less F ~\$50,001 - \$100,000	B ~\$1,001 - \$2,500 G ~\$100,001 - \$1,000,000	C ~\$2,501 - \$5,000 H1 ~\$1,000,001 - \$5,000,000	D ~\$5,001 - \$15,000 H2 ~More than \$5,000,000	E ~\$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J ~\$15,000 or less N ~\$250,001 - \$500,000 P3 ~\$25,000,001 - \$50,000,000	K ~\$15,001 - \$50,000 O ~\$500,001 - \$1,000,000	L ~\$50,001 - \$100,000 P1 ~\$1,000,001 - \$5,000,000 P4 ~More than \$5,000,000	M ~\$100,001 - \$250,000 P2 ~\$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
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188.					Buy (add'l)	07/19/18	J		
189.					Sold	12/11/18	J		
190. KLA Tencor Corp. Common Stock (KLAC)	A	Dividend			Sold	09/12/18	J		
191. Kroger Co. (KR)	A	Dividend			Sold (part)	03/15/18	J		
192.					Buy (add'l)	04/16/18	J		
193.					Sold (part)	09/12/18	J	A	
194.					Sold	09/13/18	J	A	
195. L3 Technologies, Inc. (LLL)	A	Dividend	J	T					
196. Qurate Retail Inc (QRTEA) formerly Liberty Interactive Corp (QVCA)		None	J	T					
197. Liberty Media C Ser C Sirius XM Common Stock (LSXMK)		None	J	T					
198. Linde Plc (LIN)	A	Dividend	J	T					
199. McCormick and Co. Non Voting (MCK)	A	Dividend	J	T					
200. Medtronic PLC Common Stock (MDT)	A	Dividend	J	T					
201. Merck & Co. Inc. New Common Stock (MRK)	A	Dividend	J	T	Sold (part)	03/15/18	J		
202.					Buy (add'l)	04/16/18	J		
203. Metlife Common Stock (MET)	A	Dividend	J	T					
204. MGIC Investment Corp. Common Stock (MTG)		None	J	T					

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

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205. Microsoft Corp. Common Stock (MSFT)	A	Dividend	J	T	Sold (part)	01/10/18	J	A	
206.					Sold (part)	01/11/18	J	A	
207. Murphy USA Common Stock (MUSA)		None	J	T					
208. Mylan Common Stock (MYL)		None			Sold	12/11/18	J		
209. National Oilwell Varco Inc (NOV)	A	Dividend			Buy	01/03/18	J		
210.					Sold	12/11/18	J		
211. Netapp Inc Com (NTAP)		None	J	T	Buy	11/19/18	J		
212. Now Inc (DNOV)		None	J	T	Sold (part)	03/15/18	J		
213.					Buy (add'l)	04/16/18	J		
214. Nuance Comm. Common Stock (NUAN)		None	J	T	Sold (part)	06/18/18	J		
215.					Buy (add'l)	07/19/18	J		
216. Nucor Corp. Common Stock (NUE)	A	Dividend	J	T					
217. Nutanix Inc (NTNX)		None	J	T					
218. Nvidia Corporation (NVDA)	A	Dividend	J	T					
219. OneMain Holdings Common Stock (OMF)		None	J	T					
220. Oracle Common Stock (ORCL)	A	Dividend	J	T	Buy (add'l)	03/20/18	J		
221.					Sold (part)	06/18/18	J		

1. Income Gain Codes:	A = \$1,000 or less (See Columns B1 and D4)	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes	J = \$15,000 or less (See Columns C1 and D3)	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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222.					Buy (add'l)	07/19/18	J		
223. Owens Corning Inc (OC)	A	Dividend	J	T	Buy	01/09/18	J		
224.					Sold	03/15/18	J		
225.					Buy	04/16/18	J		
226.					Sold (part)	06/18/18	J		
227.					Buy (add'l)	07/19/18	J		
228.					Sold	09/14/18	J		
229.					Buy	10/15/18	J		
230. Palo Alto Networks Inc (PANW)		None	J	T	Sold (part)	06/15/18	J	A	
231. PayPal Holdings Common Stock (PYPL)		None	J	T					
232. Pebblebrook Hotel Tr. Com. Common Stock (PEB)	A	Dividend	J	T					
233. Pentair Ltd. Common Stock (PNR)	A	Dividend	J	T					
234. Pioneer Natural Resources Co. (PXD)	A	Dividend	J	T	Sold (part)	01/23/18	J		
235.					Sold (part)	09/14/18	J		
236.					Sold (part)	12/11/18	J		
237. Praxair Inc. (PX)		None			Merged (with line 198)	10/22/18	J		
238. Qualcomm Inc (QCOM)	A	Dividend	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
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239. Realogy Holdings Common Stock (RLGY)	A	Dividend	J	T	Buy (add'l)	01/25/18	J		
240.					Sold (part)	03/15/18	J		
241.					Buy (add'l)	04/16/18	J		
242.					Sold (part)	06/18/18	J		
243.					Buy (add'l)	07/19/18	J		
244.					Sold	09/14/18	J		
245.					Buy	10/15/18	J		
246. Red Hat Common Stock (RHT)		None	J	T	Sold (part)	03/06/18	J	A	
247. Regeneron Pharm Common Stock (REGN)		None	J	T	Sold (part)	06/18/18	J		
248. Regions Finance Corp. Common Stock (RF)	A	Dividend			Sold (part)	04/19/18	J	A	
249.					Sold (part)	04/20/18	J	A	
250.					Sold (part)	04/23/18	J	A	
251.					Sold	04/24/18	J	A	
252. Sanderson Farms (SAFM)		None	J	T	Buy	10/17/18	J		
253. Schlumberger Ltd. Common Stock (SLB)	A	Dividend			Sold (part)	03/15/18	J		
254.					Buy (add'l)	04/16/18	J		
255.					Sold (part)	09/14/18	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

256.					Buy (add'l)	10/15/18	J		
257.					Sold	12/11/18	J		
258. Seagate Technology PLC Common Stock (STX)	A	Dividend	J	T					
259. Service Corp. Intl. Common Stock (SCI)	A	Dividend	J	T					
260. Signet Jewelers Ltd. (SIG)	A	Dividend			Sold	03/15/18	J		
261. Splunk Inc (SPLK)		None	J	T					
262. Sprout Farmers Market Inc (SFM)		None	J	T					
263. Stanley Black & Decker Common Stock (SWK)	A	Dividend	J	T					
264. Stericycle Inc. (SRCL)		None			Sold	03/15/18	J		
265.					Buy	04/16/18	J		
266.					Sold	04/30/18	J	A	
267. Suncorp Energy Inc (formerly Suncorp Common Stock) (SU)	A	Dividend	J	T					
268. Synchrony Fin. Common Stock (SYF)	A	Dividend	J	T	Buy (add'l)	07/19/18	J		
269.					Buy (add'l)	11/19/18	J		
270. TE Connectivity Ltd. New (TEL)	A	Dividend	J	T					
271. Teradyne Common Stock (TER)		None			Sold (part)	01/16/18	J	B	
272.					Sold	01/17/18	J	B	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "X" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

273. Texas Instruments Common Stock (TXN)	A	Dividend	J	T					
274. Thermo Fisher Scientific Inc. Common Stock (TMO)	A	Dividend	J	T					
275. Transdigm Group Inc. (TDG)		None			Sold (part)	11/15/18	J	A	
276.					Sold	11/20/18	J	A	
277. Twitter Common Stock (TWTR)		None	J	T					
278. US Bancorp Com (USB)	A	Dividend	J	T	Buy	04/18/18	J		
279.					Buy (add'l)	04/19/18	J		
280.					Buy (add'l)	04/20/18	J		
281.					Buy (add'l)	04/23/18	J		
282. Unilever PLC (New) ADS Common Stock (UL)	A	Dividend	J	T					
283. United Parcel Service Inc. CL B Common Stock (UPS)	A	Dividend	J	T					
284. Unitedhealth Group Inc (UNH)	A	Dividend	K	T	Sold (part)	11/26/18	J		
285. Verizon Communications (VZ)	A	Dividend	J	T	Buy	08/30/18	J		
286.					Buy (add'l)	08/31/18	J		
287.					Buy (add'l)	09/04/18	J		
288.					Buy (add'l)	09/05/18	J		
289. Vertex Pharmaceuticals Common Stock (VRTX)		None	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
290. Visa Inc. CL A Common Stock (V)	A	Dividend	J	T	Sold (part)	11/16/18	J		
291. VMWare Common Stock (VMW)	A	Dividend	J	T					
292. Voya Finl Inc (VOYA)	A	Dividend	J	T					
293. W.W. Grainger Common Stock (GWW)	A	Dividend	J	T					
294. Walt Disney Co. Holding Common Stock (DIS)	A	Dividend	J	T					
295. Weatherford International (WFT)		None			Buy	01/03/18	J		
296.					Buy (add'l)	01/08/18	J		
297.					Sold	03/15/18	J		
298.					Buy	04/16/18	J		
299.					Sold	09/14/18	J		
300.					Buy	10/15/18	J		
301.					Sold	12/11/18	J		
302. Wells Fargo Common Stock (WFC)	A	Dividend	J	T	Buy (add'l)	04/16/18	J		
303.					Sold (part)	12/11/18	J		
304. Western Digital Common Stock (WDC)	A	Dividend	J	T	Sold (part)	09/14/18	J		
305.					Buy (add'l)	10/15/18	J		
306.					Sold (part)	12/11/18	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
307. Weyerhaeuser Co. Common Stock (WY)	A	Dividend	J	T	Sold (part)	12/11/18	J		
308. XL Group Ltd. (XL)		None	J	T	Sold (part)	03/09/18	J	A	
309.					Sold	01/12/18	J	A	
310. Yum China Holdings Common Stock (YUMC)	A	Dividend	J	T					
311. Zoetis Common Stock	A	Dividend	J	T					
312. iShares Core S&P US Growth (IUSG)	A	Dividend	J	T	Sold	01/08/18	J	A	
313.					Buy	03/15/18	J		
314.					Sold	04/16/18	J		
315.					Buy	06/18/18	J		
316.					Sold	07/19/18	J	A	
317.					Buy	09/14/18	J		
318.					Sold	10/15/18	J		
319.					Buy	12/11/18	J		
320. iShares Core S&P US Value (IUSV)	A	Dividend	L	T	Sold	01/08/18	J	A	
321.					Buy	03/15/18	K		
322.					Sold	04/16/18	K		
323.					Buy	06/18/18	K		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
324.					Sold	07/19/18	K	A	
325.					Buy	09/14/18	K		
326.					Sold	10/15/18	K		
327.					Buy	12/11/18	L		
328. Ishares Russell 1000 Grw ETF (IWF)	A	Dividend	J	T	Buy	03/15/18	J		
329.					Sold	04/16/18	J		
330.					Buy	06/18/18	J		
331.					Sold	07/19/18	J	A	
332.					Buy	09/14/18	J		
333.					Sold	10/15/18	J		
334.					Buy	12/11/18	J		
335. Brokerage Account #5 (H)									
336. Davis New York Venture Mutual Fund (NYVCX)	G	Dividend	O	T					
337. Brokerage Account #6 (H)									
338. UBS Bank USA Bus Acct (Cash)	A	Interest	J	T					
339. Dominion Resources Common Stock (D)	C	Dividend	L	T					
340. Exxon Mobile Common Stock (XOM)	C	Dividend	L	T					

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341. Pepsico Common Stock (PEP)	A	Dividend	K	T					
342. Walmart Common Stock (WMT)	B	Dividend	L	T					
343. MFS Maryland Municipal Bond Fund (MFSMX)	B	Interest	L	T					
344. Brokerage Account #7 (H)									
345. UBS Bank USA (cash)	A	Interest	K	T					
346. First Trust Financial Alphadex Fund ETF (FXO)	B	Dividend	L	T					
347. iShares US Technology ETF (IYW)	A	Dividend	L	T	Sold (part)	01/17/18	K	D	
348. iShares US Healthcare ETF (IYH)	A	Dividend	L	T	Sold (part)	01/17/18	J	B	
349. iShares US Consumer Goods ETF (IYK)	B	Dividend	L	T	Buy (add'l)	01/17/18	J		
350. iShares US Real Estate ETF (IYR)	A	Dividend	K	T	Buy (add'l)	01/17/18	J		
351. iShares US Consumer Services ETF (IYC)	A	Dividend	L	T	Sold (part)	01/17/18	J	B	
352. iShares US Energy ETF (IYE)	B	Dividend	K	T	Buy (add'l)	01/17/18	J		
353. Powershares Active Mng (formerly Guggenheim Ultra Short Dur) (GSY)	B	Interest			Buy (add'l)	01/17/18	K		
354.					Sold	05/22/18	M	A	
355. iShares Floating Rate Bond ETF (FLOT)	B	Dividend	M	T	Buy	05/22/18	M		
356. iShares Core Total USD Bond Mkt ETF (IUSB)	C	Dividend			Buy	01/17/18	M		
357.					Sold	12/06/18	M		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
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358. SPDR Portfolio Aggregate Bond ETF (SPAB)		None			Sold	01/17/18	L		
359. SPDR Portfolio Short Term Corp Bond ETF (SPSB)		None			Sold	01/17/18	L		
360. Vanguard Short-term Corporate Bond ETF (VCSH)	C	Dividend	M	T	Buy	01/17/18	M		
361. Vanguard Inter Term Bond ETF DE VSP (BIV)		None			Sold	01/17/18	L		
362. Vanguard Total Bond Mkt ETF (BND)	A	Dividend	M	T	Buy	12/06/18	M		
363. Brokerage Account #8 (H)									
364. UBS Bank USA Dep Acct (Cash)	A	Interest	J	T					
365. Blackrock Munivest Fund II (MVT)	B	Dividend	K	T					
366. Blackrock Munivest Fund (MVF)	B	Dividend	K	T					
367. Nuveen Select Tax Free Income Port 3 (NXR)	B	Dividend	K	T					
368. Dreyfus AMT-Free Mun Bond Fd Class A (DMUAX)	B	Dividend	L	T					
369. Brokerage Account #9 (H)									
370. UBS Bank USA (cash)	A	Interest	J	T					
371. First Trust Financial Alphadex Fund ETF (FXO)	B	Dividend	K	T	Sold (part)	06/25/18	J	A	
372. iShares US Technology ETF (IYW)	A	Dividend	L	T	Sold (part)	01/17/18	J		
373.					Sold (part)	06/25/18	J	D	
374. iShares US Healthcare ETF (IYH)	A	Dividend	L	T	Sold (part)	01/17/18	J	A	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
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375.					Sold (part)	06/25/18	J		
376. iShares US Consumer Goods ETF (IYK)	B	Dividend	L	T	Buy (add'l)	01/17/18	J		
377.					Buy (add'l)	06/25/18	J		
378. iShares US Real Estate ETF (IYR)	A	Dividend	K	T	Buy (add'l)	01/17/18	J		
379.					Sold (part)	06/25/18	J	A	
380. iShares US Consumer Services ETF (IYC)	A	Dividend	L	T	Sold (part)	01/17/18	J	B	
381.					Sold (part)	06/25/18	J	D	
382. iShares US Energy ETF (IYE)	B	Dividend	K	T	Buy (add'l)	01/17/18	J		
383. Powershares Active Mng (formerly Guggenheim Ultra Short) (GSY)	A	Interest			Buy (add'l)	01/17/18	K		
384.					Sold	05/22/18	M	A	
385. iShares Floating Rate Bond ETF (FLOT)	B	Dividend	L	T	Buy	05/22/18	M		
386.					Sold (part)	06/25/18	J		
387. iShares Core Total USD Bond Mkt ETF DE (IUSB)	C	Dividend			Buy	01/17/18	M		
388.					Sold	12/16/18	L		
389. SPDR Portfolio Aggregate Bond ETF (SPAB)		None			Sold	01/17/18	L		
390. SPDR Portfolio Short Term Corp Bond ETF (SPSB)		None			Sold	01/17/18	L		
391. Vanguard Inter Term Bond ETF (BIV)		None			Sold	01/17/18	L		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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Name of Person Reporting

Wheeler, Thomas C.

Date of Report

07/25/2019

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
392. Vanguard Short-term Corporate Bond ETF (VCSH)	C	Dividend	L	T	Buy	01/17/18	M		
393.					Sold (part)	06/25/18	J		
394. Vanguard Total Bond Mkt ETF (BND)	A	Dividend	L	T	Buy	12/06/18	L		
395. Brokerage Account #10 (H)									
396. Morgan Stanley Bank NA (cash)	A	Interest	J	T					
397. Baker Hughes A GE (BHGE)		None	K	T	Buy	12/04/18	K		
398. Bank of America Corp. (BAC)	A	Dividend	K	T					
399. Bristol Myers Squibb Common Stock (BMY)	B	Dividend	L	T					
400. Ford Motor Co. Common Stock (F)		None			Sold	01/25/18	K		
401. Halliburton Co. (HAL)	A	Dividend			Sold	12/03/18	K		
402. CBRE Clarion Global Real Estate Fund (IGR)	C	Dividend	K	T					
403. Cohen & Steers CEF Opp Fd. Inc. (FOF)	A	Dividend	J	T					
404. Kayne and Midstream/Engy FD (KMF)	A	Dividend	K	T	Buy	12/03/18	K		
405. First Tr MLP & Enrg Inc Fnd. (FEI)	C	Dividend			Sold	12/03/18	K		
406. ACAP Strategic Interval (XCAPX)	C	Dividend	L	T					
407. Hartford Municipal Opportunity (HHMCX)	A	Dividend	K	T					
408. Principal Investment Fund (PRFCX)	B	Dividend	K	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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Name of Person Reporting

Wheeler, Thomas C.

Date of Report

07/25/2019

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
409. Brokerage Account #11 (H)									
410. Bank of America Common Stock (BAC)	B	Dividend	L	T					
411. Exxon Mobile Common Stock (XOM)	B	Dividend	K	T					
412. U.S. Steel Common Stock (X)	A	Dividend	J	T					
413. Brokerage Account #12 (H)									
414. iShares Barclays 1-3 Yr Trs Bd (SHY)	A	Dividend	J	T					
415. iShares Core U.S. Aggregate Fd (AGG)	A	Dividend	J	T					
416. iShares MSCI Japan ETF (EWJ)	A	Dividend	J	T					
417. iShares TIPS Bond ETF (TIP)	A	Dividend	J	T					
418. PIMCO Enhanced Shrt Mtrt Exc (MINT)	A	Dividend	J	T	Buy	07/27/18	J		
419. Alger Small Cap Growth Inst. (AISZX)	A	Dividend			Sold (part)	01/17/18	J		
420.					Sold	07/27/18	J	A	
421. Artisan Midcap Value Inv. (APDQX)	A	Dividend	J	T	Sold (part)	01/17/18	J		
422. Blackrock Equity Dividend I (MADVX)	B	Dividend	K	T	Sold (part)	06/25/18	J	A	
423.					Buy (add'l)	07/27/18	J		
424.					Buy (add'l)	11/29/18	J		
425. Columbia Select Large Cap Growth (UMLGX)	B	Dividend	J	T	Sold (part)	01/17/18	J	A	

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

FINANCIAL DISCLOSURE REPORT

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Name of Person Reporting

Wheeler, Thomas C.

Date of Report

07/25/2019

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
426.					Sold (part)	06/25/18	J	A	
427.					Sold (part)	08/27/18	J	B	
428. Oppenheimer Int'l Growth (OIGYX)	A	Dividend	K	T	Buy (add'l)	01/17/18	J		
429.					Sold (part)	06/25/18	J	A	
430.					Buy (add'l)	11/29/18	J		
431. Prudential Jennison MD Cap. (PEGZX)	A	Dividend	J	T	Sold (part)	01/17/18	J		
432. Royce Premier Inv. (RYPRX)	A	Dividend			Sold (part)	01/17/18	J		
433.					Sold	07/27/18	J	A	
434. Wells Fargo Emerg. Mkts. (EMGNX)	A	Dividend	J	T					
435. Brokerage Account #13 (H)									
436. iShares Barclays 1-3 Yr TSY Bd (SHY)	A	Dividend	J	T					
437. iShares Core U.S. Aggregate Fd (AGG)	A	Dividend	K	T	Buy (add'l)	01/12/18	J		
438.					Sold (part)	06/25/18	J		
439. iShares MSCI Japan ETF (EWJ)	A	Dividend	J	T	Buy (add'l)	01/12/18	J		
440. iShares TIPS Bond ETF (TIP)	A	Dividend	J	T					
441. PIMCO Enhanced Shrt Mtrt Exc (MINT)	A	Dividend	J	T	Buy	07/27/18	J		
442. Alger Small Cap Growth Inst. (AISZX)	A	Dividend	J	T	Sold (part)	01/12/18	J	A	

1. Income Gain Codes:	A = \$1,000 or less (See Columns B1 and D4)	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes	F = \$50,001 - \$100,000 (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000
3. Value Method Codes	Q = Appraisal (See Column C2)	R = Cost (Real Estate Only) U = Book Value	V = Other	S = Assessment W = Estimated	T = Cash Market

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code I (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
443.					Sold (part)	06/25/18	J	A	
444.					Sold (part)	07/27/18	J	B	
445. Artisan Midcap Value Inv. (APDQX)	B	Dividend	J	T	Sold (part)	01/12/18	J		
446. Blackrock Equity Dividend (MADVX)	D	Dividend	L	T	Sold (part)	01/12/18	J	A	
447.					Sold (part)	06/25/18	J	A	
448.					Buy (add'l)	07/27/18	K		
449.					Buy (add'l)	11/29/18	J		
450. Columbia Select Large Cap Growth (UMLGX)	D	Dividend	K	T	Sold (part)	01/12/18	J	B	
451.					Sold (part)	06/25/18	J	A	
452.					Sold (part)	07/27/18	K	C	
453.					Buy (add'l)	12/11/18	J		
454. Oppenheimer Int'l Growth (OIGYX)	B	Dividend	L	T	Buy (add'l)	01/12/18	K		
455.					Sold (part)	06/25/18	J	B	
456.					Buy (add'l)	07/27/18	J		
457.					Buy (add'l)	11/29/18	J		
458. Prudential Jennison MD Cap. (PEGZX)	C	Dividend	J	T	Sold (part)	01/12/18	J		
459.					Buy (add'l)	12/14/18	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

460. Royce Premier Inv. (RYPRX)	A	Dividend	J	T	Sold (part)	01/12/18	J		
461.					Sold (part)	07/27/18	J	A	
462. Virtus Insight Emerg. Mkts. (HIEMX)	A	Dividend	K	T	Buy (add'l)	06/19/18	J		
463.					Sold (part)	06/25/18	J	A	
464. Wells Fargo Emerg. Mkts. (EMGNX)	A	Dividend	K	T	Sold (part)	06/25/18	J	A	
465. Brokerage Account #14 (H)									
466. Morgan Stanley Bank NA (cash)	A	Interest	K	T					
467. Alcoa Corp. Common Stock (AA)	A	Dividend			Sold	04/09/18	J	D	
468. Arconic Inc. Common Stock (ARNC)	A	Dividend			Sold	04/09/18	K		
469. Blackstone Group Common Stock (BX)	D	Dividend	L	T					
470. Carnival CP New Paired Com (CCL)	A	Dividend	K	T	Buy	07/11/18	K		
471. Celgene Common Stock (CELG)		None	L	T					
472. CVS Caremark Common Stock (CVS)	C	Dividend	L	T					
473. Enterprise Products Partners LP (EPD)	D	Dividend	M	T					
474. General Electric Common Stock (GE)	A	Dividend			Sold	01/25/18	J		
475. General Motors Common Stock (GM)	B	Dividend	K	T					
476. Merck Common Stock (MRK)	B	Dividend	L	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes: (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes: (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

477. Murphy Oil Common Stock (MUR)	A	Dividend	J	T					
478. Murphy USA Inc. Com. (MUSA)		None	J	T					
479. Starbuck's Corp. Common Stock (SBUX)	B	Dividend	L	T					
480. United Technologies Corp (UTX)	A	Dividend	L	T	Buy	07/11/18	L		
481. United Health Group Common Stock (UNH)	B	Dividend	M	T					
482. WPX Energy Common Stock (WPX)		None			Sold	04/26/18	L	E	
483. Calamos Strg Title Return Fd (CXQ)	C	Dividend			Sold	06/26/18	L		
484. DBX ETF TR Xtrack MSCI Europe Fund (DBEU)	A	Dividend	K	T					
485. First Tr MLP & Eng Fund (FEI)	E	Dividend	M	T					
486. iShares NASDAQ Biotech ETF (IBB)	A	Dividend	L	T					
487. Hartford Floating Rate BD A (HFLAX)	B	Dividend	L	T					
488. Putnam Equity Income C (PEQCX)	C	Dividend	L	T					
489. Western Asset Int. Term Muni C (SMLLX)	B	Dividend	L	T					
490. Western Asset Short Duration Muni Income C (SHDLX)	A	Dividend	L	T					
491. NATIONWIDE LIFE DESTINATION NAVIGATOR VARIABLE ANNUITY (H)									
492. NW NVIT SP 500 INDEX II		None	L	T					
493. BLACKROCK GLOBAL ALLOCATION V.I. III		None	L	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

494. FIDVIP EQ INC SVC 2		None	L	T					
495. NW NVIT CARDINAL AFFR II		None	L	T					
496. Brokerage Account #15 (H)									
497. Morgan Stanley Bank NA (cash)	A	Interest	J	T					
498. IShares Core S&P US Value (IUSV)	A	Dividend	K	T	Buy	12/11/18	K		
499. IShares Inc. MSCI Japan ETF (EWJ)	A	Dividend	J	T					
500. IShares Russell 1000 GRW ETF (IWF)	A	Dividend	J	T	Buy	12/12/18	J		
501. IShares Russell 1000 Value ETF (IWD)	A	Dividend	J	T	Buy	12/11/18	J		
502. IShares Russell 2000 Value ETF (IWN)	A	Dividend	J	T	Buy	12/11/18	J		
503. IShares Russell Midcap G ETF (IWP)	A	Dividend	J	T	Buy	12/11/18	J		
504. IShares JP Morgan EM Bond ETF (EMB)	A	Dividend			Sold	04/06/18	J		
505. PIMCO Enhanced Short Mtrt (MINT)	B	Dividend	L	T	Buy (add'l)	04/06/18	J		
506.					Buy (add'l)	06/28/18	J		
507. SPDR BBG Barclays High Yield Bond (JNK)	A	Dividend	J	T	Buy	12/11/18	J		
508. SPDR Nuveen BBG Short-Term (SHM)	A	Dividend	L	T	Buy (add'l)	03/01/18	J		
509.					Buy (add'l)	04/06/18	J		
510.					Buy (add'l)	06/28/18	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

511. Vanguard FTSE Developed Mkts E (VEA)	A	Distribution	J	T	Buy	12/11/18	J		
512.					Buy (add'l)	12/12/18	J		
513.					Buy (add'l)	12/20/18	J		
514. Vanguard FTSE Emerging Markets (VWO)	A	Dividend	J	T	Buy	12/12/18	J		
515. Alger Small Cap Growth Inst. (AISZX)		None	J	T	Sold (part)	04/06/18	J	A	
516. American Century Intl. Bond (AIBHX)	A	Dividend	J	T	Buy (add'l)	06/28/18	J		
517. American Europacific Growth F1 (AEPFX)	C	Dividend	K	T	Sold (part)	04/06/18	J	A	
518.					Sold (part)	12/11/18	J		
519. American Growth Fund of America F1 (GFFFX)	B	Dividend	K	T	Sold (part)	04/06/18	J	A	
520. Baron Small Cap Retail (BSFIX)	A	Dividend	J	T	Sold (part)	04/06/18	J		
521. Columbia Select Large Cap Growth Fd (UMLGX)	C	Dividend	J	T	Sold (part)	04/06/18	J	A	
522.					Buy (add'l)	06/28/18	J		
523.					Sold (part)	12/11/18	J		
524. Davis New York Venture Y (DNVYX)	C	Dividend	J	T	Buy (add'l)	06/28/18	J		
525.					Sold (part)	12/11/18	J		
526. Federated Strategy Val Div Inst Fd (SVAIX)	B	Dividend	J	T	Buy (add'l)	02/08/18	J		
527.					Buy (add'l)	04/06/18	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
528.					Buy (add'l)	06/28/18	J		
529.					Sold (part)	12/11/18	K		
530. Franklin Fed Intm Trm Txfr Adv (FITZX)	B	Dividend	L	T	Buy (add'l)	03/01/18	J		
531.					Buy (add'l)	04/06/18	J		
532.					Buy (add'l)	06/28/18	J		
533. Goldman Sachs Growth Opp. 1 (GGOIX)	A	Dividend	J	T	Sold (part)	04/06/18	J		
534. Invesco American Value Y (MSAIX)	A	Dividend	J	T					
535. JP Morgan Emerging Markets Sel (JEMSX)	A	Dividend	J	T	Sold (part)	04/06/18	J	A	
536.					Buy (add'l)	06/28/18	J		
537. JP Morgan Mid Cap Value S (JMV SX)	A	Dividend	J	T					
538. Lazard Emerging Markets I (LZEMX)	A	Dividend	J	T	Buy (add'l)	06/28/18	J		
539.					Sold (part)	12/11/18	J		
540. Nationwide Geneva Mdc p Gw F (NWHYX)	A	Dividend			Sold (part)	04/06/18	J		
541.					Sold	12/11/18	J		
542. Oppenheimer International BD Y (OIBYX)	A	Dividend	J	T	Buy	06/27/18	J		
543. Oppenheimer Intl Growth Y (OIGYX)	A	Dividend	K	T	Sold (part)	04/06/18	J	A	
544.					Buy (add'l)	06/28/18	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
545.					Sold (part)	12/11/18	J		
546. Royce Micro-cap Inv. (RYOTX)		None			Sold	01/03/18	J		
547. Royce Total Return Inv. (RYTRX)	A	Dividend	J	T	Sold (part)	12/11/18	J		
548. T Rowe Price High Yield (PRHYX)	A	Dividend	J	T	Sold (part)	12/11/18	J		
549. Templeton Int'l Bond Fund (FIBZX)	A	Dividend			Sold	06/27/18	J		
550. Wells Fargo High Income Inv. (EKHIX)	A	Dividend	J	T	Sold (part)	12/11/18	J		
551. Western Ast Int Term Muni (SBTYX)	B	Dividend	L	T	Buy (add'l)	03/01/18	J		
552.					Buy (add'l)	04/06/18	J		
553.					Buy (add'l)	06/28/18	J		
554. Brokerage Account #16 (H)									
555. Dish Network Corp Class A (DISH)		None	K	T	Buy	01/25/18	K		
556. Cohen & Steers CEF Opp Fd. Inc. (FOF)	C	Dividend	K	T					
557. Goldman Sachs Step-Up Callable Note (38148TNJ4)	A	Interest	K	T					
558. Skybridge Multi-Advisor Hedge Fund (CAI01)		None	L	T					
559. DELAWARE LIFE SUNLIFE MASTERS FLEX VARIABLE ANNUITY (H)									
560. FIDELITY VIP CONTRAFUND-SERV		None	K	T					
561. MFS VIT I RESEARCH SERIES SERV		None	K	T					

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2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
562. FRANKLIN MUTUAL SHARES VIP FUND		None	K	T					
563. FIRST EAGLE OVERSEAS VARIABLE		None	K	T					
564. PIMCO VIT TOTAL RETURN BOND PO		None	J	T					
565. NATIONWIDE LIFE BOA ACHIEVER L SHARE VARIABLE ANNUITY (H)									
566. NEUBER AMT SHORT DURATION BOND I		None	K	T					
567. MFS VIT VALUE SER SVC		None	J	T					
568. NW NVIT VW FD II		None	J	T					
569. OPP MN ST VA SVC		None	J	T					
570. FIDVIP INVMT GRD BD SVC 2		None	K	T					
571. NW NVIT GOV'T BOND I		None	K	T					
572. PIMCO VIT LOW DURATION ADV		None	J	T					
573. NW NVIT MULTI MANAGER MIDCAP GROWTH II		None	J	T					
574. MULTI MANAGER MIDCAP VALUE II		None	J	T					
575. NW NVIT GOV MNY MKT I		None	J	T					
576. NW NVIT GOV MULTI MANAGER INT'L GROWTH II		None	J	T					
577. NW NVIT GOV MULTI MANAGER LARGE CAP GROWTH II		None	J	T					
578. NW NEUBER NVIT SOC RESP II		None	J	T					

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2. Value Codes: (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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579. NW TMP NVIT INTL VAL I		None	J	T					
580. NW NVIT COR BD II		None	K	T					
581. Brokerage Account #17 (H)									
582. Abbvie Common Stock (ABBV)	A	Dividend	J	T					
583. Align Technology Common Stock (ALGN)		None	J	T					
584. Alphabet Inc Cl A Common Stock (GOOGL)		None	J	T					
585. Alphabet Inc Cl C Common Stock (GOOG)		None	J	T					
586. Amazon Com. Inc. (AMZN)		None	J	T					
587. Amgen Inc (AMGN)	A	Dividend	J	T					
588. Apple Inc. Common Stock (AAPL)	A	Dividend	J	T					
589. AT&T Inc. Common Stock (T)	A	Dividend			Sold	10/19/18	J	B	
590. Booking Holdings (BKNG) former Priceline Com. Inc. Common Stock (PCLN)		None	J	T	Sold (part)	04/26/18	J	B	
591. Celgene Corp (CELG)		None			Sold	04/06/18	J		
592. Centene Corp (CNC)		None	J	T	Buy	10/19/18	J		
593. Cincinnati Financial. Corp. Common Stock (CINF)	A	Dividend	J	T					
594. Citigroup Common Stock (C)	A	Dividend	J	T					
595. CME Group Common Stock (CME)	A	Dividend	J	T					

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	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
596. CVS Caremark Common Stock (CVS)	A	Dividend			Sold	04/06/18	J	A	
597. EOG Resources Common Stock (EOG)	A	Dividend	J	T					
598. Ecolab Inc. Common Stock (ECL)	A	Dividend	J	T					
599. Edwards Lifesciences Corp (EW)		None	J	T					
600. Electronic Arts Common Stock (EA)		None	J	T	Sold (part)	04/06/18	J	A	
601. Emerson Electric Co. Common Stock (EMR)	A	Dividend	J	T					
602. Exxon Mobile Corp. Common Stock (XOM)	A	Dividend	J	T					
603. Facebook Common Stock (FB)		None	J	T					
604. Fidelity National Information Services, Inc. (FIS)	A	Dividend	J	T					
605. Glenmeade Small Cap Equity Port Adv. (GTC SX)	B	Dividend	J	T	Buy (add'l)	12/17/18	J		
606. Intuit Common Stock (INTU)	A	Dividend	J	T					
607. JP Morgan Chase Co. Common Stock (JPM)	A	Dividend	J	T	Sold (part)	10/19/18	J	B	
608. Johnson & Johnson Common Stock (JNJ)	A	Dividend	J	T					
609. Lincoln Nat'l Corp. Common Stock (LNC)	A	Dividend	J	T					
610. Lowe's Common Stock (LOW)	A	Dividend	J	T					
611. Mastercard (MA)	A	Dividend	J	T					
612. McDonald's Corp. Common Stock (MCD)	A	Dividend	J	T					

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613. Microsoft Common Stock (MSFT)	A	Dividend	J	T					
614. Mohawk Indus. Common Stock (MHK)		None	J	T					
615. Nextera Energy Inc. Common Stock (NEE)	A	Dividend	J	T					
616. PNC Financial Services Group Inc. (PNC)	A	Dividend	J	T					
617. Parsley Energy Inc (PE)		None	J	T	Buy	10/19/18	J		
618. Paypal Holdings Inc (PYPL)		None	J	T	Buy	10/19/18	J		
619. Philip Morris Intl. Common Stock (PM)	A	Dividend	J	T					
620. Pioneer Natural Resources Common Stock (PXD)	A	Dividend	J	T					
621. Praxair Inc. Common Stock (PX)		None			Merged (with line 637)	10/22/18	J		
622. Procter & Gamble Inc. Common Stock (PG)	A	Dividend	J	T					
623. Prudential Financial Inc. Common Stock (PRU)	A	Dividend	J	T					
624. Southwest Airlines Common Stock (LUV)	A	Dividend	J	T					
625. T. Rowe Price Mid Cap Growth Fund (RPMGX)	C	Dividend	K	T	Buy (add'l)	12/14/18	J		
626. Texas instruments Inc (TXN)	A	Dividend	J	T	Buy	04/06/18	J		
627. Thermo Fisher Scientific Inc. Common Stock (TMO)	A	Dividend	J	T					
628. United Health Group, Inc. Common Stock (UNH)	A	Dividend	J	T					
629. Visa Inc. CL A Common Stock (V)	A	Dividend	J	T					

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2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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630. Walt Disney Common Stock (DIS)	A	Dividend	J	T					
631. Wells Fargo Special Mid Cap Value (WFMIX)	A	Dividend	K	T					
632. 3M Co. Common Stock (MMM)	A	Dividend	J	T	Sold (part)	04/30/18	J	B	
633. Accenture PLC Cl A Common Stock (ACN)	A	Dividend	J	T					
634. Chubb Ltd. (CB)	A	Dividend	J	T					
635. Harding Loevner International Equity (HLMIS)	A	Dividend	K	T					
636. Ingersoll Rand PLC (IR)	A	Dividend	J	T					
637. Linde Plc (LIN)	A	Dividend	J	T					
638. Schlumberger Ltd. Common Stock (SLB)	A	Dividend			Sold	10/19/18	J	A	
639. Magna International (MGA)	A	Dividend	J	T	Sold (part)	04/26/18	J	A	
640. T. Rowe Prive International Value Equity Fund Int'l (TRIGX)	A	Dividend	K	T					
641. Baron Emerging Mkts. Fd. (BEXIX)	A	Dividend	J	T					
642. Causeway Emerging Mkts Fund (CEMIX)	A	Dividend	J	T					
643. AQR Long/Short Equity Fd (QLEIX)	A	Dividend	J	T	Sold (part)	11/13/18	J	A	
644. Robeco Boston Partners Long/Short Fd (BPIRX)	A	Dividend	J	T	Sold (part)	11/13/18	J	A	
645. Nuveen Inter. Dur. Mun. Fund (NUVBX)	B	Dividend	L	T	Buy (add'l)	04/05/18	J		
646.					Buy (add'l)	06/13/18	J		

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
647.					Buy (add'l)	11/13/18	J		
648. Principal Preferred Sec. Ins (PPSIX)	A	Dividend	J	T					
649. Federated Inst. High Yield Bond Fd (FIHBBX)	A	Dividend	J	T	Sold (part)	04/05/18	J		
650. Mainstay Floating Rate Fund (MXFIX)	A	Dividend	K	T	Buy (add'l)	04/05/18	J		
651.					Buy (add'l)	06/13/18	J		
652. iShares JP Morgan USD Emerg. Mkts. Bond ETF (EMB)	A	Dividend			Sold	06/13/18	J		
653. Loomis Sayles Strategic Alpha Fund Y (LASXY)	A	Dividend	K	T					
654. American Tower Common Stock (AMT)	A	Dividend	J	T					
655. Nuveen Real Estate Security Class I (FARCX)	A	Dividend	J	T					
656. Principal G I R E Sec Ins (POSIX)	A	Dividend	J	T					
657. Invesco Bal Risk Commodity Fd (BRCYX)	A	Dividend	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Part VII, line 3: Potomac Cliffs at Watson House Real Estate Partnership, purchased on 4/20/1987 at cost of \$26,150 (1/2-share).

Part VII, lines 75, 110, 116, 159, 163, 174, 212, 217, 218, 238, 338, 364, 587 & 639: These items became reportable during 2018; there are no corresponding reportable transactions.

Part VII, line 487: Formerly Hartford Floating Rate Fund (HFLCX). This was a corporate exchange; there is not a corresponding reportable transaction.

Part VII, Column B: Lines reflecting no income are so reported because none was attributed to those particular holdings during the reporting period, whether taxable, tax exempt, or tax deferred, or because the investments do not allocate income to individual holdings, but instead are credited only in unit value, per page 42 of the filing instructions.

Part VII, lines 92, 99, 117, 173, 212, 400, 401, and 446 (2017): These items are not reportable in 2018; there are no corresponding reportable transactions.

The ticker symbol for U.S. Steel is (X).

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **s/ Thomas C. Wheeler**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

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