

FINANCIAL DISCLOSURE REPORT INITIAL FILING

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) VERMAAT, MAARTEN	2. Court or Organization U. S. DISTRICT COURT, WESTERN DISTRICT OF MICHIGAN	3. Date of Report 10/31/2019
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U. S. MAGISTRATE JUDGE (full time)	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☒ Initial ☐ Annual ☐ Final 5b. ☒ Amended Report	6. Reporting Period 01/01/2018 to 04/07/2019
7. Chambers or Office Address U. S. District Court, Western District of Michigan 202 W Washington St., PO Box 698 Marquette, MI 49855		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☒ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1.		
2.		
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1.	
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2018	Trimedia Environmental & Engineering Services LLC
2. 2019	Trimedia Environmental & Engineering Services LLC
3.	
4.	

IV. REIMBURSEMENTS *— transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1. Exempt				
2.				
3.				
4.				
5.				

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*☐NONE *(No reportable gifts.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.	Exempt		
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*☒NONE *(No reportable liabilities.)*

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.			
2.			
3.			
4.			
5.			

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. mBank checking and money market accts	A	Interest	L	T	Exempt				
2. USAA Federal Savings Bank checking account	A	Interest	J	T	Exempt				
3. Michigan Education Savings Plan (MESP) Global Equity Index 529		None	K	T	Exempt				
4. MESP Principal Plus Interest 529		None	K	T	Exempt				
5. Vanguard Total Stock Market Index Fund Admiral Shs 529	A	Dividend	K	T	Exempt				
6. American Funds US Government Money Market Fund F2	A	Dividend	J	T	Exempt				
7. SA Oppenheimer Main Street Large Cap		None	J	T	Exempt				
8. SA JP Morgan Global Equities Portfolio		None	J	T	Exempt				
9. SA JP Morgan Equity-Income Portfolio		None	J	T	Exempt				
10. SA Dogs of Wall Street Portfolio		None	J	T	Exempt				
11. SA Federal Corporate Bond Portfolio		None	J	T	Exempt				
12. SA Pinebridge High Yield Bond Portfolio		None	J	T	Exempt				
13. SA Morgan Stanley Int'l Equities		None	J	T	Exempt				
14. SA Wellsap Aggressive Growth		None	J	T	Exempt				
15. SA AB Small & Mid Cap Value Portfolio		None	J	T	Exempt				
16. SA JP Morgan Emerging Markets Portfolio		None	J	T	Exempt				
17. SA Fidelity Institutional AM Real Estate		None	J	T	Exempt				

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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☐ NONE (No reportable income, assets, or transactions.)

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18. SA JP Morgan MFS Core Bond		None	J	T	Exempt				
19. iShares Core S&P Mid-Cap	A	Dividend			Exempt				
20. iShares Core S&P Small Cap	A	Dividend	J	T	Exempt				
21. iShares S&P 500	A	Dividend			Exempt				
22. iShares Russell 1000 Value	D	Dividend	K	T	Exempt				
23. iShares Russell 1000 Growth	E	Dividend	K	T	Exempt				
24. iShares Russell 2000	C	Dividend	J	T	Exempt				
25. iShares Core US Value	A	Dividend	K	T	Exempt				
26. iShares Core US Growth	B	Dividend	L	T	Exempt				
27. Fidelity Cash Fund	A	Dividend	J	T	Exempt				
28. Fidelity Government Cash Reserves	A	Dividend			Exempt				
29. Dreyfus Mid Cap Index		None			Exempt				
30. Vanguard Dividend Appreciation	D	Dividend	J	T	Exempt				
31. iShares iBoxx \$ Investment Grade Corporate Bond	A	Dividend	K	T	Exempt				
32. JP Morgan Emerging Markets Equity Select		None			Exempt				
33. iShares Select Dividend ETF	D	Dividend	K	T	Exempt				
34. Rydex S&P 500 Pure Growth H	B	Dividend	J	T	Exempt				

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2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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35.									
36. JPMorgan Small Cap Equity Fund Class I		None			Exempt				
37. Vanguard Value Index Fund ETF Shares		None			Exempt				
38. Russell Global Real Estate Secs S	A	Dividend			Exempt				
39. Franklin US Govt Secs Adv	A	Dividend			Exempt				
40. JP Morgan Large Cap Value Select	A	Dividend			Exempt				
41. Federated Strategic Value Dividend Instl	A	Dividend			Exempt				
42. JPMorgan Equity Income Fund Class I	A	Dividend			Exempt				
43. JP Morgan Equity Index Select	A	Dividend			Exempt				
44. MFS Value I	A	Dividend			Exempt				
45. Putnam Diversified Income Y	A	Dividend			Exempt				
46. Oppenheimer Senior Floating Rate Y	A	Dividend			Exempt				
47. Nuveen Preferred Securities & Income Fund Class I	A	Dividend			Exempt				
48. iShares Barclays Short Treasury Bond	A	Dividend	K	T	Exempt				
49. iShares 1-5 Year Corporate Bond	A	Dividend			Exempt				
50. iShares MBS ETF	A	Dividend	J	T	Exempt				
51. iShares 3-7 Year Treasury Bond	A	Dividend			Exempt				

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52. Alliance Bernstein High Income Adv	A	Dividend			Exempt				
53. Columbia High Yield Bond R5	A	Dividend			Exempt				
54. BlackRock Strategic Income Opportunities Instl	A	Dividend			Exempt				
55. iShares International REIT ETF	A	Dividend			Exempt				
56. iShares Barclays Intermediate Corporate Bond	A	Dividend			Exempt				
57. BlackRock Multi-Asset Income Instl	A	Dividend			Exempt				
58. Bb Cboe Sp500 iPath		None			Exempt				
59. SPDR Dow Jones Global Real Estate	A	Dividend			Exempt				
60. Russell US Small Cap Equity S		None			Exempt				
61. JP Morgan Strategic Income Opptys Select	A	Dividend			Exempt				
62. American Money Market F2	A	Dividend			Exempt				
63. JPMorgan Alerian MLP Index	A	Dividend			Exempt				
64. Federated International Leaders Instl		Dividend			Exempt				
65. Russell Global Infrastructure S	A	Dividend			Exempt				
66. Vanguard S&P 500 Growth	C	Dividend	J	T	Exempt				
67. Columbia Strategic Income R5	A	Dividend	J	T	Exempt				
68. iShares Core High Dividend	A	Dividend	J	T	Exempt				

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69. iShares MSCI USA Minimum Volatility	A	Dividend	J	T	Exempt				
70. iShares MSCI EAFE Minimum Volatility	A	Dividend			Exempt				
71. iShares Core MSCI Emerging Markets	B	Dividend	K	T	Exempt				
72. Virtus Intl Small Cap I		None			Exempt				
73. iShares Core MSCI EAFE ETF	B	Dividend	K	T	Exempt				
74. Alli-Bern Select US Long Short Adv	A	Dividend	J	T	Exempt				
75. Matthews Emerging Asia - Inv	A	Dividend			Exempt				
76. Columbia Mtg Opportunities R5	A	Dividend	J	T	Exempt				
77. iShares Core Dividend Growth	A	Dividend	J	T	Exempt				
78. Fidelity MSCI Real Estate Index ETF	A	Dividend			Exempt				
79. Fidelity Cash Fund**		None			Exempt				
80. AB Income Fund Advisor	A	Dividend	J	T	Exempt				
81. iShares Broad USD High Yield Corp Bd ETF	A	Dividend	J	T	Exempt				
82. AB FlexFee Large Cap Growth Advisor	A	Dividend	J	T	Exempt				
83. Vanguard Divident Appreciation ETF	A	Dividend	J	T	Exempt				
84. AB Cap Fund, Inc. - AB Select US Long/ Short Portfolio - Advisor	A	Dividend	J	T	Exempt				
85. iShares Short Treasury Bond ETF	A	Dividend	K	T	Exempt				

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86.									
87.									
88.									

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

AMENDMENT NOTES:

1. This is my second amended report.
2. I deleted the entry that was listed on line 35. Line 35 in my amended report submitted on 6/20/2019 identified an asset described as "performance asset." This line was included in error. "Performance asset" is the title of a filter in a database and is not a holding.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ MAARTEN VERMAAT

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544