

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2018

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Wehrman, J Gregory	2. Court or Organization US District Court, EDKY	3. Date of Report 05/14/2019
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) United States Magistrate Judge, full time	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2018 to 12/31/2018
7. Chambers or Office Address 118 West Lakeside Avenue Lakeside Park, KY 41017		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☒ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1.		
2.		
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1.	
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☐ NONE *(No reportable non-investment income.)*

DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1. 2018	Kentucky Retirement Systems	\$2,675.00
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☒ NONE *(No reportable non-investment income.)*

DATE	SOURCE AND TYPE
1.	
2.	
3.	
4.	

IV. REIMBURSEMENTS *— transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☒ NONE *(No reportable reimbursements.)*

SOURCE	DATES	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
1.				
2.				
3.				
4.				
5.				

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.		
2.		
3.		
4.		
5.		

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Huntington Bancshares, common stock	B	Dividend	M	T					
2. Fifth Third bank account	A	Interest	J	T					
3. Fifth Third bank account	A	Interest	J	T					
4. Caldwell Securities/Wedbus Morgan IRA									
5. - Wedbush Inc	A	Int./Div.	L	T					
6. - Nasdaq	B	Int./Div.			Sold	10/03/18	M	F	
7.									
8. - Barings Corp Investors (formerly Babson Capital 9-12	D	Int./Div.	L	T					
9. - Capital Southwest Corp	B	Int./Div.	L	T					
10. -CSW Industrials	A	Int./Div.	M	T					
11. -Bank of Utica	B	Int./Div.	K	T					
12. -Leadis Tech Inc.	A	Int./Div.	J	T					
13. -Sadlier Wililam H. Inc.	A	Int./Div.	K	T					
14. -First Opportunity Fund	A	Int./Div.	J	T					
15. -Boulder Growth & Income	A	Int./Div.	J	T					
16. -Nicholas Financial Inc.	A	Int./Div.	J	T					
17. - HMG Courtland	A	Int./Div.	J	T					

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
(See Column C2)	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
	U = Book Value	V = Other	W = Estimated		

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18. -Meritage Hosp.	A	Int./Div.	K	T					
19. -Anchor Bancorp	A	Int./Div.	K	T					
20. -Regency Affiliates	A	Int./Div.	J	T					
21. -Siem	A	Int./Div.	J	T					
22. -Cuisine Solutions					Buy	10/03/18	J		
23. -Cim Commercial Trust					Buy	10/04/18	J		
24. -Natural Alternatives Intl					Buy	11/12/18	K		
25. -IEH Corp					Buy	11/19/18	J		
26. Summit Hills CC, Edgewood, KY - membership stock		None	J	U					
27. Ky Federal Savings & Loan	A	Interest	J	T					
28. Ky Retirement Systems-retirement	C	Interest	J	T					
29. Heritage Bank- common stock	A	Dividend	J	T					
30. Perry Park,Ky-house/lot int cnty ass'd 90,500 1/98 100%		None	K	S					
31. Fidelity Investment IRA									
32. -Columbia Cash Reserves	A	Dividend	K	T					
33. -Procter & Gamble Co.	A	Dividend	K	T					
34. Fidelity Investment IRA									

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3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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35. -Abbott Labs-common stock	C	Dividend	M	T					
36. -Hospira, Inc-common stock	A	Dividend	J	T					
37. -Motorola-common stock	A	Dividend	K	T					
38. -Casadian Therapeutics (formerly Oncothyreon, Inc)	A	Dividend			Sold	03/13/18	J	A	
39. -Pfizer, Inc. common stock	D	Dividend	M	T					
40. -Monsanto Co. common stock	B	Dividend	L	T					
41. -Huntington Bancshares common stock	A	Dividend	L	T					
42. -Fifth Third Bancorp common stock	B	Dividend	L	T					
43. -US Bancorp common stock	C	Dividend	M	T					
44. -Piper Jaffey Co common stock	A	Dividend	J	T					
45. -P&G common stock	D	Dividend	M	T					
46. -Cash Reserves	A	Dividend	J	T					
47. -Abbvie Inc. common stock	D	Dividend	M	T					
48. Fifth Third Bank common stock	A	Dividend	J	T					
49. Perry Park lot assessed 100%-\$18,000		None	K	S					
50. CBA Pharma, Inc. common stock		None	J	T					
51. Allstate Insurance common stock	B	Dividend	L	T					

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52. US Bancorp common stock	C	Dividend	N	T					
53. Piper Jaffey Cos common stock	A	Dividend	J	T					
54. Conestoga Energy Partners									
55. -Fifth Third Bank	B	Dividend	L	T					
56. -US Bancorp	C	Dividend	N	T					
57. -Huntington Bancshares	B	Dividend	L	T					
58. -First Energy	A	Dividend	J	T					

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

I was also serving on recall from 3/1/2018 - 3/1/2019 in Middle District of Tennessee.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **s/ J Gregory Wehrman**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544