

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2018

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Williams, Mary Ellen C.	2. Court or Organization US Court of Federal Claims	3. Date of Report 09/24/2019
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) Senior Judge	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☒ Amended Report	6. Reporting Period 01/01/2018 to 12/31/2018
7. Chambers or Office Address 717 Madison Place, N.W. Suite 612 Washington, DC 20439		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. Member - Content Advisory Board	ABA Section of Intellectual Property Law
2. Council Member, Section Delegate	ABA Section of Public Contract Law
3. Member - Board of Visitors	Duke University School of Law
4. Member - Advisory Comm. to the Standing Comm. on Law & National Security	American Bar Association
5. Adjunct Professor	Johns Hopkins University

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.	
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1. 2018	Johns Hopkins University thesis review	\$400.00
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2018	Self-employed - Financial Advisor
2.	
3.	
4.	

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☐ NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1. Administrative Office of the US Courts	3/16/2018	Washington, DC	Federal Circuit Judicial Conference	Registration fee
2. ABA	3/17/2018	Annapolis, MD	ABA Federal Procurement Institute	Lodging/Transportation
3. New York Intellectual Property Law Association	3/23/2018-3/24/2018	New York, NY	NYIPLA Annual Judges' Dinner	Lodging/Transportation
4. Duke University School of Law	4/12/2018-4/14/2018	Durham, NC	Board of Visitors Meeting	Lodging/Transportation
5. ABA and Administrative Office of the US Courts	8/3/2018-8/5/2018	Chicago, IL	ABA Annual Meeting	Lodging/Transportation/Food

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6.	ABA	11/2/2018-11/3/2018	Nashville, TN	ABA Public Contract Law Fall Meeting	Lodging/Transportation
7.	Court of Federal Claims	11/14/2018	Washington, DC	Court of Federal Claims Judicial Conference	Registration fee
8.					
9.					

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*

☒ NONE *(No reportable gifts.)*

SOURCE	DESCRIPTION	VALUE
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*

☒ NONE *(No reportable liabilities.)*

CREDITOR	DESCRIPTION	VALUE CODE
1.		
2.		
3.		
4.		
5.		

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

1. AT&T Inc. (T) (X)	A	Dividend	J	T					
2. Abbott Lab Common Stock (ABVIE)	A	Dividend	J	T					
3. Abbott Laboratories (ABT)	A	Dividend	J	T					
4. Charter Communications Inc New CL A (CHTR)	A	Dividend	J	T					
5. Wells Fargo Checking	A	Interest	K	T					
6. Riversource Variable Universal Life Ins. (H)									
7. -Fixed Account		None	J	T					
8. -VP Aggressive Class 4		None	L	T					
9. Riversource Variable Universal Life Ins. (H)									
10. -Fixed Account		None	J	T					
11. -VP Mod Aggressive Class 4		None	M	T					
12. Wells Fargo (MM)	A	Interest	J	T					
13. Wells Fargo Checking	A	Interest	K	T					
14. Fidelity Adv. Level Co. Stock-A (FLSAX)	A	Dividend			Sold	04/11/18	K		
15. Fidelity Adv. Stock Sel. Cap Cl. A (FMAMX)	A	Dividend	L	T					
16. Fidelity Advisor New Insights Cl A (FNIAX) (X)	A	Dividend	K	T	Buy	04/11/18	K		
17. Nuveen Large Cap Value CL A (NNGAX)	A	Dividend	K	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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18. Ameriprise (AMP)	B	Dividend	K	T					
19. iShares Core S&P 500 ETF (IVV)	C	Dividend	M	T	Buy (add'l)	04/11/18	L		
20. iShares MBS ETF (MBB)	A	Dividend	K	T					
21. Vanguard Telecom Services (ETF) (VOX)	A	Dividend			Sold	04/11/18	L		
22. Vanguard High Div Yield ETF (VYM)	C	Dividend	M	T					
23. Vanguard Info Tech ETF (VGT)	B	Dividend	M	T					
24. Ameriprise MM	A	Interest	K	T					
25. Ameriprise Cash	A	Interest	J	T					
26. Fidelity Advisor Strategic Income CL 1 (FSRIX)	B	Dividend	K	T					
27. Pimco Income CL A (PONAX)	D	Dividend	M	T					
28. Abbvie Inc (ABBV)	B	Dividend	K	T					
29. Amgen, Inc (AMGN)	A	Dividend	K	T	Buy	04/04/17	K		
30. Altria Group Inc (MO)	A	Dividend			Sold	07/02/18	K		
31. Archer Daniels Midland Company (ADM)	A	Dividend			Sold	01/03/18	J		
32. Archer Daniels Midland Company (ADM)	A	Dividend			Sold (part)	01/03/18	J		
33. BCE Inc New (BCE)	B	Dividend	K	T					
34. Best Buy Company Inc. (BBY) (X)	A	Dividend	K	T	Buy	01/03/18	K		

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35. Metlife (MET)/Brighthouse Financial Inc (BHF)	A	Dividend	J	T	Sold (part)	04/02/18	K		
36. Broadcom Inc (AVGO) (X)	A	Dividend	K	T	Buy	07/02/18	K		
37. Carnival Corp Paired (CCL)	A	Dividend	K	T					
38. Cisco Systems (CSCO)	A	Dividend	K	T					
39. Clorox Company (CLX)	A	Dividend	K	T					
40. Cracker Barrel Old Ctry (CBRL)	A	Dividend	K	T					
41. Delta Airlines Inc New (DAL) (X)	A	Dividend	K	T	Buy	07/02/18	J		
42. Delta Airlines Inc New (DAL) (X)	A	Dividend	K	T	Buy (add'l)	07/02/18	J		
43. Dominion Res Inc VA New (D)	A	Dividend			Sold	04/02/18	K		
44. Home Depot Inc (HD)	A	Dividend	K	T					
45. Intel Corp (INTC)	A	Dividend	K	T					
46. Intl Business Machines Corp (IBM)	A	Dividend	K	T					
47. JPMorgan Chase & Company (JPM)	A	Dividend	K	T					
48. Johnson & Johnson (JNJ)	A	Dividend	K	T					
49. Maxim Integrated Prods (MXIM)	A	Dividend	K	T					
50. Merck & Company Inc New (MRK)	A	Dividend			Sold	01/03/18	K		
51. Microsoft (MSFT)	A	Dividend	K	T					

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52. Paychex Inc (PAYX)	A	Dividend			Sold	07/02/18	K		
53. Prudential Financial Inc (PRU) (X)	A	Dividend	J	T	Buy	01/03/18	J		
54. Prudential Financial Inc (PRU) (X)	A	Dividend	J	T	Buy (add'l)	01/03/18	J		
55. Public Storage (PSA)	A	Dividend	K	T					
56. Simon Property Group (SPG)	A	Dividend			Sold	04/02/18	K		
57. Southern Co (SO)	A	Dividend			Sold	01/03/18	K		
58. Suntrust Banks Inc (STI) (X)	A	Dividend	K	T	Buy	04/02/18	K		
59. Total S A Spon ADR (TOT) (X)	A	Dividend	K	T	Buy	04/02/18	K		
60. Union Pacific Corp (UNP) (X)	A	Dividend	K	T	Buy	04/02/18	K		
61. United Parcel Service Inc Cl B (UPS) (X)	A	Dividend	K	T	Buy	01/03/18	K		
62. Vanguard Telecom (VOX)	B	Dividend			Sold	04/11/18	K		
63. Verizon Communications (VZ)	A	Dividend			Sold	01/03/18	K		
64. Westrock Co (WRK) (X)	A	Dividend	J	T	Buy	01/03/18	K		
65. FT NYSE ARCA Biotech ETF (FBT)		None	L	T					
66. Invesco QQQ ETF (QQQ)	A	Dividend	L	T					
67. Hlthcr Sel Sect SPDR ETF (XLV)	A	None	J	T					
68. Vanguard High Dividend Yield ETF (VYM)	A	Dividend	K	T					

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69. Vanguard Info Tech ETF (VGT)	A	Dividend	K	T					
70. Williams Family Rev Trust									
71. - Cash Ameriprise MM	A	Interest	J	T					
72. - Eaton Vance Floating Rate CL A (EVBX)	A	Dividend	K	T					
73. - Eaton Vance Short Duration Govt Income CL A (EALDX)	A	Dividend	J	T					
74. - Eaton Vance Global Macro Absolute Return Advntg CL A (EGRAX)	A	Dividend	K	T					
75. - Federated High Income Bond CL A (FHIIX)	A	Dividend	J	T					
76. - T Rowe Price Maryland Tax Free Bond (MDXB)	D	Interest	M	T	Sold (part)	04/11/18	L		
77. - T Rowe Price Maryland Tax Free Bond (MDXB)	D	Interest	M	T	Sold (part)	08/22/18	J		
78. - T Rowe Price Maryland Tax Free Bond (MDXB)	D	Interest	M	T	Sold (part)	09/06/18	K		
79. - T Rowe Price Maryland Tax Free Bond (MDXB)	D	Interest	M	T	Sold (part)	12/28/18	L		
80. - Medtronic PLC (MDT)	A	Dividend	J	T					
81. - Alphabet Inc CL C (GOOG)	A	Dividend	J	T					
82. - Alphabet Inc CL A (GOOGL)	A	Dividend	J	T					
83. - Amazon.Com Inc (AMZN)	A	Dividend	K	T					
84. - Ameriprise Financial Inc (AMP)	A	Dividend	J	T					
85. - Apple Inc (AAPL)	A	Dividend	K	T					

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86. - Brighthouse Financial Inc (BHF) (Y)	A	Dividend	J	T					
87. - Celgene Corp (CELG)	A	Dividend	J	T					
88. - Cisco Systems Inc. (CSCO)	A	Dividend	J	T					
89. - Coca-Cola Company (KO)	A	Dividend	J	T					
90. - Colgate-Palmolive Company (CL)	A	Dividend	J	T					
91. - Comcast Corp CL A New (CMCSA)	A	Dividend	J	T					
92. - Conocophillips (COP)	A	Dividend	J	T					
93. - Walt Disney Co (DIS)	A	Dividend	J	T					
94. - Exxon Mobil Corp (XOM)	A	Dividend	J	T					
95. - Gilead Sciences Inc. (GILD)	A	Dividend	J	T					
96. - Intel Corp (INTC)	A	Dividend	J	T					
97. - Invesco QQQ ETF (QQQ) (X)	A	Dividend	K	T	Buy	09/06/18	L		
98. - iShares Core S&P 500 ETF (IVV)	C	Dividend	M	T	Buy	04/11/18	L		
99. - iShares Core S&P 500 ETF (IVV)	C	Dividend	M	T	Buy (add'l)	12/28/18	M		
100. - Johnson & Johnson (JNJ)	A	Dividend	J	T					
101. - Mastercard Inc CL A (MA)	A	Dividend	J	T					
102. - McDonalds Corp (MCD)	A	Dividend	J	T					

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103. - Merck & Company Inc New (MRK)	A	Dividend	J	T					
104. - Metlife Inc. (MET)	A	Dividend	J	T					
105. - Netflix Inc. (NFLX)	A	Dividend	J	T					
106. - Oracle Corp (ORCL)	A	Dividend	J	T					
107. - PNC Financial Services Group Inc. (PNC)	A	Dividend	J	T					
108. - Proctor & Gamble Company (PG)	A	Dividend	J	T					
109. - Sector Healthcare Select Sector SPDR ETF (XLV)	A	Dividend	K	T					
110. - Sector Energy Select Sector SPDR ETF (XLE)	A	Dividend	J	T					
111. - Sector Financial Select Sector SPDR ETF (XLF)	A	Dividend	J	T					
112. - Sector Industrial Select Sector SPDR ETF (XLI)	A	Dividend	K	T					
113. - Select Sector TR Real Estate SPDR ETF (XLRE)	A	Dividend	J	T					
114. - Starbucks Corp (SBUX)	A	Dividend	J	T					
115. - Under Armour Inc CL A (UAA)		None	J	T					
116. - Under Armour Inc CL C (UA)		None	J	T					
117. - United Technologies Corp (UTX)	A	Dividend	J	T					
118. - Verizon Communications Inc. (VZ)	A	Dividend	J	T					
119. - Walgreens Boots Alliance Inc. (WBA)	A	Dividend	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
120. GTW/ACW Irrev Trust FBO JTW	A	Interest	N	T					
121. GTW/ACW Irrev Trust FBO JAW	A	Interest	N	T					
122. Dow Chemical Company (DOW)	A	Dividend	K	T					
123. Fidelity Advisor Strategic Income CL 1 (FSTAX)		None	K	T					
124.									
125.									
126.									
127.									
128.									
129.									
130.									
131.									
132.									
133.									
134.									
135.									
136.									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Part VII Line 1 - AT&T Inc. has replaced Time Warner Common Stock (TWX) and Time Inc. New (TIME) via merger lasted on last year's report at Lines 1 and 2.

Part VII Line 59 - PWRSH QQQ ETF has been renamed Invesco QQQ ETF.

Part VII Line 88 - Brighthouse Financial was inadvertently omitted from last year's report.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **Mary Ellen C. Willams**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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Washington, D.C. 20544