

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2018

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Walton, Reggie B.	2. Court or Organization United States District Court for the District of Columbia	3. Date of Report 1/27/2020
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. District Judge - Senior	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2018 to 12/31/2018
7. Chambers or Office Address E. Barrett Prettyman Courthouse 333 Constitution Avenue, N.W., Suite 6403 Washington, DC 20001		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions)

☐ NONE (No reportable positions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. Board of Directors	The Robert A. Shuker Scholarship Fund, Inc.
2. Member	Dean's Advisory Council, American University Washington College of Law
3.	
4.	
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions)

☐ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1. 1994	District of Columbia Courts Judicial Retirement Plan, no control
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☒ **NONE** *(No reportable non-investment income.)*

DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☐ **NONE** *(No reportable non-investment income.)*

DATE	SOURCE AND TYPE
1. 2018	Aesthetic Dermatology and Dermatologic Surgery Center -- Self Employed
2.	
3.	
4.	

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☐ **NONE** *(No reportable reimbursements.)*

SOURCE	DATES	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
1. The National Judicial College	May 1-3, 2018	Hollywood, CA	TIPS Section Conference	Transportation, lodging, food
2. New York Intellectual Property Law Association	March 23-25, 2018	New York, NY	The 96th Annual Dinner in Honor of the Federal Judiciary	Transportation, lodging, food
3. The American Law Institute	October 11-12, 2018	Philadelphia, PA	Model Penal Codes: Sexual Assault and Related Offenses meeting	Transportation, lodging, food
4.				
5.				

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*

☒ NONE *(No reportable gifts.)*

SOURCE	DESCRIPTION	VALUE
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*

☒ NONE *(No reportable liabilities.)*

CREDITOR	DESCRIPTION	VALUE CODE
1.		
2.		
3.		
4.		
5.		

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. American Funds AMCAP	A	Int./Div.	J	T					
2. American Funds EuroPacific Growth	A	Int./Div.	J	T					
3. American Funds New World Fund	A	Int./Div.	J	T					
4. American Funds SMALLCAP World Fund	A	Int./Div.	J	T					
5. American Funds Investment Co of America	A	Int./Div.	J	T					
6. American Funds Washington Mut Inv Fund	A	Int./Div.	J	T					
7. American Funds Bond Fund of America	A	Int./Div.	J	T					
8. American Funds Capital World Bond Fund	A	Int./Div.	J	T					
9. American Funds Inflation Linked Bond Fund	A	Int./Div.	J	T					
10. American Funds Intern Bd Fd of America	A	Int./Div.	J	T					
11. American Funds US Government Securities	A	Int./Div.	J	T					
12. American Funds U.S. Government Money Market Fund	A	Int./Div.	J	T					
13. American Funds Target Date 2010	A	Int./Div.	J	T					
14. American Funds Target Date 2015	A	Int./Div.	J	T					
15. American Funds Target Date 2020	A	Int./Div.	J	T					
16. American Funds Target Date 2025	A	Int./Div.	J	T					
17. American Funds Target Date 2030	A	Int./Div.	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Part IV. Investments and Trusts (cont'd)

- 18. (A): American Funds Target Date 2035; (B)(1): A; (B)(2): Int./Div.; (C)(1): J; (2): T
- 19. (A): American Funds Target Date 2040; (B)(1): A; (B)(2): Int./Div.; (C)(1): J; (2): T
- 20. (A): American Funds Target Date 2045; (B)(1): A; (B)(2): Int./Div.; (C)(1): J; (2): T
- 21. (A): American Funds Target Date 2050; (B)(1): A; (B)(2): Int./Div.; (C)(1): J; (2): T
- 22. (A): American Funds Target Date 2055; (B)(1): A; (B)(2): Int./Div.; (C)(1): J; (2): T
- 23. (A): American Funds Target Date 2060; (B)(1): A; (B)(2): Int./Div.; (C)(1): J; (2): T

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ Reggie B. Walton

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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