

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2018

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) van Keulen, Susan	2. Court or Organization U.S. District Court for the Northern District of California	3. Date of Report 04/04/2019
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. Magistrate Judge (FT)	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2018 to 12/31/2018
7. Chambers or Office Address 280 S. 1st Street San Jose, CA 55113		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

	<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1.	Trustee	Trust #1
2.		
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

	<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.		
2.		
3.		

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*

DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*

DATE	SOURCE AND TYPE
1. 2018	(salary)
2.	
3.	
4.	

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*

SOURCE	DATES	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
1. University of Arizona	March 4-6, 2018	Tucson, AZ	IP Conference Panelist	Transportation, lodging, and meals
2.				
3.				
4.				
5.				

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*

☒ NONE *(No reportable gifts.)*

SOURCE	DESCRIPTION	VALUE
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*

☒ NONE *(No reportable liabilities.)*

CREDITOR	DESCRIPTION	VALUE CODE
1.		
2.		
3.		
4.		
5.		

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Bank of America (cash)	A	Interest	L	T					
2. Citibank (cash)	A	Interest	J	T					
3. Chase (cash) (Y)									
4. Vanguard Growth Index Fund Adm (VIGAX)	A	Dividend	J	T					
5. ██████████, PC		None	K	U					
6. Amersfoort Company	D	Distribution	K	U					
7. Gunter Building, LLC		None	J	U					
8. HSA (cash) (X)	A	Interest	K	T					
9. Trust Account #1 (H)									
10. Charles Schwab Deposit Account (Cash) (Y)									
11. Nike Inc (NKE)	A	Dividend	J	T					
12. Powershares Global Clean Energy ETF (PBD) (Y)									
13. Trust Account #2 (H)									
14. Schwab Cash Reserves (SWSXX)	A	Dividend	M	T					
15. Invesco Db Base Metals ETF (DBB)	A	Dividend	K	T	Buy	04/18/18	K		
16.					Sold (part)	12/18/18	J		
17. Invesco Db Energy ETF (DBE)	A	Dividend	J	T	Buy	04/18/18	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$250,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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☐ NONE (No reportable income, assets, or transactions.)

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18. Invesco DB Oil ETF (DBO)	A	Dividend	J	T	Buy	04/18/18	J		
19. iShares Gold ETF (IAU)		None	K	T	Buy	04/18/18	K		
20. iShares MSCI EAFE Growth ETF (EFG)	A	Dividend	J	T	Buy	04/18/18	J		
21. Schwab Fundamental Intl Small Com ETF (FNDC)		None			Buy	04/18/18	K		
22.					Sold	12/18/18	K		
23. Schwab US Broad Mkt ETF (SCHB)	B	Dividend	M	T	Buy	04/18/18	M		
24. Vanguard Small Cap Growth ETF (VBK)	A	Dividend	K	T	Buy	04/18/18	L		
25. Vanguard Ca Inter Term Tax Exempt Fd Adm (VCADX)	B	Dividend	M	T	Buy	04/18/18	M		
26. Baird Core Plus Bd Inst (BCOIX)	A	Dividend			Sold	04/18/18	L		
27. Eaton Vance Floating Rate Advantage I (EIFAX)	A	Dividend			Sold	04/18/18	J		
28. Metropolitan West Total Return Bond I (MWTIX)	A	Dividend			Sold	04/18/18	L	B	
29. Pimco Incm Cl P (PONPX)	A	Dividend			Sold	04/18/18	K		
30. Prudential High Yield Fund Cl Z (PHYZX)	A	Dividend			Sold	04/18/18	J	A	
31. Prudential Total Return Bd Z (PBDZX)	A	Dividend			Sold	04/18/18	J		
32. Western Asset Core Plus Bd Fd I (WATFX)	A	Dividend			Sold	04/18/18	K		
33. Artisan Intl Value Fund Adv (APDKX)		None			Sold	04/18/18	J	B	
34. Lazard Intl Equity Portfolio Inst Shares (LZIEX)	A	Dividend	J	T	Sold (part)	04/18/18	J	B	

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2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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35.					Sold (part)	12/18/18	K	A	
36. Parnassus Core Equity Fd I (PRILX)	A	Dividend	J	T	Buy	12/18/18	J		
37. Oakmark Int'l Small Cap Fund Adv (OAYEX)		None			Sold	04/18/18	J	A	
38. Oppenheimer Intl Small Mid Company Y (OSMYX)		None			Sold	04/18/18	J	B	
39. Schwab Core Equity Fund (SWANX)	D	Dividend	L	T					
40. Schwab Dividend Equity Fund (SWDSX)	C	Dividend	K	T					
41. Schwab Fundamental US Large Co Index (SFLNX)	D	Dividend	K	T					
42. Schwab Fundamental US Small CO Index (SFSNX)		None	K	T					
43. Schwab Large-Cap Growth Fund (SWLSX)		None			Sold	04/18/18	K	D	
44. Schwab Small-Cap Equity Fund (SWSCX)	D	Dividend	K	T	Buy (add'l)	04/18/18	K		
45.					Buy (add'l)	12/18/18	J		
46. Trust Account #3 (H)									
47. Charles Schwab Deposit Account (Cash)	A	Interest	M	T					
48. Apple Stock (APL)	C	Dividend	M	T					
49. Berkshire Hathaway, Class B Stock (BRKB)		None	M	T					
50. DowDupont Inc. (DWDP)	B	Dividend	L	T					
51. Home Depot (HD)	C	Dividend	M	T					

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52. Travelers Companies Group (TRV)	B	Dividend	M	T					
53. UnitedHealth Group (UNH)	C	Dividend	M	T					
54. IRA #1 (H)									
55. Schwab Cash Reserves (SWSXX) (Y)									
56. Baird Core Plus Bd Inst (BCOIX)	A	Dividend	K	T					
57. Eaton Vance Floating Rate Advantage I (EIFAX)	A	Dividend			Sold	04/18/18	J		
58. Metropolitan West Total Return Bond I (MWTIX)	A	Dividend			Sold	04/18/18	K	A	
59. Pimco Incm Cl A (PONAX)	A	Dividend			Buy	04/18/18	J		
60.					Sold	06/20/18	J		
61. Pimco Incm Cl P (PONPX)		None			Sold	06/14/18	J		
62. PGIM High Yield Fund Cl Z (PHYZX) (formerly Prudential)	A	Dividend	J	T					
63. Pimco Incm Inst Cl (PIMIX)	A	Dividend	J	T	Buy	06/14/18	J		
64.					Buy (add'l)	06/20/18	J		
65. AMG Timesquare Intl Sm Cap Fd N (TCMPX)		None			Buy	04/18/18	K		
66.					Sold	06/18/18	K		
67. AMG Timesquare Intl Sm Cap Fd I (TQTIX)	A	Dividend	J	T	Buy	06/18/18	K		
68. Fidelity Adv Intl Real Estate Fd Cl A (FIRAX)	A	Dividend	J	T	Buy	04/18/18	J		

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69. Fidelity Adv Real Estate Income Fd C1 A (FRINX)		None			Buy	04/18/18	K		
70.					Sold	05/17/18	K		
71. Fidelity Adv Real Estate Income Fd C1 I (FRIRX)	C	Dividend	K	T	Buy	05/17/18	K		
72. Janus Henderson Small Cap Value T (JSCVX)	B	Dividend			Buy	04/18/18	K		
73.					Sold	06/18/18	K		
74. Janus Henderson Small Cap Value I (JSCOX)		None	K	T	Buy	06/18/18	K		
75. Prudential Total Return Bd Z (PBDZX)	A	Dividend			Sold	04/18/18	K		
76. Western Asset Core Plus Bd Fd I (WATFX)	A	Dividend			Sold	04/18/18	J		
77. Artisan Intl Value Fund Adv (APDKX)		None			Sold	04/18/18	J	A	
78. Lazard Intl Equity Portfolio Inst Shares (LZIEY)	A	Dividend	J	T	Sold (part)	04/18/18	J	A	
79. Oakmark Int'l Small Cap Fund Adv (OAYEX)		None			Sold	04/18/18	J	A	
80. Oppenheimer Intl Small Mid Company Y (OSMYX)		None			Sold	04/18/18	J	A	
81. Schwab Core Equity Fund (SWANX)	C	Dividend			Sold	12/18/18	K	A	
82. Schwab Dividend Equity Fund (SWDSX)	A	Dividend			Sold	04/18/18	J	B	
83. Schwab Fundamental US Large Co Index (SFLNX)		None			Sold	12/18/18	J	D	
84. Schwab Fundamental US Small Co Index (SFSNX)		None			Sold	04/18/18	J	C	
85. Schwab Large-Cap Growth Fund (SWLSX)		None			Sold	04/18/18	J	B	

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86. Schwab Small-Cap Equity Fund (SWSCX)		None			Sold	04/18/18	J	A	
87. Parnassus Core Equity Fd Inst (PRILX)	A	Dividend	K	T	Buy	12/18/18	K		
88. IRA #2 (H)									
89. Schwab Cash Reserves (SWSXX)	A	Dividend	J	T					
90. Invesco QQQ Trust (QQQ)	A	Dividend	K	T	Buy	08/27/18	K		
91.					Sold (part)	11/29/18	J		
92. Invesco S&P Low Volatility ETF (SPLV)	A	Dividend	J	T	Buy	08/27/18	J		
93. IShare Edge MSCI Min Vol EAFE ETF (EFAV)	A	Dividend	J	T	Buy	08/27/18	J		
94. IShares Commodities Select Strtgy ETF (COMT)	B	Dividend	J	T	Buy	08/27/18	K		
95.					Sold (part)	10/31/18	J		
96.					Sold (part)	11/29/18	J		
97. IShares Core S&P Small Cap ETF (IJR)	A	Dividend			Buy	08/27/18	J		
98.					Sold	10/31/18	J		
99. IShares Core US Aggregate Bond ETF (AGG)	A	Dividend	K	T	Buy	08/27/18	K		
100. IShares Core 1-5 Yr USD Bd ETF (ISTB)	A	Dividend	J	T	Buy	08/27/18	J		
101. IShares Gold ETF (IAU)		None	J	T	Buy	08/27/18	J		
102.					Sold (part)	09/05/18	J		

1. Income Gain Codes: (See Columns B1 and D4)	A ~\$1,000 or less F ~\$50,001 - \$100,000	B ~\$1,001 - \$2,500 G ~\$100,001 - \$1,000,000	C ~\$2,501 - \$5,000 H1 ~\$1,000,001 - \$5,000,000	D ~\$5,001 - \$15,000 H2 ~More than \$5,000,000	E ~\$15,001 - \$50,000
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103. iShares IBOXX High Yield Bond ETF (HYG)	A	Dividend	J	T	Buy	08/27/18	J		
104. iShares JPMorgan USD Mts Bond ETF (EMB)	A	Dividend	J	T	Buy	08/27/18	J		
105. iShares MSCI Hong Kong ETF (EWH)		None			Buy	08/27/18	J		
106.					Sold	09/05/18	J		
107. iShares Russell 2000 ETF (IWM)	A	Dividend	J	T	Buy	08/27/18	J		
108. iShares TIPS Bond ETF (TIP)	A	Dividend	J	T	Buy	08/27/18	J		
109. iShares 1-3 Yr Treasury Bond ETF (SHY)	A	Dividend	K	T	Buy	10/31/18	K		
110. iShares 20 Yrs Treasury Bnd ETF (TLT)	A	Dividend	J	T	Buy	08/27/18	J		
111. Pimco Enhanced Shrt Maturity Actv ETF (MINT)	A	Dividend	J	T	Buy	09/05/18	J		
112. Select Sector Health Care SPDR ETF (XLV)	A	Dividend	J	T	Buy	10/31/18	J		
113. SPDR Fund Consumer Staples ETF (XLP)	A	Dividend	J	T	Buy	10/31/18	J		
114. Select Str Financial Select SPDR ETF (XLF)	A	Dividend			Buy	08/27/18	J		
115.					Sold	10/31/18	J		
116. SPDR S&P 500 ETF (SPY)	A	Dividend	K	T	Buy	08/27/18	K		
117.					Sold (part)	10/31/18	J		
118.					Sold (part)	11/29/18	J		
119. Vanguard Consumer Discretionary ETF (VCR)	A	Dividend	J	T	Buy	08/27/18	J		

1. Income Gain Codes: (See Columns B1 and D4)	A ~\$1,000 or less F ~\$50,001 - \$100,000	B ~\$1,001 - \$2,500 G ~\$100,001 - \$1,000,000	C ~\$2,501 - \$5,000 H1 ~\$1,000,001 - \$5,000,000	D ~\$5,001 - \$15,000 H2 ~More than \$5,000,000	E ~\$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J ~\$15,000 or less N ~\$250,001 - \$500,000 P3 ~\$25,000,001 - \$50,000,000	K ~\$15,001 - \$50,000 O ~\$500,001 - \$1,000,000	L ~\$50,001 - \$100,000 P1 ~\$1,000,001 - \$5,000,000 P4 ~More than \$50,000,000	M ~\$100,001 - \$250,000 P2 ~\$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
120. Vanguard Dividend Appreciation ETF (VIG)	A	Dividend	K	T	Buy	08/27/18	K		
121. Vanguard FTSE Developed Mkts ETF (VEA)	A	Dividend	L	T	Buy	08/27/18	L		
122. Vanguard FTSE Emerging Mkts ETF (VWO)	A	Dividend	J	T	Buy	08/27/18	J		
123. Vanguard Short Term Treasury ETF (VGSH)	A	Dividend	K	T	Buy	11/29/18	K		
124. Vanguard Total Stock Market ETF (VTI)	A	Dividend	K	T	Buy	08/27/18	K		
125. Baird Core Plus Bd Inst (BCOIX)	A	Dividend			Buy (add'l)	01/04/18	J		
126.					Sold	08/27/18	K		
127. Eaton Vance Floating Rate Advantage I (EIFAX)	A	Dividend			Sold	08/27/18	J	A	
128. Metropolitan West Total Return Bond I (MWTIX)	A	Dividend			Buy (add'l)	01/04/18	J		
129.					Sold	08/27/18	K		
130. Pimco Incm C1 P (PONPX)	A	Dividend			Buy (add'l)	01/04/18	J		
131.					Sold	08/27/18	J		
132. PGIM High Yield Fund C1 Z (PHYZX) (formerly Prudential)	A	Dividend			Buy (add'l)	01/04/18	J		
133.					Sold	08/27/18	J		
134. PGIM Total Return Bd Z (PBDZX) (formerly Prudential)	A	Dividend			Buy (add'l)	01/04/18	J		
135.					Sold	08/27/18	K		
136. Western Asset Core Plus Bd Fd I (WATFX)	A	Dividend			Buy (add'l)	01/04/18	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
137.					Sold	08/27/18	K		
138. Artisan Intl Value Fund Adv (APDKX)		None			Buy (add'l)	01/04/18	J		
139.					Sold	08/27/18	J	A	
140. Lazard Intl Equity Portfolio Inst Shares (LZIEEX)	A	Dividend			Buy (add'l)	01/04/18	J		
141.					Sold	08/27/18	K	B	
142. Oakmark Int'l Small Cap Fund Adv (OAYEX)		None			Sold	08/27/18	J	A	
143. Oppenheimer Intl Small Mid Company Y (OSMYX)		None			Sold	08/27/18	J	A	
144. Schwab Core Equity Fund (SWANX)		None			Buy (add'l)	01/04/18	J		
145.					Sold	08/27/18	K	D	
146. Schwab Dividend Equity Fund (SWDSX)	A	Dividend			Buy (add'l)	01/04/18	J		
147.					Sold	08/27/18	K	B	
148. Schwab Fundamental US Large Co Index (SFLNX)		None			Buy (add'l)	01/04/18	J		
149.					Sold	08/27/18	K	D	
150. Schwab Fundamental US Small Co Index (SFSNX)		None			Buy (add'l)	01/04/18	J		
151.					Sold	08/27/18	J	C	
152. Schwab Large-Cap Growth Fund (SWLSX)		None			Buy (add'l)	01/04/18	J		
153.					Sold	08/27/18	J	B	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
154. Schwab Small-Cap Equity Fund (SWSCX)		None			Buy (add'l)	01/04/18	J		
155.					Sold	08/27/18	J	B	
156. IRA #3 (H)									
157. RBC Money Mkt Obligs Tr Federated Prime Obligs Fd	A	Dividend	K	T					
158. Advisors Inner Circle Fd II Champlain Small Co Fd Instl (CIPNX)		None			Sold	05/18/18	J	B	
159. Alger Fds II Spectra Fd Cl Z (ASPZX)	C	Dividend	L	T	Buy (add'l)	05/18/18	J		
160. Alger Small Cap Focus Fund Cl Z (AGOZX)	A	Dividend	K	T	Buy	05/18/18	K		
161. JP Morgan Diversified Mid Cap Growth Fd Cl I (HLGEX)	B	Dividend	K	T					
162. JP Morgan Tr II Equity Income Fd Cl I (HLIEX)	C	Dividend	L	T					
163. Vanguard Mid Cap Value Index Fund Admiral (VMAX)	A	Dividend	K	T					
164. Artisan Partners Fds Inc Int'l Value Fd Adv (APDKX)	B	Dividend	L	T					
165. IRA #4 (H)									
166. Fidelity Cash Management (cash account) (Y)									
167. Fidelity Govt Money Market (SPAXX) (X)	A	Dividend	K	T					
168. Fidelity Intl Growth Fund (FIGFX) (X)	A	Dividend	L	T					
169. Fidelity OTC Port (FOCPX) (X)	E	Dividend	N	T					
170. Fidelity Blue Chip Growth (FBGRX) (X)	E	Dividend	N	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

171. Fidelity Small Cap Growth (FCPGX) (X)	E	Dividend	M	T					
172. Fidelity Select Constructn & Housing (FSHOX) (X)	E	Dividend	N	T					
173. Buffalo Discovery Fund (BUFTX) (X)	D	Dividend	M	T					
174. Conestoga Small Cap Investor Class (CCASX) (X)	B	Dividend	M	T					
175. T Rowe Price Blue Chip Growth Inc (TRBCX) (X)	D	Dividend	N	T					
176. William Blair Intnat Leaders Fund C1 N (WILNX) (X)	B	Dividend	L	T					
177. Fidelity Capital & Income (FAGIX) (X)	D	Dividend	L	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
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3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Part I, line 1: All reportable assets of Trust #1 are listed in Part VII.

Trust Account #1: Formerly Trust Account #2.

Trust Account #2: Formerly Trust Accounts #3 and #4 (consolidated in 2018).

Trust Account #3: Formerly Trust Account #5.

Part VII, Column B: Lines reflecting no income are so reported because none was attributed to those particular holdings during the reporting period, whether taxable, tax exempt, or tax deferred, or because the investments do not allocate income to individual holdings, but instead are credited only in unit value, per page 42 of the filing instructions.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **s/ Susan van Keulen**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544